



Meeting Agenda

Thursday—November 3, 2022

9:30 AM

W.V. Knott Building at the Capitol Complex, 4th Floor, Room 412

1. Discussion of petition for variance from or waiver of rule 61D-11.005(5)
 - 1.1. 2022-038844 South Marion Real Estate Holdings, LLC
2. Discussion of motion to reduce penalties
 - 2.1. 2022-001778 Brooks, Gerald
3. Discussion of petition for relocation of pari-mutuel permit
 - 3.1. 2022-037892 Fronton Holdings, LLC
4. Discussion of renewal application for slot machine license
 - 4.1. 2022-039952 Dania Entertainment Center, LLC
5. Discussion of amended application for cardroom license
 - 5.1. 2022-048727 Daytona Beach Kennel Club, Inc.
6. Discussion of applications for transfer of permitholder tax credit/exemption
 - 6.1. 2022-048835 Pensacola Greyhound Racing, LLC
7. Discussion of default final orders
 - 7.1. 2022-024913 Mendoza Alvarez, Marcos
 - 7.2. 2022-033258 Charles, Shakera Hilary
 - 7.3. 2022-035114 TBD Entertainment, LLC
 - 7.4. 2022-036656 Smith, Clifton
 - 7.5. 2022-041504 Dobles, Samantha Rose
8. Discussion of consent orders
 - 8.1. 2022-027070 Dao, Huong
 - 8.2. 2022-038702 Gulfstream Park Racing Association, Inc.
 - 8.3. 2022-041729 St. Petersburg Kennel Club, Inc.
 - 8.4. 2022-042997 Hess, Robert Bruce

9. Discussion of license denials
 - 9.1. 2022-040492 Frazier, Steven R
 - 9.2. 2022-045263 Vasquez Feliz, Yohanni Mariana
 - 9.3. 2022-047255 Auguste, James
 - 9.4. 2022-047294 Jackson, Jarvis
 - 9.5. 2022-489811 Kenon, Adrian
 - 9.6. 2022-049319 Harvey, Terrance Antonio
10. Executive Director update
11. Public Comment

PERSONS WISHING TO ADDRESS
THE COMMISSION ON ANY OF THE AGENDA ITEMS
SHOULD EMAIL CONTACT@FGCC.FL.GOV



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MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Marc Taupier, Chief Attorney
Re: Petition for Variance From or Waiver of Rule 61D-11.005(5), F.A.C.,
South Marion Real Estate Holdings, LLC d/b/a Oxford Downs; Case
Number 2022-038844
Date: October 25, 2022

Executive Summary

South Marion Real Estate Holdings, LLC, d/b/a Oxford Down (“Petitioner”), is seeking a variance from or waiver of rule 61D-11.005(5), Florida Administrative Code. On August 5, 2022, Petitioner filed their Petition for Variance From or Waiver of Rule 61D-11.005(5), Florida Administrative Code (“Petition”), pursuant to section 120.542, Florida Statutes. The Division of Pari-Mutuel Wagering (“Division”) requested additional informational from Petitioner on August 23, 2022, in order for further evaluate the Petition. On September 2, 2022, Petitioner provided all requested information to the Division.

Background

On August 5, 2022, Petitioner filed the Petition seeking waiver of rule 61D-11.005(5), Florida Administrative Code.¹ Petitioner is seeking to set aside six cardroom tables for card games to be played by off-duty cardroom occupational licensees. Petitioner is seeking that the variance or waiver be for one year in order to establish that the games can be conducted fairly and without any concerns regarding the integrity of the games. *See* Pet. ¶ 5.

Petitioner alleges that a substantial hardship or a violation of principals of fairness justify the granting of the variance or waiver. *See* Pet. ¶ 7. Specifically, Petitioner alleges that the next closest cardrooms available to their occupational licensees are approximately 40 to 70 miles away.² *See* Pet. ¶ 7. Furthermore, Petitioner alleges that there is significantly less play at the other cardrooms, making Petitioner’s

¹ Rule 61D-11.005(5), F.A.C., provides that “[c]ardroom occupational licensees are prohibited from participating in authorized cardroom games at the cardroom facility where they are employed.”

² OBS Real Estate Holdings, LLC d/b/a Ocala Gainesville Poker is more than 40 miles away; West Volusia Racing, Inc. is approximately 60 miles away; and Daytona Beach Kennel Club, Inc. is approximately 70 miles away.

cardroom much more desirable.³ *See* Pet. ¶ 7. Petitioner asserts that there is a demonstrated economic hardship due to the loss of revenue it would otherwise receive if its cardroom occupational licensees were permitted to play at its cardroom. *See* Pet. ¶ 8.

Lastly, Petitioner alleges that the purpose of the underlying statute that the rule seeks to serve is ensuring public confidence in the integrity of authorized cardroom operations.⁴ *See* Pet. ¶ 10. Petitioner asserts that every cardroom occupational licensee is subject to a background screening to ensure their good moral character.⁵ *See* Pet. ¶ 11. Petitioner asserts that there is no such requirement or background screening for players who are not cardroom occupational licensees, therefore, allowing those who have been thoroughly screened ensures the integrity of authorized cardroom operations. *See* Pet. ¶ 14. Petitioner states that cardroom occupational licensees pose a low risk of engaging in behavior that would affect the integrity of the games.⁶ *See* Pet. ¶ 16.

Petitioner further asserts that the requested variance or waiver will ensure public confidence in the integrity of cardroom operations because cardroom occupational licensees will only play against other cardroom occupational licensees, thus eliminating any competitive edge or advantage the cardroom occupational licensee could have. *See* Pet. ¶ 16. Petitioner asserts that by providing signs and warnings to non-licensees ensures that any decision to play at such designated table is done with full knowledge that the other players at the tables may be cardroom occupational licensees. *See* Pet. ¶ 16.

Relevant Law

Section 120.542(5), Florida Statutes, provides that:

³ Ocala Gainesville Poker's 2021/2022 gross cardroom receipts are approximately 15 percent of Petitioner's 2021/2022 gross cardroom receipts. *See* Cardroom Gross Receipts for Fiscal Year 2021/2022 available at: <http://www.myfloridalicense.com/dbpr/pmw/documents/Cardroom2021-2022.pdf>.

⁴ *See* § 849.086(1), Fla. Stat.

⁵ *See* §§ 849.086 and 550.105, Fla. Stat.

⁶ Petitioner cites the following as evidence for this conclusion: [s]ome of the largest gaming jurisdictions in the United States allow employees to play at the facilities where they are employed. *See, e.g.,* Nevada Administrative Code §23.070 (prohibiting a dealer from wagering in games in which he or she is dealing but not in other games); Mississippi Administrative Code Rule 13-3-1.8 (authorizing owners, officers, directors, and employees of a licensed gaming facility to play poker at the facility); California Code of Regulations Title 4, Division 18, §§12388(a) & 12391(a)(2) (prohibiting a cardroom from extending credit to an employee to play as a "house prop player" or "public relations player" in a poker game and prohibiting a cardroom from taking adverse action against an employee for refusing to play in a poker game, both of which indicate that an employee can play poker at the facility where he or she is employed).

“[a] person who is subject to regulation by an agency rule may file a petition with that agency, with a copy to the committee, requesting a variance or waiver from the agency’s rule. In addition to any requirements mandated by the uniform rules, each petition shall specify:

- (a) The rule from which a variance or waiver is requested.
- (b) The type of action requested.
- (c) The specific facts that would justify a waiver or variance for the petitioner.
- (d) The reason why the variance or the waiver requested would serve the purposes of the underlying statute.”

Section 120.542(2), Florida Statutes, provides that:

“[v]ariations and waivers shall be granted when the person subject to the rule demonstrates that the purpose of the underlying statute will be or has been achieved by other means by the person and when application of a rule would create a substantial hardship or would violate principles of fairness. For purposes of this section, ‘substantial hardship’ means a demonstrated economic, technological, legal, or other type of hardship to the person requesting the variance or waiver. For purposes of this section, ‘principles of fairness’ are violated when the literal application of a rule affects a particular person in a manner significantly different from the way it affects other similarly situated persons who are subject to the rule.”

Agencies shall grant or deny a petition for variance or waiver within 90 days after receipt of the original petition, the last item of timely requested additional material, or the petitioner’s written request to finish processing the petition. *See* § 120.542(8), Fla. Stat. The agency’s decision to grant or deny the petition shall be supported by competent substantial evidence and is subject to ss. 120.569 and 120.57. *Id.*

**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION**

IN RE: PETITION FOR VARIANCE FROM OR
WAIVER OF RULE 61D-11.005(5), F.A.C.

SOUTH MARION REAL ESTATE HOLDINGS,
LLC d/b/a OXFORD DOWNS

CASE NO.: 2022-038844

PETITIONER.

**PETITION FOR VARIANCE FROM OR WAIVER OF RULE 61D-11.005(5),
FLORIDA ADMINISTRATIVE CODE**

Petitioner, South Marion Real Estate Holdings, LLC d/b/a Oxford Downs (“Petitioner”) files this Petition for Variance from or Waiver of Rule 61D-11.005(5), Florida Administrative Code, pursuant to section 120.542, Florida Statutes (2022)¹ and Rule 28-104.002, Florida Administrative Code, to allow it to offer authorized cardroom games to cardroom occupational licensees. In support of this petition, Petitioner states as follows:

1. Petitioner is a Florida limited liability company that owns and operates a pari-mutuel facility and cardroom in Marion County, Florida. Petitioner holds a pari-mutuel permit and license under chapter 550, Florida Statutes, to conduct pari-mutuel wagering and a license under section 849.086, Florida Statutes, to conduct cardroom operations. For purposes of this proceeding, Petitioner’s address is that of its undersigned counsel.

2. Petitioner is represented by John M. Lockwood, Thomas J. Morton, and Devon Nunneley of The Lockwood Law Firm, 1400 Village Square Blvd., #3-504, Tallahassee, Florida 32312. Counsels’ telephone number is (850) 727-5009; facsimile number is (850) 270-2610; and

¹ All references herein to “chapter” or “section” are to the applicable section of the 2022 version of the Florida Statutes.

email addresses are john@lockwoodlawfirm.com, tj@lockwoodlawfirm.com, and devon@lockwoodlawfirm.com.

Applicable Portion of the Rule

3. The applicable rule from which Petitioner seeks a variance from or waiver of is:

61D-11.005 Prohibitions

(5) Cardroom occupational licensees are prohibited from participating in authorized cardroom games at the cardroom facility where they are employed.

(“the Rule”).

Statute Implemented by the Rule

4. The Rule implements section 849.086 Florida Statutes, (“the Underlying Statute”).

Type of Action Requested

5. Petitioner is seeking a variance from or waiver of the Rule which prohibits a cardroom operator from offering authorized cardroom games to occupational licensees who are employed by the cardroom. Petitioner is currently licensed to operate 31 tables. Petitioner seeks to set aside six tables (less than 20% of the cardroom’s tables) for card games to be played by off-duty cardroom occupational licensees. The tables will have conspicuous signage indicating that the tables are set aside for games by off-duty occupational licensees employed by Petitioner. However, non-licensee players will be allowed to play at the tables if they so choose. Initially, Petitioner seeks a variance or waiver for one year to establish that the games can be conducted fairly and without any concerns regarding the integrity of the games. Petitioner may request an extension or that the variance or waiver is made permanent after the expiration of the one-year period and may request approval of additional tables.

Standard for Granting a Variance or Waiver

6. Section 120.542(2), Florida Statutes, provides:

(2) Variances and waivers shall be granted when the person subject to the rule demonstrates that the purpose of the underlying statute will be or has been achieved by other means by the person and when application of a rule would create a substantial hardship or would violate principles of fairness. For purposes of this section, “substantial hardship” means a demonstrated economic, technological, legal, or other type of hardship to the person requesting the variance or waiver. For purposes of this section, “principles of fairness” are violated when the literal application of a rule affects a particular person in a manner significantly different from the way it affects other similarly situated persons who are subject to the rule.

The Specific Facts that Demonstrate a Substantial Hardship or a Violation of Principles of Fairness that Justify Granting the Variance or Waiver

7. The application of the Rule creates a substantial hardship to Petitioner. Petitioner employs 176 cardroom occupational licensees. As indicated by their chosen careers, these licensees have a strong interest in cardrooms and enjoy participating in authorized cardroom games. The closest cardroom to Petitioner’s cardroom is OBS Real Estate Holdings, LLC d/b/a Ocala Gainesville Poker, which is more than 40 miles away. Additionally, there is significantly less play at Ocala Gainesville Poker’s cardroom than there is at Petitioner’s cardroom, making Petitioner’s cardroom much more desirable.² The next closest cardrooms are West Volusia Racing, Inc.’s cardroom, which is approximately 60 miles away, and Daytona Beach Kennel Club, Inc.’s cardroom which is approximately 70 miles away.

8. If Petitioner’s cardroom occupational licensees were allowed to participate in authorized games at Petitioner’s cardroom, they would likely choose to play at Petitioner’s cardroom rather than incur the time and cost associated with traveling to Ocala Gainesville Poker or the other surrounding cardrooms. For these reasons, the application of the Rule creates a

² Ocala Gainesville Poker’s 2021/2022 gross cardroom receipts are approximately 15 percent of Petitioner’s 2021/2022 gross cardroom receipts. *See* Cardroom Gross Receipts for Fiscal Year 2021/2022 available at: <http://www.myfloridalicenses.com/dbpr/pmw/documents/Cardroom2021-2022.pdf>.

demonstrated economic hardship to Petitioner by causing Petitioner to lose out on revenue it would otherwise receive if its cardroom occupational licensees were permitted to play at its cardroom.

**The Reason Why the Variance or Waiver will Serve the Purposes
of the Underlying Statute**

9. The Legislative Intent behind the Underlying Statute is explained as follows:

(1) LEGISLATIVE INTENT.—It is the intent of the Legislature to provide additional entertainment choices for the residents of and visitors to the state, promote tourism in the state, and provide additional state revenues through the authorization of the playing of certain games in the state at facilities known as cardrooms which are to be located at licensed pari-mutuel facilities. To ensure the public confidence in the integrity of authorized cardroom operations, this act is designed to strictly regulate the facilities, persons, and procedures related to cardroom operations. Furthermore, the Legislature finds that authorized games as herein defined are considered to be pari-mutuel style games and not casino gaming because the participants play against each other instead of against the house.

§849.086(1), Fla. Stat.

10. The purpose of the Underlying Statute that the Rule seeks to serve is ensuring public confidence in the integrity of authorized cardroom operations.

11. Before obtaining a cardroom occupational license, an applicant is subject to a background screening pursuant to sections 849.086 and 550.105, Florida Statutes, to ensure they have not:

- a. Violated any provision of chapter 550 or the Florida Gaming Control Commission's (the "Commission's") rules. §550.105(5)(b), Fla. Stat.
- b. Been convicted of a capital felony or a felony in this state or any other state. §550.105(5)(b), Fla. Stat.
- c. Been convicted of an offense in any other state that would be a felony in Florida involving arson; delivery, sale or distribution of a controlled substance; or a crime

involving a lack of good moral character. §550.105(5)(b), Fla. Stat.

- d. Been convicted of a felony or misdemeanor related to gambling, bookmaking or involving cruelty to animals. §550.105(5)(c), Fla. Stat.
- e. Been convicted of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority. §849.086(6)(g), Fla. Stat.
- f. Had a license revoked, suspended, denied, or has unpaid fines in any other jurisdiction. §550.105(5)(a), Fla. Stat.

12. An applicant who fails the background screening can obtain an occupational license upon receipt of a waiver from the Commission. The applicant must demonstrate rehabilitation and proof of good moral character to receive the waiver. §550.105(5)(c), Fla. Stat. & Rule 61D-5.006, Fla. Admin. Code.

13. Thus, cardroom occupational licensees, including all cardroom occupational licensees employed by Petitioner, have either passed the background screening process or affirmatively demonstrated that they possess good moral character. Nevertheless, Petitioner's employees who are entrusted with ensuring Petitioner's cardroom operates lawfully and in strict compliance with the Underlying Statute, and who have passed background screenings or demonstrated good moral character as stated above, are prohibited by the Rule from participating in authorized cardroom games at Petitioner's cardroom.

14. Players who are not cardroom occupational licensees are not required to pass a similar background screening process or demonstrate that they possess good moral character in order to participate in authorized cardroom games. Indeed, a person who has been convicted of defrauding a gaming commission or committing theft at a casino could walk into Petitioner's

cardroom today and legally participate in the very same games that Petitioner's employees are prohibited from playing.

15. Presumably, the Rule seeks to prevent Petitioner's cardroom occupational licensees from playing at Petitioner's cardroom so that the licensees cannot leverage their relationships with other licensees or use inside information to gain a competitive advantage over non-cardroom occupational licensees.

16. The requested variance or waiver will ensure public confidence in the integrity of cardroom operations because cardroom occupational licensees will only play against other cardroom occupational licensees, thus eliminating any competitive advantage the cardroom occupational licensees could have. Any non-licensees who choose to play at the tables will be making a conscious decision with full knowledge that the other players at the tables may be cardroom occupational licensees. Further, because cardroom occupational licensees are background screened and entrusted with maintaining the integrity of cardroom operations, the public has confidence that cardroom occupational licensees pose a low risk of engaging in behavior that could affect the integrity of the games.³ For these reasons, the variance or waiver will serve the purpose of the Underlying Statute.

Whether the Requested Variance or Waiver is Permanent or Temporary

17. The requested variance or waiver is temporary as it will apply for one year from the date of approval. Petitioner may seek an extension or request that the variance or waiver is

³ Some of the largest gaming jurisdictions in the United States allow employees to play at the facilities where they are employed. *See, e.g.*, Nevada Administrative Code §23.070 (prohibiting a dealer from wagering in games in which he or she is dealing but not in other games); Mississippi Administrative Code Rule 13-3-1.8 (authorizing owners, officers, directors, and employees of a licensed gaming facility to play poker at the facility); California Code of Regulations Title 4, Division 18, §§12388(a) & 12391(a)(2) (prohibiting a cardroom from extending credit to an employee to play as a "house prop player" or "public relations player" in a poker game and prohibiting a cardroom from taking adverse action against an employee for refusing to play in a poker game, both of which indicate that an employee can play poker at the facility where he or she is employed).

made permanent after the expiration of the one-year period.

Wherefore, Petitioner requests that the Commission approve this petition, and grant Petitioner a variance from or waiver of the Rule's prohibition on cardroom occupational licensees participating in authorized games at the cardroom where they are employed for a period of one year as set forth herein.

Respectfully submitted this 5th day of August 2022.

/s/ John M. Lockwood
John M. Lockwood
Florida Bar No. 28056
Thomas J. Morton
Florida Bar No. 13771
Devon Nunneley
Florida Bar No. 46234
The Lockwood Law Firm
1400 Village Square Blvd., #3-504
Tallahassee, FL 32312
Telephone: (850) 727-5009
Facsimile: (850) 270-2601
john@lockwoodlawfirm.com
tj@lockwoodlawfirm.com
devon@lockwoodlawfirm.com

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 5th day of August 2022, I have served a true and correct copy of this document via electronic mail on the following:

Clerk of the Florida Gaming Control Commission
Clerk@fgcc.fl.gov

Joint Administrative Procedures Committee
japc@leg.state.fl.us

/s/ John M. Lockwood

FILED

Florida Gaming Control Commission
Office of the Agency Clerk

Clerk Donna Fleming

Date 8/23/2022

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER



August 23, 2022

South Marion Real Estate Holdings, LLC
d/b/a Oxford Downs
106 East College Avenue, Suite 810
Tallahassee, Florida 32301

RE: FGCC Case No. 2022-038844
Petition for Variance from or Waiver of Rule 61D-11.005(5), Florida Administrative Code

Dear Oxford Downs,

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Commission"), has received your Petition for Variance from or Waiver of Rule 61D-11.005(5), Florida Administrative Code ("Petition"), on August 5, 2022. The Commission has reviewed your Petition and is seeking additional information in order to make a determination of your request pursuant to section 120.542(7), Florida Statutes.

The Commission is requesting that you submit the following additional information:

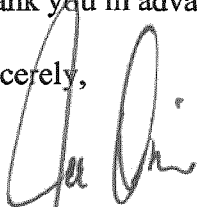
- The exact language to be used on the proposed signage;
- What the signage will look like;
 - i.e. will it be a placard, will the sign be large or small, etc.
- Where will the signage be posted and in what manner;
- Will the tables be segregated or separated in any manner;
- Will your internal controls be updated regarding the designation of the proposed tables;
- Will there be any policy or prohibition regarding employees playing in tournaments;
 - Will there be employee only tournaments, if not, do you have a proposal as to how you will notify patrons that employees are also playing in the tournament;

Please provide documents and answers to the above requests as soon as possible. You may send your responses via email to Clerk@fgcc.fl.gov or you can mail them to the following address:

Florida Gaming Control Commission
Attention: Office of the General Counsel
2601 Blair Stone Road
Tallahassee, Florida 32399

Thank you in advance for your cooperation and we look forward to your responses.

Sincerely,

A handwritten signature in black ink, appearing to read "Joe Dillmore". The signature is written in a cursive style with a large initial "J" and "D".

Joe Dillmore, Director
Division of Pari-Mutuel Wagering

CERTIFICATE OF SERVICE

I hereby certify this 23 day of August, 2022, that a true copy of the forgoing has been furnished via U.S. mail and via electronic mail to:

South Marion Real Estate Holdings, LLC
d/b/a Oxford Downs
c/o John M. Lockwood, Thomas J. Morton, and Devon Nunneley
The Lockwood Law Firm
1400 Village Square Blvd., #3-504
Tallahassee, Florida 32312
john@lockwoodlawfirm.com
tj@lockwoodlawfirm.com
devon@lockwoodlawfirm.com


CLERK OF THE COMMISSION
Florida Gaming Control Commission

Case No. 2022-038844



LOCKWOOD LAW FIRM

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 9/02/2022
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

September 2, 2022

Florida Gaming Control Commission
Attention: Office of the General Counsel
2601 Blair Stone Road
Tallahassee, Florida 32399

Via Electronic Mail (Clerk@fgcc.fl.gov)

**Re: South Marion Real Estate Holdings, LLC d/b/a Oxford Downs
Response to Request for Additional Information – FGCC Case No. 2022-038844**

Dear Mr. Dillmore:

This correspondence is provided on behalf of South Marion Real Estate Holdings, LLC d/b/a Oxford Downs (“Oxford Downs”) in response to the Florida Gaming Control Commission’s August 23, 2022, request for additional information relating to Oxford Downs’s Petition for Variance from or Waiver of Rule 61D-11.005(5), Florida Administrative Code.

Request #1

The exact language to be used on the proposed signage.

Response to Request #1

The proposed signage will state “EMPLOYEE PARTICIPATION TABLE”.

Request #2

What the signage will look like, i.e. will it be a placard, will the sign be large or small, etc.?

Response to Request #2

For designated player games, the signage will be a placard firmly affixed to the game sign located directly below the placard indicating the minimum and maximum wager amounts. An image indicating the size and location of the placard is enclosed as Exhibit 1.

For all other games, the signage will be a placard firmly affixed to the table located directly above the rake slide. A picture indicating the size and location of the placard is enclosed as Exhibit 2.

Request #3

Where will the sign be placed and in what manner?

Response to Request #3

Please see the Response to Request #2.



Request #4

Will the tables be separated or segregated in any manner?

Response to Request #4

All employee participation tables will be located in the back of the cardroom.

Request #5

Will your internal controls be updated regarding the designation of the proposed tables?

Response to Request #5

Yes. The proposed updated internal controls are enclosed as Exhibit 3. The proposed changes have been redlined.

Request #6

Will there be any policy or prohibition regarding employees playing in tournaments? Will there be employee only tournaments, if not, do you have a proposal as to how you will notify patrons that employees are also playing in the tournament?

Response to Request #6

Yes. Employees will only be allowed to participate in "Employee Tournaments." Employees will not be allowed to participate in any other tournaments. Non-employee patrons will also be allowed to participate in "Employee Tournaments".

Each "Employee Tournament" will be listed as so on the tournament flyer. During an "Employee Tournament," Oxford Downs's cage personnel responsible for selling tournament tickets will notify each patron that employees will be participating in the tournament. The tournament's status as an "Employee Tournament" will also be posted on Oxford Downs's tournament clock as indicated on the enclosed Exhibit 4.

Thank you for your time and consideration and please let us know if you have any questions or need any additional information.

Sincerely,



T.J. Morton

EXHIBIT 1



EXHIBIT 2



EXHIBIT 4



MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Marc Taupier, Chief Attorney
Re: FGCC v. Gerald Brooks; Case No. 2022-001778 – Motion to Reduce Penalties
Date: October 25, 2022

Executive Summary

Gerald Brooks (“Respondent”) is seeking a reduction in the penalty imposed in the Final Order filed on March 15, 2022. The Final Order required that Respondent pay an administrative fine in the amount of \$1,000 and required both Respondent and owner to return the purse winnings to Gulf Stream Park Racing Association. Respondent filed a Motion to Reduce Penalties with the Division of Pari-Mutuel Wagering (“Division”) on September 22, 2022, requesting that a \$100 fine be imposed only.

Pertinent Facts and Law

Respondent was the trainer of record for the racing horse “Regal Chant,” which was owned by Gerald E. Brooks and Carl L. Hess, Jr. On November 19, 2021, Regal Chant participated in the 7th race of the performances held by Gulfstream Park. Regal Chant finished 2nd place in the 7th race. A blood sample was take from Regal Chant to test for any prohibited substances. The sample was sent to the University of Florida (“UF”) Lab and subsequently tested. The UF lab detected xylazine in the serum. Xylazine was detected at a serum concentration of 13.4 +/- 1.6 pg/mL.

The Division filed an administrative complaint alleging a violation of section 550.2415(1)(a), Florida Statutes, which makes it a violation of Florida law to race an animal that been determined to have a prohibited substance present in its system.

Rule 61D-6.008(2)(w), Florida Administrative Code, provides that it is a violation if xylazine exists at a serum concentration greater than 0.01 ng/mL. The penalty for

this violation is a Drug Class 3¹ Penalty Class B² under the Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. (“ARCI Guidelines”).

On January 18, 2022, the Division filed an administrative complaint against Respondent for violations of the above-referenced statutes and rules. Respondent was personally served on February 4, 2022. Respondent did not file a response within 21 days of being served.³ On March 15, 2022, the Division entered a final order against Respondent requiring him to pay an administrative fine of \$1,000 and to return the purse winnings to Gulfstream Park Racing Association, Inc.

Respondent appealed the Final Order to the First District Court of Appeals, in case number 1D-22-1129. During the pendency of the appeal, Respondent moved to relinquish jurisdiction from the 1st DCA and place the appeal in abeyance for 60 days in order to seek resolution with the Florida Gaming Control Commission (“Commission”). The 1st DCA granted the motion in part and has given the Commission concurrent jurisdiction for 60 days, pursuant to Florida Rule of Appellate Procedure 9.600(b), in order to hear and adjudicate the motion filed by Respondent.

¹ A Drug Class 3 under the Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc., provides that class 3 drugs are drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2. Drugs in this class include bronchodilators, anabolic steroids and other drugs with primary effects on the autonomic nervous system, procaine, antihistamines with sedative properties and the high-ceiling diuretics.

² A Penalty Class B penalty under the Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc., ranges from fines, suspensions, loss or purse, the subject horse passing a commission-approved examination, and being placed on the veterinarian’s list.

³ See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

DBPR Case No. 2022-1778

v.

GERALD EDWARD BROOKS,

Respondent.

_____ /

MOTION TO REDUCE PENALTIES

Respondent Gerald Edward Brooks (“Brooks”) moves this Commission to reduce the penalties imposed upon him by the Final Order of the Division of Pari-Mutuel Wagering (“Division”) entered on March 15, 2022, and in support thereof states as follows:

1. On January 18, 2022, the Division filed an Administrative Complaint against Respondent Brooks, a Florida licensed horse trainer, based upon the fact that the thoroughbred racehorse known as “Regal Chant” tested positive for an “overage” of the permitted medication xylazine after a race held at Gulfstream Park on November 19, 2021.

2. As Respondent Brooks did not respond to the Administrative Complaint within 21 days of its service upon him, the Division entered a Final Order which assessed an administrative fine in the amount of \$1,000 and

required him to return the second-place purse to Gulfstream Park, the permit-holder where the race was run.

3. In 2016, the Division adopted the Controlled Therapeutic Medication Schedule, Version 2.1, revised April 17, 2014, adopted by the Association of Racing Commissioners International, Inc. ("ARCI") in Rule 61D-6.008. In the 2014 version of the ARCI Controlled Therapeutic Medications Schedule, as adopted by rule, the permitted maximum amount of xylazine allowed in a post-race blood sample was 10 picograms per milliliter of blood serum. The post-race serum sample from Respondent Brooks' horse was 13.4 +/- 1.6 picograms per milliliter. A copy of Rule 61D-6.008 is attached hereto as Exhibit "A" to this motion.

4. Later versions of the ARCI Controlled Therapeutic Medications Schedule, starting with Version 4.0 issued by the ARCI on April 20, 2017, increased the maximum amount of xylazine allowed in a post-race sample to 200 picograms per milliliter of blood serum. Accordingly, under the ARCI controlled therapeutic medication schedule in effect at the time of Regal Chant's race, the finding of 13.4 picograms of xylazine in the post-race blood sample would not be a drug positive. A copy of the ARCI Controlled Therapeutic Medications Schedule Version 4.0 is attached hereto as Exhibit "B" to this motion.

5. Under Florida law, the Division was unable to adopt the ARCI Controlled Therapeutic Medications Schedules as they changed from time

to time. Accordingly, the Division was unable to amend Rule 61D-6.008 to reflect the increased threshold amount for declaring a xylazine positive.

6. The Stewards that adjudicate medication positive tests against trainers at the race track, recognizing that the threshold of xylazine has changed from 10 to 200, typically fine the trainer \$100 for a xylazine positive at the level found in the post-race blood sample of Regal Chant, trained by Respondent Brooks.

7. In fact, after the final order entered herein, Respondent Brooks had a second xylazine overage, under 200 picograms per milliliter in blood serum where the stewards fined him \$100. A copy of the stewards' order is attached hereto as Exhibit "C" to this motion.

8. Based on the concept of fundamental fairness, it is unfair for the Division to severely punish a trainer whose horse tests positive for xylazine at a level under 200 picograms per milliliter, as the Division has knowledge that the ARCI has changed the threshold.

9. The penalty imposed upon Respondent Brooks in the Division's Final Order dated March 14, 2022 is fundamentally unfair as it not only fines Respondent Brooks \$1,000, but it also orders to him to return the second place purse of the race, which could be thousands of dollars, with the threat that the failure to return the purse may result in administrative action being taken against the owner of Regal Chant.

WHEREFORE, Respondent requests that the commission reduce the penalty imposed against Respondent Brooks to \$100 for the reasons set forth above.

CERTIFICATE OF SERVICE

I CERTIFY that on this 22nd day of September, 2022, a copy of the foregoing was e-mailed to Marc D. Taupier, Esq., Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399, Marc.Taupier@fgcc.fl.gov.

BEILLY & STROHSAHL, P.A.
1144 S.E. 3rd Avenue
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/s/ Bradford J. Beilly
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61D-6.008 Permitted Medications for Horses.

(1) The prescription medications defined in this rule shall be permitted under the conditions set forth to conserve and protect the health of the horse which is entered to race. All such medications shall be procured and administered by a licensed veterinarian, except where a valid prescription or dispensing occurs in compliance with the requirements of Chapter 474, F.S.

(2) The following permitted medications at concentrations less than or equal to the following schedule shall not be reported by the racing laboratory to the Division as a violation of Section 550.2415, F.S.:

(a) The detection of acepromazine [2-(1-hydroxyethyl) promazine sulfoxide] at a urinary concentration of 10 nanograms per milliliter.

(b) The detection of albuterol at a urinary concentration of 1 nanogram per milliliter.

(c) The detection of betamethasone at a blood serum concentration of 10 picograms per milliliter.

(d) The detection of butorphanol (total) at a urinary concentration of 300 nanograms per milliliter, or (free) at a blood serum concentration of 2 nanograms per milliliter.

(e) The detection of clenbuterol at a urinary concentration of 140 picograms per milliliter, or a blood serum concentration at the lowest level of detection.

(f) The detection of dantrolene (5-hydroxydantrolene) at a blood serum concentration of 100 picograms per milliliter.

(g) The detection of detomidine (carboxydetomidine) at a urinary concentration of 1 nanogram per milliliter, or a blood serum concentration at the lowest level of detection.

(h) The detection of dexamethasone at a blood serum concentration of 5 picograms per milliliter.

(i) The detection of diclofenac at a blood serum concentration of 5 nanograms per milliliter.

(j) The detection of dimethyl sulfoxide (DMSO) at a blood serum concentration of 10 micrograms per milliliter

(k) The detection of firocoxib at a blood serum concentration of 20 nanograms per milliliter.

(l) The detection of furosemide at a blood serum concentration of 100 nanograms per milliliter and a urine specific gravity of less than 1.010.

(m) The detection of glycopyrrolate at a blood serum concentration of 3 picograms per milliliter.

(n) The detection of isoflupredone at a blood serum concentration of 100 picograms per milliliter.

(o) The detection of lidocaine at a blood serum concentration of 20 picograms per milliliter.

(p) The detection of mepivacaine (hydroxymepivacaine) at a urinary concentration of 10 nanograms per milliliter, or a blood serum concentration at the lowest level of detection.

(q) The detection of methocarbamol at a blood serum concentration of 1 nanogram per milliliter.

(r) The detection of methylprednisolone at a blood serum concentration of 100 picograms per milliliter.

(s) The detection of omeprazole at a urinary concentration of 1 nanogram per milliliter.

(t) The detection of prednisolone at a blood serum concentration of 1 nanogram per milliliter.

(u) The detection of procaine penicillin at a blood serum concentration of 25 nanograms per milliliter.

(v) The detection of triamcinolone acetonide at a blood serum concentration of 100 picograms per milliliter.

(w) The detection of xylazine at a blood serum concentration of 0.01 nanogram per milliliter.

(3) Samples collected may contain one of the three non-steroidal anti-inflammatory drugs (NSAIDs) listed below, up to the primary threshold. Samples may contain two of the NSAIDs at a concentration up to the secondary threshold. No more than two of the NSAIDs listed below may be present in any sample.

(a) Flunixin at a primary blood serum concentration of 20 nanograms per milliliter, and a secondary blood serum concentration of 3 nanograms per milliliter.

(b) Ketoprofen at a primary blood serum concentration of 2 nanograms per milliliter, and a secondary blood serum concentration of 1 nanogram per milliliter.

(c) Phenylbutazone at a primary blood serum concentration of 2 micrograms per milliliter, and a secondary blood serum concentration of 0.3 micrograms per milliliter.

(4) No Androgenic-Anabolic Steroids (AAS) shall be permitted in test samples collected from racing horses, except for the major metabolites of stanozolol, nandrolone, and the naturally occurring substances boldenone and testosterone at concentrations less than the following thresholds:

(a) Stanozolol or 16 β -hydroxystanozolol – 1 nanogram per milliliter in urine for all horses regardless of sex.

(b) Boldenone – 15 nanograms per milliliter in urine of male horses other than geldings. No boldenone shall be permitted in geldings or female horses.

(c) Nandrolone – 1 nanogram per milliliter in urine of geldings or females; or 45 nanograms per milliliter of metabolite, 5 α -oestrane-3 β ,17 α -diol in urine of male horses other than geldings.

(d) Testosterone – 20 nanograms per milliliter in urine of geldings, 55 nanograms per milliliter in urine of females. Samples collected from male horses other than geldings will not be tested for testosterone.

(5) All prescription medications, regardless of method of administration, shall be safeguarded under lock and key when not being actively administered.

Rulemaking Authority 550.0251(3), 550.2415(12) FS. Law Implemented 550.0251(11), 550.2415(1), (7) FS. History—New 10-20-96, Amended 1-5-98, 6-6-00, 5-14-02, 6-6-04, 7-6-06, 8-12-07, 12-30-08, 12-29-11, 1-10-16.

ARCI Controlled Therapeutic Medication Schedule for Horses - Version 4.0

Revised – April 20, 2017

Controlled Therapeutic Medication	Threshold	Withdrawal Guideline	Dosing Specifications	Reference Notes	Note
Acepromazine	10 nanograms per milliliter as 2-(1-hydroxyethyl) promazine sulfoxide (HEPS) in urine	48 hours	Single intravenous dose of acepromazine at 0.05 milligrams per kilogram	University of California at Davis project	Applicable analyte is metabolite HEPS
Albuterol	1 nanogram per milliliter of urine	72 hours	720 micrograms total dose intra-nasal only ¹ . Based upon dosing up to 4 times per day	European Horseracing Scientific Liaison Committee Data	See Endnote
Betamethasone	10 picograms per milliliter of plasma or serum	7 days	Intra-articular administration of 9 milligrams of Betamethasone Sodium Phosphate and Betamethasone Acetate Injectable Suspension, USP (American Regent product #0517-0720-01) ²	RMTC study	Intra-articular dosing only - applicable analyte is betamethasone in plasma or serum
Butorphanol	300 nanograms per milliliter of total butorphanol in urine or 2 nanograms of free butorphanol per milliliter per milliliter of plasma or serum	48 hours	Single intravenous dose of butorphanol as Torbugesic [®] (butorphanol tartrate) at 0.1 milligrams per kilogram	<i>Journal of Veterinary Pharmacology and Therapeutics</i> doi: 10.1111/j.1365-2885.2012.01385.x	Applicable analytes are total butorphanol (drug and conjugates) in urine and butorphanol in plasma (the drug itself, not any conjugate)

¹ Administration of albuterol by any means other than intra-nasally has a high likelihood in resulting in a positive finding. This specifically includes oral administration. Trainers and veterinarians are cautioned against using oral albuterol.

² Intramuscular administration of betamethasone acetate will result in plasma or serum concentrations that will exceed the Regulatory Threshold for weeks or even months, making the horse ineligible to race for an extended period.

Controlled Therapeutic Medication	Threshold	Withdrawal Guideline	Dosing Specifications	Reference Notes	Note
Cetirizine	6 nanograms per milliliter of plasma or serum	48 hours	0.4 milligrams per kilogram twice daily for 5 doses	Kentucky Equine Drug Research Council/University of California at Davis study	Do not administer ivermectin within 48 hours of a race if the horse has been administered cetirizine.
Cimetidine	400 nanograms per milliliter of plasma or serum	24 hours	20 milligrams per kilogram twice daily for 7 doses	Kentucky Equine Drug Research Council/University of California at Davis study	
Clenbuterol	140 picograms per milliliter of urine or Level of Detection in plasma or serum ³	14 days ⁴	Oral administration of clenbuterol as Ventipulmin [®] syrup (Boehringer-Ingelheim Vetmedica Inc., NADA 140-973) at 0.8 mcg/kg twice a day	University of California at Davis; Boehringer-Ingelheim Vetmedica, Inc.	Applicable analyte is clenbuterol
Dantrolene	100 picograms per milliliter of 5-hydroxydantrolene in plasma or serum	48 hours	Oral administration of 500 milligrams of dantrolene as paste (compounding pharmacy) or capsule formulation (Proctor and Gamble)	<i>Journal of Veterinary Pharmacology and Therapeutics</i> 34, 238–246	
Detomidine	2 nanograms per milliliter of carboxydetomidine in urine or 1 nanogram per milliliter of detomidine in blood.	48 hours	5 mg IV (once)	<i>KY EDRC, UC Davis/UF Study.</i>	Dormosedan [™] used in study.

³ For Quarter Horses: Level of Detection in any permitted biological sample.

⁴ Clenbuterol is a prohibited substance in Quarter Horses and other breeds racing with Quarter Horses; there is no applicable withdrawal guideline for such horses.

Controlled Therapeutic Medication	Threshold	Withdrawal Guideline	Dosing Specifications	Reference Notes	Note
Dexamethasone	5 picograms per milliliter of plasma or serum	72 hours	Intramuscular and intravenous administration of dexamethasone sodium phosphate or oral administration of dexamethasone at 0.05 milligrams per kilogram regardless of route	RMTC study	Applicable analyte is dexamethasone in plasma or serum
Diclofenac	5 nanograms per milliliter of plasma or serum	48 hours	Five inch ribbon topical application of 1% diclofenac liposomal cream formulation. (Surpass Topical Anti-Inflammatory Cream, IDEXX Pharmaceuticals)	<i>Veterinary Therapeutics</i> 6: 57-66 (2005)	Applicable analyte is diclofenac in plasma or serum
Dimethyl sulfoxide (DMSO)	10 micrograms per milliliter of plasma or serum	48 hours	Intravenous	ARCI model rule	Applicable analyte is DMSO in plasma or serum
Firocoxib	20 nanograms per milliliter of plasma or serum	14 days	Oral administration of firocoxib as EQUIOXX oral paste at a daily dose of 0.1 milligram per kilogram for four days	RMTC study	Applicable analyte is firocoxib in plasma or serum

Controlled Therapeutic Medication	Threshold	Withdrawal Guideline	Dosing Specifications	Reference Notes	Note
Furosemide	100 nanogram per milliliter of plasma or serum	4 hours	Single Intravenous dose of furosemide up to 500 milligram ⁵	ARCI model rule	Must also have urine specific gravity < 1.010 for a violation.
Glycopyrrolate	3 picograms per milliliter plasma or serum	48 hours	Single intravenous dose of 1 milligram of glycopyrrolate as Glycopyrrolate Injection, USP (American Regent product # 0517-4601-25)	RMTC study; <i>Journal of Veterinary Pharmacology and Therapeutics</i> doi: 10.1111/j.1365-2885.2011.01272.x	Applicable analyte is glycopyrrolate in plasma or serum
Guaifenesin	12 nanograms per milliliter of plasma or serum	48 hours	2 grams twice daily for 5 doses	Kentucky Equine Drug Research Council/University of California at Davis study	
Isoflupredone	100 picograms per milliliter of plasma or serum	7 days	10 milligrams total dose subcutaneous or 20 milligrams total dose in one articular space	RMTC Study	
Lidocaine	20 picograms per milliliter of total 30H-lidocaine in plasma or serum	72 hours	200 milligrams of lidocaine as its hydrochloride salt administered subcutaneously	European Horseracing Scientific Liaison Committee data; Iowa State University study.	Applies to total major hydroxylated metabolite (i.e., includes conjugates)

⁵ ARCI-0110929(F)(2)(d) and ARCI-025-020(F)(2)(d) state that the dose of Furosemide “shall not exceed 500 milligrams nor be less than 150 milligrams.”

Controlled Therapeutic Medication	Threshold	Withdrawal Guideline	Dosing Specifications	Reference Notes	Note
Mepivacaine	10 nanograms total hydroxymepivacaine per milliliter of urine or above Level of Detection of mepivacaine in plasma or serum	72 hours	Single 0.07 milligrams per kilogram subcutaneous dose of mepivacaine	European Horseracing Scientific Liaison Committee data	
Methocarbamol	1 nanogram per milliliter of plasma or serum	48 hours	Single intravenous dose of 15 milligrams per kilogram methocarbamol as Robaxin® or 5 grams orally	<i>Journal of Veterinary Pharmacology and Therapeutics</i> doi: 10.1111/jvp.12068	Applicable analyte is methocarbamol in plasma or serum
Methylprednisolone	100 picograms per milliliter of plasma or serum	See Dosing Specifications	Total dose of methylprednisolone acetate suspension in one articular space ⁶ . The recommended withdrawal for methylprednisolone acetate is a minimum of 21 days at a 100 milligram dose	<i>Journal of Veterinary Pharmacology and Therapeutics</i> volume 37, Issue 2, pages 125–132, April 2014	Applicable analyte is methylprednisolone
Omeprazole	omeprazole sulfide - 10 nanograms per milliliter of plasma or serum	24 hours	Orally (2.2 grams) once daily for 4 doses	Kentucky Equine Drug Research Council/University of California at Davis study	GastroGuard™ used in the study

⁶ Intramuscular administration of methylprednisolone acetate will result in plasma or serum concentrations that will exceed the Regulatory Threshold for weeks or even months, making the horse ineligible to race for an extended period. Please see Dosing Specifications for recommended withdrawal time.

Controlled Therapeutic Medication	Threshold	Withdrawal Guideline	Dosing Specifications	Reference Notes	Note
Prednisolone	1 nanogram per milliliter of plasma or serum	48 hours	1 milligram per kilogram orally		Applicable analyte is prednisolone in plasma or serum
Procaine penicillin <i>(administration must be reported to Commission)</i>	25 nanograms per milliliter of plasma or serum	Following entry to race	Intramuscular	RMTC – reference notes online	Mandatory surveillance of horse at owner's expense 6 hours before racing
Ranitidine	40 nanograms per milliliter of plasma or serum	24 hours	8 milligrams per kilogram twice daily for 7 doses	Kentucky Equine Drug Research Council/University of California at Davis study	
Triamcinolone acetonide	100 picograms per milliliter of plasma or serum	7 days	Total dose of 9 milligram in one articular space ⁷	<i>Equine Veterinary Journal</i> , 10.1111/evj.12059 (2013)	Applicable analyte is triamcinolone acetonide in plasma or serum
Xylazine	200 picograms per milliliter of plasma or serum	48 hours	200 milligrams intravenously	University of California at Davis study	Applicable analyte is xylazine.

⁷ Intramuscular administration of triamcinolone acetonide will result in plasma or serum concentrations that will exceed the Regulatory Threshold for weeks or even months, making the horse ineligible to race for an extended period.

Non-Steroidal Anti-Inflammatory Drug (NSAID) Rules for Horses^{††}

Controlled Therapeutic Medication	Threshold (Primary)	Withdrawal Guideline	Dosing Specifications	Reference Notes	Threshold (Secondary)
Flunixin	20 nanogram per milliliter of plasma or serum	32 hours	Single intravenous dose of flunixin as Banamine [®] (flunixin meglumine) at 1.1 milligram per kilogram	University of California at Davis/RMTC study	<u>Secondary anti-stacking threshold:</u> 3.0 nanograms per milliliter of plasma or serum (Administration 48 hours prior)
Ketoprofen	2 nanograms per milliliter of plasma or serum	24 hours	Single intravenous dose of ketoprofen as Ketofen [®] at 2.2 milligrams per kilogram	HFL Sport Sciences/ Kentucky Equine Drug and Research Council/RMTC study	<u>Secondary anti-stacking threshold: 1 nanogram per milliliter of plasma or serum (Administration 48 hours prior)</u>
Phenylbutazone	2 micrograms per milliliter of plasma or serum	24 hours	Single intravenous dose of phenylbutazone at 4.0 milligrams per kilogram	ARCI model rule	<u>Secondary anti-stacking threshold:</u> 0.3 micrograms per milliliter of plasma or serum (Administration 48-hours prior)

^{††} Samples collected may contain one of the NSAIDs in this chart at a concentration up to the Primary Threshold. Samples may also contain another of the NSAIDs in this chart up to a concentration up to the Secondary Threshold. No more than 2 of the NSAIDs in this chart may be present in any sample.

Recent Document Revisions

Date	Version	Revision	Revision Description
Apr-17	4.0	Clenbuterol	Added footnotes establishing Clenbuterol as a prohibited substance in Quarter Horses with no applicable withdrawal guideline for Quarter Horses or breeds racing with Quarter Horses.
Apr-17	4.0	Whole document	Re-numbered footnotes throughout document to make them continuous
Dec-16	3.2	Omeprazole	Clarified threshold for omeprazole sulfide.
Sep-16	3.1	Detomidine	Amended threshold and dosing specifications.
Mar-16	3	Omeprazole	Amended threshold and dosing specifications
Mar-16	3	Xylazine	Amended threshold and dosing specifications
Mar-16	3	Guaifenesin	Added as New Substance to Controlled Therapeutic Medication Schedule
Mar-16	3	Cetirizine	Added as New Substance to Controlled Therapeutic Medication Schedule
Mar-16	3	Ranitidine	Added as New Substance to Controlled Therapeutic Medication Schedule
Mar-16	3	Cimetidine	Added as New Substance to Controlled Therapeutic Medication Schedule
Apr-15	2.02	Methylprednisolone	Directed readers to use Dosing Specification column for recommended withdrawal guideline.
Apr-15	2.02	Furosemide	Added clarifying language to Furosemide reflecting ARCI-011-020(F)(2)(d) and ARCI-025-020(F)(2)(d) minimum and maximum thresholds
Apr-15	2.02	Added "For Horses" to Title	Added the words "for Horses" to document title
Apr-14	2.01	Methocarbamol	Corrected dosage from 0.15 milligrams per kilogram to 15 milligrams per kilogram
Apr-14	2	Dimethyl sulfoxide (DMSO)	Removed "oral" from dosing specifications
Apr-14	2	Xylazine	Changed Note section from "Applies to xylazine and xylazine metabolite" to "Applies to analyte xylazine"

Apr-14	2	Xylazine	Corrected typographical error in Threshold from “0.01ng/mg of plasma or serum” to “0.01 nanogram per milliliter of plasma or serum”
Apr-14	2	Isoflupredone	Added Isoflupredone as New Substance to Controlled Therapeutic Medication Schedule
Apr-14	2	Albuterol	Added Albuterol as New Substance to Controlled Therapeutic Medication Schedule
Apr-14	2	Flunixin, Ketoprofen, Phenylbutazone	Added Secondary Anti-Stacking Threshold
Apr-14	2	Flunixin, Ketoprofen, Phenylbutazone	Created separate section for Non-Steroidal Anti-Inflammatory Drugs at end of Controlled Therapeutic Medication Schedule, Relocated Flunixin, Ketoprofen, and Phenylbutazone to new section
Apr-14	2	<All Substances>	Changed Table Header from “No Pre-Race Treatment Within” to “Withdrawal Guideline”
Apr-13	1	<All Substances>	Original Controlled Therapeutic Medication Schedule Adopted by ARCI Board of Directors

Melanle S. Griffin, Secretary

Ron DeSantis, Governor

RULING OF THE STEWARDS

Date: May 14, 2022 **Division Case Number: 2022013922**
Licensee Name: GERALD EDWARD BROOKS
License Number: 7810065
License Type: 1021
Incident Date: February 24, 2022
Incident Location: 321 - Gulfstream Park Racing Association
Rule(s) and/or Statute(s) Violated: 550.2415(1)(a)

Final Order
FINDINGS OF FACT

An administrative complaint was filed against GERALD EDWARD BROOKS on 3/29/2022. On 4/14/2022, GERALD EDWARD BROOKS requested a hearing before the Board of Stewards.

The licensee is documented as the trainer of record for Charlotte the Brit. A Blood sample collected from Charlotte the Brit at 321 - Gulfstream Park Racing Association on February 24, 2022 was tested by the University of Florida Racing Laboratory. The University of Florida Racing Laboratory determined that Xylazine was present in the sample at a concentration of 19.5 +/- 1.6 ng/mL.

The licensee has committed one, under appeal violation(s) in the past 365 days. The violation(s) committed include: xylazine.

Witnesses Testimony	
Name	N/A
Occupation/Background	N/A
Relevant Testimony	N/A
Name	N/A
Occupation/Background	N/A
Relevant Testimony	N/A

Documents Presented	
Document Title	N/A


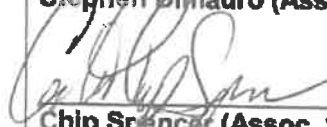
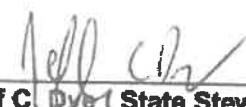
CONCLUSIONS OF LAW

The Division Stewards have jurisdiction to hear this case pursuant to Sections 120.80 and 550.1155, Florida Statutes and Rule 61D-3.001, Florida Administrative Code. Section 550.2415(1)(a), Florida Statutes, states:

It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal

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EXHIBIT "C"

PENALTY IMPOSED	
Stewards' Final Order (*)	
Days of Suspension:	N/A
Suspension Dates:	N/A
Fine made payable to the Board of Relief: Gulfstream Park	\$ One Hundred Dollars (\$100.00)
Board of Stewards Signatures: Gerald E. Brooks Case Number: 2022013922	 5-15-22 Stephen DiMauro (Assoc. Steward) Date  5-15-22 Chip Spencer (Assoc. Steward) Date  5/15/22 Jeff C. Dyer (State Steward) Date

before or immediately after the racing of that animal.

The finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race according to Section 550.2415(1)(c), Florida Statutes.

Rule 61D-6.008, Florida Administrative Code, states Xylazine is only permitted to be detected in a race day sample at a concentration less than or equal to (number ng/ml). The University of Florida Racing Lab tested the race day sample from Charlotte the Brit and detected Xylazine at a concentration of 19.5 +/- 1.6 ng/mL, in violation of Division Rule.

Rule 61D-6.002, Florida Administrative Code, states "the trainer of record shall be responsible for and be the absolute insurer of the condition of the horses or racing greyhounds, he/she enters to race. GERALD EDWARD BROOKS is the trainer of record of Charlotte the Brit and therefore responsible for this violation pursuant to Rule 61D-6.002, Florida Administrative Code, and Section 550.2415(2), Florida Statutes.

The stewards hereby find, GERALD EDWARD BROOKS in violation of Section 550.2415(1)(a), Florida Statutes, and Rule 61D-6.011, Florida Administrative Code, by racing a horse found to have been administered Xylazine at a concentration of 19.5 +/- 1.6 ng/mL. The Association of Racing Commissioners International, ARCI, standards adopted in Rule 61D-6.011, Florida Administrative Code, classify this drug or substance as a Class 3 substance. The ARCI Penalty Class for this substance is Class B. Based on the facts established regarding the licensee's history of violations the ARCI Recommended Penalty is \$500.00 fine, 15 day suspension and loss of purse.

Having been sent a Notice of Violation and Hearing on May 8, 2022 and failing to appear, Mr. Brooks under Rule 61D-3.001 Sec 14 (B) waives his right to a formal hearing. Mr. Brooks is hereby fined the sum of One Hundred Dollars (\$100.00) due and payable to the Board of Relief at Gulfstream Park within fifteen (15) days of the Agency filing date of this document.

Mitigating Facts	
Type of Mitigation or Aggravation (Rule 61D-6.011, F.A.C.)	Factual Findings
(a) The impact of the offense to the integrity of the pari-mutuel industry.	The mitigating factors are the levels that were reported, still fall under the 2014 guidelines. The Association of Racing Commissioners (ARCI) and the Racing Medication + Testing Consortium (RMTC) raised the levels from 0.01 nanograms per milliliter to the current level of 0.2 ng/mL.
(b) The danger to the public and/or racing animals.	
(c) The number and date of prior violations of any penalty class in Florida and any other jurisdiction.	
(d) The number of similar prior offenses.	
(e) The time period between offenses.	
(f) The number of complaints filed against the licensee, which have resulted in prior discipline.	
(g) The length of time the licensee has been licensed in Florida or any other jurisdiction.	

STATE OF FLORIDA
IN THE DISTRICT COURT OF APPEAL
FIRST DISTRICT

GERALD EDWARD BROOKS,

Appellant,

DCA Case No.: 1D22-1129
DBPR Case No.: 2022-001778

vs.

DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Appellee.

_____ /

RECEIVED, 06/14/2022 10:06:22 AM, Clerk, First District Court of Appeal

RECORD ON APPEAL

**STATE OF FLORIDA
IN THE DISTRICT COURT OF APPEAL
FIRST DISTRICT**

GERALD EDWARD BROOKS,

Appellant,

**DCA Case No.: 1D22-1129
DBPR Case No.: 2022-001778**

vs.

**DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,**

Appellee.

_____ /

INDEX TO RECORD

<u>FILED</u>	<u>DESCRIPTION</u>	<u>PAGE</u>
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Undated	Materials Submitted Before the Division of Pari-Mutuel Wagering for Consideration	7
Mar 15, 2022	Final Order, With Exhibit	15
Apr 14, 2022	Notice of Administrative Appeal	23

FILED	
Department of Business and Professional Regulation Deputy Agency Clerk	
CLERK	Evette Lawson-Proctor
Date	1/18/2022
File #	

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

DBPR Case No.: 2022-001778

GERALD EDWARD BROOKS,

Respondent.

ADMINISTRATIVE COMPLAINT

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Gerald Edward Brooks (“Respondent”), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 7810065-1021, issued by Petitioner.
3. Respondent has no prior violations of Section 550.2415, Florida Statutes, in the previous 365 days.
4. At all times material hereto, Respondent was the trainer of record for the racing horse “Regal Chant,” with microchip number 985141001304951.
5. At all times material hereto, “Regal Chant” was owned by “Gerald E. Brooks, and Carl L. Hess, Jr.,” who hold Pari-Mutuel Wagering Professional Individual Occupational License numbers, 7810065-1021, and 11061701-1021, issued by Petitioner.

6. On November 19, 2021, "Regal Chant" participated in the 7th race of the performances held by Gulfstream Park Racing Association, Inc. ("Gulfstream Park").

7. On November 19, 2021, "Regal Chant" finished in 2nd place in the 7th race of the performances held by Gulfstream Park.

8. Gulfstream Park is a facility operated by a permitholder authorized to conduct pari-mutuel wagering in this state under Chapter 550, Florida Statutes.

9. On November 19, 2021, blood sample number 308689 was collected from "Regal Chant."

10. Blood sample number 308689 was processed and forwarded to the University of Florida Racing Laboratory ("UF Lab"), for analysis.

11. The UF Lab tested the serum extracted from blood sample number 308689 and found that it contained **xylazine**.

12. In blood sample number 308689, **xylazine** was detected at a serum concentration of 13.4 +/- 1.6 pg/mL.

13. Pursuant to Section 550.2415(1)(a), Florida Statutes:

The racing of an animal that has been impermissibly medicated or determined to have a prohibited substance present is prohibited. It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.

14. According to Rule 61D-6.008(2)(w), Florida Administrative Code, it is a violation of Section 550.2415, Florida Statutes, if **xylazine** exists at a serum concentration greater than 0.01 ng/mL.

15. Section 550.2415(1)(c), Florida Statutes, states, “[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race.”

16. Rule 61D-6.002(1), Florida Administrative Code, provides that, “[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses...he/she enters to race.”

17. Based on the foregoing, Respondent violated Section 550.2415(1)(a), Florida Statutes, and Rule 61D-6.008(2)(w), Florida Administrative Code, by racing “Regal Chant” with an impermissible concentration of a permitted substance in its body on November 19, 2021.

WHEREFORE, Petitioner respectfully requests the Division enter an Order imposing against Respondent one or more of the penalties specified in Rule 61D-6.011, Florida Administrative Code, Section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Division is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for DBPR Case Number 2022-001778 is signed this 14th day of January, 2022.

/s/ Eric Saccomanno

Eric Saccomanno
Assistant General Counsel
FBN: 1032559
Department of Business and Professional Regulation
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1786
Facsimile: (850) 921-1311
Primary: Eric.Saccomanno@MyFloridaLicense.com
Secondary: Ebonie.Lanier@MyFloridaLicense.com

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

NOTICE OF RIGHT TO REQUEST A SPLIT SAMPLE

Pursuant to Rule 61D-6.006, Florida Administrative Code, you have the right to request a split sample with respect to each "Report of Positive Result" from the UF Lab. (Copy attached as Exhibit A). To request a split sample, use Form DBPR PMW-3290, Notification to Stewards/Judge of Split Sample Request (Form 3290).

You can obtain Form 3290, as well as a list of approved split samples laboratories, at the State Office located in any Florida pari-mutuel facility, or on the Division's website: <http://www.myfloridalicense.com/DBPR/pari-mutuel-wagering/forms-and-publications/>. You must submit Form 3290 to the state steward, Division hearing officer, or office of the General Counsel, within 10 days from receipt of this Notice, or you will waive your right to request a split sample.

EXHIBIT A

12-23-21;03:09PM;

5/ 5



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

December 23, 2021

Mr. Louis Trombetta, Director
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, FL 32399-1037

RE: Report of Positive Result

Sample 308689 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

xylozine found in serum
xylozine (a central nervous system depressant and Class 3 drug) - the concentration of xylozine is 13.4 +/- 1.6 pg/mL

<u>D162112-FHB</u>	<u>Horse Serum</u>	<u>7.7 g</u>	<u>INTACT</u>
Laboratory Number	Specimen	Final Weight	Seal
<u>Gulfstream Park</u>		<u>11/19/2021</u>	<u>11/23/2021</u>
Collected From		Date Collected	Date Received

Pursuant to Chapter 850.2415 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 01/01/2022, or upon commencement of administrative action, whichever occurs first.

	
Dirk A Hunt	Brooks Nelson
Associate Director	Chemist III

Page 1 of 1



The Foundation for The Gator Nation
An Equal Opportunity Institution

Department of Business & Professional Regulation
dbpr

308689 DATE 11-19-21

TRACK 321

RACE 7 FINISH 2

NAME OF ANIMAL Regal Chant
Nombre del Animal

COLOR Grey SEX m AGE 5

ID NUMBER 985141001304951

MICROCHIP TATTOO FREEZE-BRAND

BLOOD William M. Watson, DVM WMM

URINE Gerardo Ostolaza GO
SAMPLED BY

TRAINER Gerald E. Brooks
ENTRENADOR / ENTRENADORA

WITNESS Marvin 
FIRMA DE TESTIGO

WITNESS LICENSE # 12916815
NÚMERO DE LICENCIA

DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

TE RL-252-02



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

December 23, 2021

Mr. Louis Trombetta, Director
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2801 Blair Stone Road
Tallahassee, FL 32399-1037

RE: Report of Positive Result

Sample 306689 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

xylozine found in serum.
xylozine (a central nervous system depressant and Class 3 drug) - the concentration of xylozine is 13.4 +/- 1.6 pg/mL.

<u>D162112-FHB</u>	<u>Horse Serum</u>	<u>7.7 g</u>	<u>INTACT</u>
Laboratory Number	Specimen	Final Weight	Seal
Gulfstream Park		11/19/2021	11/23/2021
Collected From		Date Collected	Date Received

Pursuant to Chapter 550.2416 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 01/01/2022, or upon commencement of administrative action, whichever occurs first.


Dirk A Hunt Associate Director


Brooks Nelson Chemist III





DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

308689 DATE 11-19-21
TRACK 321

RACE 7 FINISH 2

NAME OF ANIMAL Regal Chant
(NOMBRE DEL ANIMAL)

COLOR Gr/Ro SEX M AGE 5

ID NUMBER 985141001304951
 MICROCHIP TATTOO FREEZE-BRAND

BLOOD William M. Watson, III *WMM*
SAMPLED BY

URINE Gerardo Ostolaza *GO*
SAMPLED BY

TRAINER Gerald E. Brooks
ENTRENADOR / ENTRENADORA

WITNESS Marvin
SIGNATURE [Signature]
FIRMA DE TESTIGO

WITNESS 12916815
LICENSE # NÚMERO DE LICENCIA

TE RI-252-02

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Complaint Search Update	Change Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: gricks

VR Home > Complaint Search > Maintain Complaint

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational	Status	10 Initial Review	Status Date	01/12/2022
Complaint #	2022001778	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	BROOKS, GERALD EDWARD	Responsible	elanier - LANIER, EBONIE	Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	LAB - UF Laboratory	Security Level	1	<input checked="" type="checkbox"/> Parties	<input type="checkbox"/> Activities
Form	FAX - Fax	Priority	1	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	III - Class III Drug Positive	Complexity	R - Regular	<input checked="" type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	11/19/2021	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	SR - Southern Region	Received	12/23/2021	<input type="checkbox"/> Inspection	
Reference	308689			<input type="checkbox"/> Costs	
Entered	01/12/2022	Entered By	gricks	<input type="checkbox"/> Time Tracking	Auto Assign
Summary	321 - Gulfstream Park Racing Association; Horse - REGAL CHANT; Drug Xylazine, Sample #308689, Lab #D162112-FHB			<input type="checkbox"/> Attachments	History
Updated	01/12/2022 12:42:39	By	gricks	<input type="checkbox"/> Work Notes	Print Report

Change	Save	OK	Cancel	Back
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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search	Entity Search	Modify License Standing	Maintain License CE Control
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: gricks

[VR Home](#) > [License Search](#) > [License Home](#)

License

Fed Tax # Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2024**

File # **46999** Name **BROOKS, GERALD EDWARD** Extended To

License # **7810065** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **7810065** Lic Status **Current**

- [Licensee](#)
- [History](#)
- [Notes](#)
- [Notes History](#)
- [Back](#)

Address

Street # **965** Street **CHERRY HILL BLVD**

Line 2

Line 3

City **ERIE** State **PA** Zip **16509**

Routing



Other

1st License Date **10/21/2021** Rank Date **10/21/2021** Certificate #

Method **I-S-1020** Status Date **10/21/2021** Certificate Date

Fee Exempt No Birth Date XXXXXXXXXX Renewal Sent

Select

Action  

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	12/15/2021	
C	TRNR - Trainer	12/29/2021	
I	TBRD - Thoroughbred	07/21/2008	
L	321 - Gulfstream Park	10/21/2021	
Y	3YR - 3 Year License	12/24/2020	

Alt Keys.

BEST LIC NBR **7810065**

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: gricks

VR Home > License Search > License Home

License		<input type="checkbox"/> Licensee <input type="checkbox"/> History <input type="checkbox"/> Notes <input type="checkbox"/> Notes History <input type="checkbox"/> Back																					
Fed Tax #	Lic Type	1021 - PMW Professional Individual Occupational	Expires On 06/30/2023																				
File # 64457	Name	HESS, CARL L JR	Extended To																				
License # 11061701	Rank	PIND - Professional Individual Occupational	Renewed On																				
Entity # 11061701	Lic Status	Current																					
Address																							
Street # 2770 Street MOUNTAIN RD																							
Line 2																							
Line 3																							
City DANIELSVILLE		State PA	Zip 18038																				
Routing																							
Other																							
1st License Date 01/11/2021	Rank Date 01/11/2021	Certificate #																					
Method I-S-1022	Status Date 01/11/2021	Certificate Date																					
Fee Exempt No	Birth Date	Renewal Sent																					
Select																							
Action	<input type="text"/>																						
Modifiers																							
<table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>C</td> <td>IOWN - Individual Animal Owner</td> <td>04/13/2016</td> <td></td> </tr> <tr> <td>I</td> <td>TBRD - Thoroughbred</td> <td>04/13/2016</td> <td></td> </tr> <tr> <td>L</td> <td>320 - Tampa Bay Downs</td> <td>04/13/2016</td> <td></td> </tr> <tr> <td>Y</td> <td>3YR - 3 Year License</td> <td>01/23/2017</td> <td></td> </tr> </tbody> </table>				Type	Modifier	Effective Date	Additional Info	C	IOWN - Individual Animal Owner	04/13/2016		I	TBRD - Thoroughbred	04/13/2016		L	320 - Tampa Bay Downs	04/13/2016		Y	3YR - 3 Year License	01/23/2017	
Type	Modifier	Effective Date	Additional Info																				
C	IOWN - Individual Animal Owner	04/13/2016																					
I	TBRD - Thoroughbred	04/13/2016																					
L	320 - Tampa Bay Downs	04/13/2016																					
Y	3YR - 3 Year License	01/23/2017																					
Alt Keys																							
BEST LIC NBR 11061701																							

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7



GULFSTREAM PARK



CLAIMING \$10,000

Purse \$24,000. (Includes \$3,000 FHBPA-FOA) For Fillies And Mares Three Years Old and Upward. Three Year Olds, 120 Lbs; Older, 122 Lbs Non-winners Five Furlongs (Tapeta)

Track Record; Unavailable

equibase.com

Table for race 1: 10-1 Red. Foxy Lady (L) 120. Chantal Sutherland (134-20-20-10) 10.00%. Includes owner, silks, trainer, and performance data.

Table for race 2: 8-1 White. Afficionado (FR) (L) 120. Leonel Reyes (130-21-10-23) 16.10%. Includes owner, silks, trainer, and performance data.

Table for race 3: 9-2 Blue. Regal Chant (L) 120. Miguel A. Vasquez (150-23-21-25) 15.33%. Includes owner, silks, trainer, and performance data.

RACE 7 CONTINUED ON NEXT PAGE

RACE 7 CONTINUED

4 7-2 Owner: Winner Circle Stables, LLC. (0-0-0-0) 0.00%
 Silks: Yellow, Yellow 'WCS' on Red Ball, Red Cap
 Trainer: Douglas Nurm (0-0-0-0) 0.00%

Yellow Ctm Pro \$10,000 **Buff's in Love (L)**

B.m.6 Buffum - Who's in Love by Not For Love - Bred by Sycamore Hill Thoroughbreds, LLC (Jan 31, 2015) in MD

Claimed by **Itiner's Circle Stable** from **CJ Thoroughbreds** for \$4,000, **Stefano Michael** - Trainer

Claimed by **CJ Thoroughbreds** from **J.R. Sanchez Racing Stable** for \$18,000, **Sanchez-Salaman Redolfo** - Trainer

02Oct21 6	Med	fm	5f	22:10	4535	5722	31	02	Cin 6000 (9-5)-c	87	2	3	2 1/2	21	1st	11	Cardillo I	120	L	*1.70	Buff's in Love ¹ <i>Elis</i> Dealer's Girl ¹ bid btwn, drew clear 8
11Aug21 3	Cl	fm	5f	22:17	4530	1:0362	31	02	Cin 16000-c	77	1	1	3 1/2	42	4th	41	Lysopina T	122	L	3.00	Unaqu ¹ <i>Elisa</i> <i>Nellyford</i> ¹ 3rd bid 1/8p, no lock 8
25Jul21 6	Pln	fm	5f	22:22	4430	5611	31	02	Cin 12500 (12.5-10)	74	7	4	3 1/2	31	3 1/2	2 1/2	Marquez C	124	L	2.10	Polished Gal ¹ Buff's in Love ¹ Lady Magica ¹ abs 3rd bid, drew game 12
18Jul21 3	Pln	gd	5f	22:23	4616	5820	31	02	SOC 16000/25000	78	6	5	5 1/2	5 1/2	4 1/2	3 1/2	Marquez C	124	L	3.90	Olive Kate ¹ Fast Lane ¹ Buff's in Love ¹ 6rd upper, late bid 6
02Jul21 7	Del	fm	5f	22:44	4764	1:2925	31	02	USStk - Peach Blossom - 50k	84	6	2	2 1/2	5 1/2	3 1/2	3 1/2	Marquez C	119	L	19.10	Rising Bella ¹ Magabi ¹ Buff's in Love ¹ 8th off, alt, crse time 8
15Jun21 1	Pen	fm	5f	22:07	4532	5634	31	02	Acc 25000m/2/x	79	6	4	6 1/2	6 1/2	6 1/2	3 1/2	Marquez C	124	L	11.30	Nach Magabi ¹ Flygonground ¹ Buff's in Love ¹ 4rd up, up for show 6
14May21 9	Pln	fm	5f	22:01	4463	5621	31	02	Skt - The Wynd - 100k	83	8	6	6 1/2	6 1/2	10 1/2	11 1/2	Marquez C	122	L	83.80	Caravel ¹ Gotta Go Moss ¹ Victory Kingdom ¹ gave way 12
01May21 9	Pln	fm	5f	22:04	4610	5819	31	02	SOC 16000 - N	80	2	3	3 1/2	3 1/2	1 1/2	1 1/2	Marquez C	118P	L	15.60	Buff's in Love ¹ Foggy Dreams ¹ Unaqu ¹ steadied 3/8, up 8
22Apr20 2	Lrl	ft	6f	22:08	4611	1:1191	31	02	Cin 5000cond	82	6	2	4 1/2	5 1/2	6 1/2	6 1/2	Ortega A	116P	vL	10.00	971 Greenlight ¹ I'm So Amym ¹ Urkelstomov ¹ chased into aprx 7
17Oct20 2	Lrl	ft	6f	22:00	4613	1:1179	31	02	Cin 10500 (12.5-10.5)	82	3	1	1 1/2	1st	5 1/2	8 1/2	Acosta J D	119	vL	11.30	Tapeta Chlo ¹ Urkelstomov ¹ Grace Isabella ¹ rail, gave way 9

Marked (h): 19 Nov 21 Ten 4f ft: 50.75b 30/61 29 Oct 21 Wht 4f ft: 49.80b 24/35 25 Sep 21 Del 4f ft: 48.80b 14/84 10 Sep 21 Del 4f ft: 49.60b 4/15

74.15 2021: 8 2 1 3 \$54,507 Turf: 22 3 3 7 \$132,827
 2020: 7 0 0 1 \$12,160 Synthetic: 0 0 0 0 \$0
 Life: 43 5 3 10 \$221,267 Off Track: 10 0 1 4 \$37,063
 GP 0 0 0 0 90 Distance: 13 3 2 5 \$101,252
 Course: 0 0 0 0 \$0

Isaac Castillo (5-1-0-0) 20.00%

B.m.5 Union Rags - Hy Amazon Princess by Orientate - Bred by Mar Racing Stables Inc. (Feb 26, 2016) in KY

Claimed by **Qwik William** from **Billy Bradford J.** for \$8,250, **Sano Antonio** - Trainer

31Oct21 9	GP	ft	7f	22:04	1:1191	1:3922	31	02	Cin 6250-c	88	1	6 1/2	6 1/2	6 1/2	6 1/2	6 1/2	Marquez G A	122	bl	4.00	Rain ¹ She Takes Heart ¹ National ¹ can evenly 10
07Oct21 5	GP	ft	7f	22:08	4523	1:2300	31	02	Str 20000	88	3	6	6 1/2	6 1/2	6 1/2	6 1/2	Marquez G A	122	bl	23.90	Grandle Berry ¹ Don't Get Krazy ¹ Bahamon Moon ¹ trailed inside 6
25Sep21 7	GP	ft	7f	22:08	4708	1:1277	1:3741	31	02	SOC 8000 - N	79	5	5 1/2	5 1/2	5 1/2	5 1/2	Marquez G A	121	bl	6.50	Between Crazes ¹ Let's Be Honest ¹ Vissani ¹ 3-4rd, led, drew response 7
20Aug21 3	GP	ft	7f	22:07	4670	1:1039	1:3713	31	02	SOC 8000 - N	84	6	3 1/2	3 1/2	3 1/2	3 1/2	Reyes L	123	bl	13.70	Vissani ¹ Witch Hunter ¹ Lady Florella ¹ 3rd, left lead, evenly 6
24Jul21 11	GP	ft	7f	22:06	4610	1:21	41	02	SOC 8000 - N	81	3	4	4 1/2	4 1/2	4 1/2	4 1/2	Reyes L	118	bl	9.50	Witch Hunter ¹ Vissani ¹ Lav ¹ chased ins, 4rd 1/4 5
09May21 4	GP	ft	7f	22:05	1:1147	1:3805	31	02	Cin 6250	88	1	3 1/2	3 1/2	4 1/2	1st	1 1/2	Reyes L	120	bl	2.90	Lady Florella ¹ Eric's Dime ¹ She Takes Heart ¹ 4th bid 1/8, drew off 6
02Apr21 2	GP	ft	7f	22:07	1:1191	1:3749	41	02	Cin 6250	89	4	3 1/2	3 1/2	2 1/2	2 1/2	1 1/2	Reyes L	121	bl	5.00	Lady Florella ¹ Witch Hunter ¹ Emma ¹ 2nd up 1/16, edge ahead 6
20Mar21 2	GP	ft	7f	22:05	4614	1:1936	41	02	Cin 6250m/3/L	83	6	7	4 1/2	2nd	1st	1 1/2	Reyes L	118	bl	*1.40	Lady Florella ¹ Mario Forever ¹ My Little Rose ¹ 4-3rd bid 1/8p, chased 7
02Mar21 8	GP	vf	7f	22:08	4748	1:1200	41	02	Cin 6250m/3/L	88	6	6 1/2	5 1/2	5 1/2	3 1/2	3 1/2	Reyes L	118	bl	15.10	Tokyo ¹ Cat's J N Job Lady Florella ¹ 3rd, rally, miss 2nd 8
15Feb21 2	GP	ft	7f	22:09	4639	1:2541	41	02	Cin 6250m/2/L	86	5	6	6 1/2	4 1/2	2 1/2	1 1/2	Abarado J	118	bl	*1.50	Lady Florella ¹ Freezer Burn ¹ Stanship Blanca ¹ off bit slow, 4-3rd 7

Marked (h): 21 Aug 21 GP 8f ft: 1:05.16b 17/23

71.1 2021: 11 4 0 2 \$44,750 Turf: 0 0 0 0 \$0
 2020: 5 0 2 0 \$11,445 Synthetic: 0 0 0 0 \$0
 Life: 21 5 4 3 \$100,945 Off Track: 2 0 1 1 \$10,100
 GP 20 4 4 3 \$78,145 Distance: 0 0 0 0 \$0
 Course: 0 0 0 0 \$0

Romero Ramsay Maragh (78-4-12) 5.13%

B.m.4 Oxbow - Dress for Ballet by Drosselmeyer - Bred by Francisco Rangel (Mar 17, 2017) in KY

26Sep21 7	Pd	ft	6f	22:08	4580	1:1854	31	02	Alv 3200m/1/x	89	3	6	5 1/2	5 1/2	7 1/2	7 1/2	Marquez M	121	L	3.90	Bourbon Gal ¹ Village Queen ¹ Every Little Bit ¹ 1st up str after he ¹ 7
06Sep21 7	Pd	ft	6f	22:04	1:1382	1:3760	31	02	Alv 3200m/1/x	85	2	2	1 1/2	1 1/2	1 1/2	1 1/2	Gallardo A A	121	L	*1.20	Bellesize ¹ Don't Me No Beg ¹ Bourbon Blast ¹ raced, shoot ch, no rest 7
25Aug21 1	Pd	ft	6f	22:08	4575	1:1843	31	02	Cin 6250cond	76	1	3	1 1/2	1 1/2	1 1/2	1 1/2	Gallardo A A	124	L	1.60	Don't Me No Beg ¹ Antacozzi ¹ Affer Red ¹ 1st, drew off, drew 5
17Aug21 5	Pd	ft	6f	22:05	4544	1:1840	31	02	Cin 6250m/3/L	80	4	6	3 1/2	2 1/2	1st	1 1/2	Gallardo A A	121	L	4.40	Don't Me No Beg ¹ Easy Lynn ¹ Betwinyour ¹ inside, drw ch, bid 9
02Aug21 2	Pd	ft	6f	22:06	4705	1:0621	31	02	Cin 6250m/3/L	82	7	5 1/2	5 1/2	7 1/2	5 1/2	5 1/2	Gallardo A A	124	L	*1.10	Shi Fire ¹ Red Lingotto ¹ Cozer's Queen ¹ passed thing, rts 7
12Jul21 5	Pd	ft	6f	22:06	4637	1:1840	31	02	Cin 6250m/2/L	80	1	3	2 1/2	2 1/2	1 1/2	1 1/2	Gallardo A A	121	L	*5.00	Don't Me No Beg ¹ Gaudin ¹ Saturdays Vapor ¹ 1st, inside, drw ch 8
05Jul21 3	Pd	ft	6f	22:07	4620	1:0570	31	02	Cin 15000m/2/L	84	3	4	6 1/2	7 1/2	6 1/2	5 1/2	Morales P	116	L	4.60	Stack Up ¹ Let Peace Prevail ¹ Quartermaster ¹ 1 1/2, mid str gain 8

Previously trained by **Brecks Brian M** 2021 (as of 08/08): (7 1 0 3 0 4)
 08Jan21 2 GP ft 5f 22:20 4510 1:0434 31 Cin 12500m/3/L

Previously trained by **Brecks Gerald E** 2020: (288 33 39 35 0 12)
 09Mar21 1 Ten vf 6f 22:07 4614 1:1856 31 Cin 6000m/2/L
 20Feb21 4 Ten vf 5f 22:13 4640 1:0537 31 Cin 6000m/2/L

Marked (h): 8 Nov 21 Pm 8f ft: 36.75b 3/8

65.65 2021: 13 4 4 2 \$50,635 Turf: 0 0 0 0 \$0
 2020: 8 0 2 2 \$9,869 Synthetic: 11 3 2 0 \$36,205
 Life: 21 4 6 4 \$60,504 Off Track: 2 0 1 1 \$4,905
 GP 0 0 0 0 90 Distance: 0 0 0 0 \$0
 Course: 0 0 0 0 \$0

Paco Lopez (21-4-4-1) 16.05%

B.m.7 Overdriven - Valid Silk by Valid Appeal - Bred by Ocala Stud (Mar 12, 2014) in FL

26Sep21 3	GP	ft	6f	23:17	4650	5882	31	02	Cin 12500	48	4	1	1st	1st	3 1/2	4 1/2	Zayas E J	122	bl	*0.80	Treves ¹ Mighty Electrical ¹ Follow No Hear ¹ dual his winner in 2p 4
03Jun21 5	GP	fm	5f	22:36	4597	5719	31	02	Cin 12500	89	5	1	1 1/2	1 1/2	1 1/2	1 1/2	Zayas E J	118	bl	4.00	R Next Roll ¹ Dabozoga ¹ Taylor's in Orbit ¹ pace 2p, held firm 9
13May21 8	GP	gd	5f	22:07	4594	5757	31	02	Cin 12500	83	5	2	2 1/2	2 1/2	1 1/2	5 1/2	Zayas E J	118	bl	4.90	Parsons ¹ I'm Praying for You ¹ Noble Impatience ¹ press bud, led, drew 10
14Feb21 2	GP	fm	5f	22:20	4510	1:1207	41	02	McJ 6000m/1fm-Rtn top	79	2	1	1 1/2	1st	1st	3 1/2	Quinones A	122	bl	*1.40	Flashing Diamond ¹ Another Free ¹ R Next Roll ¹ pressed fan, yielded 7
09May20 9	GP	fm	5f	22:03	4610	1:0544	41	02	McJ 5000m/1fm	80	4	3	1 1/2	1 1/2	1 1/2	1 1/2	Gallardo A A	122	bl	*2.00	R Next Roll ¹ Coletta ¹ Orb ¹ Tahn In Easy ¹ pace bud, forged clear 11
23Feb20 9	GP	ft	6f	22:07	4604	1:1100	41	02	Acc 20000m/1/x	76	1	2	1 1/2	1 1/2	2nd	5 1/2	Zayas E J	121	bl	7.30	Flora Fantasy ¹ She's All Woman ¹ Arcelor ¹ speed clear rail, 1st 7
09Feb20 7	GP	fm	5f	21:07	4490	5622	41	02	Cin 35000 (35-30)	72	6	1	1 1/2	1 1/2	2 1/2	9 1/2	Zayas E J	121	bl	15.50	1075 Un Suspirio ¹ ElBibi Age ¹ ElDistributo ¹ Bu ¹ gave way inside 1/8p 10
07Dec18 5	GP	fm	5f	20:72	4343	5548	31	02	USChc/Dcs/Dsh - 110k	88	5	2	2 1/2	2nd	3 1/2	7 1/2	Juarez H	120	bl	14.20	1082 Thinkin Courtynov ¹ Unaqu ¹ Blue Mag ¹ 4th 2p, th, drew 9
15Sep19 18	GP	fm	5f	21:46	4483	5653	31	02	Alv 4700m/1x/1/x	79	8	1	1 1/2	1st	1st	3 1/2	Gutierrez R	122	bl	3.90	1060 Speed Star ¹ Mine Kao ¹ R Next Roll ¹ chased 2nd, gave way 10
28Apr19 3	GP	fm	5f	21:41	4334	5535	31	02	Acc 16000m/1/x	102	4	1	1 1/2	1 1/2	1 1/2	2 1/2	Gutierrez R	122	bl	5.30	1065 Elank R Next Roll ¹ Sugar Roll ¹ pressured, edged out 6

Marked (h): 11 Nov 21 GP 8f ft: 36.05b 1/6 10 Oct 21 GP 8f ft: 35.16b 3/4 10 Oct 21 GP 8f ft: 35.54b 1/5 12 Sep 21 GP 4f ft: 47.65b 10/41

74.4 2021: 4 1 0 1 \$17,800 Turf: 8 2 1 1 \$48,310
 2020: 3 1 0 0 \$6,450 Synthetic: 0 0 0 0 \$0
 Life: 21 6 3 3 \$160,680 Off Track: 4 1 0 1 \$32,130
 GP 17 5 3 1 \$148,850 Distance: 10 3 1 1 \$79,160
 Course: 0 0 0 0 \$0

Marcos Meneses (80-5-8-10) 8.25%

Owner: Averill Racing LLC (Richard Averill), CCF Racing Stable (Clark Freeman), and Silver Oak Stable LLC (Jim Tipps, Jr.) (0-0-0-0) 0.00%
 Silks: Black, White Circled 'RA', Black Cap
 Trainer: Georgina Baxter (5-2-1-0) 40.00%

Orange Ctm Pro \$10,000 **R Next Roll (L)**

5 30-1 Owner: William Quirk (0-0-0-0) 0.00%
 Silks: Black, White Gulfstream Park Emblem, Black Bars on Turquoise Sleeves, Turquoise Cap
 Trainer: Scott D. Acker (3-0-0-2) 0.00%

Green Ctm Pro \$10,000 **Lady Florella (L)**

B.m.5 Union Rags - Hy Amazon Princess by Orientate - Bred by Mar Racing Stables Inc. (Feb 26, 2016) in KY

Claimed by **Qwik William** from **Billy Bradford J.** for \$8,250, **Sano Antonio** - Trainer

31Oct21 9	GP	ft	7f	22:04	1:1191	1:3922	31	02	Cin 6250-c	88	1	6 1/2	6 1/2	6 1/2	6 1/2	6 1/2	Marquez G A	122	bl	4.00	Rain ¹ She Takes Heart ¹ National ¹ can evenly 10
07Oct21 5	GP	ft	7f	22:08	4523	1:2300	31	02	Str 20000	88	3	6	6 1/2	6 1/2	6 1/2	6 1/2	Marquez G A	122	bl	23.90	Grandle Berry ¹ Don't Get Krazy ¹ Bahamon Moon ¹ trailed inside 6
25Sep21 7	GP	ft	7f	22:08	4708	1:1277	1:3741	31	02	SOC 8000 - N	79	5	5 1/2	5 1/2	5 1/2	5 1/2	Marquez G A	121	bl	6.50	Between Crazes ¹ Let's Be Honest ¹ Vissani ¹ 3-4rd, led, drew response 7
20Aug21 3	GP	ft	7f	22:07	4670	1:1039	1:3713	31	02	SOC 8000 - N	84	6	3 1/2	3 1/2	3 1/2	3 1/2	Reyes L	123	bl	13.70	Vissani ¹ Witch Hunter ¹ Lady Florella ¹ 3rd, left lead, evenly 6
24Jul21 11	GP	ft	7f	22:06	4610	1:21	41	02	SOC 8000 - N	81	3	4	4 1/2	4 1/2	4 1/2	4 1/2	Reyes L	118	bl	9.50	Witch Hunter ¹ Vissani ¹ Lav ¹ chased ins, 4rd 1/4

RACE 7 CONTINUED

8 15-1 Owner: Joe and Denise McLaren (2-0-0-1) 0.00%
 Silks: Yellow, Red Chevrons, Yellow Cap
 Trainer: Antonio Sano (93-12-15-15) 12.80%
 Pink Ctm Prc \$10,000

Noble Intentions (L) 120
 B.m.5 Noble Mission (GB) - She Sparxz by More Than Ready - Bred by September Farm (Mar 10, 2016) in KY

12Nov21 3 GP	ft	170	4895	1:1397	1:4341	31	12	Cm	10000	01	1	84	83	74	54	45	Vasquez M A	122	bl	3.80	Money Factor? Affiliated? Generic Mark? 4v str up for share 8
11Oct21 3 GP	ft	170	5171	1:1748	1:4853	31	12	Cm	10000	72	3	43	31	21	34	31	Rios J M	122	bl	4.60	Pearlescent? Generic Mark? Noble Intentions? 2-3rd b/w; no rally 5
12Sep21 9 GP	gd	148	4719	1:1078	1:3477	31	12	Cm	12500	05	3	55	53	53	54	77	Jaramillo E	122	bl	9.60	Isadorable Ash? Affiliated? Little Bit Good? stashed early, 3rd 10
13Aug21 1 GP	gd	148	5056	1:1391	1:4367	31	12	Cm	12500	08	4	42	42	32	32	41	Rios J M	123	bl	14.40	Little Bit Good? Las Raz? Affiliated? 2-3rd; mid gath 8
20Jun21 5 GP	fm	148	2417	1:4801	1:3014	41	12	Cm	16000mw1/6m	04	1	3	62	62	42	41	Rios J M	110	bl	10.40	Byzant's Treasure? Castel Nig? Avoid Him? inside turns, one-paced 7
03Jun21 5 GP	fm	148	2236	1:4507	1:5719	31	12	Cm	12500	73	9	7	86	87	75	63	Rios J M	118	bl	15.80	R Best Roll? Dabbona? Taylor's In Orbit? failed to maintain, 3rd 9
13May21 8 GP	gd	148	2267	1:4504	1:5757	31	12	Cm	12500	07	10	10	99	89	84	31	Rios J M	118	bl	77.90	Panacea? In Praying for that? Noble Intentions? 1st stashed early 10

Previously trained by Natos Saul 2020: (22 1's 1 0.05)
 24Mar21 1 Tam ft 5k :2206 :4587 1:0570 41 12 Cm 5000mw1/6m
 09Mar21 2 Tam ft 5k :2247 :4625 1:1221 41 12 Mc1 5000mw1/6m
 20Feb21 8 Tam ft 5k :2322 :4671 1:1826 41 12 Cm 8000 (8-7)
 Workouts: 8 Nov 21 Pam GF w/ 1:01.30b 5/10 30 Oct 21 Pam GF ft 1:00b 6/17 23 Oct 21 Pam GF w/ 1:01.40b 12/32

2021: 11 0 0 2 \$9,930 Turf: 19 3 1 2 \$45,374
 2020: 9 2 1 1 \$28,684 Synthetic: 2 0 0 1 \$3,570
 Life: 27 3 1 3 \$51,764 Off Track: 7 0 1 1 \$10,600
 GP - 13 1 0 2 \$19,750 Distance: 3 0 0 1 \$3,380
 Course: 0 0 0 0 \$0

J.C. Diaz, Jr. (70-6-8-9) 8.57%

9 15-1 Owner: Averill Racing LLC, (Richard Averill), Matties Racing Stable LLC (Paul J. Matties, Jr.) and ATM Racing (Anthony Mattiera) (0-0-0-0) 0.00%
 Silks: Black, White Circled 'RA', Black and White Cap
 Trainer: Georgina Baxter (5-2-1-0) 40.00%
 Turquoise Ctm Prc \$10,000

Thinkin Cowtown (L) 120
 B.m.8 Cowtown Cal - Thinkinoutofthebox by Outofthebox - Bred by Bob Leininger (Mar 04, 2013) in FL

01Aug21 9 GP	fm	148	2224	1:4480	1:5674	31	12	Cm	12500	72	7	6	10	97	87	85	Zayas E J	122	L	6.30	Dabbona? Her Ball? Avenida Maraca? 3rd, 7rd upper, no bid 12
22Jan21 8 GP	fm	148	2123	1:4360	1:5598	41	12	Acc	16000mw1/x	07	9	7	99	99	99	99	Zayas E J	118	L	5.90	Angelcents? Song Rivers? Mar Star? 3-4rd turn, outrun 9
19Dec20 8 Tam	fm	148	2166	1:4511	1:5737	31	12	Acc	32000mw2/x	76	5	5	64	54	53	42	Canacho S	121	L	1.00	Serious Street? Bucky's Dream? North Avenue? 1st paced brk, angled 7
09Dec20 5 GP	fm	148	2214	1:4471	1:5648	31	12	Cm	CDsDsh - 90k	09	9	4	53	54	44	32	Gaffalone T	120	L	7.30	Jakarta? Unequaled? Thinkin Cowtown? rail, angle 3/16, rally 11
06Sep20 9 GP	fm	148	2198	1:4438	1:5638	31	12	Acc	20000mw1/x	07	8	5	23	23	22	31	Zayas E J	121	L	5.10	Her Ball? Precocious Peach? Thinkin Cowtown? 1st st, shaker off 9
27Feb20 8 Tam	fm	148	2083	1:4357	1:5509	41	12	Stk	LightnCtyL - 100k	03	5	8	94	97	96	86	Hurales P	124	L	21.00	In Elizabeth? Miss Deporable? A Happy Ending? settled brk, 3rd 10
25Jan20 5 GP	fm	148	2168	1:4370	1:5526	41	12	Stk	LdyTSpeB - 150k	76	1	7	87	914	915	915	Gaffalone T	120	L	6.90	1222 Jean Elizabeth? Girls Know Best? Kacota? 1st paced brk, near 3/8 8
07Dec19 5 GP	fm	148	2072	1:4343	1:5548	31	12	Cm	CDsDsh - 110k	100	3	4	54	53	21	13	Gaffalone T	120	L	1.50	1263 Thinkin Cowtown? Unequaled? Blue Magic? lead past 1/8, held wide 9
30Oct19 1 GPw	fm	148	2220	1:4526	1:5753	31	12	SOC	25000 - H	101	4	4	58	48	24	24	Gutierrez R	121	L	7.10	Lady's Island? Thinkin Cowtown? Solarte? 3p upper, closing in 6
13Jul19 7 GP	fm	148	2157	1:4488	1:5588	31	12	Acc	25000mw1/x-c	02	5	6	89	912	78	58	Estista J A	122	fl	7.70	1198 BBR Happy Ending? BBR Cathartes? Fallen Leaf? 6rd upper, 3rd 9

Claired by Averill Racing LLC from Leininger Henry and Law, Bill for \$25,000, Jackson Gary G. - Trainer
 Workouts: 19 Nov 21 GP ft :50.64b 70/90

2021: 2 0 0 0 \$610 Turf: 26 6 2 4 \$234,724
 2020: 5 0 0 2 \$17,594 Synthetic: 0 0 0 0 \$0
 Life: 27 6 2 4 \$235,084 Off Track: 0 0 0 0 \$0
 GP - 23 6 1 4 \$226,024 Distance: 16 4 2 2 \$166,164
 Course: 0 0 0 0 \$0

Jose Morales (90-5-7-3) 10.00%

L - Lasix; O - First Time Lasix; @ - Off Lasix; - - Florida Bred
 * Equipment Change: Lady Fiorella, Noble Intentions will race with Blinkers Off

PROBABLE FAVORITES 7-6-4-3

11/19/2021 Race 7
 Printed on 11/17/2021 at 2:26:52 PM

FILED	
Department of Business and Professional Regulation	
Senior Deputy Agency Clerk	
CLERK	Brandon Nichols
Date	3/15/2022
File #	2022-02178

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

DBPR Case No.: 2022-001778

GERALD EDWARD BROOKS,

Respondent.

FINAL ORDER

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering (“Petitioner”), issues this Final Order against Gerald Edward Brooks, (“Respondent”) as follows:

1. An Administrative Complaint was filed in this case on January 18, 2022, alleging that Respondent violated Section 550.2415(1)(a), Florida Statutes, and Rule 61D-6.008(2)(w), Florida Administrative Code. A copy of the Administrative Complaint is attached hereto as Exhibit “1” and incorporated by reference.
2. The Administrative Complaint, Election of Rights form, an Explanation of Rights, and a cover letter were served on Respondent via personal service on February 4, 2022. Proof of service is attached hereto as Exhibit “2” and incorporated by reference.
3. The Election of Rights form, Explanation of Rights, and cover letter informed Respondent that a written response to the Administrative Complaint, including the right to request a hearing, was due within 21 days of actual service of the Administrative Complaint. See Section 120.569(1), Florida Statutes, and Rule 28-106.111, Florida Administrative Code.

4. Petitioner informed Respondent that the failure to file a timely response to the Administrative Complaint shall be deemed a waiver of the right to a hearing.

5. Respondent has not filed a timely response to the Administrative Complaint. Respondent has not submitted any evidence or made any allegations that would support the application of the doctrine of equitable tolling.

Having considered the Administrative Complaint, the service of the Administrative Complaint with Election of Rights form, Explanation of Rights, and cover letter on Respondent along with Respondent's failure to respond, and being otherwise fully advised in the premises, it is hereby

ORDERED and ADJUDGED:

6. The allegations of fact and the conclusions of law in the Administrative Complaint are adopted in their entirety herein.

7. Respondent shall pay an administrative fine in the amount of **ONE THOUSAND DOLLARS (\$1,000.00)**.

8. Payment of the fine is to be made by a certified check, cashier's check, or money order (NO PERSONAL CHECKS), made payable to the **Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering within 30 calendar days of the effective date of this Final Order**. Please note DBPR Case Number 2022-001778 on the face of the payment and mail to: **Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Donna Fleming**.


9. Additionally, Respondent is responsible for returning the portion of the purse distributed to them, to the **Board of Relief Fund established at Gulfstream Park Racing**

Association, Inc. within 30 days of the effective date of this Final Order. If Respondent fails to return the portion of the purse distributed to them, Respondent is subject to license suspension until their portion of the purse is returned.

10. The Owner shall return all money that was distributed to them from the purse, to the **Board of Relief Fund established at Gulfstream Park Racing Association, Inc.** within 30 days of the effective date of this final order. Failure to return all money distributed from the purse may result in administrative action being taken against the Owner.

11. This Final Order is effective on the date it is filed with the Agency Clerk of the Department of Business and Professional Regulation, as indicated on the first page of this Order.

This Final Order in DBPR Case Number 2022-001778 is DONE and ORDERED this 14 day of March, 2022.


LOUIS TROMBETTA, DIRECTOR
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, Florida 32399-1035

NOTICE OF RIGHT TO APPEAL

Any party to this proceeding has the right to seek its judicial review under Section 120.68, Florida Statutes, by the filing of an original notice of appeal pursuant to Rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Agency Clerk, 2601 Blair Stone Road, Tallahassee, Florida 32399-2202 (Email: AGC.Filing@myfloridalicense.com), and by filing a copy of the notice of appeal accompanied by the applicable filing fees with the appropriate Florida district court of appeal. The notice of appeal must be filed (received) in the Office of the Agency Clerk within thirty (30) days after the date this Order is filed with the Clerk.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 15th day of March, 2022, a true and correct copy of this Final Order has been sent via U.S. Mail to:

Gerald Edward Brooks
965 Cherry Hill Boulevard
Erie, Pennsylvania 16509

Carl L. Hess, Jr.
2770 Mountain Road
Danielsville, Pennsylvania 18038

Brandon M. Nichols

AGENCY CLERK'S OFFICE
Department of Business and Professional Regulation

CC: Ebonie Lanier

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2770 Mountain Road
Danielsville, Pennsylvania 18038

Street or PO Box:
City, S

Melanie S. Griffin, Secretary

Ron DeSantis, Governor

DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

DBPR Case No.: 2022-001778

GERALD E. BROOKS.,

Respondent.

AFFIDAVIT OF SERVICE OR DILIGENT SEARCH

COMES NOW, the Affiant, an employee of the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, State of Florida, who first being duly sworn, deposes and states:

On (date) February 4, 2022, Affiant made a diligent effort to locate Respondent, to serve: Administrative Complaint and related papers; Subpoena(s); Final order; ESO and related papers; (other) _____

(PLEASE CHECK APPLICABLE ANSWER)

Affiant made personal service on Respondent on (date), February 4, 2022
at (location) Motor Vehicle Training Center

Affiant was unable to make service after searching for Respondent at: (a) all addresses for Respondent shown in the D.B.P.R. investigation of the case; (b) all official addresses for Respondent shown in his/her licensing records of the Division of Pari-Mutuel Wagering; (c) any others: _____

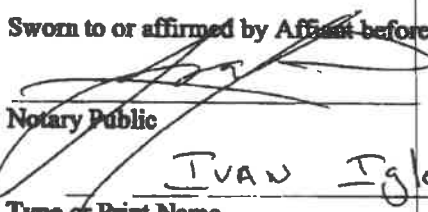

Signature of Affiant

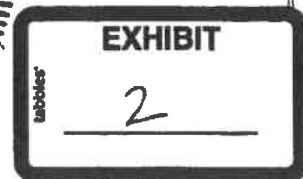
STATE OF Florida
COUNTY OF Palm Beach

Before me, appeared Andre Sharod Tribble; who is personally known to me or whose identity I proved on the basis of Florida Driver Lic, and who acknowledges that his/her signature appears above.

Sworn to or affirmed by Affiant before me this 4 day of February 2022.

Notary Public


Type of Print Name IVAN IGLESIAS



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1. Article Addressed to

Gerald Edward Brooks
965 Cherry Hill Boulevard
Erie, Pennsylvania 16509
AC 2022-001788 PMW

2. Article Num
(Transfer fro

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4-1640

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

FILED	
Department of Business and Professional Regulation Senior Deputy Agency Clerk	
CLERK	Brandon Nichols
Date	4/14/2022
File #	

GERALD EDWARD BROOKS,

DBPR CASE NO. 2022-001778

Respondent / Appellant,

vs.

DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner / Appellee.

NOTICE OF ADMINISTRATIVE APPEAL

NOTICE IS GIVEN that Respondent / Appellant, Gerald Edward Brooks, appeals to the First District Court of Appeal the *Final Order*, rendered by the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering on March 15, 2022. The nature of the order appealed is a final order and a conformed copy of the Final Order is attached hereto as Exhibit "A."

CERTIFICATE OF SERVICE

I CERTIFY that on this 14th day of April, 2022, a copy of the foregoing was e-mailed to Eric Saccomanno, Esq., Division of Pari-Mutuel Wagering, 2601 Blair Stone Rd., Tallahassee, FL 32399, Eric.Saccomanno@myfloridalicense.com.

BEILLY & STROHSAHL, P.A.
1144 S.E. 3rd Avenue
Ft. Lauderdale, FL 33316
Telephone 954-763-7000
Facsimile 954-525-0404

/s/ Bradford J. Beilly

Bradford J. Beilly
Fla. Bar No. 310328
brad@beillylaw.com
John Strohsahl
Fla. Bar No. 0609021
john@beillylaw.com

Melanie S. Griffin, Secretary

Ron DeSantis, Governor

CERTIFICATION

I, Ronda L. Bryan, Agency Clerk and Custodian of Records, HEREBY certify the following to be true and correct as on file with the Florida Department of Business and Professional Regulation.

Attached is a true and correct copy of the Record on Appeal, DBPR Case No. 2022-001778, as maintained by the Department of Business and Professional Regulation. The attached is a regularly received and retained record of the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, vs. Gerald Edward Brooks and is received and retained in the ordinary course of business of the Department of Business and Professional Regulation.

As the Agency Clerk and Custodian of Records, I am the keeper of the seal and certify that the seal affixed to this document is the true seal for the Florida Department of Business and Professional Regulation.

Certified this 14th day of June, 2022.



Ronda L. Bryan
Agency Clerk

**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION**

IN RE: APPLICATION FOR RELOCATION
OF FRONTON HOLDINGS, LLC d/b/a
CASINO FT. PIERCE

FGCC Case No. 2022-037892
Permit No. 278

RECOMMENDED ORDER

Pursuant to notice, a hearing was conducted in this case pursuant to sections 120.569, 120.57(2), and 550.0555(2), Florida Statutes, before Marc D. Taupier, the duly appointed hearing officer, on October 27, 2022, at 337 North US Hwy #1, Fort Pierce, Florida.

APPEARANCES

For Petitioner:

Gary R. Rutledge
Rutledge Ecenia, P.A.
119 South Monroe Street, Suite 202
Tallahassee, Florida 32301

For Florida Gaming Control Commission:

Elizabeth K. Stinson
2601 Blair Stone Road
Tallahassee, Florida 32399

STATEMENT OF THE ISSUE

Whether Pari-Mutuel Wagering Permit Number 278 held by Fronton Holdings, LLC d/b/a Casino Ft. Pierce, along with its associated cardroom license, may be relocated to a new location in St. Lucie County, Florida, in accordance with section 550.0555(2), Florida Statutes.

PRELIMINARY STATEMENT

On August 4, 2022, Petitioner Fronton Holdings, LLC d/b/a Casino Ft. Pierce (“Casino Ft. Pierce”) filed a Petition with the Florida Gaming Control Commission (the “Commission”), seeking approval to relocate its Jai Alai Pari-Mutuel Permit Number 278 and cardroom license to

a new location lying within a 30-mile radius of its current location, pursuant to section 550.0555(2), Florida Statutes. On October 12, 2022, the Commission appointed the undersigned to conduct a hearing pursuant to section 120.57(2), Florida Statutes. On October 13, 2022, the undersigned issued a Notice of Hearing setting the hearing for October 27, 2022, in St. Lucie County. Notice of the hearing was also published in the Florida Administrative Register on October 20, 2022.

The hearing was held on October 27, 2022, in St. Lucie County, Florida. The parties presented Joint Exhibits 1 - 7 and Petitioner offered the testimony of Andrew Jonas, Chief Operating Officer for Casino Ft. Pierce.

Petitioner timely filed a Proposed Recommended Order on October 28, 2022, which has been duly considered in preparing this Recommended Order.

FINDINGS OF FACT

A. THE PARTIES

1. Casino Ft. Pierce is a foreign limited liability company registered to do business in Florida. Casino Ft. Pierce holds Pari-Mutuel Permit No. 278 (“Permit”) for the conduct of jai alai performances, including pari-mutuel intertrack and simulcast wagering, as well as an associated cardroom license for the operation of a cardroom at its permitted location at 1750 S. Kings Hwy, Fort Pierce, Florida.

2. The Commission oversees and regulates all pari-mutuel wagering permit holders and cardroom licensees in Florida pursuant to chapter 550 and section 849.086, Florida Statutes.

B. RELOCATION OF THE JAI ALAI PERMIT

3. Casino Ft. Pierce is the holder of a valid outstanding pari-mutuel wagering permit (Permit No. 278) authorizing pari-mutuel wagering on jai alai performances at 1750 S Kings Hwy,

Fort Pierce, Florida. Casino Ft. Pierce also holds a cardroom license for the operation of a cardroom at that facility as evidenced by Joint Exhibit 7.

4. Casino Ft. Pierce holds the only jai alai fronton pari-mutuel permit issued in St. Lucie County, Florida.

5. During the 2021 legislative session, section 550.01215(1)(b)1., Florida Statutes, was enacted allowing certain pari-mutuel permitholders, including jai alai permitholders such as Casino Ft. Pierce, to discontinue live pari-mutuel racing or performances but still conduct pari-mutuel activities such as simulcast and intertrack wagering as well as operate a cardroom. Casino Ft. Pierce has chosen to halt live jai alai performances and, instead, intends to continue to operate its licensed cardroom and conduct pari-mutuel intertrack and simulcast wagering at its facility.

6. Casino Ft. Pierce's pari-mutuel facility at its current permitted location was large and was in desperate need of extensive repairs. The facility has been demolished.

7. Andrew Jonas, Chief Operating Officer for Casino Ft. Pierce, testified that due to the age and condition of Casino Ft. Pierce's pari-mutuel facility at its current location, the facility required extensive repairs in order to meet the requirements of St. Lucie County for continued operation. As such, Casino Ft. Pierce determined it was best to demolish its pari-mutuel facility due to the extensive and costly nature of the required repairs.

8. Mr. Jonas testified that Casino Ft. Pierce was left with two options: (1) demolish and rebuild the facility at its current address; or (2) find a new location that would allow Casino Ft. Pierce to bring operations back up in a timely manner.

9. The cost of building materials, alongside the elongated backlog of distribution of materials, would cause Casino Ft. Pierce to stay shut down for a long period of time. This would

consequently lead to no financial gain to Casino Ft. Pierce, continue to displace employees who currently have no place to work, and deprive the state of Florida from tax revenue.

10. Casino Ft. Pierce has not operated its cardroom or conducted pari-mutuel wagering operations since it demolished its pari-mutuel facility that was located at its current location. Without the ability to relocate its Permit, Casino Ft. Pierce will not be able to conduct any pari-mutuel or cardroom operations pursuant to its Permit. Relocation of Casino Ft. Pierce's Permit is necessary to ensure Casino Ft. Pierce's revenue-producing capabilities.

11. On August 4, 2022, Casino Ft. Pierce filed its Petition with the Commission to relocate its Permit from its current location to 6666 South US 1, Units 2, 2A and 3, Port St. Lucie, Florida. The distance between Casino Ft. Pierce's current location and the proposed location is approximately 7.57 miles. See Jt. Ex. 3. Both locations are within St. Lucie County. See Jt. Exs. 1, 2, 3 and 4.

12. Casino Ft. Pierce has requested to relocate to a smaller facility to conduct its cardroom operations and conduct intertrack and simulcast wagering. Casino Ft. Pierce will lease the property located at the proposed new location. See Jt. Ex. 4.

13. At the proposed location, Casino Ft. Pierce intends to provide updated amenities that are better suited to its current cardroom and pari-mutuel wagering activities.

14. Since Casino Ft. Pierce has not been in operation for many months because its current facility was demolished, approval of the application for relocation will allow Casino Ft. Pierce to begin operating again at the proposed location, which will result in an increase in Casino Ft. Pierce's income from its cardroom operations and intertrack wagering and simulcast activities, as well as, food and beverage sales. Relocating and operating a newer smaller facility

will also reduce Casino Ft. Pierce's operating costs. Relocation will also allow for Casino Ft. Pierce to generate state tax revenue.

15. Mr. Jonas testified that its plan to relocate its pari-mutuel and cardroom operations to the proposed location is permitted under St. Lucie County's zoning regulations. St. Lucie County's zoning verification letter was submitted as Joint Exhibit 6.

16. The only pari-mutuel permittee within 50 miles is Palm Beach Kennel Club, Inc. ("PBKC"), which has represented to the Commission that Casino Ft. Pierce's proposed relocation would not deteriorate its revenue producing capabilities. PBKC submitted a letter to the Commission which confirms that the instant relocation will not deteriorate the revenue-producing capability of PBKC's facility and its non-objection to the relocation of Casino Ft. Pierce. See Jt. Ex. 5.

17. Mr. Jonas testified that development of its facility at the proposed location will potentially be completed by the end of calendar year 2022, and no later than Quarter 1 of calendar year 2023. Mr. Jonas testified that the new facility will be in compliance with all statutes and regulations regarding intertrack wagering, simulcasting and the operation of a cardroom.

18. Based on the foregoing, the relocation of Casino Ft. Pierce is necessary to ensure the revenue producing capabilities of Casino Ft. Pierce and its proposed relocation will not deteriorate the revenue producing capabilities of any other pari-mutuel permittee within 50 miles.

CONCLUSIONS OF LAW

19. The Commission has jurisdiction over the parties to and the subject matter of this proceeding pursuant to chapter 550, Florida Statutes.

20. As the holder of the only pari-mutuel permit for a jai alai fronton issued in St. Lucie County, Florida, Casino Ft. Pierce is authorized pursuant to section 550.0555, Florida Statutes, to

relocate its Permit to a new location within a 30-mile radius of its existing permitted location in St. Lucie County.

21. With respect to the relocation of a jai alai fronton, section 550.0555(2), Florida Statutes, provides, in pertinent part, that:

. . . any holder of a valid outstanding permit for jai alai in a county where only one jai alai permit is issued, is authorized, without the necessity of an additional county referendum required under s. 550.0651, to move the location for which the permit has been issued to another location within a 30-mile radius of the location fixed in the permit issued in that county, provided the move does not cross the county boundary, that such relocation is approved under the zoning regulations of the county or municipality in which the permit is to be located as a planned development use, consistent with the comprehensive plan, and that such move is approved by the commission after it is determined at a proceeding pursuant to chapter 120 in the county affected that the move is necessary to ensure the revenue-producing capability of the permittee without deteriorating the revenue-producing capability of any other pari-mutuel permittee within 50 miles; the distance shall be measured on a straight line from the nearest property line of one . . . jai alai fronton to the nearest property line of the other.

22. The record in this proceeding supports the following undisputed facts:

- a. Casino Ft. Pierce is the holder of a valid outstanding permit for the conduct of jai alai performances in a county where only one jai alai permit is issued.
- b. The proposed new location at 6666 South US 1, Port St. Lucie, Florida, is within a 30-mile radius of Casino Ft. Pierce's current location at 1750 S Kings Hwy, Fort Pierce, Florida, and the relocation does not cross the county boundary;
- c. St. Lucie County has confirmed that operating a cardroom and conducting pari-mutuel intertrack and simulcast wagering activities at the proposed new location are allowed as permitted uses by right under the applicable county zoning;

- d. A hearing was held pursuant to chapter 120, Florida Statutes, in St. Lucie County;
- e. The relocation is necessary to ensure the revenue producing capability of Casino Ft. Pierce; and
- f. The relocation would not deteriorate the revenue producing capability of any pari-mutuel permittee within 50 miles.

23. Based on the undisputed facts established in the record, Casino Ft. Pierce's application for relocation of its Permit complies with the requirements of section 550.0555(2), Florida Statutes.

RECOMMENDATION

Based on the foregoing Findings of Fact and Conclusions of Law, it is hereby RECOMMENDED that the Florida Gaming Control Commission enter a Final Order approving Fronton Holdings, LLC d/b/a Casino Ft. Pierce's application to relocate its Permit from its current permitted location at 1750 S Kings Hwy, Fort Pierce, Florida, to the proposed location at 6666 South US 1, Units 2, 2A and 3, Port St. Lucie, Florida and authorizing the transfer of the Permit and cardroom license to the new location.

DONE and ENTERED this 31st day of October, 2022, in Tallahassee, Leon County, Florida.



Marc D. Taupier, Hearing Officer
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1499
Facsimile: (850) 921-1311
Marc.Taupier@fgcc.fl.gov

Filed with the Clerk of the
Florida Gaming Control Commission
this 31st day of October, 2022.

FLORIDA GAMING CONTROL COMMISSION

IN RE: APPLICATION FOR RELOCATION OF FRONTON HOLDINGS, LLC d/b/a CASINO FT. PIERCE Permit No. 278 FGCC Case # 2022-037892

**PETITION FOR RELOCATION OF PARI-MUTUEL PERMIT
PURSUANT TO SECTION 550.0555, FLORIDA STATUTES**

FRONTON HOLDINGS, LLC d/b/a CASINO FT. PIERCE (“Ft. Pierce”) pursuant to Section 550.0555(2), Florida Statutes, files this Petition requesting the Florida Gaming Control Commission (“Commission”) to approve Ft. Pierce’s relocation of its jai alai pari-mutuel permit to another location within St. Lucie County and as grounds therefor states:

1. Ft. Pierce holds pari-mutuel permit number 278, for the conduct of pari-mutuel wagering on jai alai performances in St. Lucie County at its facility currently located at 1750 S Kings Hwy, Fort Pierce, Florida, as is more fully described in its pari-mutuel permit on file with the Commission (the “Permit”). The Permit is the only pari-mutuel permit in St. Lucie County.
2. Pursuant to Section 550.0555(2), Florida Statutes, Ft. Pierce requests approval from the Commission to relocate its Permit to another location in St. Lucie County that is within 30-miles of its current location.
3. Section 550.0555(2), Florida Statutes, provides, in pertinent part, that:

Any holder of a valid outstanding permit for greyhound dogracing in a county in which there is only one dogracing permit issued, as well as any holder of a valid outstanding permit for jai alai in a county where only one jai alai permit is issued, is authorized, without the necessity of an additional county referendum required under s. 550.0651, to move the location for which the permit has been issued to another location within a 30-mile radius of the location fixed in the permit issued in that county, provided the move does not cross the county boundary, that such relocation is approved under the zoning regulations of the county or municipality in which the permit is to be located as a planned development use, consistent with the comprehensive plan, and that such move is approved by the department after it is determined at a proceeding pursuant to chapter 120 in the county affected that the move is necessary to ensure the revenue-producing capability of the permittee without deteriorating the revenue-producing capability of any other pari-mutuel permittee within 50 miles; . . .

4. Due to the age of Ft. Pierce's current pari-mutuel facility, the facility required extensive demolition and repairs in order to meet the requirements of St. Lucie County for continued operation. Ft. Pierce determined it was best to demolish its old facility due to the extensive nature of the required repairs. At this time, Ft. Pierce's facility has been entirely demolished.

5. Additionally, during the 2021 legislative session, section 550.01215(1)(b)1., Florida Statutes, was enacted, allowing certain pari-mutuel permitholders, including jai alai permitholders such as Ft. Pierce, to discontinue live pari-mutuel racing or performances but still conduct pari-mutuel activities such as simulcast and intertrack wagering as well as operate a cardroom. Ft. Pierce has chosen to halt live jai alai performances and, instead, intends to continue to operate

its licensed cardroom and operate simulcasting and interstate pari-mutuel wagering at its facility in the future. In light of the fact its current facility has been demolished, Ft. Pierce has not operated its cardroom for some time. Without the ability to relocate its Permit, Ft. Pierce will not be able to conduct any pari-mutuel or cardroom operations pursuant to its Permit. Thus, relocation of Ft. Pierce's Permit is necessary to ensure Ft. Pierce's revenue-producing capabilities. Relocating and operating a newer smaller facility will likely reduce Ft. Pierce's operating costs as well.

6. The proposed new site is comprised of Units 2, 2A and 3 of a shopping plaza located at 6666 South US 1, Port St. Lucie, Florida. See Exhibit A, legal description from the St. Lucie County Property Appraiser's website for the shopping plaza.

7. The relocation will not deteriorate the revenue-producing capability of any other pari-mutuel permittee within 50 miles of Ft. Pierce. The only pari-mutuel permittee located within 50 miles of Ft. Pierce is Palm Beach Kennel Club, Inc. ("PBKC"), which has provided a letter to the Commission, a copy of which is attached hereto as Exhibit B. The referenced letter from PBKC confirms that the relocation will not deteriorate the revenue-producing capability of its facility and its non-objection to the relocation of Ft. Pierce's Permit.

8. Ft. Pierce requests that the Commission conduct a hearing in St. Lucie County as required by Section 550.0555, Florida Statutes, and approve the relocation of Ft. Pierce's Permit.

WHEREFORE, Ft. Pierce respectfully requests that at the conclusion of the requisite hearing in St. Lucie County, the Commission issue an Order approving the relocation of its Permit.

Respectfully submitted this 4th day of August, 2022.

/s/ Gary R. Rutledge
Gary R. Rutledge
Tana D. Storey
Rutledge Ecenia, P. A.
119 South Monroe Street, Suite 202
Tallahassee, FL 32301
Telephone: (850) 681-6788
gary@rutledge-ecenia.com
tana@rutledge-ecenia.com

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing was filed/served this 4th day of August 2022, as denoted below:

Donna Fleming
Administrative Assistant III, Division Clerk
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of the Director
2601 Blairstone Road
Tallahassee, FL 32399-1035
Donna.Fleming@fgcc.fl.gov

Louis Trombetta, Executive Director
Florida Gaming Control Commission
louis.trombetta@fgcc.fl.gov

/s/ Gary R. Rutledge
Gary R. Rutledge



Michelle Franklin

Certified Florida Appraiser

✕ Close Property Card

Basic Info

Buildings

Features/Yard Items

Values

Sales

Permits

Tax Estimator/Tools

Property Card

Summary

Property Identification

Site Address: 6666 S US HIGHWAY 1

Sec/Town/Range: 15/36S/40E

Parcel ID: 3415-501-0065-000-4

Jurisdiction: Saint Lucie County

Use Type: 1600

Account #: 41470

Map ID: 34/15S

Zoning: Comm Genra

Ownership

St Lucie EMI LLC

St Lucie MEP LLC

St Lucie PPI LLC

PO Box 17-0938

Hialeah, FL 33017

Legal Description

MODEL LAND CO'S S/D IN SEC 15 36 40 BLK 4 THAT PART OF LOTS 7 AND 8 IN SE 1/4 MPDAF: FROM NW COR OF SE 1/4 RUN S 89 56 27 E 78 FT TO E R/W OF US #1, TH S 00 00 27 E ALG E R/W 15 FT TO N LI OF LOT 7, TH CONT SELY ALG E R/W 212 FT TO POB, TH N 89 59 33 E 145.50 FT TO CURVE CONC NW, R OF 10 FT, TH NELY ALG ARC 15.71 FT, TH N 00 00 27 W 156.85 FT TO CURVE CONC SW, R OF 25 FT, TH NWLY ALG ARC 39.24 FT TO S R/W LI OF KITTERMAN RD, TH S 89 56 27 E ALG S R/W LI 423.07 FT TO W R/W LI OF CANAL, TH S 00 03 03 W 1284.25 FT TO S LI OF LOT 8, TH N 89 55 49 W ALG S LI 282.35 FT, TH N 00 00 27 W 141.56 FT, TH N 64 56 37 W 73.07 FT, TH S 89 59 33 W 168.81 FT TO CURVE CONC SE, R OF 35 FT, TH SWLY ALG ARC 36.99 FT TO E R/W OF US #1, TH N 00 17 27 E 65.61 FT, TH S 89 42 33 W 12 FT, TH N 00 17 27 W 841.69 FT TO POB, LESS FOL DESC PROP: FROM NW COR OF SE 1/4 RUN S 89 56 27 E 78 FT TO E R/W OF US #1, TH S 00 00 27 E ALG E R/W 257 FT, TH N 89 59 33 E 30 FT TO POB, TH CONT N 89 59 33 E 125 FT, TH S 00 00 27 E 180 FT, TH S 89 59 33 W 125 FT, TH N 00 00 27 W 180 FT TO POB AND LESS FOL DESC PROP: FROM NW COR OF SE 1/4 RUN S 89 56 27 E 78 FT TO E R/W OF US #1, TH S 00 00 27 E ALG E R/W 1319.15 FT TO S LI OF LOT 8, TH S 89 55 49 E ALG S LI 551.79 FT TO SE COR OF LOT 8, TH N 00 03 03 E ALG E LI OF LOT 8 178.67 FT, TH N 89 56 57 W 15.58 FT TO POB, TH N 01 11 43 E 225.40 FT, TH N 80 46 38 W 13.99 FT, TH S 22 14 46 W 114.10 FT, TH N 65 29 51 W 29.91 FT, TH S 25 31 01 W 69.17 FT, TH S 65 06 14 E 20.26 FT, TH S 24 27 54 W 66 FT, TH S 63 55 30 E 29 FT, TH N 84 11 27 E 92.70 FT TO POB (13.70 AC) (OR 2544-984, 989)



Total Areas

Finished/Under Air (SF):	93,280
Gross Sketched Area (SF):	103,147
Land Size (acres):	13.7
Land Size (SF):	596,772

Building Design Wind Speed

Occupancy Category I II III & IV

Speed 140 160 170

Sources/links:

EXHIBIT A

PALM BEACH KENNEL CLUB
MORE WAYS TO WIN | MORE WINNERS | MORE FUN

May 13, 2022

Louis Trombetta, Director
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, FL 32399-1035

Re: Relocation of Fronton Holdings, LLC d/b/a Casino Ft. Pierce (Permit #278)
("Ft. Pierce")

Dear Director Trombetta:

It is the understanding of Palm Beach Kennel Club, Inc. that Ft. Pierce plans to relocate its jai alai permit and facility less than 30 miles from its current location in St. Lucie County, pursuant to Section 550.0555, Florida Statutes. The new location will be at 6666 South US One, Port St. Lucie, Florida 34952.

Please accept this correspondence as notification that Palm Beach Kennel Club, as a pari-mutuel permittee within 50 miles of Ft. Pierce's proposed new location, has no objection to the Division's grant of approval for such relocation inasmuch as such new location will not deteriorate the revenue-producing capability of Palm Beach Kennel Club.

Sincerely,



Patrick J. Rooney, Jr., President
Palm Beach Kennel Club, Inc

EXHIBIT B

FLORIDA GAMING CONTROL COMMISSION

IN RE: APPLICATION FOR RELOCATION Permit No. 278
 OF FRONTON HOLDINGS, LLC FGCC Case No.: 2022-037892
 d/b/a CASINO FT. PIERCE

NOTICE OF FILING LEASE AND MAP IN RE:
PETITION FOR RELOCATION OF PARI-MUTUEL PERMIT
PURSUANT TO SECTION 550.0555, FLORIDA STATUTES

FRONTON HOLDINGS, LLC d/b/a CASINO FT. PIERCE (“Ft. Pierce”) hereby gives notice of the filing of the executed Lease for the proposed new location and a map demonstrating the distance between the present permit location and the proposed new location.

Respectfully submitted this 13th day of September, 2022.

/s/ Tana D. Storey
Gary R. Rutledge
Tana D. Storey
Rutledge Ecenia, P. A.
119 South Monroe Street, Suite 202
Tallahassee, FL 32301
Telephone: (850) 681-6788
gary@rutledge-ecenia.com
tana@rutledge-ecenia.com

CERTIFICATE OF SERVICE

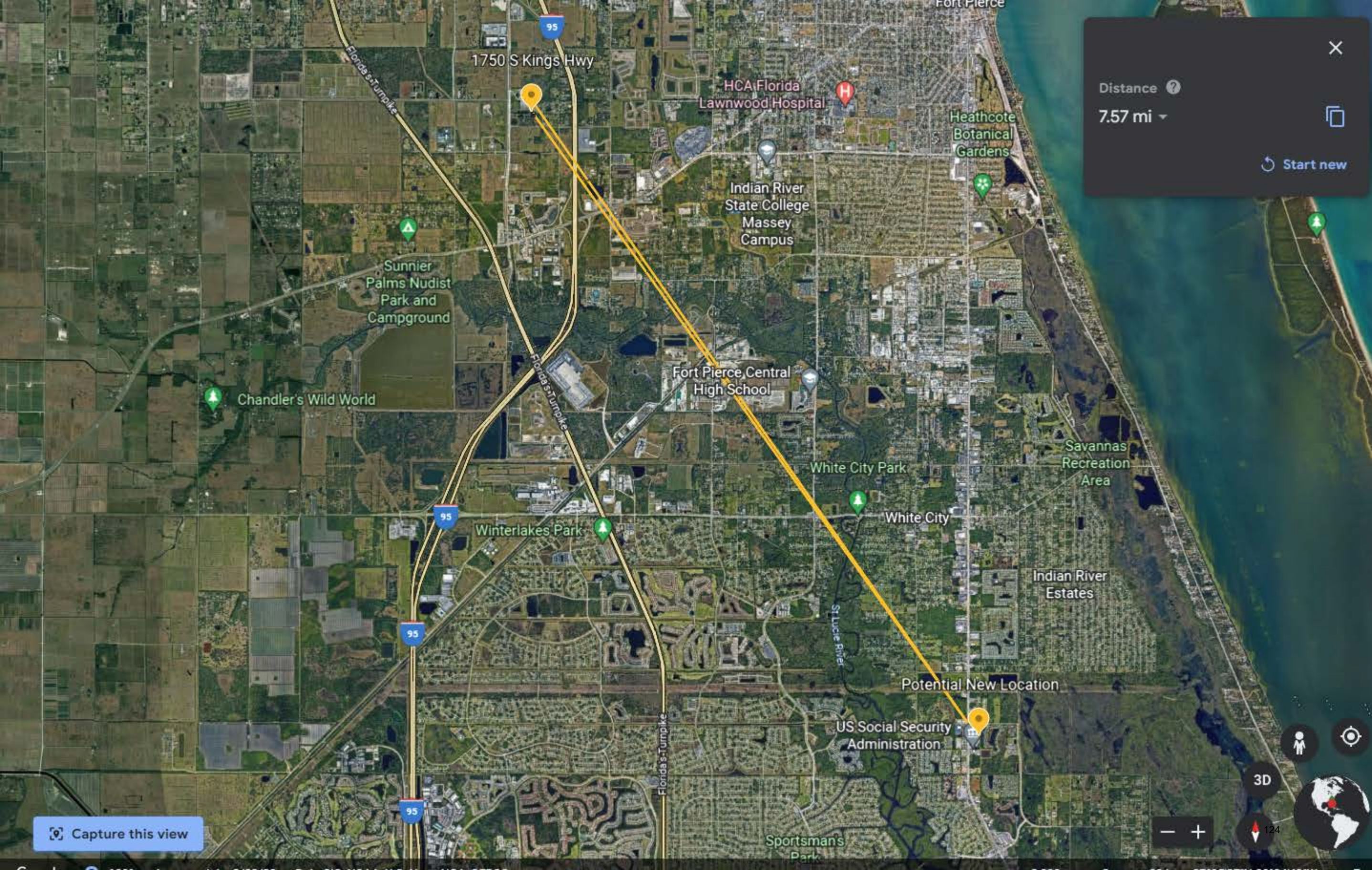
I HEREBY CERTIFY that a true and correct copy of the foregoing was filed/served this 13th day of September 2022, as denoted below:


Agency Clerk
Florida Gaming Control Commission
Clerk@fgcc.fl.gov


Louis Trombetta, Executive Director
Florida Gaming Control Commission
louis.trombetta@fgcc.fl.gov


Elizabeth Stinson
Florida Gaming Control Commission
elizabeth.stinson@fgcc.fl.gov

/s/ Tana D. Storey
Tana D. Storey



Distance 

7.57 mi 

 Start new

1750 S Kings Hwy

HCA Florida
Lawnwood Hospital

Heathcote
Botanical
Gardens

Indian River
State College
Massey
Campus

Sunnier
Palms Nudist
Park and
Campground

Fort Pierce Central
High School

Chandler's Wild World

Savannas
Recreation
Area

Winterlakes Park

White City Park


White City

Indian River
Estates

Potential New Location

US Social Security
Administration

Sportsman's
Park

 Capture this view

3D 

   124 

FLORIDA GAMING CONTROL COMMISSION

IN RE: APPLICATION FOR RELOCATION
OF FRONTON HOLDINGS, LLC
d/b/a CASINO FT. PIERCE

Permit No. 278
FGCC Case No. 2022-037892

JOINT EXHIBIT AND WITNESS LIST

Fronton Holdings, LLC d/b/a Casino Ft. Pierce (“Casino Ft. Pierce”) and the Florida Gaming Control Commission hereby file their Joint Exhibit and Witness List for the October 27, 2022, hearing. Joint Exhibits 1 through 5 are attached hereto. Joint Exhibit 6 will be filed once received from St. Lucie County.

Joint Exhibits

Jt. Ex. 1 – Legal Description for Current Facility

Jt. Ex. 2 – Legal Description for Proposed Location

[REDACTED]

[REDACTED]

Jt. Ex. 5 - Palm Beach County Kennel Club, Inc. – No Objection Letter

Jt. Ex. 6 – Zoning Verification Letter from St. Lucie County (late-filed exhibit)

Witnesses

Andrew Jonas, Chief Operating Officer
Casino Ft. Pierce

Respectfully submitted this 20th day of October, 2022.

/s/ Gary R. Rutledge

Gary R. Rutledge

Tana D. Storey

Rutledge Ecenia, P. A.

119 South Monroe Street, Suite 202

Tallahassee, FL 32301

Telephone: (850) 681-6788

gary@rutledge-ecenia.com

tana@rutledge-ecenia.com

/s/ Elizabeth K. Stinson

Elizabeth K. Stinson

Florida Gaming Control Commission

2601 Blair Stone Road

Tallahassee, Florida 32399

Elizabeth.Stinson@fgcc.fl.gov

Property Identification

Site Address: 1750 S KINGS HWY
Sec/Town/Range: 13/35S/39E
Parcel ID: 2313-233-0001-000-0
Jurisdiction: Fort Pierce

Use Type: 3400
Account #: 13725
Map ID: 23/13N
Zoning: General Co

Ownership

Fronton Holdings LLC
1231 SW Sunset TRL
Palm City, FL 34990

Legal Description

13 35 39 S 1/2 OF SW 1/4 OF NW 1/4- LESS S 243 FT OF W 358.40 FT AND LESS RD R/W- AND N 1/2 OF NW 1/4 OF SW 1/4-LESS W 272 FT OF N 300 FT AND LESS E 264 FT OF W 536 FT OF N 334.41 FT AND LESS RD R/W AND LESS ADDL RD R/W AS IN OR 3903-2803- (32.35 AC - 1,409,166 SF) (OR 3629-1869)



Current Values

Just/Market Value:	\$3,179,700
Assessed Value:	\$3,179,700
Exemptions:	\$0
Taxable Value:	\$3,179,700

Total Areas

Finished/Under Air (SF):	78,613
Gross Sketched Area (SF):	83,481
Land Size (acres):	32.35
Land Size (SF):	1,409,166

Property taxes are subject to change upon change of ownership.

- Past taxes are not a reliable projection of future taxes.
- The sale of a property will prompt the removal of all exemptions, assessment caps, and special classifications.

Building Design Wind Speed

Occupancy Category I II III

Speed

Sources/links:

Taxes for this parcel: SLC Tax Collector's Office

Download TRIM for this parcel: Download PDF

All information is believed to be correct at this time, but is subject to change and is provided without any warranty.
© Copyright 2022 Saint Lucie County Property Appraiser. All rights reserved.



Michelle Franklin

Certified Florida Appraiser

✕ Close Property Card

Basic Info

Buildings

Features/Yard Items

Values

Sales

Permits

Tax Estimate/Tools

Property Card

Summary

Property Identification

Site Address: 6666 S US HIGHWAY 1

Sec/Town/Range: 15/36S/40E

Parcel ID: 3415-501-0065-000-4

Jurisdiction: Saint Lucie County

Use Type: 1600

Account #: 41470

Map ID: 34/15S

Zoning: Comm Genra

Ownership

St Lucie EMI LLC

St Lucie MEP LLC

St Lucie PPI LLC

PO Box 17-0938

Hialeah, FL 33017

Legal Description

MODEL LAND CO'S S/D IN SEC 15 36 40 BLK 4 THAT PART OF LOTS 7 AND 8 IN SE 1/4 MPDAF: FROM NW COR OF SE 1/4 RUN S 89 56 27 E 78 FT TO E R/W OF US #1, TH S 00 00 27 E ALG E R/W 15 FT TO N LI OF LOT 7, TH CONT SELY ALG E R/W 212 FT TO POB, TH N 89 59 33 E 145.50 FT TO CURVE CONC NW, R OF 10 FT, TH NELY ALG ARC 15.71 FT, TH N 00 00 27 W 156.85 FT TO CURVE CONC SW, R OF 25 FT, TH NWLY ALG ARC 39.24 FT TO S R/W LI OF KITTERMAN RD, TH S 89 56 27 E ALG S R/W LI 423.07 FT TO W R/W LI OF CANAL, TH S 00 03 03 W 1284.25 FT TO S LI OF LOT 8, TH N 89 55 49 W ALG S LI 282.35 FT, TH N 00 00 27 W 141.56 FT, TH N 64 56 37 W 73.07 FT, TH S 89 59 33 W 168.81 FT TO CURVE CONC SE, R OF 35 FT, TH SWLY ALG ARC 36.99 FT TO E R/W OF US #1, TH N 00 17 27 E 65.61 FT, TH S 89 42 33 W 12 FT, TH N 00 17 27 W 841.69 FT TO POB-LESS FOL DESC PROP: FROM NW COR OF SE 1/4 RUN S 89 56 27 E 78 FT TO E R/W OF US #1, TH S 00 00 27 E ALG E R/W 257 FT, TH N 89 59 33 E 30 FT TO POB, TH CONT N 89 59 33 E 125 FT, TH S 00 00 27 E 180 FT, TH S 89 59 33 W 125 FT, TH N 00 00 27 W 180 FT TO POB AND LESS FOL DESC PROP: FROM NW COR OF SE 1/4 RUN S 89 56 27 E 78 FT TO E R/W OF US #1, TH S 00 00 27 E ALG E R/W 1319.15 FT TO S LI OF LOT 8, TH S 89 55 49 E ALG S LI 551.79 FT TO SE COR OF LOT 8, TH N 00 03 03 E ALG E LI OF LOT 8 178.67 FT, TH N 89 56 57 W 15.58 FT TO POB, TH N 01 11 43 E 225.40 FT, TH N 80 46 38 W 13.99 FT, TH S 22 14 45 W 114.10 FT, TH N 65 29 51 W 29.91 FT, TH S 25 31 01 W 69.17 FT, TH S 65 06 14 E 20.26 FT, TH S 24 27 54 W 66 FT, TH S 63 55 30 E 29 FT, TH N 84 11 27 E 92.70 FT TO POB (13.70 AC) (OR 2544-984, 989)



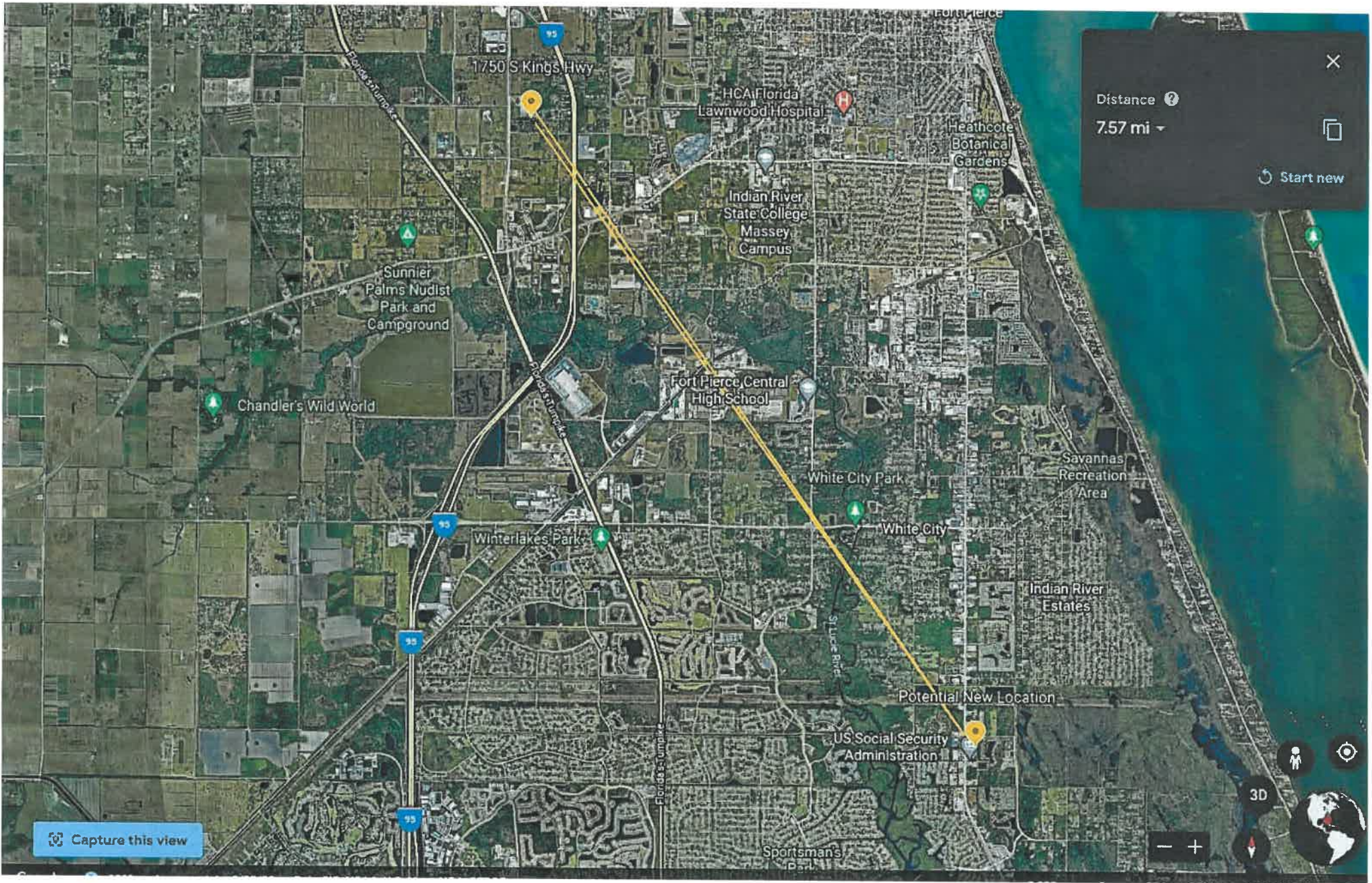
Total Areas

Finished/Under Air (SF):	93.280
Gross Sketched Area (SF):	103.147
Land Size (acres):	13.7
Land Size (SF):	596.772

Building Design Wind Speed

Occupancy Category	I	II	III & IV
Speed	140	160	170

Sources/links:



PALM BEACH KENNEL CLUB
MORE WAYS TO WIN | MORE WINNERS | MORE FUN

May 13, 2022

Louis Trombetta, Director
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, FL 32399-1035

Re: Relocation of Fronton Holdings, LLC d/b/a Casino Ft. Pierce (Permit #278)
("Ft. Pierce")

Dear Director Trombetta:

It is the understanding of Palm Beach Kennel Club, Inc. that Ft. Pierce plans to relocate its jai alai permit and facility less than 30 miles from its current location in St. Lucie County, pursuant to Section 550.0555, Florida Statutes. The new location will be at 6666 South US One, Port St. Lucie, Florida 34952.

Please accept this correspondence as notification that Palm Beach Kennel Club, as a pari-mutuel permittee within 50 miles of Ft. Pierce's proposed new location, has no objection to the Division's grant of approval for such relocation inasmuch as such new location will not deteriorate the revenue-producing capability of Palm Beach Kennel Club.

Sincerely,



Patrick J. Rooney, Jr., President
Palm Beach Kennel Club, Inc

EXHIBIT 5

FLORIDA GAMING CONTROL COMMISSION

IN RE: APPLICATION FOR RELOCATION
OF FRONTON HOLDINGS, LLC
d/b/a CASINO FT. PIERCE

Permit No. 278
FGCC Case No. 2022-037892

NOTICE OF FILING JOINT EXHIBIT NO. 6

NOTICE IS HEREBY GIVEN that on this 21st day of October, 2022, Fronton Holdings, LLC d/b/a Casino Ft. Pierce (“Casino Ft. Pierce”) filed Joint Exhibit No. 6 which is the Zoning Verification Letter from St. Lucie County and that a true and correct copy of this Notice and Exhibit was served on the following parties.

Marc D. Taupier, Hearing Officer
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Marc.Taupier@fgcc.fl.gov

Elizabeth K. Stinson
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, Florida 32399
Elizabeth.Stinson@fgcc.fl.gov

Respectfully submitted this 21st day of October, 2022.

/s/ Tana D. Storey
Gary R. Rutledge
Tana D. Storey
Rutledge Ecenia, P. A.
119 South Monroe Street, Suite 202
Tallahassee, FL 32301
Telephone: (850) 681-6788
gary@rutledge-ecenia.com
tana@rutledge-ecenia.com
Counsel for Casino Ft. Pierce



October 20, 2022

Andrew Jonas
1750 S Kings Hwy
Fort Pierce, FL 34945

RE: Zoning Verification
Address.: 6666 South U.S. Highway, Fort Pierce, FL
Parcel ID No.: 3415-501-0065-000-4

Mr. Jonas:

In response to your request for Zoning Verification regarding the above-referenced property, we have researched our files and present the following:

1. **What is the current zoning designation is:** CG (Commercial, General) Zoning District. The subject property is currently regulated by St. Lucie County Land Development Code (LDC) Section 3.01.03(S).

The future land use designation is: COM (Commercial) Future Land Use Designation.

Are there any overlay districts: None

2. **Is the property permitted in the Use Designation?**
The Commercial General Zoning District permits *Amusements and recreation services* - except stadiums, arenas, race tracks, amusement parks, pursuant to Standard Industrial Code (SIC 79).

As outlined in the zoning verification request, Casino Ft. Pierce intends to relocate its existing cardroom and pari-mutuel intertrack and simulcast wagering activities, pursuant to Section 550.0555, F.S., to the subject property. St. Lucie County uses the Standard Industrial Classification (“SIC”) Code to identify permitted uses within a zoning classification. A cardroom, as well as intertrack and simulcast wagering would fall within the Commercial General Zoning District under SIC Code 79-Amusements and Recreation services - except stadiums, arenas, race tracks, amusement parks. Based on SIC Code 79, the aforementioned uses would be allowed as permitted uses by right at the subject location.

Please note: The development must comply with the Florida Building Code and other St. Lucie County requirements for permitting. Additionally, other federal, state, and local ordinances, regulations, recorded documents or environmental conditions may affect the development or use of the property.

Thank you for your interest in St. Lucie County. I hope you find this information helpful, if you have any questions or concerns, please contact me at (772) 462-2515 or the St. Lucie County Planning Division at 772-462-2822.

Sincerely,

Tahir Curry, Planner I

EXHIBIT 6

Board of County Commissioners

Chris Dzadovsky
DISTRICT 1

Sean Mitchell
DISTRICT 2
Chair

Linda Bartz
DISTRICT 3

Frannie Hutchinson
DISTRICT 4
Vice-Chair

Cathy Townsend
DISTRICT 5

Administration

Howard Tipton
COUNTY ADMINISTRATOR

Dan McIntyre
COUNTY ATTORNEY

From: [Stinson, Elizabeth](#)
To: [Humphries, Brandy](#)
Subject: FW: Submit Notice in FAR
Date: Thursday, October 20, 2022 12:04:14 PM
Attachments: [FAR Notice.doc](#)

Hi Brandy,

Could you also include a copy of the notice of hearing (attached) to include in the folder?

Thanks,

Liz

Elizabeth K. Stinson
Chief Attorney
Florida Gaming Control Commission
Office of General Counsel
850.717.1243 Office

-----Original Message-----

From: Barber, Bryan
Sent: Wednesday, October 19, 2022 2:44 PM
To: Stinson, Elizabeth; Parker-FGCC, Dixie
Subject: FW: Submit Notice in FAR

Good Afternoon,

This document was uploaded to FAR, whole cloth, and is expected to publish tomorrow morning.

Thank you,

Bryan

-----Original Message-----

From: FL-Rules@dos.state.fl.us [<mailto:FL-Rules@dos.state.fl.us>]
Sent: Wednesday, October 19, 2022 2:42 PM
To: Barber, Bryan
Subject: Submit Notice in FAR

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

You have successfully submitted a notice for publication in the Florida Administrative Register on 10/19/2022 2:41:37 PM.

Department: Florida Gaming Control Commission
Organization: Departmental
Notice type: Miscellaneous
Issue: 48/205

Once this notice is published you will be able to view it by clicking the following link:
http://www.FLRules.org/gateway/View_Notice.asp?id=26452305

You may contact the Florida Administrative Register office at (850)245-6270 for additional information.

Miscellaneous

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Notice of Proceeding for Permit Relocation

NOTICE IS HEREBY GIVEN that the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, (“Division”), received a Petition for Relocation from Fronton Holdings, LLC, d/b/a Casino Ft. Pierce (“Ft. Pierce”). A proceeding pursuant to chapter 120 in St. Lucie County at 337 North US Hwy #1 Fort Pierce, FL 34950 will be held on October 27, 2022 at 10:30 am to determine whether the Commission will approve the request in the Petition for Relocation.

Any person wishing to attend this hearing should contact Bryan A. Barber, Florida Gaming Control Commission, bryan.barber@fgcc.fl.gov, 2601 Blair Stone Rd., Tallahassee, FL 32399, (850)717-1761.

If any person decides to appeal any decision made by the commission with respect to any matter considered at this meeting or hearing, he/she will need to ensure that a verbatim record of the proceeding is made, which record includes the testimony and evidence from which the appeal is to be issued.

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 7 days before the workshop/meeting by contacting: Bryan Barber at Bryan.Barber@fgcc.fl.gov or (850) 717-1761.

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Dania Entertainment Center, LLC (JLAI274)
2022-039952 Annual Slot Machine License
Date: October 25, 2022

Executive Summary

A slot machine licensee has submitted an application to renew its annual slot machine license. The Commission should approve this request.

Background

Slot machine gaming is authorized in Miami-Dade and Broward Counties at certain pari-mutuel facilities.¹ One such facility is Dania Entertainment Center, LLC d/b/a Dania Jai-Alai and/or The Casino @ Dania Beach (“Dania”). Dania is located in Broward County and possesses a valid jai alai permit. Dania was issued a pari-mutuel operating license and cardroom license for the 2022-2023 Fiscal Year.

Commission staff received Dania’s application to renew its slot machine license on August 5, 2022. The application was complete as of October 25, 2022.

Analysis

In addition to submitting a complete application, a slot machine licensee must satisfy numerous requirements to be entitled to a renewed slot machine license.² Dania has satisfied all of these requirements.

Recommendation: The Florida Gaming Control Commission should approve Dania Entertainment Center, LLC d/b/a Dania Jai-Alai and/or The Casino @ Dania Beach’s application for renewal of its slot machine license effective November 9, 2022 through November 8, 2023.

¹ See generally Art. X, § 23, Fla. Const.; § 551.101, Fla. Stat.

² See, e.g., §§ 551.103(1)(f) (mandating \$2,000,000.00 surety bond for slot machine licensees); 551.106(1)(a) (requiring payment of annual nonrefundable \$2,000,000.00 license fee); 551.118 (requiring payment of annual nonrefundable regulatory fee of \$250,000.00 to fund compulsive or addictive gambling prevention program); see also Fla. Admin. Code R. 61D-14 (“Pari-Mutuel Wagering Facility Slot Machine Operations”).



FLORIDA LICENSE FOR SLOT MACHINE OPERATIONS

WHEREAS **Dania Entertainment Center, LLC**, d/b/a Dania Jai-Alai and/or The Casino @ Dania Beach, is the holder of a valid, active pari-mutuel permit to conduct jai-alai games at its facility located in Broward County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS The Casino @ Dania Beach is authorized to conduct Pari-Mutuel Wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Broward County, Florida authorized slot machines at pari-mutuel facilities within Broward County in a county-wide referendum conducted on March 8, 2005.

WHEREAS The Casino @ Dania Beach has made application for licensure to conduct Slot Machine Gaming from November 9, 2022, through November 8, 2023, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that The Casino @ Dania Beach has fulfilled all the statutorily required conditions for licensure and is therefore qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS The Casino @ Dania Beach has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that The Casino @ Dania Beach is granted a non-transferable license, number **SM274**, under which it may, exclusively at the slot machine facility located at **Dania Entertainment Center, LLC**, at 301 East Dania Beach Boulevard, Dania, Florida, 33004, receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

BY RECEIVING THIS LICENSE The Casino @ Dania Beach agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this _____ day of November 2022, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA

Louis Trombetta, Executive Director
Florida Gaming Control Commission

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THE CASINO @

DANIA BEACH

8/5/22

Greeting Jamie,

Please see the enclosed
documents for our license renewal
for #274 slots

Thank you
Elisa

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION
NOTE – This form must be submitted as part of an
application packet

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APPLICANT INFORMATION				
Last Name	First	Middle	Title	Suffix
Dania Entertainment Center, LLC				

EXPLANATION
<p>RE: PERMITHOLDER LICENSE RENEWAL-DBPR PMW-3405</p> <p>Additional Requirements to be submitted to the DBPR, Division of Pari-Mutuel Wagering, Office of Operations, 1940 North Monroe Street, Tallahassee, Florida 322399-1037:</p> <ol style="list-style-type: none">1) Ownership list enclosed on form 31902) Not applicable3) Enclosed is our current Surety Bond for \$2,000,0004) Enclosed is our current Vendor List5) None <p>Additional Requirements to be submitted to the DBPR, Division of Pari-Mutuel Wagering, Office of Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:</p> <ol style="list-style-type: none">1) Training Program for the Compulsive or Addictive Gambling Program was submitted to regional office.2) EOE Policy was submitted to regional office.3) Current Internal Controls were submitted to regional office.4) Current Surveillance and Security plans were submitted to regional office.5) Current version of Floor Plan was submitted to regional office.



STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
 www.myfloridalicense.com

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INSTRUCTIONS			
This form is to be submitted in conjunction with Form DBPR PMW-3460, Authorization for Release of Information, and Form DBPR PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license.			
ORGANIZATION INFORMATION			
Federal Employer ID Number: [REDACTED]			
Permitholder's Legal Name: Dania Entertainment Center, LLC			
Doing Business As (D/B/A) Name: [REDACTED]			
MAILING ADDRESS			
Street Address or P.O. Box: 301 EAST DANIA BEACH BLVD			
City: DANIA BEACH	State: FL	Zip Code: 33004	
County: BROWARD	Country: USA		
CONTACT INFORMATION			
Contact Name: ARNALDO SUAREZ		Title: CEO	
Primary Phone Number: [REDACTED]	Primary E-Mail Address: [REDACTED]		
PHYSICAL ADDRESS			
Street Address: 301 EAST DANIA BEACH BLVD			
City: DANIA BEACH	State: FL	Zip Code (+4 optional) 33004	
County: BROWARD			
ADDITIONAL CONTACT INFORMATION			
Alternate Phone Number: [REDACTED]	Fax Number: [REDACTED]		
Alternate E-Mail Address: [REDACTED]			
SLOT MACHINE AREA INFORMATION			
Short Description of Physical Location of Slot Machine Area(s): APPROX. 35,000 SQUARE FEET OF GAMING WITHIN EXISTING JAI-ALAI FACILITY			
What is the number of slot machines you intend to operate during the license period? 760			
Name and license number of Slot Machine Operations Manager or Slot Machine Management Company: JAY PLOTKIN # 10306814			
Name and address of the Custodian of Records in Florida: JUAN PABLO VILLAMAYOR 301 E DANIA BEACH BLVD, DANIA BEACH FL 33004			

Dania Entertainment Center, LLC (JLAI274) License Verifications

License		
Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2023
File # 3984	Name PLOTKIN, JAY LESLIE	Extended To
License # 10306814	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 05/13/2020
Entity # 10306814	Lic Status Current	

Address		
Street # 309	Street SE 3RD PLACE	
Line 2		
Line 3		
City DANIA BEACH	State FL	Zip 33004
	Routing	

Other		
1st License Date 12/27/2017	Rank Date 05/13/2020	Certificate #
Method I-S-1020	Status Date 12/27/2017	Certificate Date
Fee Exempt No	Birth Date [REDACTED]	Renewal Sent

List the dates and hours of slot machine operations:

9am-3am Monday-Thursday; 24 hours Friday-Sunday

Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: Pari-Mutuel Permit #281	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Operations, 1940 North Monroe Street, Tallahassee, Florida 32399-1037:

- 1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 61D-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form DBPR PMW-3190.
- 2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
- 3) Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
- 4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
- 5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

- 1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
- 2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
- 3) A copy of the licensee's current internal controls.
- 4) A copy of the licensee's current Surveillance Plan and Security Plan.
- 5) A current version of the licensee's Floor Plan as described in Rule 61D-14.050, F.A.C.

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ATTEST STATEMENT

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes.

Signature of Applicant or Applicant's Representative

08/04/2022

Date



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COMMISSION

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

PERSONAL INFORMATION

Social Security Number/Federal Employer ID Number: [REDACTED]

IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Last Name: First: Middle: Title: Suffix:

Birth Date: (MM/DD/YYYY)

Name of Employer:

IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Representative's Name: Last: German First: Middle: Title: Suffix:
Bonesi

Business Entity Name:
Dania Entertainment Center, LLC

Official Capacity:
CFO

ALL APPLICANTS PLEASE READ AND SIGN BELOW

I, German Bonesi, do hereby instruct all law enforcement or criminal justice agencies to
(name of applicant/representative)

release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I, German Bonesi, do hereby instruct all law enforcement, gaming commissions, tribal
(name of applicant/representative)

gaming regulatory agencies and/or commissions, state agencies and/or commissions responsible for gaming regulation or criminal justice agencies to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any financial or public institution to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

N/A

(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: [Signature] Date: 08/04/2022



STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
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Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization Dania Entertainment Center, LLC	Permit # 274
D/B/A or Trade Name Dania Jai-Alai; The Casino @ Dania Beach	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input checked="" type="checkbox"/> Manager Managed <input type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name Ondiss Corp A Florida Company	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership 96%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1110 Brickel Avenue Suite 800				
City Miami		State FL	Zip Code (+4 optional) 33131	
County (if Florida address) Miami Dade		Country USA		

MANAGEMENT INFORMATION				
Last Name De Archeval	First Federico	Middle Miguel	Title	Suffix
Office Held Board Member	License # 10091020	Percentage of Ownership 40.12%		
RESIDENCE ADDRESS				
Street Address or P.O. Box Avendia Del Libertador 4101, C1426BWC				
City Buenos Aires		State	Zip Code (+4 optional)	
County (if Florida address)		Country Argentina		

Dania Entertainment Center, LLC (JLAI274) License Verifications

License		
Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 3392	Name DE ACHAVAL, FEDERICO MIGUEL	Extended To
License # 10091020	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 06/22/2021
Entity # 10091020	Lic Status Current	
Address		
Street # 301	Street E DANIA BEACH BLVD	
Line 2		
Line 3		
City DANIA BEACH	State FL	Zip 33004
	Routing	
Other		
1st License Date 02/15/2013	Rank Date 06/22/2021	Certificate #
Method I-S-1017	Status Date 08/10/2015	Certificate Date
Fee Exempt No	Birth Date [REDACTED]	Renewal Sent

MANAGEMENT INFORMATION				
Last Name CASTELLANOS-BONILLO.....	First JUAN	Middle	Title	Suffix
Office Held SHAREHOLDER	License # 10091001	Percentage of Ownership 15%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 181 WASHINGTON AVE				
City MIAMI BRACH		State FL	Zip Code (+4 optional) 33139	
County (if Florida address) MIAMI DADE		Country USA		

MANAGEMENT INFORMATION				
Last Name CRUZ	First HECTOR	Middle JOSE	Title	Suffix
Office Held BOARD MEMBER	License # 10091023	Percentage of Ownership 5%		
RESIDENCE ADDRESS				
Street Address or P.O. Box JUNCAL 4693 1425RA				
City BUENOS AIRES		State	Zip Code (+4 optional)	
County (if Florida address)		Country ARGENTINA		

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MANAGEMENT INFORMATION				
Last Name BENEDICTO	First RICARDO	Middle OSCAR	Title	Suffix
Office Held BOARD MEMBER	License # 10091077	Percentage of Ownership 30%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 3415 N OCEAN DRIVE UNIT 702				
City HOLLYWOOD		State FL	Zip Code (+4 optional) 33019	
County (if Florida address) BROWARD		Country USA		

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

Name (Please Print) _____ Title (Please Print) _____ Signature _____ Date _____

State of Florida,
County of _____

Sworn to (or affirmed) and subscribed before me this _____ day of _____, 20____,

_____, who is personally known to me or produced the following as identification:

Notary Public _____
My Commission Expires: _____

Dania Entertainment Center, LLC (JLAI274) License Verifications

License		
Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 3391	Name Castellanos Bonillo, Juan	Extended To
License # 10091001	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 06/23/2021
Entity # 10091001	Lic Status Current	

Address		
Street # 181	Street WASHINGTON AVE	
Line 2		
Line 3		
City MIAMI BEACH	State FL	Zip 33139
Routing		

License		
Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 3393	Name CRUZ, HECTOR JOSE	Extended To
License # 10091023	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 06/16/2021
Entity # 10091023	Lic Status Current	

Address		
Street # 301	Street E DANIA BEACH BLVD	
Line 2		
Line 3		
City DANIA BEACH	State FL	Zip 33004
Routing		

License		
Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 3394	Name Benedicto, Ricardo Oscar	Extended To
License # 10091077	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 06/21/2021
Entity # 10091077	Lic Status Current	

Address		
Street # 3415	Street N OCEAN DRIVE UNIT 702	
Line 2		
Line 3		
City HOLLYWOOD	State FL	Zip 33019
Routing		

MANAGEMENT INFORMATION				
Last Name SUAREZ	First ARNALDO	Middle	Title	Suffix
Office Held OFFICER	License # 11593993	Percentage of Ownership 0		
RESIDENCE ADDRESS				
Street Address or P.O. Box 12480 N PARKLAND BAY TRAIL				
City PARKLAND		State FL	Zip Code (+4 optional) 33076	
County (if Florida address) BROWARD		Country USA		

MANAGEMENT INFORMATION				
Last Name BONESI	First GERMAN	Middle	Title	Suffix
Office Held OFFICER	License # 11765334	Percentage of Ownership 0		
RESIDENCE ADDRESS				
Street Address or P.O. Box 4322 FOXTAIL LANE				
City WESTON		State FL	Zip Code (+4 optional) 33331	
County (if Florida address) BROWARD		Country USA		

RECEIVED
 2022 AUG -9 AM 10:55
 FLORIDA GAMING
 CONTROL COMMISSION

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

OATH

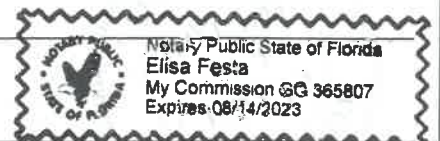
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

German Bonesi CFO [Signature] 8/4/22
 Name (Please Print) Title (Please Print) Signature Date

State of Florida,
 County of BROWARD
 Sworn to (or affirmed) and subscribed before me this 4 day of AUG, 2022

German Bonesi, who is personally known to me or produced the following as identification:

[Signature]
 Notary Public
 My Commission Expires: 8/14/23



Dania Entertainment Center, LLC (JLAI274) License Verifications

License		
Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2023
File # 6411	Name SUAREZ, ARNALDO HIPOLITO	Extended To
License # 11593993	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 05/13/2020
Entity # 11593993	Lic Status Current	

Address		
Street # 12480	Street N PARKLAND BAY TRL	
Line 2		
Line 3		
City PARKLAND	State FL	Zip 33076
Routing		

License		
Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 6753	Name BONESI, GERMAN ERNESTO	Extended To
License # 11765334	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 04/30/2021
Entity # 11765334	Lic Status Current	

Address		
Street # 4322	Street FOXTAIL LN	
Line 2		
Line 3		
City WESTON	State FL	Zip 33331
Routing		

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION
NOTE – This form must be submitted as part of an
application packet

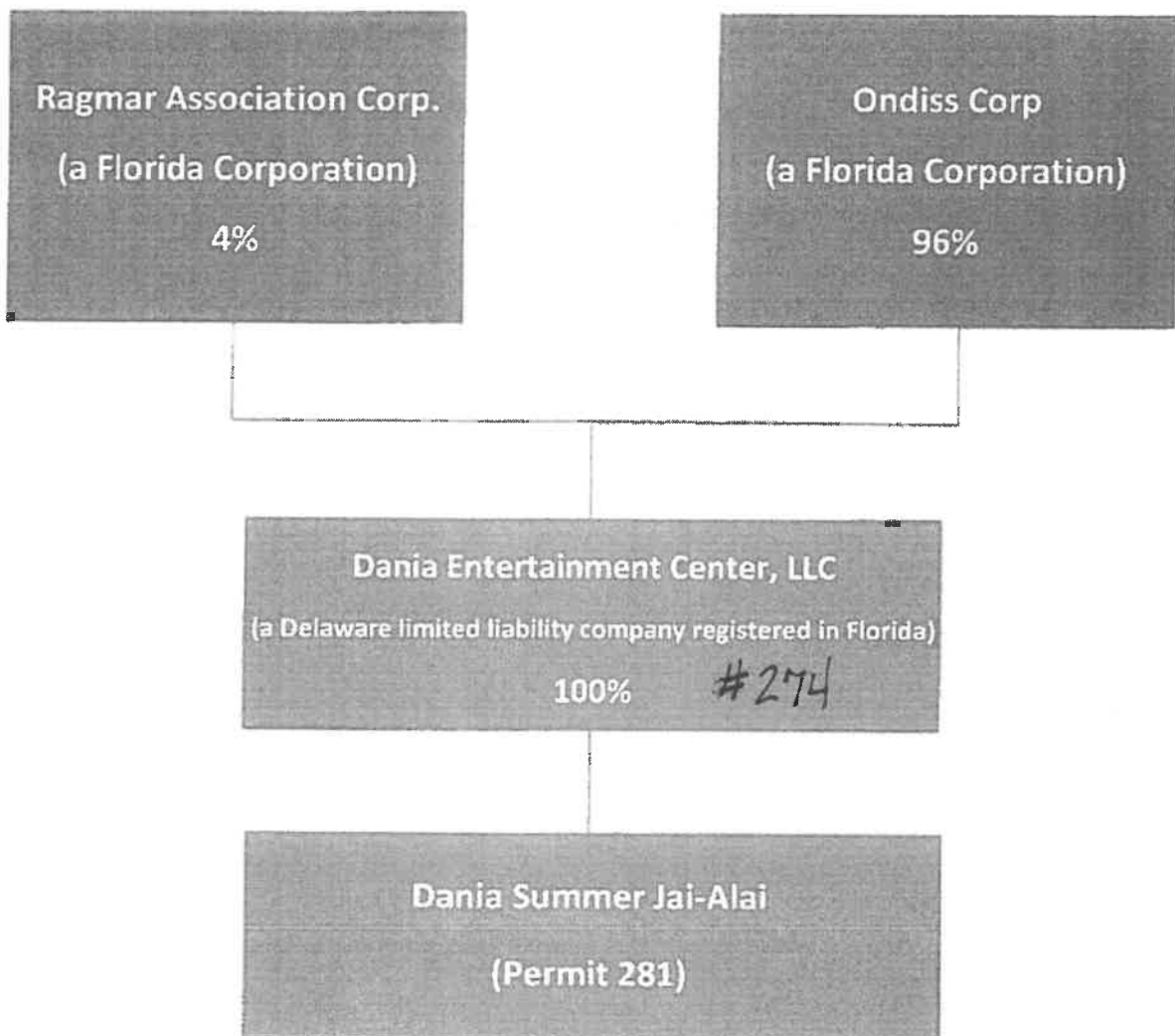
APPLICANT INFORMATION				
Last Name	First	Middle	Title	Suffix
Dania Entertainment Center, LLC				

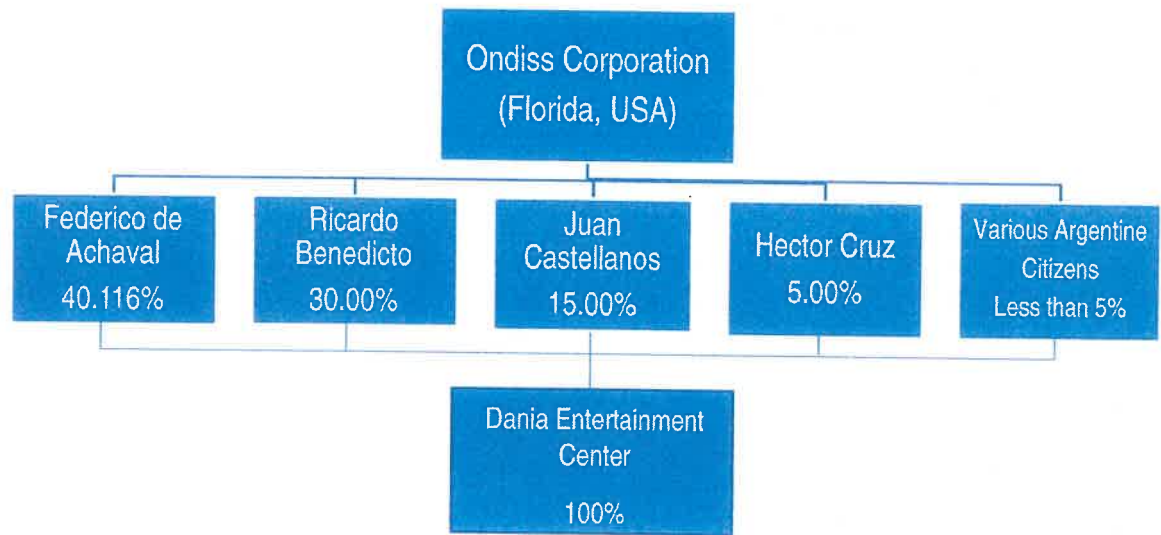
EXPLANATION
RE: PERMITHOLDER LICENSE RENEWAL DBPR PMW 3190 OFFICERS AND DIRECTORS 90.12% OF THE OWNERSHIP IS INCLUDED ON THE UPDATED 3190 THE REMAINING 9.88% OF THE OWNERSHIP IS MADE UP OF MULTIPLE PERSONS WITH LESS THAN 5% OWNERSHIP.

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2022 AUG -9 AM 10:54
FLORIDA GAMING
CONTROL COMMISSION

**DBPR PMW – 3060 – Permitholder Application
for Annual License and Operating Dates**

**Dania Entertainment Center, LLC
Applicant Ownership Structure**





Dania Entertainment Center, LLC

<u>Officer's Last Name</u>	<u>% of Ownership</u>
DeArcheval	40.12%
Castellanos-Bonillo	15.00%
Cruz	5.00%
Benedicto	30.00%
Others	9.88%
Total	100.00%

Ljelks

8/17/2022

 THE CASINO @
DANIA BEACH

La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, FL 32399

RECEIVED
2022 OCT -7 AM 11:59
FLORIDA GAMING
CONTROL COMMISSION

October 6, 2022

Greetings La'Kesha,

Enclosed please find the Bond Renewal Certificate as required for our Slot License #274.

Thank you,


Elisa Festa Tabasso
Director of Compliance

CONTINUATION CERTIFICATE


The NGM Insurance Company (hereinafter called the Surety) hereby continues in force its Bond No. S283863 in the sum of Two Million Dollars and 00/100 (\$2,000,000.00) Dollars, on behalf of DANIA ENTERTAINMENT CENTER, LLC d/b/a THE CASINO @ DANIA BEACH in favor of GOVERNOR OF THE STATE OF FLORIDA for SURETY BOND FOR FLORIDA SLOT MACHINE LICENSEE subject to all the conditions and terms thereof through 1st Day of November, 2023 at location of risk.

This Continuation is executed upon the express condition that the Surety's liability shall not be cumulative and shall be limited at all times by the amount of the penalty stated in the bond.

IN WITNESS WHEREOF, the Surety has caused this instrument to be signed by its duly authorized Attorney-in-Fact and its corporate seal to be hereto affixed this 21st Day of September, 2022.

NGM Insurance Company
Surety

BY: _____


John W. Charlton, Attorney-in-Fact

RECEIVED
2022 OCT -7 AM 11:59
FLORIDA GAMING
CONTROL COMMISSION



MATSON-CHARLTON SURETY GROUP

RECEIVED

2022 OCT -7 AM 11: 59

FLORIDA GAMING CONTROL COMMISSION

BOND RENEWAL NOTICE

DATE: <u>9/13/2022</u>	BOND NO.: <u>S283863</u>
TO: <u>DANIA ENTERTAINMENT CENTER, LLC</u>	
PRINCIPAL: <u>DANIA ENTERTAINMENT CENTER, LLC</u>	
TYPE OF BOND: <u>SLOT MACHINE LICENSE</u>	BOND RENEWAL DATE: <u>11/2/2022</u>

Enclosed please find a renewal invoice for the above captioned bond. Continuation Certificate is enclosed.

Keep copy for your records before you forward original to the obligee.

If bond is no longer needed, return original Certificate to our office for bond cancellation.

Your Surety has requested the following information for this renewal:

- New Application (form enclosed)
- Year-End Corporate Financial Statement as of: _____
- Current Personal Financial Statement (our form enclosed if needed)
- Other: _____

Your prompt response and payment of the renewal premium is greatly appreciated. If payment is not received promptly bond will be cancelled. Please note that bonds cancelled for non-payment may not be reinstated.

Bond has been approved. **Please remit payment before bond renewal date listed above.**

If this bond is no longer needed, please indicate the reason for cancellation in the space provided below and return this form to us as soon as possible to the undersigned.

Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

MATSON-CHARLTON SURETY GROUP

700 South Dixie Highway, Suite 100 • Coral Gables, FL 33146

Phone: 305.662.3852 • Fax: 305.661.9948

NASBP Member

CONTINUATION CERTIFICATE

The NGM Insurance Company (hereinafter called the Surety) hereby continues in force its Bond No. 5283863 in the sum of Two Million Dollars and 00/100 (\$2,000,000.00) Dollars, on behalf of DANIA ENTERTAINMENT CENTER, LLC in favor of GOVERNOR OF THE STATE OF FLORIDA for SURETY BOND FOR FLORIDA SLOT MACHINE LICENSEE subject to all the conditions and terms thereof through 1st Day of November, 2022 at location of risk.

This Continuation is executed upon the express condition that the Surety's liability shall not be cumulative and shall be limited at all times by the amount of the penalty stated in the bond.

IN WITNESS WHEREOF, the Surety has caused this instrument to be signed by its duly authorized Attorney-in-Fact and its corporate seal to be hereto affixed this 15th Day of October, 2021.

NGM Insurance Company
Surety

BY: _____

John W. Charlton, Attorney-in-Fact

RECEIVED
2022 AUG -9 AM 10:54
FLORIDA GAMING
CONTROL COMMISSION



KNOW ALL MEN BY THESE PRESENTS: That NGM Insurance Company, a Florida corporation having its principal office in the City of Jacksonville, State of Florida, pursuant to Article IV, Section 2 of the By-Laws of said Company, to wit:

"Article IV, Section 2: The board of directors, the president, any vice president, secretary, or the treasurer shall have the power and authority to appoint attorneys-in-fact and to authorize them to execute on behalf of the company and affix the seal of the company thereto, bonds, recognizances, contracts of indemnity or writings obligatory in the nature of a bond, recognizance or conditional undertaking and to remove any such attorneys-in-fact at any time and revoke the power and authority given to them."

does hereby make, constitute and appoint D W Matson III, John W Charlton

its true and lawful Attorneys-in-fact, to make, execute, seal and deliver for and on its behalf, and as its act and deed, bonds, undertakings, recognizances, contracts of indemnity, or other writings obligatory in nature of a bond subject to the following limitation:

- 1. No one bond to exceed Ten Million Dollars (\$10,000,000.00)

and to bind NGM Insurance Company thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of NGM Insurance Company; the acts of said Attorney are hereby ratified and confirmed.

This power of attorney is signed and sealed by facsimile under and by the authority of the following resolution adopted by the Directors of NGM Insurance Company at a meeting duly called and held on the 2nd day of December 1977.

Voted: That the signature of any officer authorized by the By-Laws and the company seal may be affixed by facsimile to any power of attorney or special power of attorney or certification of either given for the execution of any bond, undertaking, recognizance or other written obligation in the nature thereof; such signature and seal, when so used being hereby adopted by the company as the original signature of such office and the original seal of the company, to be valid and binding upon the company with the same force and effect as though manually affixed.

IN WITNESS WHEREOF, NGM Insurance Company has caused these presents to be signed by its Vice President, General Counsel and Secretary and its corporate seal to be hereto affixed this 8th day of January, 2016.

NGM INSURANCE COMPANY By:

Bruce R Fox
Bruce R Fox
Vice President, General
Counsel and Secretary



State of Florida,
County of Duval.

On this January 8, 2016, before the subscriber a Notary Public of State of Florida in and for the County of Duval duly commissioned and qualified, came Bruce R Fox of NGM Insurance Company, to me personally known to be the officer described herein, and who executed the preceding instrument, and he acknowledged the execution of same, and being by me fully sworn, deposed and said that he is an officer of said Company, aforesaid: that the seal affixed to the preceding instrument is the corporate seal of said Company, and the said corporate seal and her signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Company; that Article IV, Section 2 of the By-Laws of said Company is now in force.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at Jacksonville, Florida this 8th day of January, 2016.

Tasha Ann Philpot



Tasha Ann Philpot
NOTARY PUBLIC
STATE OF FLORIDA
Comm# FE915117
Expires 10/3/2019

I, Nancy Giordano-Ramos, Vice President of NGM Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by said Company which is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company at Jacksonville, Florida this 10th day of October, 2016.

Nancy Giordano-Ramos

WARNING: Any unauthorized reproduction or alteration of this document is prohibited.
TO CONFIRM VALIDITY of the attached bond please call 1-800-225-5646
TO SUBMIT A CLAIM: Send all correspondence to 55 West Street, Keene, NH 03431 Attn: Bond Claims.



MATSON-CHARLTON SURETY GROUP

RECEIVED

2022 AUG -9 AM 10: 54

FLORIDA GAMING CONTROL COMMISSION

BOND RENEWAL NOTICE

DATE: 10/13/2021 BOND NO.: S283863

TO: DANIA ENTERTAINMENT CENTER, LLC dba THE CASINO @ DANIA BEACH

PRINCIPAL: DANIA ENTERTAINMENT CENTER, LLC dba THE CASINO @ DANIA BEACH

TYPE OF BOND: SLOT MACHINE LICENSE BOND RENEWAL DATE: 11/2/2021

Enclosed please find a renewal invoice for the above captioned bond. Continuation Certificate is enclosed. Keep copy for your records and forward original to the obligee. If bond is no longer needed, return original to our office to close this obligation.

Your Surety has requested the following information for this renewal:

- New Application (form enclosed)
- Year-End Corporate Financial Statement as of: _____
- Current Personal Financial Statement (our form enclosed if needed)
- Other: _____

Your prompt response and payment of the renewal premium is greatly appreciated. If payment is not received promptly bond will be cancelled. Please note that bonds cancelled for non-payment may not be reinstated.

Bond has been approved. **Please remit payment before bond renewal date listed above.**

If this bond is no longer needed, please indicate the reason for cancellation in the space provided below and return this form to us as soon as possible to the undersigned.

Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

MATSON-CHARLTON SURETY GROUP
700 South Dixie Highway, Suite 100 • Coral Gables, FL 33146
Phone: 305.662.3852 • Fax: 305.661.9948
NASBP Member



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 79 - Department of Business & Professional Regulation

Batch #: 22009078

Receipt: 2

Total \$ Entered: \$ 2,250,000.00

Receipts Entered: 2

Origin: EFT

Deposit #: AD0080

Total: \$ 2,250,000.00

Fiscal Year: 2022

Deposit Date: 2022-10-25

Status: Deposited

Receipt #	DLN	Received	Disp	Pmt	Note	Unassigned	Prof	Remitted By / Beneficiary	File #	License #	Assigned
220063617		\$ 250,000.00	DEP	EFT		\$ 250,000.00					
							1003	DANIA ENTERTAINMENT CENTER, LLC	4	274	\$ 0.00
220063618		\$ 2,000,000.00	DEP	EFT		\$ 2,000,000.00					
							1003	DANIA ENTERTAINMENT CENTER, LLC	4	274	\$ 0.00
Total:			\$ 2,250,000.00			\$ 2,250,000.00					\$ 0.00



KNOW ALL MEN BY THESE PRESENTS: That NGM Insurance Company, a Florida corporation having its principal office in the City of Jacksonville, State of Florida, pursuant to Article IV, Section 2 of the By-Laws of said Company, to wit:

"Article IV, Section 2. The board of directors, the president, any vice president, secretary, or the treasurer shall have the power and authority to appoint attorneys-in-fact and to authorize them to execute on behalf of the company and affix the seal of the company thereto, bonds, recognizances, contracts of indemnity or writings obligatory in the nature of a bond, recognizance or conditional undertaking and to remove any such attorneys-in-fact at any time and revoke the power and authority given to them. "

does hereby make, constitute and appoint **D W Matson III, John W Charlton** -----

its true and lawful Attorneys-in-fact, to make, execute, seal and deliver for and on its behalf, and as its act and deed, bonds, undertakings, recognizances, contracts of indemnity, or other writings obligatory in nature of a bond subject to the following limitation:

- 1. No one bond to exceed Ten Million Dollars (\$10,000,000.00)

and to bind NGM Insurance Company thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of NGM Insurance Company; the acts of said Attorney are hereby ratified and confirmed.

This power of attorney is signed and sealed by facsimile under and by the authority of the following resolution adopted by the Directors of NGM Insurance Company at a meeting duly called and held on the 2nd day of December 1977.

Voted: That the signature of any officer authorized by the By-Laws and the company seal may be affixed by facsimile to any power of attorney or special power of attorney or certification of either given for the execution of any bond, undertaking, recognizance or other written obligation in the nature thereof; such signature and seal, when so used being hereby adopted by the company as the original signature of such office and the original seal of the company, to be valid and binding upon the company with the same force and effect as though manually affixed.

IN WITNESS WHEREOF, NGM Insurance Company has caused these presents to be signed by its Vice President, General Counsel and Secretary and its corporate seal to be hereto affixed this 7th day of January, 2020.

NGM INSURANCE COMPANY By:

Kimberly K. Law

Kimberly K. Law
Vice President,
General Counsel and Secretary



State of Florida,
County of Duval.

On this 7th day of January, 2020, before the subscriber a Notary Public of State of Florida in and for the County of Duval duly commissioned and qualified, came Kimberly K. Law of NGM Insurance Company, to me personally known to be the officer described herein, and who executed the preceding instrument, and she acknowledged the execution of same, and being by me fully sworn, deposed and said that she is an officer of said Company, aforesaid: that the seal affixed to the preceding instrument is the corporate seal of said Company, and the said corporate seal and her signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Company; that Article IV, Section 2 of the By-Laws of said Company is now in force.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at Jacksonville, Florida this 7th day of January, 2020.

Lisa K Penton



I, Nancy Giordano-Ramos, Vice President of NGM Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by said Company which is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company at Jacksonville, Florida this

21st day of September, 2022.

Nancy Giordano-Ramos

WARNING: Any unauthorized reproduction or alteration of this document is prohibited.
TO CONFIRM VALIDITY of the attached bond please call 1-800-225-5646.
TO SUBMIT A CLAIM: Send all correspondence to 55 West Street, Keene, NH 03431 Attn: Bond Claims.



Application Home | Change Application

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: ljelks

VR Home > Application Search > Transaction Check List > Transaction Header

Fed Tax # [REDACTED]	Name DANIA ENTERTAINMENT CENTER, LLC	Lic Type 1003 - Permitholder App for Annual Slot Licens	Trans Class I - Initial
File # 4	Rank Permit holder Appl Annual Slot Mach Lic	App # 125	Sec Class S - Standard
License # 274	Lic Status Current	Status Open	
Entity # 274	Expires On 11/08/2022	Trans Code 1020 - Slot Machine License	

<p>App Date 08/17/2022 </p> <p>Normal Expiration Date 08/18/2023</p> <p>Override Expiration Date </p> <p>Application Disposition <input type="text"/></p> <p>1st Reminder</p> <p>2nd Reminder</p> <table border="0"> <tr><td>Total Fee \$</td><td>2,250,000.00</td></tr> <tr><td>Paid \$</td><td>2,250,000.00</td></tr> <tr><td>Released \$</td><td>2,250,000.00</td></tr> <tr><td>Due \$</td><td>0.00</td></tr> </table> <p>Notes</p> <p>Set License Expiry</p>	Total Fee \$	2,250,000.00	Paid \$	2,250,000.00	Released \$	2,250,000.00	Due \$	0.00	<p>Application For</p> <p>Rank Permit holder Appl Annual Slot Mach Lic</p> <p>Lic Status Current</p> <p>Modifiers</p> <p>Type <input type="text"/> Code <input type="text"/></p> <p>Additional Info <input type="text"/> <input type="button" value="Add"/></p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Additional Info</th> <th>Actions</th> </tr> </thead> <tbody> <tr> <td colspan="4">No items found.</td> </tr> </tbody> </table>	Type	Modifier	Additional Info	Actions	No items found.				<input type="button" value="Dpsit History"/> <input type="button" value="History"/> <input type="button" value="Deficiencies"/> <input type="button" value="Regenerate"/> <input type="button" value="Notes"/> <input type="button" value="Notes History"/> <input type="button" value="Cancel"/> <input type="button" value="OK"/>
Total Fee \$	2,250,000.00																	
Paid \$	2,250,000.00																	
Released \$	2,250,000.00																	
Due \$	0.00																	
Type	Modifier	Additional Info	Actions															
No items found.																		
<input type="button" value="Checklist"/> <input type="button" value="Release"/> <input type="button" value="Transfer"/> <input type="button" value="Approve"/> <input type="button" value="Exit"/>																		

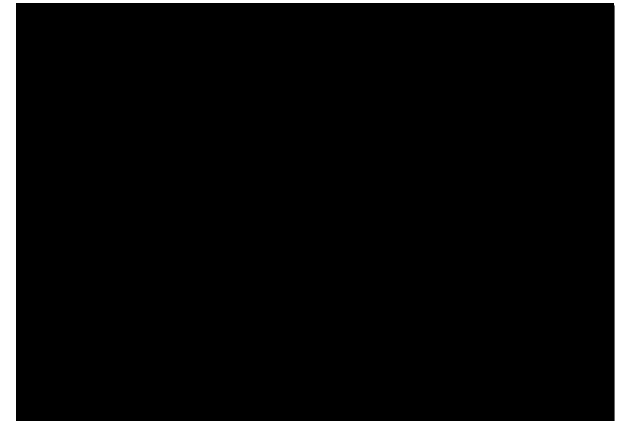
Get Adobe Reader.

CASINO @ DANIA BEACH-CUSTODIAN OF RECORDS

Each Department Manager is responsible for retaining all of their respective Department records.

DEPARTMENT	CUSTODIAN	LICENSE#	TITLE
Compliance/Internal Audit	Elisa Festa Tabasso	11635646	Director of Compliance
Surveillance	Karen Lopez	10245012	Director of Surveillance
Security	Richard Lackey	11577918	Director of Security
Slots	Juan Pablo Villamayor	10164913	Director of Operations
Human Resources	Denise Masak	10450634	Director of Human Resources
Marketing	James Crowder	11247550	Marketing Director
Finance (Revenue Audit)	German Bonesi	11765334	Chief Financial Officer
Cage/Count Operations	Oscar Pavicich	10164817	Director Cage/Cash Controls
I.T. (IGT System)	Emiliano Real	10379503	Director of IT

E-MAIL



License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2023**
File # **6497** Name **TABASSO, ELISA FESTA** Extended To
License # **11635646** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **05/13/2020**
Entity # **11635646** Lic Status **Current**

Address

Street # **2204** Street **N 16TH AVE**
Line 2
Line 3
City **HOLLYWOOD** State **FL** Zip **33020**
Routing

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
File # **3855** Name **Lopez, Karen S** Extended To
License # **10245012** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/29/2021**
Entity # **10245012** Lic Status **Current**

Address

Street # **4115** Street **NW 79TH AVE**
Line 2
Line 3
City **SUNRISE** State **FL** Zip **33351**
Routing

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**
File # **6391** Name **Lackey, Richard Anthony Jr** Extended To
License # **11577918** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **05/02/2022**
Entity # **11577918** Lic Status **Current**

Address

Street # **2997** Street **MYRTLE OAK CIRCLE**
Line 2
Line 3
City **DAVIE** State **FL** Zip **33328**
Routing

License

Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2025
File # 3622	Name VILLAMAYOR, JUAN PABLO	Extended To
License # 10164913	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 04/12/2022
Entity # 10164913	Lic Status Current	

Address

Street # 1100	Street QUEEN PALM CT	
Line 2		
Line 3		
City HOLLYWOOD	State FL	Zip 33019
Routing		

License

Fed Tax # [REDACTED]	Lic Type 1056 - Slot Combo General	Expires On 06/30/2023
File # 3831	Name Masak, Denise	Extended To
License # 10450634	Rank SCCL - Slots-Cardroom Combo License	Renewed On 05/20/2020
Entity # 10450634	Lic Status Closed	

Address

Street # 6952	Street SW 39TH STREET C206	
Line 2		
Line 3		
City DAVIE	State FL	Zip 33314
Routing		

License

Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2025
File # 5826	Name CROWDER, JAMES JOSHALIN	Extended To
License # 11247550	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 04/18/2022
Entity # 11247550	Lic Status Current	

Address

Street # 12543	Street NW 10TH CT	
Line 2		
Line 3		
City SUNRISE	State FL	Zip 33323
Routing		

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
File # **6753** Name **BONESI, GERMAN ERNESTO** Extended To
License # **11765334** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/30/2021**
Entity # **11765334** Lic Status **Current**

Address

Street # **4322** Street **FOXTAIL LN**
Line 2
Line 3
City **WESTON** State **FL** Zip **33331**
Routing

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**
File # **3618** Name **PAVICICH, OSCAR EDUARDO** Extended To
License # **10164817** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/07/2022**
Entity # **10164817** Lic Status **Current**

Address

Street # **4934** Street **LEEWARD LANE**
Line 2
Line 3
City **FORT LAUDERDALE** State **FL** Zip **33312**
Routing

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**
File # **4182** Name **REAL, EMILIANO** Extended To
License # **10379503** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/08/2022**
Entity # **10379503** Lic Status **Current**

Address

Street # **1611** Street **W OAK KNOLL CIR**
Line 2
Line 3
City **DAVIE** State **FL** Zip **33324**
Routing

The Casino @ Dania Beach

VENDOR LIST

COMPANY NAME	ADDRESS	LICENSE #	LICENSE EXPIRE		STATUS
AGS, LLC ✓	5475 South Decatur Blvd., Suite 100 Las Vegas, NV 89118	8281949	06.30/2023 ✓	Slot Business	Current
AINSWORTH GAME TECHNOLOGY, LTD ✓	6600 N W 12th Avenue, Ste 201, Fort Lauderdale, FL 33309	7929049	06/30/2024 ✓	Slot Business	Current
ARISTOCRAT TECHNOLOGIES INC ✓	7230 Amigo Street Las Vegas, NV 89119	7830421	06/30/2024 ✓	Slot Business	Current
ARUZE GAMING AMERICA, INC ✓	955 Grier Drive, Suite A Las Vegas, NV 89119	8587586	06/30/2025 ✓	Slot Business	Current
BALLY (SCIENTIFIC GAMES) ✓	6601 S. Bermuda Rd., Las Vegas, NV 89119-3605	7805891	06/30/2024 ✓	Slot Business	Current
BMM NORTH AMERICA INC ✓	815 Pilot Road, Suite G Las Vegas, Nevada 89119	7869859	06/30/2023 ✓	Slot Business	Current
CUMMINS-ALLISON ✓	852 Feehanville Drive, Mt. Prospect, IL 60056	7934408	06/30/2025 ✓	Slot Business	Current
HAYES INTERNATIONAL, INC ✓	7550 sw 42nd Court Davie, FL 33314	11018137 Colin Hayes 1051-10759913	06/24/2024 ✓	Slot Business	Current
IGT (INC) ✓	6355 S Buffalo Drive, Las Vegas, NV 89113-2133	7800936	06/30/2024 ✓	Slot Business	Current
INCREDIBLE TECHNOLOGIES CASINO GAMES INC ✓	200 Corporate Woods Parkway Vernon Hills Illinois 60061	8801896	06/30/2024 ✓	Slot Business	Current
INTERBLOCK USA, LC ✓	1106 Palms Airport Drive Las Vegas, NV 89119-3730	8759471	06/30/2025 ✓	Slot Business	Current
INTERNATIONAL GAMING SOLUTIONS, INC. ✓	7991 West 25th CT. Hialeah, FL 33016	10965598	06/30/2024 ✓	Slot Business	Current
KONAMI GAMING INC ✓	585 Konami Circle Las Vegas, NV 89119-3720	7883140	06/30/2024 ✓	Slot Business	Current
MULTIMEDIA / EVERI GAMES ✓	7250 S Tenaya Way Suite 100 Las Vegas, NV 89113	9943621	06/30/2024 ✓	Slot Business	Current
PATRIOT GAMING & ELECTRONICS ✓	217 N. Lindberg, Griffith, IN 46319	8605145	06/30/2025 ✓	Slot Business	Current

REEL GAMES, INC ✓	1501 NE 13 Ave Ft Lauderdale, FL 33304	7830710	06/30/2024 ✓	Slot Business	Current
SCA ENTERTAINMENT, LP DBA ✓ SCA GAMING	3030 LBJ Freeway, 3rd FL Dallas, TX 75234	12077540	06/30/2025 ✓	Slot Business	Current
SPIN INC ✓	35 SW 12TH AVE, SUITE 107 DANIA BEACH FL 33004	8010467	06/30/2024 ✓	Slot Business	Current
TOTAL KEY CONTROL, INC ✓	3270 Suntree Blvd. Melbourne, FL 32940	10764411	06/30/2025 pending	Slot Business	Current
VSR ✓	6190 Mt. Vista Street Henderson, NV 89014	1499535	06/30/2024 ✓	Slot Business	Current
nao ventures inc d/b/a MAVERICK INTERNATIONAL ✓	6122 NW 72ND AVE, Miami FL 33166 305-887-4484 mcno1445@yahoo.com	11938935	06/30/2024 ✓	Slot Business	Current
ZUUM USA, LLC	10161 Park Run Drive, Suite 150 Las Vegas, NV 89145	10535996	06/30/2023	Slot Business	Current

Dania Entertainment Center, LLC (JLAI274) License Verifications

License		
Fed Tax # [REDACTED]	Lic Type 1058 - Slot Combo Business	Expires On 06/30/2023
File # 45	Name AGS, LLC	Extended To
License # 8281949	Rank SCBL - Slot Combo Business License	Renewed On 06/08/2020
Entity # 8281949	Lic Status Current	

Address		
Street # 5475	Street SOUTH DECATUR BLVD SUITE 100	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 57	Name AINSWORTH GAME TECHNOLOGY LTD	Extended To
License # 7929049	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/22/2021
Entity # 7929049	Lic Status Current	

Address		
Street # 10	Street HOLKER STREET	
Line 2		
Line 3		
City NENINGTON NSW	State 99	Zip 2127
Routing		

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 13	Name ARISTOCRAT TECHNOLOGIES INC	Extended To
License # 7830421	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/07/2021
Entity # 7830421	Lic Status Current	

Address		
Street # 10220	Street ARISTOCRAT WAY	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89135
Routing		

Dania Entertainment Center, LLC (JLAI274) License Verifications

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 123	Name ARUZE GAMING AMERICA INC	Extended To
License # 8587586	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/15/2022
Entity # 8587586	Lic Status Current	

Address		
Street # 6900	Street S. DECATUR BLVD. SUITE 100	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 9	Name SG GAMING INC	Extended To
License # 7805891	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/22/2012
Entity # 7805891	Lic Status Current	

Address		
Street # 6601	Street SOUTH BERMUDA ROAD	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

DBA Name
DBA SCIENTIFIC GAMES

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2023
File # 28	Name BMM NORTH AMERICA INC	Extended To
License # 7869859	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/17/2020
Entity # 7869859	Lic Status Current	

Address		
Street # 815	Street PILOT ROAD SUITE G	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Dania Entertainment Center, LLC (JLAI274) License Verifications

License

Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
File # **58** Name **CUMMINS-ALLISON CORP** Extended To
License # **7934408** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/22/2022**
Entity # **7934408** Lic Status **Current**

Address

Street # **852** Street **FEEHANVILLE DR**
Line 2
Line 3
City **MT PROSPECT** State **IL** Zip **60090**
Routing

License

Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
File # **225** Name **HAYES INTERNATIONAL INC** Extended To
License # **11018137** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/12/2021**
Entity # **11018137** Lic Status **Current**

Address

Street # **7550** Street **SW 42ND COURT**
Line 2
Line 3
City **DAVIE** State **FL** Zip **33314**
Routing

License

Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
File # **7** Name **IGT INC** Extended To
License # **7800936** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/02/2021**
Entity # **7800936** Lic Status **Current**

Address

Street # **6355** Street **S BUFFALO DRIVE**
Line 2
Line 3
City **LAS VEGAS** State **NV** Zip **89113**
Routing

Dania Entertainment Center, LLC (JLAI274) License Verifications

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 140	Name INCREDIBLE TECHNOLOGIES CASINO GAMES INC	Extended To
License # 8801896	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2021
Entity # 8801896	Lic Status Current	

Address		
Street # 200	Street CORPORATE WOODS PARKWAY	
Line 2		
Line 3		
City VERNON HILLS	State IL	Zip 60061
Routing		

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 134	Name INTERBLOCK USA L. C.	Extended To
License # 8759471	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/29/2022
Entity # 8759471	Lic Status Current	

Address		
Street # 1106	Street PALMS AIRPORT DRIVE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119-3730
Routing		

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 224	Name INTERNATIONAL GAMING SOLUTIONS INC	Extended To
License # 10965598	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/28/2021
Entity # 10965598	Lic Status Current	

Address		
Street # 7991	Street WEST 25TH COURT	
Line 2		
Line 3		
City HIALEAH	State FL	Zip 33016
Routing		

Dania Entertainment Center, LLC (JLAI274) License Verifications

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 30	Name KONAMI GAMING INC	Extended To
License # 7883140	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/30/2018
Entity # 7883140	Lic Status Current	

Address		
Street # 585	Street KONAMI CIRCLE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 174	Name EVERI GAMES, INC.	Extended To
License # 9943621	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/25/2021
Entity # 9943621	Lic Status Current	

Address		
Street # 7250	Street S TENAYA WAY, SUITE 100	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89113
Routing		

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 124	Name PATRIOT GAMING & ELECTRONICS, INC.	Extended To
License # 8605145	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/18/2022
Entity # 8605145	Lic Status Current	

Address		
Street # 217	Street N. LINDBERG	
Line 2		
Line 3		
City GRIFFITH	State IN	Zip 46319
Routing		

Dania Entertainment Center, LLC (JLAI274) License Verifications

License

Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
File # **14** Name **REEL GAMES INC** Extended To
License # **7830710** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/15/2021**
Entity # **7830710** Lic Status **Current**

Address

Street # **1501** Street **NE 13TH AVENUE**
Line 2
Line 3
City **FORT LAUDERDALE** State **FL** Zip **33304**
Routing

License

Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
File # **249** Name **SCA ENTERTAINMENT, LP** Extended To
License # **12077540** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Entity # **12077540** Lic Status **Current**

Address

Street # **3030** Street **LBJ FREEWAY, 3RD FLOOR**
Line 2
Line 3
City **DALLAS** State **TX** Zip **75234**
Routing

License

Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
File # **76** Name **SPIN INC** Extended To
License # **8010467** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **05/25/2021**
Entity # **8010467** Lic Status **Current**

Address

Street # **35** Street **SW 12TH AVE SUITE 107**
Line 2
Line 3
City **DANIA BEACH** State **FL** Zip **33004**
Routing

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 218	Name TOTAL KEY CONTROL, INC.	Extended To
License # 10764411	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 09/01/2022
Entity # 10764411	Lic Status Current	

Address

Street # 7777	Street NORTH WICKHAM ROAD		
Line 2 SUITE 12-603			
Line 3			
City MELBOURNE	State FL	Zip 32940	
	Routing		

Dania Entertainment Center, LLC (JLAI274) License Verifications

License

Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2022**
File # **218** Name **TOTAL KEY CONTROL, INC.** Extended To
License # **10764411** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/06/2016**
Entity # **10764411** Lic Status **Lic Expired**

Address

Street # **7777** Street **NORTH WICKHAM ROAD**
Line 2 **SUITE 12-603**
Line 3
City **MELBOURNE** State **FL** Zip **32940**
Routing

License

Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
File # **29** Name **VSR INDUSTRIES INC** Extended To
License # **1499535** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/15/2021**
Entity # **1499535** Lic Status **Current**

Address

Street # **6190** Street **MT VISTA STREET**
Line 2
Line 3
City **HENDERSON** State **NV** Zip **89014**
Routing

License

Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
File # **244** Name **NAO VENTURES INC** Extended To
License # **11938935** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/18/2021**
Entity # **11938935** Lic Status **Current**

Address

Street # **6155** Street **NW 72 AVE**
Line 2
Line 3
City **MIAMI** State **FL** Zip **33166**
Routing

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 218	Name TOTAL KEY CONTROL, INC.	Extended To
License # 10764411	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 09/01/2022
Entity # 10764411	Lic Status Current	

Address

Street # 7777	Street NORTH WICKHAM ROAD	
Line 2 SUITE 12-603		
Line 3		
City MELBOURNE	State FL	Zip 32940
	Routing	

Other

1st License Date 08/29/2019	Rank Date 09/01/2022	Certificate #
Method I-S-1020	Status Date 09/01/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

Dania Entertainment Center, LLC (JLAI274) License Verifications

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2023
File # 210	Name ZUUM USA LLC	Extended To
License # 10535996	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/15/2020
Entity # 10535996	Lic Status Current	

Address

Street # 10161	Street PARK RUN DRIVE SUITE 150	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89145
	Routing	

Jelks, La'Kesha

From: Jelks, La'Kesha
Sent: Wednesday, August 17, 2022 11:25 AM
To: 'Elisa Festa'
Subject: RE: Dania Slot License Renewal

Categories: Slots License

Greetings,

The Slots Renewal Application submitted for Dania Entertainment Center, LLC (SM274) was received, however, the application remains incomplete for:

- Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS (submit before October 15, 2022)
- Submit updated surety bond to reflect Nov. 1, 2023 before application is presented before the Commission

The item listed require submission and/or correction to complete the renewal application. If you have any questions regarding the deficiencies, please contact me at your earliest convenience.

Best,

La'Kesha Jelks



FLORIDA
GAMING CONTROL
COMMISSION

La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 717-1095

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The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

From: Elisa Festa [mailto:elisa.festa@daniacasino.com]
Sent: Friday, August 5, 2022 11:36 AM
To: Jelks, La'Kesha; Pouncey, Jamie
Subject: Dania Slot License Renewal

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Good Morning,

Please see attached for our license renewal application. The originals will be mailed to you. Please confirm receipt of information.

Let me know if you have any questions. Thank you and have a Fantastic Friday.

Elisa Festa Tabasso, Ph.D | Director of Compliance



301 East Dania Beach Blvd
Dania Beach, Florida 33004
P: (954) 342-1278

Toll Free: 844.7.WIN.BIG | CasinoDaniaBeach.com

Jelks, La'Kesha

From: Pouncey, Jamie
Sent: Tuesday, October 11, 2022 9:38 AM
To: Jelks, La'Kesha
Subject: FW: IC Review Memo - The Casino @ Dania Beach
Attachments: Dania License Renewal Memo-10-11-2022-2023.pdf

FYI

Jamie Pouncey Program Administrator

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
850.717.1073 Office 850.488.0550 FAX 850.556.7301 Cell
Important: Confidentiality & Public Records Statement

From: Crafts, Bill
Sent: Tuesday, October 11, 2022 9:04 AM
To: Pouncey, Jamie
Cc: Jones, Marva; England, Donald
Subject: IC Review Memo - The Casino @ Dania Beach

Good morning Jamie,

We have completed the audit for Dania Entertainment Center, LLC d/b/a The Casino @ Dania Beach License Renewal.

Please see attached.

Have a great day.



Bill Crafts
Chief of Slot Operations
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Slot Operations
954.202.6783 Office 954.202.3370 Fax
[REDACTED]



FLORIDA GAMING CONTROL COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

MEMORANDUM

TO: Jamie Pouncey, Program Administrator
FROM: Bill Crafts, Chief of Slot Operations
SUBJECT: Dania Entertainment Center, LLC d/b/a The Casino @ Dania Beach – Annual Internal Controls Review
DATE: October 11, 2022

The office of Slot Operations has completed our annual review of the Internal Controls of Dania Entertainment Center, LLC d/b/a The Casino @ Dania Beach. At this time, our assessment has shown full compliance with 551, Fla. Stat. (2005) and Fla. Admin. Code R. 61D-14. We strive to continuously review all our licensed facilities systems of control, and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2023-274 being issued on October 11, 2022.

As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to Contact me.

A handwritten signature in cursive script that reads "Bill Crafts".

Bill Crafts
Chief of Slot Operations

OFFICE OF SLOT OPERATIONS
1400 WEST COMMERCIAL BOULEVARD, SUITE 165
FT. LAUDERDALE, FLORIDA 33309



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2022039952 **Incident date:** 08/05/2022 **Status:** 10 - Initial Review

Lic Type: 1003 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: DANIA ENTERTAINMENT CENTER, LLC
 301 EAST DANIA BEACH BOULEVARD, DANIA BEACH, FL 33004

Respondent: FGCC, PARI-MUTUEL WAGERING
 2601 BLAIR STONE ROAD, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE
 Permitholder Name: Dania Entertainment Center, LLC d/b/a The Casino @ Dania Beach
 Date Received: August 5, 2022

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	08/17/2022	R		ljelks	JELKS, LA'KESHA	ljelks	
	08/17/2022	S	1003	10	Initial Review	ljelks	

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Daytona Beach Kennel Club, Inc.'s Request to add Card Tables
Date: October 10, 2022

Executive Summary

A cardroom operator is seeking permission to add additional card tables to its cardroom. The Commission should approve this request.

Background

Daytona Beach Kennel Club, Inc. d/b/a Daytona Beach Racing and Card Club and/or Daytona Beach Kennel Club & Poker Room ("Daytona") possesses a greyhound permit. Daytona has been issued a pari-mutuel operating license and a cardroom license for the 2022-2023 Fiscal Year.¹ Daytona is currently licensed to operate 46 card tables and is requesting permission to add 12 more to its cardroom. Daytona has paid the \$12,000 license fees associated with the additional tables.²

Analysis

Aside from the \$1,000-per-card-table license fee, there is no other applicable restriction³ to the number of card tables that Daytona can add to its cardroom. Since Daytona has paid the additional \$12,000 license fee, Daytona should be authorized to add additional card tables to its cardroom.

Recommendation: The Florida Gaming Control Commission should approve Daytona Beach Kennel Club, Inc. d/b/a Daytona Beach Racing and Card Club and/or Daytona Beach Kennel Club & Poker Room's request to add additional card tables to its cardroom.

¹ See generally § 849.086, Fla. Stat.

² The annual cardroom license fee costs \$1,000 for each table in the cardroom. § 849.086(5)(e), Fla. Stat.

³ There is no indication that PPI is seeking to change the number of card tables for the play of poker games in a designated player method. See §§ 849.086(7)(h)2. & 4., Fla. Stat. (restricting the number of tables for the play of poker games in a designated player manner).

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Daytona Beach Kennel Club, Inc. FISCAL YEAR: 2022/2023

DATE RECEIVED: October 10, 2022 CASE NUMBER: 2022-048727

DATE PROCESSED: October 10, 2022

REVIEWER'S NAME: La'Kesha Jelks

CHANGE REQUESTED: Adding 12 tables for a total of 58.

Requirement	Met? Y/N	Deficiency Sent	Resolved
Original application form DBPR PMW 3160.	Y		
Payment for additional tables	Y		
Floor Plan	N/A		

CONTACT FROM OTHER FACILITIES WITHIN FIFTY (50) MILES:	CONTACTED?			OBJECTED?		
	NO	YES	DATE	NO	YES	DATE
NONE						

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REVENUE SECTION	<input type="checkbox"/>	REVIEWED	INITIALS: <u>TS</u>	DATE: <u>10/13/2022</u>
PERMIT ADMIN	<input checked="" type="checkbox"/>	REVIEWED	INITIALS: <u>JRS</u>	DATE: <u>10/13/22</u>
OPERATIONS REVIEW SP	<input checked="" type="checkbox"/>	REVIEWED	INITIALS: <u>JRS</u>	DATE: <u>10/13/22</u>

see changes

DISTRIBUTION OF PMW AND CARDROOM (If applicable) LICENSE(S):

PARI-MUTUEL LICENSE

General Manager (Original)	Office of Investigations
Office of Auditing	Office of Revenue &
Licensing Administrator	Financial Analysis
(with all paperwork)	Chief Inspector
Regional Manager	U of FL Laboratory
Director's MRS	Florida Greyhound
	Association (Greyhound
	Tracks Only)

CARDROOM LICENSE

General Manager (Original)	Office of Investigations
Office of Auditing	Office of Revenue &
Licensing Administrator	Financial Analysis
(with all paperwork)	Regional Manager
Chief Inspector	Director's MRS



October 7, 2022

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Attn: Jamie Pouncey
2601 Blair Stone Rd
Tallahassee, Florida 32399-1035

RECEIVED
2022 OCT 10 AM 11:04
FLORIDA GAMING
CONTROL COMMISSION

To Whom It May Concern:

We are requesting the addition of 12 poker table licenses to our permit at Daytona Beach Racing and Card Club (960 S Williamson Blvd, Daytona Beach, FL 32114) for the permit year 2022-2023. Enclosed you will find an updated DBPR PMW-3160 and a check for \$12,000 for the license fees.

Thank you

Best regards,



Todd Schryver
Director of Finance

DBPR PMW-3160 – Permitholder Application for Annual License to Operate a Cardroom



STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
 1940 North Monroe Street
 Tallahassee, Florida 32399-1037
www.myfloridalicense.com/dbpr

RECEIVED
 2022 OCT 10 AM
 FLORIDA GAMING CONTROL COMMISSION

INSTRUCTIONS
This form is to be submitted in conjunction with Form DBPR PMW-3220. Form DBPR PMW-3220 must be submitted with all pertinent cardroom operation information.

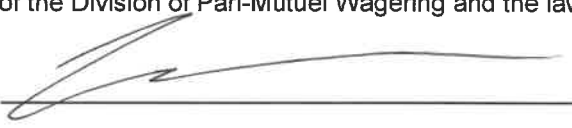
ORGANIZATION INFORMATION		
Federal Employer ID Number:	[REDACTED]	
Permitholder's Legal Name:	Daytona Beach Kennel Club, Inc.	
Doing Business As (D/B/A) Name:	Daytona Beach Racing and Card Club	
MAILING ADDRESS		
Street Address or P.O. Box:	960 S. Williamson Blvd	
City:	State:	Zip Code (+4 optional):
Daytona Beach	FL	32114
County (if Florida address):	Country:	
Volusia	USA	
CONTACT INFORMATION		
Contact Name:	Todd Schryver	
Primary Phone Number:	Primary E-Mail Address:	
[REDACTED]	[REDACTED]	
PHYSICAL ADDRESS		
Street Address:	960 S Williamson Blvd	
City:	State:	Zip Code (+4 optional):
Daytona Beach	FL	32114
County:		
Volusia		

ADDITIONAL CONTACT INFORMATION (OPTIONAL)	
Alternate Phone Number:	Fax Number: (386) 252-4808
Alternate E-Mail Address:	

CARDROOM INFORMATION	
Physical Location of Cardroom:	First floor - central part of building
What is the maximum number of card tables you intend to operate during the license period?	58
A check or money order made payable to DBPR for the table fees (\$1,000 per table) must be submitted with this application.	
Name of cardroom manager or cardroom management company:	Joseph La Rosa
Cardroom manager or cardroom management company license number:	10152488
Type of participation fee charged to players:	Rake - Ante <input checked="" type="checkbox"/> Seat Charge <input type="checkbox"/> Both <input type="checkbox"/>

Additional documentation requirements:

- 1) Attach a list of card games to be offered to your patrons.
- 2) As specified in s. 849.086(9), Florida Statutes, provide evidence that there is in force a surety bond in the amount of \$50,000, payable to the state, or evidence that the pari-mutuel bond has been expanded to include cardroom operations.
- 3) Attach Form DBPR PMW-3220, List of Cardroom Business Occupational Licensees Providing Products and Services to a Cardroom, with whom you intend to do business.
- 4) Attach a copy of your internal controls.
- 5) If this is your initial cardroom operator license application, attach proof that the county commission in the county where you desire to conduct cardroom gaming has voted to approve such activity within the county.

ATTEST STATEMENT	
I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida.	
Signature of Applicant or Applicant's Representative	
Date	10/7/2022

RECEIVED
 2022 OCT 10 AM 11:04
 FLORIDA GAMING
 CONTROL COMMISSION

License

Fed Tax # [REDACTED]	Lic Type 1012 - Cardroom Employee Occupational	Expires On 06/30/2025
File # 7523	Name LA ROSA, JOSEPH	Extended To
License # 10152488	Rank CEMP - Cardroom Employee Occupational	Renewed On 06/13/2022
Entity # 10152488	Lic Status Current	

Address

Street # 1955	Street LANCASTER LANE	
Line 2		
Line 3		
City THE VILLAGES	State FL	Zip 32162
	Routing	

DAYTONA BEACH KENNEL CLUB
 960 SOUTH WILLIAMSON BLVD
 DAYTONA, FL 32114

VENDOR NO.	DATE	CHECK NO
0000217311	09/27/22	01007254

Invoice Date	Invoice Number	Gross Amount	Discount Taken	Paid Amount
09/23/22	92322LICFEES	12,000.00	0.00	12,000.00

DETACH BEFORE DEPOSITING

TOTALS :

\$12,000.00	\$0.00	\$12,000.00
-------------	--------	-------------

RECEIVED
 2022 OCT 10 AM 11:05
 FLORIDA GAMING CONTROL COMMISSION

REMOVE DOCUMENT ALONG THIS PERFORATION

ARTIFICIAL WATERMARK ON REVERSE SIDE - DO NOT ACCEPT WITHOUT NOTING WATERMARK - HOLD AT ANGLE TO VIEW

Batch #: 22008114

Receipt #: 220080000

DAYTONA BEACH KENNEL CLUB
 960 SOUTH WILLIAMSON BLVD
 DAYTONA, FL 32114

60-937
 213
 JP MORGAN CHASE BANK, N.A.
 Syracuse, NY

DATE	CHECK NO.
09/27/22	01007254
**** \$12,000.00	

VOID IF NOT CASHED WITHIN 90 DAYS

** Twelve thousand and xx/100Dollar **

PAY TO THE ORDER OF: FLORIDA GAMING CONTROL COMMISSION
 0
 VEN:0000217311

[Signature]
 AUTHORIZED SIGNATURE



Jelks, La'Kesha

From: Todd Schryver <TSCHRYVE@delawarenorth.com>
Sent: Thursday, October 13, 2022 9:53 AM
To: Jelks, La'Kesha
Subject: RE: Daytona Beach Kennel Club, Inc. d/b/a Daytona Beach Racing and Card Club and/or Daytona Beach Kennel Club & Poker Room Amendment B

Categories: Amendment

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Will not change from the current 14 tables.
We are expanding our tournament area.

From: Jelks, La'Kesha <La'Kesha.Jelks@fgcc.fl.gov>
Sent: Thursday, October 13, 2022 9:44 AM
To: Todd Schryver <TSCHRYVE@delawarenorth.com>
Subject: RE: Daytona Beach Kennel Club, Inc. d/b/a Daytona Beach Racing and Card Club and/or Daytona Beach Kennel Club & Poker Room Amendment B

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Good morning,

After the additional tables are added, how many DP tables will you have?

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 717-1095

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From: Todd Schryver [mailto:TSCHRYVE@delawarenorth.com]

Sent: Tuesday, October 11, 2022 11:17 AM

To: Jelks, La'Kesha

Subject: RE: Daytona Beach Kennel Club, Inc. d/b/a Daytona Beach Racing and Card Club and/or Daytona Beach Kennel Club & Poker Room Amendment B

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Safe to assume this change request will be on the November commission agenda?

From: Jelks, La'Kesha <La'Kesha.Jelks@fgcc.fl.gov>

Sent: Monday, October 10, 2022 4:21 PM

To: Todd Schryver <TSCHRYVE@delawarenorth.com>

Subject: RE: Daytona Beach Kennel Club, Inc. d/b/a Daytona Beach Racing and Card Club and/or Daytona Beach Kennel Club & Poker Room Amendment B

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It will not hold up the process of getting the license approved.

From: Todd Schryver [mailto:TSCHRYVE@delawarenorth.com]

Sent: Monday, October 10, 2022 3:11 PM

To: Jelks, La'Kesha

Subject: RE: Daytona Beach Kennel Club, Inc. d/b/a Daytona Beach Racing and Card Club and/or Daytona Beach Kennel Club & Poker Room Amendment B

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Hi La'Kesha

We don't have a finalized drawing yet. Will that be required prior to the application change being considered by the gaming commission or can the drawing come later, prior to scheduling the inspection?

We don't have a timeline yet on making the changes. Just wanted to get the license updated ahead of time.

Thank you

From: Jelks, La'Kesha <La'Kesha.Jelks@fgcc.fl.gov>

Sent: Monday, October 10, 2022 2:50 PM

To: Todd Schryver <TSCHRYVE@delawarenorth.com>

Subject: Daytona Beach Kennel Club, Inc. d/b/a Daytona Beach Racing and Card Club and/or Daytona Beach Kennel Club & Poker Room Amendment B

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Greetings,

Please send the floor plan that shows placement of the 12 additional tables.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 717-1095

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State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2022048727 **Incident date:** **Status:** 10 - Initial Review

Lic Type: 1002 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: DAYTONA BEACH KENNEL CLUB, INC.
 960 S. WILLIAMSON BOULEVARD, DAYTONA BEACH, FL 32114

Respondent: FGCC, PARI-MUTUEL WAGERING
 2601 BLAIR STONE ROAD, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER: Daytona Beach Kennel Club, Inc. FISCAL YEAR: 2022/2023
 DATE RECEIVED: October 10, 2022
 CHANGE REQUESTED: Adding 12 tables for a total of 58.

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	10/10/2022	R		ljelks	JELKS, LA'KESHA	ljelks	
	10/10/2022	S	1002	10	Initial Review	ljelks	

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Request to Transfer Tax Credit
Date: October 11, 2022

Executive Summary

A greyhound permitholder request approval to transfer \$340,000.00 in tax credits to another greyhound permitholder. The Commission should approve this request.

Background

Pensacola Greyhound Racing, LLP. (“Pensacola”) possesses a greyhound permit.¹ Pensacola has been issued a pari-mutuel operating license for the 2022-2023 Fiscal Year.² Daytona Beach Kennel Club, d/b/a Daytona Beach Racing and Card Club (“Daytona”) also possesses a greyhound permit. Daytona has also been issued a pari-mutuel operating license for the 2022-2023 Fiscal Year.

Pensacola request to transfer \$340,000.00 of their tax credits to Daytona. For purposes of intertrack wagering,³ Daytona acts as a host track⁴ for Pensacola. Pensacola has \$340,000.00 of tax credits available for transfer. Additionally, Pensacola has not previously elected to transfer these tax credits. Neither Pensacola nor Daytona owe any unpaid taxes.

¹ Permitting is a prerequisite for gaming in this state. § 550.054, Fla. Stat.

² A permitholder must apply for and be issued an annual operating license before conducting any gaming activities. § 550.01215, Fla. Stat.

³ See § 550.002(17), Fla. Stat. (defining “intertrack wagering.”).

⁴ See §§ 550.002(12) & (16), Fla. Stat. (defining “guest track” and “host track.”).

Analysis

A greyhound permitholder may receive tax credits from another greyhound permitholder if certain requirements are satisfied.⁵ First, the receiving greyhound permitholder must act as the host track for the other greyhound permitholder for purposes of intertrack wagering.⁶ Second, the transferring greyhound permitholder can only make this election once per state fiscal year.⁷ Third, the transferring greyhound permitholder must have available unused tax credits to transfer.⁸ Lastly, neither the receiving nor transferring greyhound permitholder can owe any unpaid taxes to the state.⁹

Daytona and Pensacola meet these requirements. First, Daytona acts as a host track for Pensacola. Second, Pensacola has not elected to transfer its available tax credits this state fiscal year. Third, Pensacola has \$340,000.00 in available tax credits that they seek to transfer to Daytona. Lastly, neither Pensacola nor Daytona owe any unpaid taxes. Therefore, Pensacola should be permitted to transfer \$340,000.00 of their tax credits to Daytona.

Recommendation: The Florida Gaming Control Commission should approve Pensacola Greyhound Racing, LLP. request to transfer \$340,000.00 in tax credits to Daytona Beach Kennel Club, d/b/a Daytona Beach Racing and Card Club.

⁵ See § 550.0951(1)(b), Fla. Stat. (outlining requirements for such a transfer).

⁶ *Id.*

⁷ *Id.*

⁸ *Id.*

⁹ *Id.*

- VR Home
- Inbox
- Entity
- Application
- License
- Cash
- Exam
- Inspection
- Enforcement
- Report

- Complaint Search
- Change Recording License Type
- Delete Complaint
- Mass Activity Update
- Mass Discipline Update
- Mass Status Update
- Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **kstelter**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type 1001 - Permitholder Application for Annual License and Operating Day	Status 10 Initial Review	Status Date 10/11/2022
Complaint # 2022048835	Case Type CMP - Complaint	Disposition
Docket#	Respondent FGCC, PARI-MUTUEL WAGERING	Responsible Private Case

- Complaint
- Respondent
- Complainant
- Add'l Info

Source INTN - Internal	Security Level 1	<input type="button" value="Parties"/>	<input type="button" value="Activities"/>
Form INTR - Internal	Priority	<input type="button" value="Allegations"/>	<input type="button" value="Discipline"/>
Class'n ADMN - Administrative	Complexity R - Regular	<input type="button" value="Violations"/>	<input type="button" value="Compliance"/>
Security STND - Standard	Incident 10/06/2022	<input type="button" value="Related"/>	<input type="button" value="Disposition"/>
Region NR - Northern Region	Received 10/06/2022	<input type="button" value="Inspection"/>	
Reference		<input type="button" value="Costs"/>	
Entered 10/11/2022	Entered By kstelter	<input type="button" value="Time Tracking"/>	<input type="button" value="Auto Assign"/>
Summary 10/06/2022 Pensacola Greyhound Racing, LLP. (#150) requested to transfer \$340,000.00 of their 2022-2023 tax credit to Daytona Beach kennel Club, Inc., d/b/a Daytona Beach Racing and Card Club (#143)		<input type="button" value="Attachments"/>	<input type="button" value="History"/>
Updated 10/11/2022 09:11:51	By kstelter	<input type="button" value="Work Notes"/>	<input type="button" value="Print Report"/>

-
-
-
-
-

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MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Case Number 2022-024913; Default Final Order
Date: October 24, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks the permanent exclusion of Marcos A. Mendoza Alvarez (“Respondent”) from all pari-mutuel and slot facilities in the state of Florida. By failing to respond to the properly served administrative complaint seeking to exclude him, Respondent waived his right to request a hearing contesting the Division’s decision. Therefore, the Florida Gaming Control Commission should enter a final order excluding Respondent from all pari-mutuel and slot facilities in the state of Florida.

Background

On December 18, 2021, Respondent was a patron of Casino Miami, LLC.¹ Respondent was seen via surveillance footage manipulating a slot machine in order to gain \$1,700 in winnings. Respondent was permanently excluded from Casino Miami, LLC², on December 22, 2021.

Based on his exclusion from Casino Miami, LLC, the Division served Respondent with an administrative complaint seeking his exclusion from all pari-mutuel and slot facilities in the state of Florida. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days³ to file a written response to the administrative complaint. The Respondent was served on September 19, 2022 which means the Respondent had until October 10, 2022 to respond. He has never responded.

¹ Casino Miami, LLC is operated by a pari-mutuel wagering permitholder that also possesses a slot machine and cardroom license.

² Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

³ See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

Analysis

Respondent can be excluded from all pari-mutuel and slot machine facilities in this state. Section 550.0251(6), Florida Statutes, provides, in relevant part, that “[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state.” Likewise, section 551.112, Florida Statutes, provides, in relevant part “[t]he Commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state.” Casino Miami, LLC is both a pari-mutuel facility and slot machine licensee in this state. And Respondent was ejected from it. Accordingly, Respondent can be excluded from all pari-mutuel and slot machine facilities in this state.

Because Respondent failed to file a timely response to the properly served administrative complaint seeking to exclude him from all pari-mutuel and slot machine facilities in this state, he waived his right to request a hearing. Therefore, the Florida Gaming Control Commission may enter a final order excluding Respondent from all pari-mutuel and slot machine facilities in this state

Staff Recommendation: The Florida Gaming Control Commission should enter a final order permanently excluding Marcos A. Mendoza Alvarez from all pari-mutuel and slot machine facilities in this state.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-024913

MARCOS A. MENDOZA ALVAREZ,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Marcos A. Mendoza Alvarez (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to Chapters 550, 551, and 849, Florida Statutes.
2. At all times material hereto, Respondent’s address was reported 3363 Northwest 19th Street Miami, Florida 33125.
3. At all times material hereto, Casino Miami, LLC was a facility operated by a permit holder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the State of Florida.
4. On or about December 18, 2021, Respondent was a patron of Casino Miami, LLC.
5. On or about December 22, 2021, Respondent returned to Casino Miami, LLC and was ejected and permanently excluded.
6. Respondent was permanently excluded for the reasons alleged in Exhibit 1.

7. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

8. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis supplied).

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida under Sections 550.0251(6) and 551.112, Florida Statutes based on his ejection from Casino Miami, LLC on or about December 22, 2021.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any

facility of a slot machine licensee in the State of Florida, along with any other remedy provided by Chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-024913 is signed this 6th day of September, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint		Mass Activity Update		Mass Discipline		

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1098 - Unlicensed Complaints	Status	90 Closed	Status Date	09/01/2022
Complaint #	2022024913	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	MENDOZA ALVAREZ, MARCOS	Responsible	jminaya - MINAYA, JULIO	Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form	INTR - Internal	Priority		<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	OTHR - Other	Complexity	R - Regular	<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	12/22/2021	<input checked="" type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	SR - Southern Region	Received	05/20/2022	<input type="checkbox"/>	Inspection		
Reference	550.0251(6) & 551.109(3)			<input type="checkbox"/>	Costs		<input type="button" value="Auto Assign"/>
Entered	05/20/2022	Entered By	Imuniz	<input type="checkbox"/>	Time Tracking		<input type="button" value="History"/>
Summary	273-Casino Miami, LIC ----- / ----- . Patron Exclusion / 550.0251 The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation / Prohibited Acts; Penalties: On December 18, 2022, Marcos MENDOZA ALVAREZ, Lazaro Pablo Hernandez-Valdes, Enrique Gamez Hernandez and Omar Alvarez Cala visited Casino Miami. During their visit the facility's Surveillance Department captured his acquaintances Lazaro Pablo Hernandez-Valdes manipulating the Roulette Wheel Slot Machine in section FM-07 by lifting the console cover and causing a blue screen/tilt to appear, this would stop all play and wagers. After each interruption caused by Hernandez-Valdes, Alvarez-Cala was captured on video footage using a key to reset the machine. During the course of manipulating the machine Hernandez-Valdes printed out a cash voucher in the amount of \$1,700.00 and gave it to MENDOZA ALVAREZ who then proceeded to Slot Machine BE-02 and inserted the voucher. He immediately cashed out and printed another voucher without ever playing the machine. He then exited the facility and met with Enrique Gamez Hernandez who took the voucher. Gamez Hernandez re-entered he facility and handed the voucher to an unidentified male black who then cashed the voucher and gave Gamez Hernandez the proceeds. On December 22,			<input type="checkbox"/>	Attachments		<input type="button" value="Print Report"/>
				<input type="checkbox"/>	Work Notes		

2022, MENDOZA ALVAREZ was detained by security after returning to the facility and was identified as having participated in the above described incident. He was escorted to the Casino Miami Security Operations Center (SOC) where he was permanently excluded.

Updated 09/01/2022 11:31:02

By Imuniz

104

Change

Save

OK

Cancel

Back

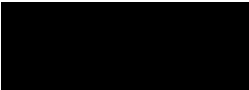

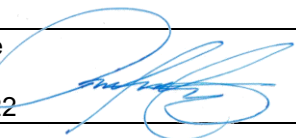
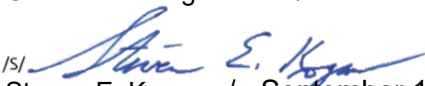
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: May 20, 2022	Case Number: 2022 02 4913
Respondent: MENDOZA ALVAREZ, MARCOS 		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL. (954) 202-3900	
License # and Type: N/A - 1098		Profession: PATRON	Report Date: August 8, 2022
Period of Investigation: May 25, 2022 through August 8, 2022		Type of Report: Final	
<p>Alleged Violation: 550.0251 The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation.</p> <p>(6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The division may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permit holder to exclude absolutely a patron in this state.</p> <p>F.S.S. 551.109 Prohibited Acts; Penalties –</p> <p>(3) Any person who knowingly excludes or takes any action in an attempt to exclude, anything of value from the deposit, counting, collection, or computation of revenues from slot machine activity, or any person who by trick, sleight of hand performance, a fraud or fraudulent scheme, or device wins or attempts to win, for himself or herself or for another, money or property or a combination thereof or reduces or attempts to reduce a losing wager in connection with slot machine gaming commits a felony of the third degree..</p> <p>Synopsis: On December 18, 2021, Marcos MENDOZA ALVAREZ, Lazaro Pablo Hernandez-Valdes, Enrique Gamez Hernandez and Omar Alvarez Cala visited Casino Miami. During their visit the Surveillance Department captured Lazaro Pablo Hernandez-Valdes manipulating the Roulette Wheel Slot Machine in section FM-07 by lifting the console cover and causing a blue screen/tilt to appear, this would stop all play and wagers. After each interruption caused by Hernandez-Valdes, Alvarez-Cala was captured on video footage using a key to reset the machine. During the course of manipulating the machine Hernandez-Valdes printed out a cash voucher in the amount of \$1,700.00 and gave it to MENDOZA ALVAREZ, who then proceeded to Slot Machine BE-02 and inserted the same cash voucher into Machine BE-02. He immediately cashed out and printed a new voucher without ever playing the machine. He then exited the facility and met Enrique Gamez Hernandez who took the voucher. Gamez Hernandez re-entered the facility and handed the voucher to an unidentified male black who then cashed the voucher and gave Gamez Hernandez the proceeds.</p> <p>On December 22, 2021, MENDOZA ALVAREZ was detained by security after returning to the facility and was identified as having participated in the above described incident. He was escorted to the Casino Miami Security Operations Center (SOC) and was permanently excluded from the facility.</p>			
Related Case(s): 2022 02 4908, 2022 02 4925, 2022 02 4885			
Investigator / Date Raul Suau / August 8, 2022 		Investigator Supervisor / Date Julio Minaya / August 30, 2022 	
Chief of Investigations / Date  Steven E. Kogan / September 1, 2022			

CONTINUATION

On December 23, 2021, I received an e-mail with an attachment from Casino Miami Director of Security Eugene Tellez. The attachment contained Security Report CMJ-000004896, and it detailed an incident involving a patron by the name of Marcos **MENDOZA ALVAREZ**, who was permanently excluded by the facility on December 22, 2021, for participating with others in the manipulation of the Roulette Wheel Slot Machine on December 18, 2022, which was documented under Surveillance Report CMJ-000010083. **(Exhibits #1, 2)**

According to Surveillance Report CMJ-000010083 the following suspects were listed as participating or accompanying those involved in the December 18, 2022 incident. Those suspects are identified as;

Suspect #1 – Lazaro Pablo Hernandez-Valdes.

Suspect #2 – Omar Alvarez Cala.

Suspect #3 – Enrique Gamez Hernandez.

Suspect #4 – Marcos Mendoza Alvarez.

Suspect #7 – Unknown Male with dreadlocks & BK T-shirt pending identification.

The above Surveillance Report documented **MENDOZA ALVAREZ** as being one of four individuals at Roulette Wheel Slot Machine FM-07. Two of these individuals, Enrique Gamez Hernandez and Lazaro Pablo Hernandez-Valdes were observed by surveillance playing on the machine. They were also observed lifting the console cover on several occasions, causing a blue screen/tilt message of “call attendant” to appear. The fourth member of the group, Omar Alvarez Cala had a reset key which he immediately used to reset the machine each time so that no monies wagered would be lost. This allowed the player to continue to play. **(Exhibits #3, 4)**

On December 18, 2021, at 1:02:58 A.M., Hernandez-Valdes was observed by Surveillance winning a jackpot on Roulette Wheel Slot Machine FM-07. After winning the jackpot Hernandez-Valdes was seen lifting the console cover of the slot machine causing a blue screen and a “call attendant” message to appear. However, because Alvarez Cala immediately, reset the machine as the blue screen appeared no wagered monies was lost nor was the jackpot cashed out. Hernandez-Valdes continued to play and manipulate the machine until 1:31:44 A.M., when he printed out a cash voucher in the amount of \$1,700.00.

At 1:31:44 A.M., Hernandez-Valdes printed a cash voucher from Slot Machine FM-07 in the amount of \$1,700.00, representing his winnings at that machine. Once the voucher was printed, he gave it to Marcos **MENDOZA ALVAREZ**, who then went to Slot Machine BE-02 and inserted the \$1,700.00 cash voucher into the machine. **MENDOZA ALVAREZ** immediately cashed out, never playing the machine and received a new cash voucher in the amount of \$1,700.00. After printing out the new cash voucher, he exited the facility and walked to the East Parking lot where he met Enrique Gamez Hernandez. At this point both men appear to shake hands and Gamez Hernandez proceeded to walk back to the casino. **(Exhibit #4, Pages 5, 6, 8, 10)**

At 1:41:27 A.M., Gamez Hernandez re-entered the casino and is observed meeting an unidentified male black identified in Surveillance Report CMJ-000010083 as suspect #7. Gamez Hernandez is captured on video footage giving suspect #7 a piece of paper. Suspect #7 then goes to the main cage and hands the cashier the same piece of paper that he received from Gamez Hernandez, now visible as a voucher in the amount of \$1,700.00 to be cashed. After cashing the voucher suspect #7 meets Gamez Hernandez in Slot Machine Bank CK and gives him the money. Gamez Hernandez then exits the facility and walks to the main parking lot and meets with **MENDOZA ALVAREZ**.¹ **(Exhibit #3 & Exhibit #4, Pages 11, 12, 13, 14 & 15)**

The above incident was corroborated when details of the incident documented in Surveillance Report CMJ-000010083 were viewed on surveillance footage by this Investigator.

On December 22, 2021, at approximately 6:10 P.M., **MENDOZA ALVAREZ** re-visited Casino Miami and was stopped by Security Director Eugene Tellez and escorted to the Security Operations Center (SOC) and was permanently excluded from the facility. This incident was documented in Security Report CMJ-000004896. **(Exhibit # 2)**

¹ This investigator cannot identify what transpired between **MENDOZA ALVAREZ** and Gamez Hernandez.

CONTINUATION

Cases against Omar Alvarez Cala 2022-02-4885, Marcos Mendoza Alvarez 2022-02-4921 and Enrique Gamez Hernandez 2022-02-4925 have been opened.

Case closed by Investigation and forwarded to Legal for review and possible statewide exclusion from all PMW facilities.

CONTINUATION

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Suau, Raul

From: Gene Tellez <gtellez@playcasinomiami.com>
Sent: Thursday, December 23, 2021 5:29 PM
To: Suau, Raul
Cc: Beatriz Perez; Daniel Licciardi
Subject: CMJ 4896 Mr. Marcos Alvarez Mendoza
Attachments: 0354_211223125202_001.pdf

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Mr. Suau, attached is another guest that is part of the group that is attempting to manipulate the electronic Roulette slot machine.

From: Canon10SOC@playcasinomiami.com <Canon10SOC@playcasinomiami.com>
Sent: Thursday, December 23, 2021 12:52 PM
To: Gene Tellez <gtellez@playcasinomiami.com>
Subject: Attached Image

Gene Tellez
Director of Security
E: gtellez@playcasinomiami.com
P: (305) 633-6400 ext. 2060
F: (305) 634-1712



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CMJ-00004896 - Incident - Suspicious Activity - Reported 12/22/2021 18:30

Author: Gene Tellez 396678

Report Number: CMJ-00004896

Start Time: 12/22/2021 18:30

End Time: 12/23/2021 11:25

Report Group: Security Reports

Report Type: Incident - Suspicious Activity

Note: Marcos Mentoza Alvarez, was permanently trespass excluded for suspicious activity at the Roulette table with other guest that were tampering and manipulating the slot machine operation "payoff."

Location / Origin: Slot Machine Bank FL

Linked Reports: CMJ-00004896, CMJ-00002160, CMJ-00002163

Person

Name: Marcos A. Alvarez Mendoza

Alias:

[Redacted]

Keywords: Permanent Exclusion

Narrative

On 12-22-2021, at approximately 6:10 PM, this writer (S-1 G. Tellez) was requested by surveillance to the electronic Roulette tables "FM" Bank, reference a guest that may have been involved with the previous suspicious activity incident on 12-18-2021. This writer and (S-5 S. Torres) approached Mr. Mendoza who was now on the way out through entrance/exit C-1. While outside he was stopped and asked to come to SOC, that we need to speak with him. while at SOC, Mr. Mendoza denied being involved with anyone that was tampering and manipulating the electronic roulette slot machine and he only, at the request of his friend he cashed a \$2900.00, voucher at the cage, but the video will show that he gave the money from the voucher to the friend. When questioned about the friends name he would not say but I believe it Omar Alvarez-cala (CMJ 2160). Surveillance was asked if there was any video of the \$2900.00 transaction, and I was informed by Surveillance that they had no video of any other transaction that the \$1502.00 of 12-18-2021 and that there was no information with reference to the \$2900.00 transaction. **Mr. Mendoza, was permanently trespassed and given a trespass after warning by MPS Officer E. Parrelas #8484.**

Signature A

Date

Signature B

Date

CASINO MIAMI

EXCLUSION STATEMENT

DATE: 12/22/21

TIME: 6:20 PM

Issued to:
NAME: Marcos A Mendoza Alvarez INCIDENT REPORT # _____

Address: _____

CITY, STATE, ZIP CODE: _____

DOB: _____ DL/ID # N/A

I represent the owner of Casino Miami, LLC, located at 3500 N.W. 37 Avenue Miami, Florida 33142. I am informing you that you are barred from these premises for the time period of (check one):

- For a Period of 1 Year
- For a Period of 2 Years
- For a Period of 5 Years
- PERMANENTLY

If you refuse to leave or leave and ever return during the period of exclusion, you will be ARRESTED FOR TRESPASSING in accordance with FLORIDA STATUTE 810.08. Before returning to the premises and/or upon expiration of self-exclusion, you must first contact the Security Department or Director of State Compliance for reinstatement through a written or verbal request in person. Reinstatement request will be sent to Tallahassee State Office for approval. Upon State approval, Casino Miami will inform you of it. If you return to the premises prior to the reinstatement approval, regardless of self-exclusion expiration date, Florida Statute 810.08 still abides and you will be ARRESTED FOR TRESPASSING. Casino Miami reserves the right to deny reinstatement.

Owner Representative: Steven Torres Signature: _____
(PRINT)

Patron Name: Refused to sign Signature: _____



Surveillance Department

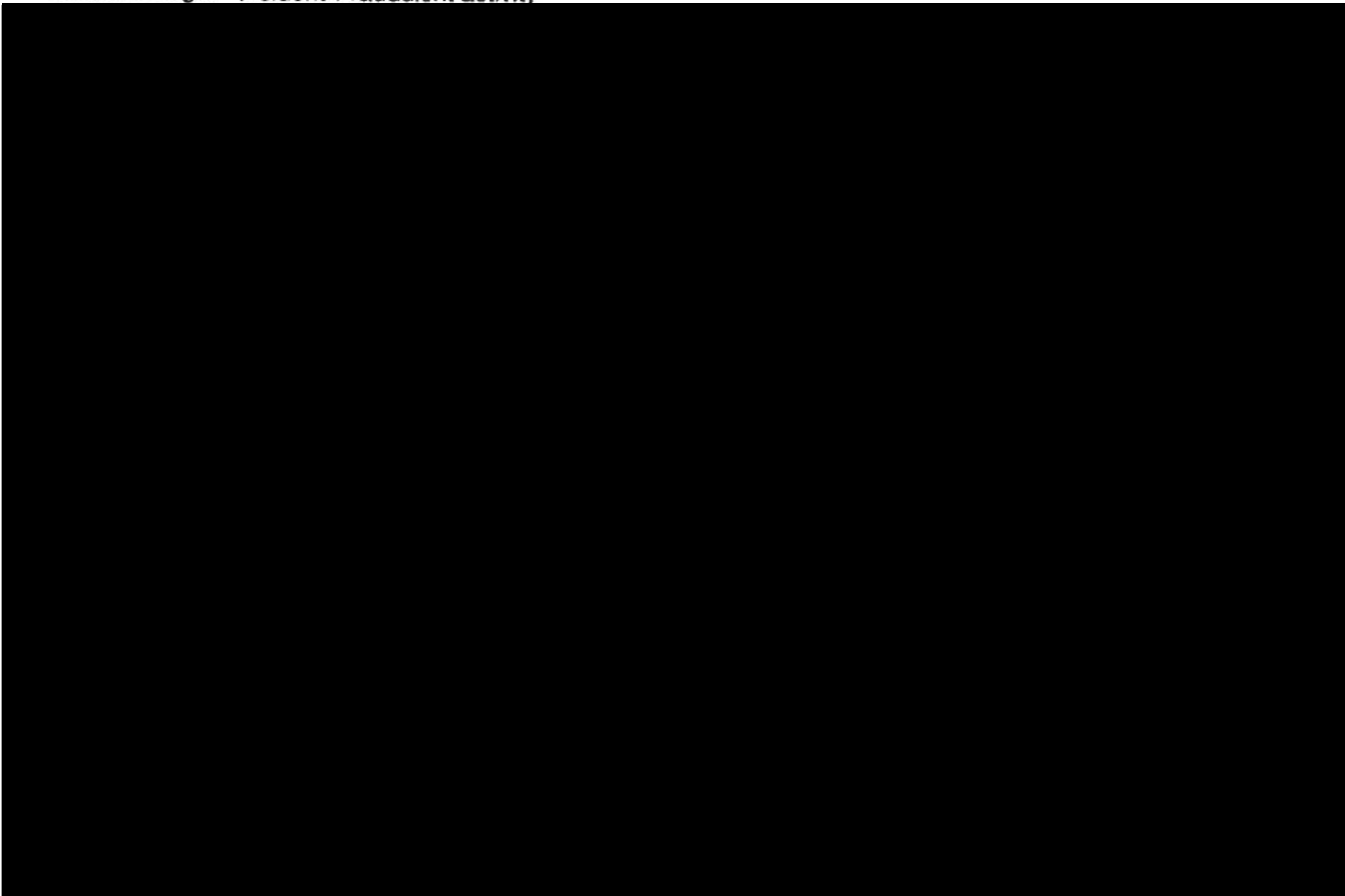
Casino Miami Jai-Alai - 3500 NW 37th Ave. Miami, FL 33142
(305) 633-6400 - casinomiamijai.com

CMJ-000010083 - Surv-Permanent Casino Exclusion Report - Reported 12/18/2021 02:15

Author: William Hutcheson 7874265 **Report Number:** CMJ-000010083
Start Time: 12/18/2021 01:03 **End Time:** 12/22/2021 02:11
Report Group: Surveillance Reports **Report Type:** Surv-Permanent Casino Exclusion Report

Note: Perm-Exclusion Patron, Lazaro Pablo Hernandez -Valdez and Patron, Omar Alvarez-Cala were escorted out by MPD Officers, Willy Laster and Carol Blanc in reference to " Tampering" , Mr. Hernandez -Valdez was observed " Lifting up on Slot machine FM-07 causing the machine to go in a blue screen -call attendant " and Mr. Alvarez-Cala using a Slot key to " reset " slot machine FM-07 , clearing the screen back to normal. A further video review revealed that Patron, Enrique Gamez Hernandez was also observed " Lifting up on Slot machine FM-07 causing the machine to go in a blue screen -call attendant ". Patron, Lazaro Pablo Hernandez -Valdez gave a voucher to Patron, Marcosa Mendoza Alvarez who inserted it into slot machine BE-02 and then cashed it out. A Patron, (Unknown Male) has his hair in dreadlocks and wearing a black T-shirt cashes out the voucher for \$1,700.00 at the cashier cage and gives the money to Patron, Enrique Gamez Hernandez.

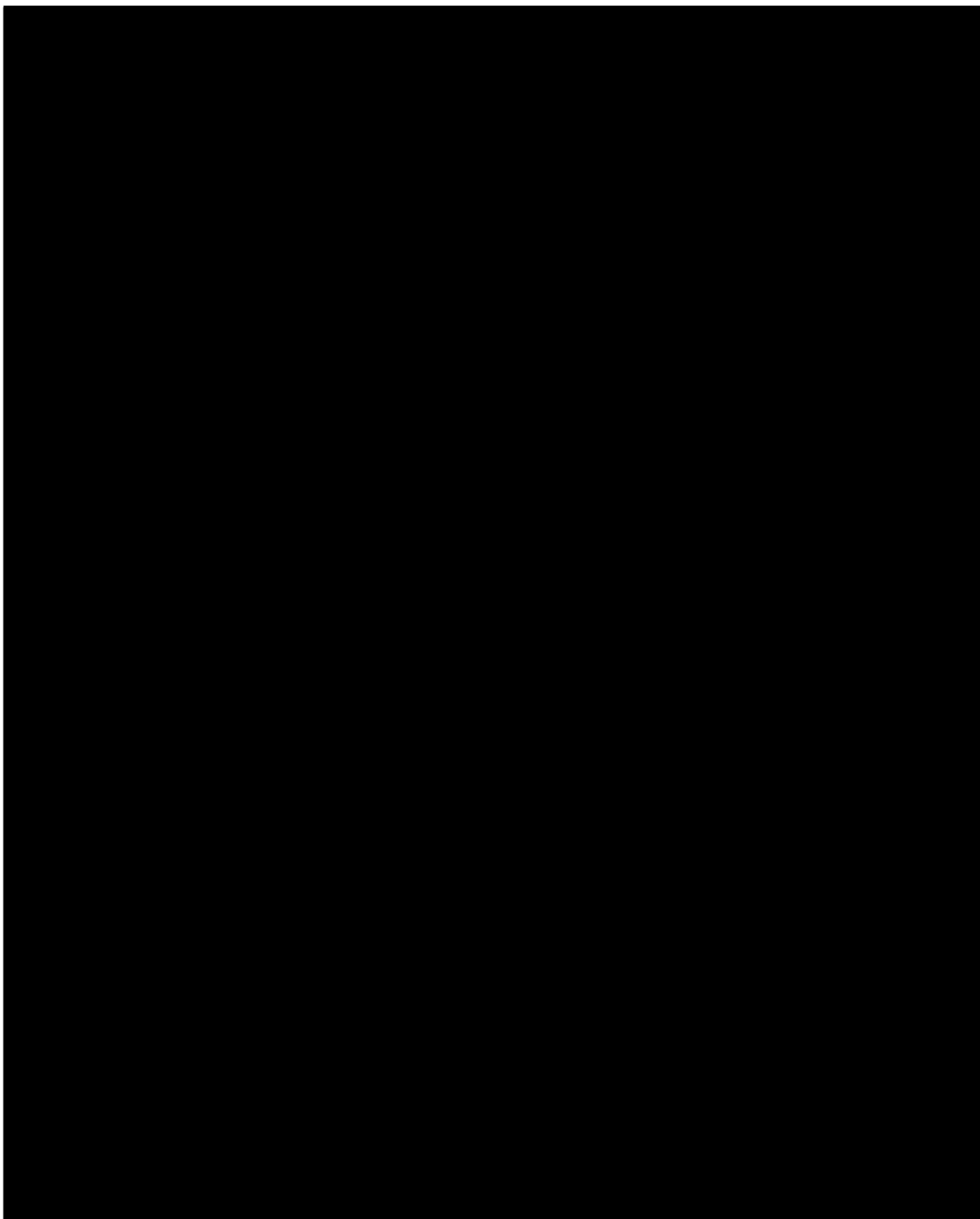
Location / Origin: Incident-Fraudulent activity



Narrative

On 12/18/2021 at 01:11 hours, Slot Attendant, Janet Perez notified Surveillance via radio of a slot machine FM-07-1688 for the amount of \$1,350.00.

A video review revealed that At 01:02:58 hours, on camera #116, Mr. Hernandez-Valdez was observed playing slot machine FM-07-1688 when the jackpot was won.



A video revealed the following information when SUSPECTS entered the casino:

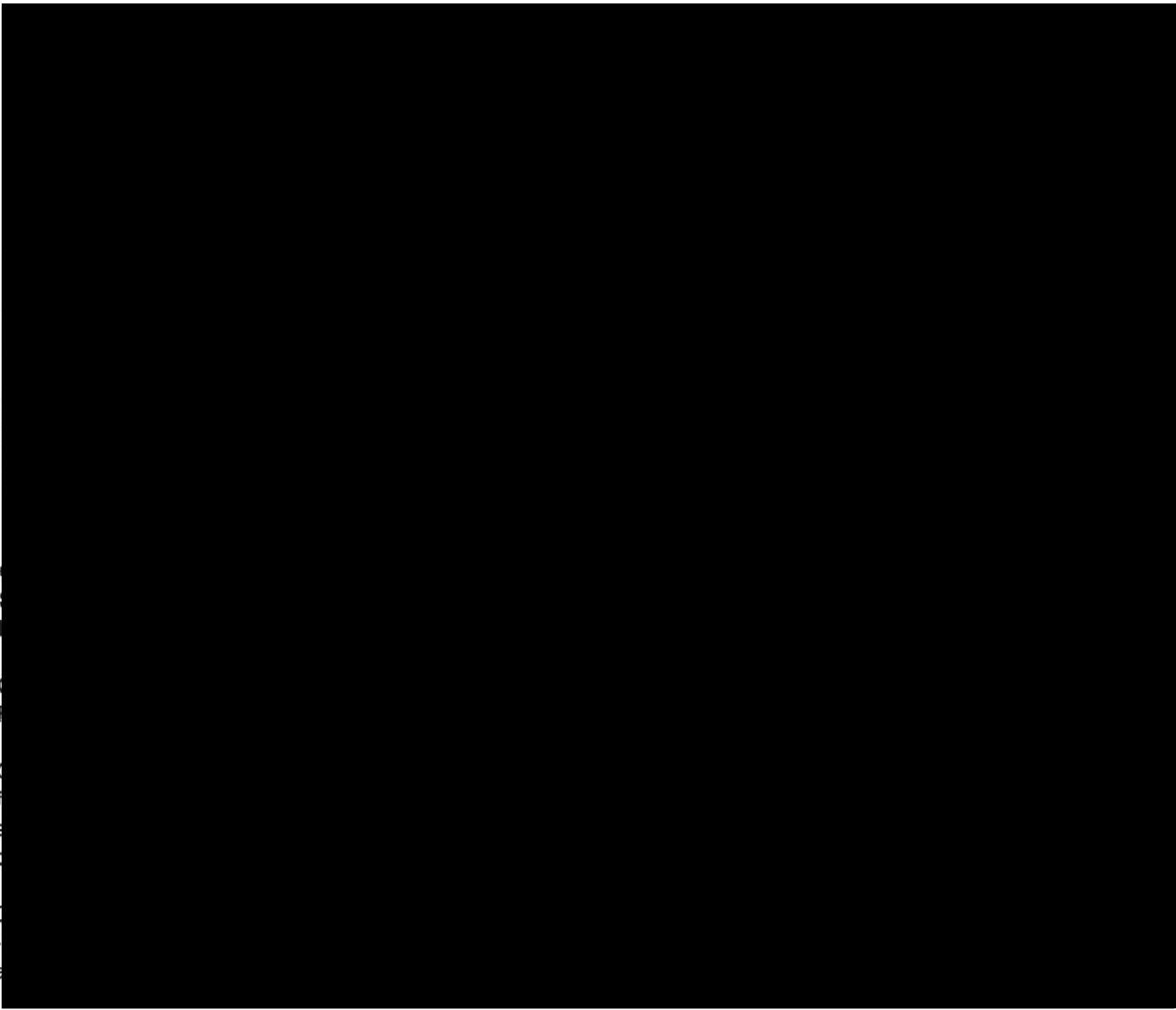
[REDACTED] SUSPECT # 01, Patron , Lazaro Pablo Hernandez -Valdez -wearing a red baseball cap with an " R" on it enters casino through C-2.

[REDACTED] SUSPECT # 02, Patron, Omar Alvarez-Cala-wearing a red baseball cap with " USA" on it enters casino through C-2.

[REDACTED] SUSPECT # 03, Patron, (Unknown Male) wearing a florescent green long sleeve and SUBJECT # 05, Patron,(Unknown Female) has her hair in a Ponytail and wearing blue shirt enters casino through C-2.

[REDACTED] SUSPECT # 04, Patron, Marcosa Mendoza Alvarez wearing a log sleeve light colored shirt and black and white baseball cap enters casino through C-2.

A further video review revealed the following SUSPECTS activities :



to normal.

[REDACTED] Security Supervisor, Stehson Covington and MPD Officers, Willy Laster and Carol Blanc escorted SUSPECT # 01, Patron , Lazaro Pablo Hernandez -Valdez and SUSPECT # 02, Patron, Omar Alvarez-Cala to SOC.

[REDACTED] SUSPECTS #04, #05 and #03 at Patron Parking Lot together. Also present is a SUBJECT #1A, Patron (Unknown Male) wearing black baseball cap that has the word " ICON" on it.

[REDACTED] SUSPECT # 03, Patron, (Unknown Male) wearing a florescent green long sleeve enters casino through C-1.

[REDACTED] SUSPECT # 03, Patron, (Unknown Male) wearing a florescent green long sleeve gives the \$1,700.00 voucher to SUSPECT, # 06, Patron, (Unknown Male) short hair and wearing a black long sleeve sweatshirt.



This report was written and approved by Surveillance Director, William Hutcheson # 7874265.

[REDACTED] Perm-Exclusion Patron, Tiago Silveira Aguiar was escorted out and placed in handcuffs by MPD Officer, Willy Laster in reference to " Tampering" , Mr. Aguiar was observed " Lifting up on Slot machine FL-07 causing the machine to go in a blue screen -call attendant " and using a Slot key to " reset " slot machine FL-07 , clearing the screen back to normal on 01-01-2022.

[REDACTED] Perm-Exclusion Patron, Enrique Gamez Hernandez was observed " Lifting up on Slot machine FL-07 (It did not go into a blue screen). Patron, Ricardo Rodriguez was also observed " Lifting up on Slot machine FL-07 causing the machine to go in a blue screen -call attendant " and Mr. Rodriguez was using a Slot key to " reset " slot machine FL-07, clearing the screen back to normal. Both patrons were escorted out by MPD Officer, David Flanders in reference to " Tampering". NOTE: Perm-Exclusion Patron, Lazaro Pablo Hernandez Valdez came in the same vehicle as Enrique Gamez Hernandez and was waiting in the Patron Parking Lot on 12-27-2021.

Surveillance Report # 10114, Patron, Ricardo Rodriguez wearing a black T-shirt was observed "Lifting Up on Slot Machine FM-03 and the monitor did not go into a blue screen. A further video review revealed Patron, Tiago Silveira Aguiar wearing all blue, also " Lifted Up" on slot machine [REDACTED] and the monitor did not go into a blue screen. UPDATE: Also present was Patron, Enrique Gamez Hernandez on 12-25-2021.

Surveillance Report # 9906, Perm-Exclusion Patron, Julio Cesar Baro Torres was arrested by MPD Officer Chijioke Anyanwu for " Tampering" by inserting a Slot Key into Slot Machine FL-07. UPDATE: Patron Julio Cesar Baro Torres was also observed talking Patrons, Marcosa Mendoza Alvarez and Omar Alvarez Cala on 10-25-2021.

Surveillance Report # 9901, Security Supervisor, Steven Torres request video review of slot machine [REDACTED] in reference to a Patron (Unknown Male) causing the slot machine to have a "Blue Screen" on 10-23-2021. Patron was later identified as Branyi G Santos Moran who was lifting up on the machine. Also, a Patron (Unknown Male) was observed using a slot key to reset-put back in service after Slot Machine [REDACTED] went into a "Blue Screen" out of service mode. The patron with the slot key was later identified on 10-25-2021 as Julio Cesar Baro Torres. UPDATE: Also, in the area was Patrons, Lazaro Pablo Hernandez Valdez, Ricardo Rodriguez, Tiago Silveira Aguiar and Omar Alvarez Cala on 10-23-2021.

Surveillance Report # 9398, Slot Attendant, Jose Rivas reported a Patron (Unknown Male) wearing a black shirt and jeans who was betting \$500.00 at Roulette Slot Machine [REDACTED] was causing the machine screen to go blue and displaying "machine disabled call attendant". Patron was later identified as Branyi G Santos Moran on 05-08-2021.

Surveillance Report # 8205, Patron, Santos Patricio Bustillo Melendez -was identified in the casino. Mr. Bustillo Melendez won a \$3,067.00 voucher on 06-11-2017 after Patron, Silvio Alfredo Sanchez Veliz was observed altering the results of the electronic roulette game by tilting the roulette slot machine wheel at Bank GK to win in their favor on 09-24-2019.

Surveillance Report # 8192, Patron, Waarith Haadee Abdullah -claiming a slot machine jackpot at GB-01-2487 for \$1,380.00 that did not belong to him and also inserting his (2) VIP Black player club cards into slot machines that another patron was inserting his own money and playing on 09-19-2019.

Surveillance Report # 8342, Patron, Santos Patricio Bustillo Melendez -was escorted out by MPD Officer, Giordao Acosta for manipulated slot machine GJ-05-3114 - by sticking his hand between the cushion and screen and before the ball dropped causing the slot machine to reset on 11-14-2019.

This report was updated and approved by Surveillance Director, William Hutcheson # 7874265.

Signature A

Date

Signature B

Date

EXHIBIT # 3
PAGE # 8



**State of Florida
Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
Investigations Section**

PROPERTY RECEIPT

Complaint # 2022 02 4913 Date 1/14/22 Lab # _____

Item No.	Quantity	Description
1	1	CMT 0000/0083
2	1	CMT 0000/0171

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

[Signature]

Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature _____
Date

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Imuniz

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

Lic Type	1098 - Unlicensed Complaints	Status	20 Under Investigation	Status Date	05/20/2022
Complaint #	2022024913	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	MENDOZA ALVAREZ, MARCOS	Responsible	jminaya - MINAYA, JULIO	Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form	INTR - Internal	Priority		<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	OTHR - Other	Complexity	R - Regular	<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	12/22/2021	<input checked="" type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	SR - Southern Region	Received	05/20/2022	<input type="checkbox"/>	Inspection		
Reference	550.0251(6) & 551.109(3)			<input type="checkbox"/>	Costs		Auto Assign
Entered	05/20/2022	Entered By	Imuniz	<input type="checkbox"/>	Time Tracking		History
				<input type="checkbox"/>	Attachments		Print Report
				<input type="checkbox"/>	Work Notes		

Summary 273-Casino Miami, LIC ----- / ----- . Patron Exclusion / 550.0251 The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation / Prohibited Acts; Penalties: On December 18, 2022, Marcos MENDOZA ALVAREZ, Lazaro Pablo Hernandez-Valdes, Enrique Gamez Hernandez and Omar Alvarez Cala visited Casino Miami. During their visit the facility's Surveillance Department captured his acquaintances Lazaro Pablo Hernandez-Valdes manipulating the Roulette Wheel Slot Machine in section FM-07 by lifting the console cover and causing a blue screen/tilt to appear, this would stop all play and wagers. After each interruption caused by Hernandez-Valdes, Alvarez-Cala was captured on video footage using a key to reset the machine. During the course of manipulating the machine Hernandez-Valdes printed out a cash voucher in the amount of \$1,700.00 and gave it to MENDOZA ALVAREZ who then proceeded to Slot Machine BE-02 and inserted the voucher. He immediately cashed out and printed another voucher without ever playing the machine. He then exited the facility and met with Enrique Gamez Hernandez who took the voucher. Gamez Hernandez re-entered he facility and handed the voucher to an unidentified male black who then cashed the voucher and gave

Gamez Hernandez the proceeds. On December 22, 2022, MENDOZA ALVAREZ was detained by security after returning to the facility and was identified as having participated in the above described incident. He was escorted to the Casino Miami Security Operations Center (SOC) where he was permanently excluded.

Updated 08/18/2022 14:12:07

By Immuniz


Change

Save

OK

Cancel

Back

 [Get Adobe Reader.](#)

Muniz, Luz

From: Minaya, Julio
Sent: Friday, May 20, 2022 11:31 AM
To: Muniz, Luz
Cc: Suau, Raul
Subject: OCR
Attachments: OCR OMAR ALVAREZ CALA MANIPULATING SLOT ROULETTE WHEEL.DOCX; OCR LAZARO PABLO HERNANDEZ-VALDES.docx; OCR MARCOS MENDOZA ALVAREZ.docx; OPEN CASE REQUEST FORM - RICARDO RODRIGUEZ LUQUE.DOCX; OPEN CASE REQUEST FORM ENRIQUE GAMEZ HERNANDEZ.DOCX

Luz,

Please open and assign to Raul.

Thank you



*Julio F Minaya
Investigative Supervisor
Division of Pari-Mutuel Wagering, Office of Investigations
1400 W. Commercial Blvd., Suite 165
FT. Lauderdale, FL 33309
Office: 954-202-6844
Fax: 954-202-3930*

7020 2450 0000 5094 4316

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Extra Services & Fees (check box, add fee as appropriate)	
<input type="checkbox"/> Return Receipt (hardcopy)	\$
<input type="checkbox"/> Return Receipt (electronic)	\$
<input type="checkbox"/> Certified Mail Restricted Delivery	\$
<input type="checkbox"/> Adult Signature Required	\$
<input type="checkbox"/> Adult Signature Restricted Delivery	\$

9-15-22

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Postage

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Total Postage

\$
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Street and

City, State

Marcos Mendoza Alvarez
3363 Northwest 19th Street
Miami, Florida 33125
AC - 2022024913 - PMW

Tracking Number:

70202450000050944116

Remove X

[Copy](#) [Add to Informed Delivery](#)
(<https://informedelivery.usps.com/>)

Latest Update

Your item was delivered to an individual at the address at 3:05 pm on September 19, 2022 in MIAMI, FL 33125.

Feedback

Delivered

Delivered, Left with Individual

MIAMI, FL 33125

September 19, 2022, 3:05 pm

[See All Tracking History](#)

Text & Email Updates 

USPS Tracking Plus[®] 

Product Information 

[See Less ^](#)

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MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Case Number 2022-033258; Default Final Order
Date: October 24, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks the permanent exclusion of Shakera Hilary Charles (“Respondent”) from all pari-mutuel and slot facilities in the state of Florida and revocation of her Slot Machine/Cardroom/Pari-Mutuel Combination License. By failing to respond to the properly served administrative complaint, Respondent waived her right to request a hearing contesting the Division’s decision. Therefore, the Florida Gaming Control Commission should enter a final order excluding Respondent from all pari-mutuel and slot facilities in the state of Florida and revoking her Slot Machine/Cardroom/Pari-Mutuel Combination License.

Background

Between June, 17, 2022 and June 28, 2022, Respondent was a Food and Beverage Waitress at PPI, Inc..¹ On or about July 2, 2022, it was discovered via surveillance footage that Respondent was removing items off of customers checks at the bar register and keeping the payment for the items for herself. This scheme was discovered by PPI, Inc. Respondent was permanently excluded and terminated from PPI, Inc.² on or about July 5, 2022.

Based on this incident at PPI, Inc., the Division served Respondent with a two-count administrative complaint seeking exclusion from all pari-mutuel and slot facilities in the state of Florida and revocation of her Slot Machine/Cardroom/Pari-Mutuel Combination License. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days³ to file a written response to the administrative complaint. The Respondent was served on September 6, 2022 which means the Respondent had until September 27, 2022 to respond. She has never responded.

¹ PPI, Inc. is operated by a pari-mutuel wagering permitholder that also possesses a slot machine and cardroom license.

² Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

³ See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

Analysis

Respondent can be excluded from all pari-mutuel and slot machine facilities in this state. Section 550.0251(6), Florida Statutes, provides, in relevant part, that “[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state.” Likewise, section 551.112, Florida Statutes, provides, in relevant part “[t]he Commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state.” PPI, Inc. is both a pari-mutuel facility and slot machine licensee in this state. And Respondent was ejected from it. Accordingly, Respondent can be excluded from all pari-mutuel and slot machine facilities in this state.

Furthermore, rule 61D-11.005(4)(a), Florida Administrative Code, provides “[n]o person shall, either directly or indirectly:(a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.” The Commission has the authority to revoke any license, for each violation of section 849.086, Florida Statutes, or any rules adopted pursuant to that section.⁴ Accordingly, because Respondent employed a device, scheme, or artifice to defraud the cardroom operator, she is subject to revocation of her license.

Because Respondent failed to file a timely response to the properly served administrative complaint seeking to exclude her from all pari-mutuel and slot machine facilities in this state and revoke her license, she waived her right to request a hearing. Therefore, the Florida Gaming Control Commission may enter a final order excluding Respondent from all pari-mutuel and slot machine facilities in this state and revoking her Slot Machine/Cardroom/Pari-Mutuel Combination License.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order permanently excluding Shakera Hilary Charles from all pari-mutuel and slot machine facilities in this state and revoking her Slot Machine/Cardroom/Pari-Mutuel Combination License.

⁴ § 849.086(14)(a), Fla. Stat.

**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

**FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,**

Petitioner,

v.

FGCC Case No.: 2022-033258

SHAKERA HILARY CHARLES,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Shakera Hilary Charles (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to Chapters 550, 551, and 849, Florida Statutes.
2. At all times material hereto, PPI, Inc. was a facility operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the State of Florida.
3. At all times material hereto, Respondent held a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License, number 11486095-1055, issued by Petitioner.
4. Between June 17, 2022 and June 28, 2022, Respondent was employed as a Food and Beverage Waitress at PPI, Inc.

5. On or about July 2, 2022, it was discovered that during the course of her employment, Respondent was seen removing items off of customer checks at the bar register and keeping the payment for such items for herself.

6. Following the discovery of the various incidents, Respondent was suspended from PPI, Inc.

7. On or about July 5, 2022, Respondent was permanently excluded from PPI, Inc.

8. Respondent was permanently excluded for the reasons alleged in Exhibit 1.

COUNT I

9. Petitioner realleges and incorporates the allegations contained within paragraphs one through seven as though fully set forth herein.

10. Rule 61D-11.005(4), Florida Administrative Code, provides, in pertinent part, that “[n]o person shall, either directly or indirectly (a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.”

11. Based on the foregoing, Respondent violated Rule 61D-11.005(4), Florida Administrative Code, by employing a device, scheme, or artifice to defraud the cardroom operator.

COUNT II

12. Petitioner realleges and incorporates the allegations contained within paragraphs one through seven as though fully set forth herein.

13. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by

the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

14. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

15. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under Sections 550.0251(6) and 551.112, Florida Statutes, based on her violation of Rule 61D-11.005(4), Florida Administrative Code, and her permanent exclusion from PPI, Inc.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida, and any other remedy provided by Chapters 550, 551, and 849, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for DBPR Case Number 2022-033258 is signed this 18th day of August, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.



FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Complaint Search Change Recording License Type Delete Complaint Mass Activity Update Mass Discipline
 Update Mass Status Update Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Immuniz

VR Home > Complaint Search > Maintain Complaint

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	90 Closed	Status Date	08/08/2022
Complaint #	2022033258	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	CHARLES, SHAKERA HILARY	Responsible	bjones - JONES, BRADFORD	Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	INTR - Internal	Priority			
Class'n	V-C - Cardroom Violations	Complexity	R - Regular	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Security	STND - Standard	Incident	07/02/2022	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Region	SR - Southern Region	Received	07/11/2022	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Reference	550.0251(6)				
Entered	07/11/2022	Entered By	icampbell	<input type="checkbox"/> Inspection	
Summary	430 - PPI, Inc. - Prohibitions: SHAKERA CHARLES was employed by Pompano Park (PPI) as a Food & Beverage Waitress. In the course of her work she utilized a scheme entering and voiding purchase transactions that enabled the theft of monies belonging to PPI. PPI has terminated her employment and lifetime excluded her from the property. Given the nature of her actions she should be considered for exclusion from all pari-mutual facilities in Florida as well as the revocation of her PMW occupational license.			<input type="checkbox"/> Costs	<input type="checkbox"/> Time Tracking Auto Assign
				<input type="checkbox"/> Attachments	<input type="checkbox"/> History
Updated	08/09/2022 10:18:12	By	Imuniz	<input type="checkbox"/> Work Notes	<input type="checkbox"/> Print Report

Change	Save	OK	Cancel	Back
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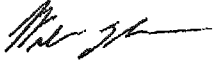

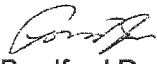
Get Adobe Reader.

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTH	Date of Complaint: JULY 11, 2022	Case Number: 2022 03 3258
Respondent: CHARLES, SHAKERA HILARY 		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FORT LAUDERDALE, FLORIDA 33309	
License # and Type: 11486095 / 1055		Profession: FOOD & BEVERAGE	Report Date: JULY 11, 2022
Period of Investigation: JULY 5, 2022 – JULY 11, 2022		Type of Report: FINAL	
<p>Alleged Violation: F.S.S. 550.0251 (6) The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation. –</p> <p>In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.</p>			
<p>Synopsis: Shakera CHARLES was an employee of Pompano Park (PPI) as a Food & Beverage Waitress. In the course of her work she utilized a scheme entering and voiding purchase transactions facilitating the theft of PPI funds. Pompano Park has terminated her employment and have given her a lifetime exclusion from the property. Given the nature of her actions she should be considered for exclusion from all pari-mutual facilities in Florida and/or the revocation of her PMW occupational license.</p>			
Related Case:			
Investigator / Date  William Smith / July 11, 2022		Investigator Supervisor / Date  Bradford D. Jones / August 8, 2022	
Chief of Investigations / Date  Bradford D. Jones for Steven E. Kogan / August 8, 2022			

CONTINUATION

CHARLES was an employee of Pompano Park (PPI) as a Food & Beverage Waitress. In the course of her work she utilized a scheme entering and voiding purchase transactions facilitating the theft of PPI funds. Pompano Park has terminated her employment and have given her a lifetime exclusion from the property (**EXHIBITS #1 & #2**). **CHARLES** was issued a 1055 Slot/Cardroom Combo License (#11486095) on May 26, 2021; this license will expire on June 30, 2024 (**EXHIBIT #4**).

This Investigator has repeatedly encouraged the management of my assigned facilities to monitor the tips employees receive as part their oversight process. When tips are inexplicably high, it is prudent to audit an employee's actions to validate the legitimacy of the tips recived. Oftentimes the audit will produce evidence of theft as was in this case.

In her capacity as a Waitress, **CHARLES'** primary duty was to take orders for and deliver drinks. At PPI food and beverages can be paid for using a Players Card, a credit card, cash, or PPI chips. The inquiry into Ms. **CHARLES'** activity was triggered by the large volume of chips she was converting to cash as tips at the close of her shift.

The audit revealed that on multiple occasions between June 17, and June 28, 2022 Ms. **CHARLES** delivered drinks and kept the proceeds for herself which were owed to PPI. This was accomplished through several methods. For example in some cases she would enter in the point of sale computer a two-draft beer order. Draft Beers is poured from a tap behind the bar oftentimes by a Waitress rather than prepared by a Bartender. In either case, **CHARLES** would then cancel the order but still pour, deliver and collect the money for the two beers.

In other cases she would enter an order in the computer for two drinks which the Bartender would prepare. She would call out the order to the Bartender as well noting whether or not he would look at the computer monitor. If not, **CHARLES** would then cancel one drink, deliver and receive money for two drinks thus completing a theft for the sum of one drink.

At the end of her shift a "master" check was created by merging all checks onto a single report. The total of the master check was the monies of which Ms. **CHARLES** turned over to PPI as payment for drinks ordered; the balance in her "bank" would be her tips. Chips collected through her schemes would be converted to cash.

A surveillance video review was initiated and I was able to identify and validate theft. All the incidences of cancellations / theft noted in the PPI Surveillance Report were viewed and were accurately documented (**EXHIBIT #3**).

Conclusion: Given the nature of her actions **CHARLES** should be considered for exclusion from all pari-mutual facilities in Florida and/or the revocation of her PMW occupational license.

Status: Closed by Investigations and forwarded to Legal for review.

CONTINUATION

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I. INVESTIGATIVE REPORT COVERSHEET

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III. EXHIBITS

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4. **CHARLES** licensing..... 1-2

Isle Casino - Pompano
Team Member Incident Report
Security Incident: po-SEC-28852

Incident Date/Time: Jul 02, 2022 12:53
Report Date/time: Jul 02, 2022 12:53
Incident: Team Member Misconduct
Location of incident: Security Office
Exact Location: Security Office

Subject(s)

Name: Gregg Krupin
Dossier ID: 18052
Mailing Address:
Phone Number:
SSN:
Driver License: [REDACTED]
Driver License Expiration: [REDACTED]
Driver License Type:

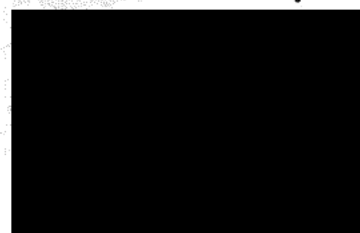
Role: Other
Date of birth: [REDACTED]
Race:
Gender: Male
Height:
Weight:
Hair Color:
Employee: No

Name: Johnny Tucker
Dossier ID: 153510
Mailing Address:
Phone Number:
SSN:
Driver License:
Driver License Expiration:
Driver License Type:

Role: Incident Witness
Date of birth: Unknown
Race: Hispanic
Gender: Male
Height:
Weight:
Hair Color: Black
Employee: No

Name: Shakira Charles
Dossier ID: 180405
Mailing Address:
Phone Number:
SSN:
Driver License:
Driver License Expiration:
Driver License Type:

Role: Incident Subject



Incident Summary

Beverage Server placed on suspension for misconduct

Was subject(s) ejected: No
Was subject(s) trespassed: No
Was subject(s) witnesses: Yes
Number of voluntaries: 0

Was subject(s) arrested: No

Number of witnesses: 1

Video tape number: Security & Interviews-12

Agencies Contacted

Fire Dept: No
Surveillance: Yes
Other notifications:

Police Dept: No
State Police: No
Casino Shift Mgr: No

Gaming: No
Security Mgr: No

Narrative

EXHIBIT #
PAGE #

**Isle Casino - Pompano
Team Member Incident Report
Security Incident: po-SEC-28852**

On Saturday July 2, 2022 at 1253 hours, Food and Beverage Director Gregg Krupin (85478) and Beverage Manager Johnny Tucker (123954) came to the Security Office for a team member suspension. Senior Security Officer Patrick Vassor (108473) responded, Surveillance was notified and audio was activated.

While in the Security Office, Vassor witnessed Krupin explain to Beverage Server Shakira Charles that she was observed by Surveillance clearing items off checks at the fling bar's register and not collecting any payments. This was one of few incidents dating back June 28, 2022 to June 21, 2022. Charles was told she was being placed on suspension, pending further investigation and will be notified by Human Resource Department by Tuesday, July 5, 2022. Charles also surrendered her Employee Badge to Krupin. Vassor escorted Charles out to the Employee Parking lot where she departed property out Gate #1 at 1313 with no further incidents. No further information at this time.

For the purpose of this report, all times are approximate

Entered by: Patrick Vassor
Entered on: Jul 02, 2022 15:35

Reporting Officer:
Patrick Vassor (Gaming ID:)

Supervisor:
Patrick Vassor (Gaming ID:)

EXHIBIT # 1
PAGE # 2

**Isle Casino - Pompano
Employee - General Investigation
Surveillance Incident: po-SUR-32935**

Log ID: po-SUR-32935
Report Type: Employee
Incident Date/Time: Jun 21, 2022 22:10
Location: Casino

Entering Agent: Michael Larroy Garcia
Regarding: General Investigation
Reported Date/Time: Jun 22, 2022 15:00
House (Loss)/Gain: 39.00
Loss Gain Type:

Exact Location: Fling Bar and Sports Bar
Brief Description: Team Member pocketing drinks sold.

Description

For the purpose of this report, all times are approximate.

On Tuesday June 21, 2022 this department conducted an audit of Beverage Server Shakera Charles. With utilizing the E-Connect system Charles transactions were audited and numerous transactions were observed to be voided along with multiple checks merged together failing to follow proper F&B cash handling procedures. After obtaining POS records and cross referencing with E-connect the evidence was presented to Director of Surveillance Miguel Gonzalez in which he authorized an E-Watch on Charles. With the assistance of Chef D'cuisine Johnny Tucker, Charles schedule of 06-17 to 6-28 was submitted to this department. E-Watch #73 was created On Cocktail Waitress Shakera Charles. The following transactions were observed and found to be suspicious.

Transaction #374991 06-21-2022 at 22:10, an unknown W/F patron approached Charles while at the Fling Bar Servers station. Charles then access the POS and placed an order for two Stella draft beers that totaled \$12.00. The beers were poured and served to Charles by Bartender Byas Brown. Charles then voided one of the beers, merge the check to check [REDACTED] and served the patron both beers.

Transaction #374993 06-21-2022 at 22:17 hours, Fling Bar Charles entered a wine glass of GL Canyon Cab sale into the POS which totaled \$5.00. Charles then canceled the transaction. Bartender Byas Brown served Charles the glass of wine. At 22:19 hours, Charles served the wine to a patron at 1E04-01 and collected payment from the patron.

Transaction #84641 06-26-2022 at 21:02 hours, Sports Bar Charles entered two Knob Creek servings into the POS totaled at \$15.00 then voids the items and canceled the transaction. Charles was then observed behind the bar pouring the drinks and collected payment from the patron before serving.

Transaction #375547 06-27-2022 at 22:03 hours, Fling Bar Charles entered a Funky Buddha Draft Beer into the POS totaled at \$7.00. Charles then voided the beer and canceled the transaction. Bartender Cristina Ibach then poured the draft beer served it to Charles. Charles then sold the beer to a patron gaming at 1E01-02.

Transaction #107560 06-28-2022 at 00:42 hours, Sports Bar Charles entered a Guinness Draft Beer into the POS totaled at \$6.00. Charles then voided the beer and canceled the transaction. Charles then poured the beer herself from behind the bar and sold the beer to a patron at table #9, seat #7 of the Poker Room.

After completing the reviews of the above mentioned transactions it was found that the transactions voided/canceled totaled \$39.00.

Footage was dubbed, saved, and titled PO#

Entered by: Michael Larroy Garcia
Entered on: Jul 02, 2022 11:11

Video Composite Entries

Name	Added On
Miscellaneous-0	Jul 02 2022, 11:11

Subject(s) Arrested: No
Subject(s) Ejected: No

Subject(s) Trespassed: No
Length of Ejection:

Subject(s)

EXHIBIT # 1
PAGE # 3

Isle Casino - Pompano
Employee - General Investigation
Surveillance Incident: po-SUR-32935

Dossier ID	Team Member #	Name	Role
174081	139960	Shakera Charles	Team Member

Surveillance Representative _____ Date: _____

EXHIBIT # 1
PAGE # 4

**Isle Casino - Pompano
Employee - General Investigation
Surveillance Incident: po-SUR-32935**

Incident Subject

SSN:

IsleOne:

Last Name: Charles
First Name: Shakera
Middle Name:
Suffix:

Team Member Information

Team Member #: 139960
Date of Hire:
Department:

Location:
Date of Termination:
Position:

Contact Information

Mailing Address:

Phone Number:

Physical Information

Gender: Female
Height:
Hair color:
Complexion:
Mustache: Unknown
Beard: Unknown
Birthdate: Unknown
Description:
Identifying Features:

Race:
Weight:
Hair length:

Goatee: Unknown
Clean Shaven: Unknown
Approx Age: Unknown

Drivers License Information

State:
Type:

Drivers License Number:
Expiration:

EXHIBIT # 1
PAGE # 5

Michael N. Larroy Garcia

From: Michael N. Larroy Garcia
Sent: Wednesday, June 29, 2022 9:43 AM
To: Miguel Gonzalez
Subject: RE: Charles updated Transactions

Transaction #374991 06-21-2022 22:10 hours, Fling Bar Charles entered two bottled beers into the POS. Charles sold both beers but voided one from the transaction pocketing \$6.00.

Transaction #374993 06-21-2022 22:17 hours, Fling Bar Charles entered a wine glass of GL Canyon Cab sale into the POS then canceled the transaction and sold it to a patron pocketing \$5.00.

Transaction #84641 06-26-2022 21:02 hours, Sports Bar Charles entered two Knob Creek shots into the POS then canceled the transaction and sold the drinks to a patron pocketing \$15.00.

Transaction #375547 06-27-2022 22:03 hours, Fling Bar Charles entered a Funky Buddha Draft Beer into the POS canceled the transaction and sold the beer to a patron pocketing \$7.00.

Transaction #107560 06-28-2022 00:42 hours, Sports Bar Charles entered a Guinness Draft Beer into the POS then canceled the transaction and sold the beer to a patron pocketing \$6.00.

From: Michael N. Larroy Garcia
Sent: Tuesday, June 28, 2022 2:10 PM
To: Miguel Gonzalez <Miguel.Gonzalez@islepompanopark.com>
Subject: Shaker Charles Results

For the purpose of this report, all times are approximate.

On Tuesday June 21, 2022 this department conducted an audit of Beverage Server Shaker Charles. With utilizing the E-Connect system Charles transactions were audited and numerous transactions were observed to be voided along with multiple checks merged together. An E-Watch was conducted on Charles following her schedule of 06-25 to 6-28.

After further investigation conducted it was found that five transactions were voided/canceled and Charles kept the profits totaling \$39.00.

Transaction #1 06-21-2022 22:10 hours, Fling Bar Charles entered two bottled beers into the POS. Charles sold both beers but voided one from the transaction pocketing \$6.00.

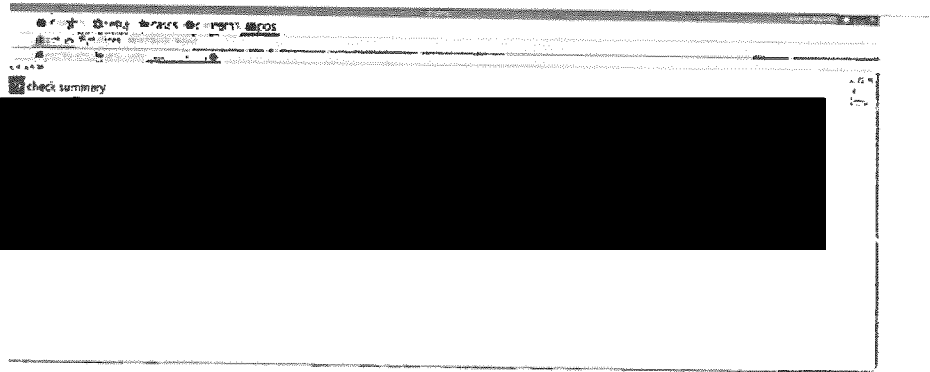
Transaction #2 06-21-2022 22:17 hours, Fling Bar Charles entered a wine glass of Canyon Chard sale into the POS then canceled the transaction and sold it to a patron pocketing \$5.00.

Transaction #3 06-26-2022 21:02 hours, Sports Bar Charles entered two Knob Creek shots into the POS then canceled the transaction and sold the drinks to a patron pocketing \$15.00.

Transaction #4 06-27-2022 22:03 hours, Fling Bar Charles entered a Funky Buddha Draft Beer into the POS canceled the transaction and sold the beer to a patron pocketing \$7.00.

Transaction #5 06-28-2022 00:42 hours, Sports Bar Charles entered a Guinness Draft Beer into the POS then canceled the transaction and sold the beer to a patron pocketing \$6.00.

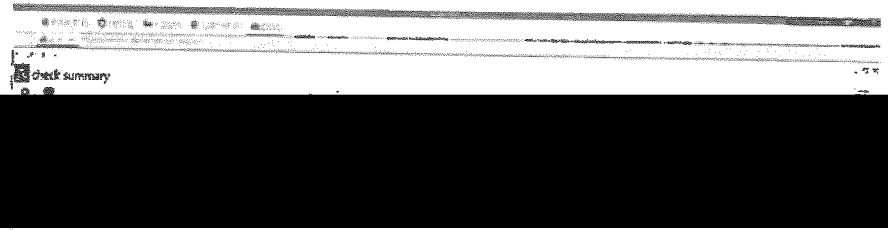
EXHIBIT #
PAGE # 6



4/23/2014 10:10:10 AM

Page 1 of 12 Records

EXHIBIT # 1
PAGE # 7



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EXHIBIT # 1
PAGE # 8

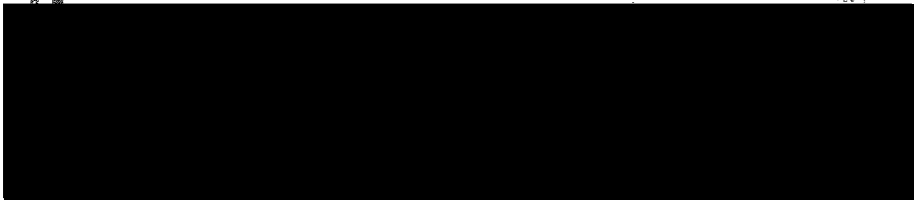


EXHIBIT # 1
PAGE # 9

check summary



EXHIBIT # 1
PAGE # 10

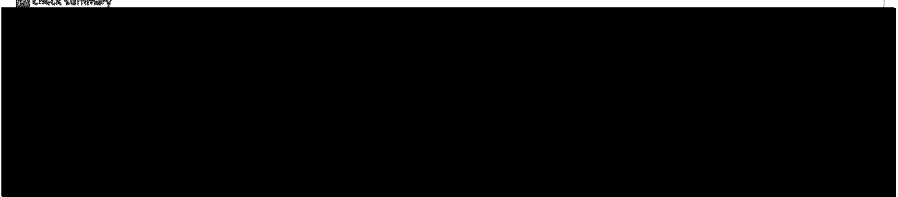


EXHIBIT # 1
PAGE # 11



PERFORMANCE DOCUMENT

DATE PREPARED:	DATE ISSUED:
7/2/2022	7/2/2022

TEAM MEMBER ID #	139960	LAST NAME	FIRST	INITIAL	DATE OF HIRE	ATTENDANCE - LAST 12 MONTHS	
SUPERVISOR/ MANAGER	Gregg Krupin	Charles, Shakera				ABSENT:	LATE:
POSITION	Beverage Server		DEPARTMENT	F & B		CODE	

This is to be considered notice of:

Positive Recognition Final Warning Termination (PAN must accompany form)
 Coaching Suspension:
 Written Counseling Number of Days _____ From _____ To _____
 Written Warning Return to work on: _____

TYPE OF EVENT	
<input type="checkbox"/> Recognition	<input type="checkbox"/> Dishonesty
<input type="checkbox"/> Work Performance	<input checked="" type="checkbox"/> Violation of Company Policies/Procedures
<input type="checkbox"/> Attendance Infractions	<input type="checkbox"/> Rudeness to Customers/Coworkers
<input type="checkbox"/> Insubordination	<input type="checkbox"/> Use or Possession of Intoxicants/Narcotics
<input type="checkbox"/> Violation of security or safety regulations	<input type="checkbox"/> Other _____

DETAILS

Description of Event: (include details of the behavior that led to this document)
 During Surveillance audit you were observed entering beverages in to the IG terminal, excepting cash payment and then clearing items from check prior to submitting and processing on 6/21/22, 6/26/22, 6/27/22, and again on 6/28/22 which is a clear violation of departmental policy.

Coaching Plan: (include details on expectations of future behaviors and time frames)
 During the suspension you are instructed to remain off property and to refrain from speaking with coworkers as it relates to this case. Failure to follow these directions may result in further disciplinary action, up to and including termination. Please contact the HR Department if you have any questions during the suspension.

Consequences: (if any) for uncorrected behavior:
 Further disciplinary action up to and including termination.

Team Member Comments:

PRIOR PERFORMANCE DOCUMENTATION RELATED TO THIS ISSUE			
Date	Type	Issued By	Brief Description of Event

ACKNOWLEDGEMENT OF RECEIPT OF DOCUMENT	
By signing this form, you confirm that the information in this document has been discussed with you. Your signature indicates that you understand the information contained and does not necessarily represent agreement with this document.	
Team Member Signature:	DATE: 7/2/22
Supervisor Signature:	DATE:
Director/Manager Signature:	DATE: 6/2/22
Human Resources Signature:	DATE:
Witness Signature (if team member refuses to sign):	DATE:

Smith, William

From: John J. Keenan <John.Keenan@islepompanopark.com>
Sent: Tuesday, July 05, 2022 8:42 AM
To: Crafts, Bill; Smith, William; Leiva-Ubidia, Maria
Subject: FW: Charles, Shakera
Attachments: doc04982120220702163447.pdf

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

FYI



John J. Keenan | *DIRECTOR COMPLIANCE & SAFETY*
O 954-633-6610
777 Isle of Capri Circle | Pompano Beach, FL 33069

www.caesars.com

From: Troy Buswell
Sent: Tuesday, July 5, 2022 8:24 AM
To: Daren Nenortas <Daren.Nenortas@islepompanopark.com>; John J. Keenan <John.Keenan@islepompanopark.com>
Subject: Re: Charles, Shakera

Sent from my iPhone



Troy Buswell | *Senior Vice President & General Manager*
O 954-633-6500 | M 304-479-1066
1800 SW 3rd St. | Pompano Beach, Florida 33069
Caesars | Isle
www.caesars.com

On Jul 5, 2022, at 08:16, Daren Nenortas <Daren.Nenortas@islepompanopark.com> wrote:

Morning,

EXHIBIT # 1
PAGE # 13

Beverage Server Shakera Charles was interviewed on Saturday and suspended. There are four days in review that have her voiding drinks and keeping the sale. I reviewed all the videos last week with Miguel and verified the transactions. Let me know if you're good with a term.



Daren Nenortas | *HUMAN RESOURCES/DIRECTOR*
O 954-633-6533 | M 954-826-0382
777 Isle of Capri Cir. | Pompano Beach, FL 33069
Isle Casino Racing Pompano Park
www.caesars.com

From: Gregg Krupin
Sent: Saturday, July 2, 2022 4:31 PM
To: Daren Nenortas <Daren.Nenortas@islepompanopark.com>; John J. Keenan <John.Keenan@islepompanopark.com>
Subject: Charles, Shakera

Please see attached suspension PD. When questioned as of why she would be clearing items from checks prior to process, she responded " there was just a lot going on at the time" I brought the various dates in question, she had no words.

-Gregg



Gregg Krupin | *DIRECTOR/FOOD & BEVERAGE*
O 954-633-6572 | M 954-729-8057
777 Isle of Capri Cir. | Pompano Beach, FL 33069
www.caesars.com

EXHIBIT # 1
PAGE # 14

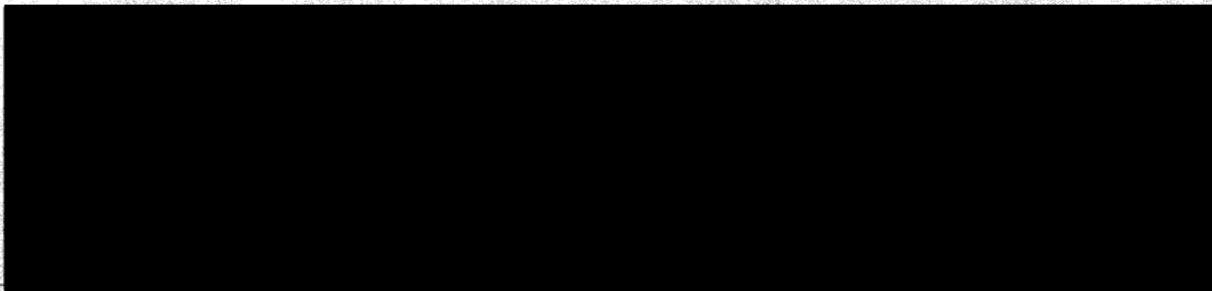


POMPANO PARK

NOTICE OF EXCLUSION

To: Shakira Charles

Date of Birth:



You have been excluded for a specific period of time per the Management of the Isle Casino Racing Pompano Park.

On this 5th day of July, 2022, you are hereby ordered to leave the property of Isle Casino Racing Pompano Park. You are further advised that you are not to enter or remain at any time on property. Prior written consent must be obtained from the General Manager in order to be allowed back onto the property.

Your removal and denial of access complies with state applicable laws and is not founded on race, creed, color or national origin.

You are further advised that if in the future you knowingly enter or remain in or upon the grounds or facilities of Isle Casino Racing Pompano Park, you will be considered a trespasser and may be subject to arrest.

Reason for Exclusion: Management Decision

Address:

Incident Report Number: PO-SEC-28852

Fan Club Number: _____

Length of Exclusion:

30 Days

90 Days

1 Year

3 Years

Permanent

Other: _____

Acknowledged by: _____

(Customer Signature)

Issued by:

Witness: _____

EXHIBIT # 2
PAGE # 1



**State of Florida
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations**

PROPERTY RECEIPT

Complaint # 2022033258 Date 07/11/22 Lab # _____

Item No.	Quantity	Description
1	1	DVD Charles Shaker waitress Pompano Park Theft

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature _____
Date

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

EXHIBIT # 3
PAGE # 1



[VR Home](#) |
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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **bjones**

VR Home > License Search > License Home

License Fed Tax # [REDACTED] Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo Expires On 06/30/2024 File # 8644 Name CHARLES, SHAKERA HILARY Extended To License # 11486095 Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo Renewed On Entity # 11486095 Lic Status Current			Licensee History Notes Notes History Back															
Address Street # 5370 Street NW 88TH AVE APT 201 Line 2 Line 3 City SUNRISE State FL Zip 33351 Routing																		
Other 1st License Date 05/26/2021 Rank Date 05/26/2021 Certificate # Method I-S-1020 Status Date 05/26/2021 Certificate Date Fee Exempt No Birth Date [REDACTED] Renewal Sent																		
Select Action <input type="text"/>  																		
Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>C</td> <td>FOOD - Food Service</td> <td>05/26/2021</td> <td></td> </tr> <tr> <td>I</td> <td>HRNS - Harness</td> <td>05/26/2021</td> <td></td> </tr> <tr> <td>Y</td> <td>3YR - 3 Year License</td> <td>05/26/2021</td> <td></td> </tr> </tbody> </table>			Type	Modifier	Effective Date	Additional Info	C	FOOD - Food Service	05/26/2021		I	HRNS - Harness	05/26/2021		Y	3YR - 3 Year License	05/26/2021	
Type	Modifier	Effective Date	Additional Info															
C	FOOD - Food Service	05/26/2021																
I	HRNS - Harness	05/26/2021																
Y	3YR - 3 Year License	05/26/2021																

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: bjones

VR Home > License Search > License Home > View License Event Log

Fed Tax # [REDACTED]	Lic Type	1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On	06/30/2024
File # 8644	Name	CHARLES, SHAKERA HILARY	Extended To	
License # 11486095	Rank	SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On	
Entity # 11486095	Lic Status	Current		

Updated	By	Description
05/26/2021 08:47:36	klewis1	Initial Occupational license # 32790 approved
05/26/2021 08:47:36	ljones3	Rank and/or status changed, expiry date assigned
05/26/2021 08:47:36	ljones3	Occupational Codes FOOD added
05/26/2021 08:47:36	ljones3	Industry Identifiers HRNS added
05/26/2021 08:47:36	ljones3	Licensure Years 3YR added
05/18/2021 10:47:54	rbarzano	Initial Occupational license # 32790 opened
05/18/2021 10:47:54	rbarzano	License record created

Filter Back

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Complaint Search	Change Recording License Type	Delete Complaint	Mass Activity Update	Mass Discipline Update
Mass Status Update	Public Case Info			

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: icampbell

VR Home Complaint Search Maintain Complaint

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	20 Under Investigation	Status Date	07/11/2022
Complaint #	2022033258	Case Type	CMP Complaint	Disposition	Disposition Date
Docket#	Re pondent CHARLES, SHAKERA HILARY	Re pon ible	wsmith - SMITH, WILLIAM	Private Ca e	

Complaint	Re pondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source INTN - Internal Security Level 1
 Form INTR - Internal Priority
 Class'n V-C - Cardroom Violations Complexity R - Regular
 Security STND - Standard Incident 07/02/2022
 Region SR - Southern Region Received 07/11/2022
 Reference 550.0251(6)
 Entered 07/11/2022 Entered By icampbell

Summary 430 - PPI, Inc. - Prohibitions: SHAKERA CHARLES was employed by Pompano Park (PPI) as a Food & Beverage Waitress. In the course of her work she utilized a scheme entering and voiding purchase transactions that enabled the theft of monies belonging to PPI. PPI has terminated her employment and lifetime excluded her from the property. Given the nature of her actions she should be considered for exclusion from all pari-mutual facilities in Florida as well as the revocation of her PMW occupational license.

Updated 07/11/2022 12:17:35 By icampbell

<input type="checkbox"/> Partie	<input checked="" type="checkbox"/> Activitie
<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
<input type="checkbox"/> Violation	<input type="checkbox"/> Compliance
<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
<input type="checkbox"/> In pection	
<input type="checkbox"/> Costs	
<input type="checkbox"/> Time Tracking	Auto Assign
<input type="checkbox"/> Attachment	Hi tory
<input type="checkbox"/> Work Notes	Print Report

Change	Save	OK	Cancel	Back
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Campbell, Ian

From: Jones, Bradford
Sent: Monday, July 11, 2022 11:01 AM
To: Muniz, Luz
Cc: Campbell, Ian; Mahalingam, Rajani; Smith, William; Kogan, Steven
Subject: OPEN CASE REQUEST
Attachments: OPEN CASE REQUEST- CHARLES SHAKERA THEFT.docx

Categories: To Be Completed

Hello Luz,

This is approved. Please open a case and assign to Bill Smith.

Thank you.



Bradford D. Jones
Investigations Supervisor
Division of Pari-Mutual Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

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Domain 10 - Division of Pari-Mutuel Wagering

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 [License Home](#)

License

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
 Fed Tax # XXXXXXXXXX
 File # **8644** Name **CHARLES, SHAKERA HILARY** Extended To
 License # **11486095** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On
 Entity # **11486095** Lic Status **Current**

- [Licensee](#)
- [History](#)
- [Notes](#)
- [Notes History](#)
- [Back](#)

Address

Street # **5370** Street **NW 88TH AVE APT 201**
 Line 2
 Line 3
 City **SUNRISE** State **FL** Zip **33351**
 Routing

Other

1st License Date **05/26/2021** Rank Date **05/26/2021** Certificate #
 Method **I-S-1020** Status Date **05/26/2021** Certificate Date
 Fee Exempt **No** Birth Date XXXXXXXXXX Renewal Sent

Select

Action  

Modifiers

Type	Modifier	Effective Date	Additional Info
C	FOOD - Food Service	05/26/2021	
I	HRNS Home	05/26/2021	
Y	3YR - 3 Year License	05/26/2021	

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7020 2450 0000 5094 3423

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<input type="checkbox"/> Return Receipt (hardcopy)	\$
<input type="checkbox"/> Return Receipt (electronic)	\$
<input type="checkbox"/> Certified Mail Restricted Delivery	\$
<input type="checkbox"/> Adult Signature Required	\$
<input type="checkbox"/> Adult Signature Restricted Delivery	\$
Postage	\$
Total Postage at	\$

8-31-22

Postmark
Here

Shakera Hilary Charles
 5370 Northwest 88th Avenue, Apt. 201
 Sunrise, Florida 33351
 AC - 2022033258 - PMW

[Track Another Package +](#)

Tracking Number: 70202450000050943423

[Remove X](#)

Your item was delivered to an individual at the address at 7:40 pm on September 6, 2022 in FORT LAUDERDALE, FL 33351.

USPS Tracking Plus[®] Available [v](#)

Delivered, Left with Individual

September 6, 2022 at 7:40 pm
FORT LAUDERDALE, FL 33351

Feedback

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MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Case Number 2022-035114; Default Final Order
Date: October 24, 2022

Executive Summary

The Division of Pari-Mutuel seeks an administrative fine of \$250 due to TBD Entertainment, LLC (“Respondent”) violation of rule 61D-11.016(6), Florida Administrative Code. Section 849.086(14)(c), Florida Statutes, grants the Commission the power to impose an administrative fine not to exceed \$1,000 for each violation of any provisions of this section or any rules adopted pursuant thereto. Therefore, the Florida Gaming Control Commission should enter a final order imposing a fine of \$250 for the violation.

Background

On or about July 20, 2022, it was discovered that Respondent had fifteen cardroom tables in operation. Upon review of Respondent’s cardroom operating license for the 2022-2023 fiscal year, Respondent was authorized to operate fourteen cardroom tables. Respondent has no prior violations of rule 61D-11.016(6), Florida Administrative Code.

The Division served Respondent with an administrative complaint and election of rights form. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days¹ to file a written response to the administrative complaint. The Respondent was served on September 1, 2022, which means the Respondent had until September 22, 2022 to respond. Respondent has never responded.

¹ See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

Analysis

The Commission has the authority to impose an administrative fine of \$1,000.00 for each violation of section 849.086, Florida Statutes, or any rules adopted pursuant to that section.²

Rule 61D-11.016(6), Florida Administrative Code, provides that “[a]t no time may a cardroom contain more tables than that for which the cardroom operator has been licensed.”

Because Respondent had fifteen cardroom tables in operation, it is subject to an administrative fine of up to \$1000.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order imposing an administrative fine of \$250.

² § 849.086(14)(c), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-035114

TBD ENTERTAINMENT, LLC,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against TBD Entertainment, LLC (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to Chapters 550 and 849, Florida Statutes.

2. At all times material hereto, Respondent held a Pari-Mutuel Wagering and Cardroom permit and license, number 140-1002, issued by Petitioner.

3. On or about July 20, 2022, it was discovered that Respondent had fifteen cardroom tables in operation.

4. Upon review of Respondent’s cardroom operating license for the 2022-2023 fiscal year, Respondent was authorized to operate fourteen cardroom tables.

5. Rule 61D-11.016(6), Florida Administrative Code, provides that “[a]t no time may a cardroom contain more tables than that for which the cardroom operator has been licensed.”

6. Based on the foregoing, Respondent violated Rule 61D-11.016(6), Florida Administrative Code, by operating more cardroom tables than Respondent had been licensed to operate.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in Sections 550.105 and 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-035114 is signed this 17th day of August, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[Mass Status Update](#) | [Public Case Info](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: icampbell

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Lic Type	1002 - Cardroom License	Status	90 Closed	Status Date	08/11/2022
Complaint #	2022035114	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	TBD ENTERTAINMENT, LLC	Responsible	rsamson - SAMSON, RANDA	Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	INTR - Internal	Priority		<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	V-C - Cardroom Violations	Complexity	R Regular	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident		<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	CR - Central Region	Received	07/20/2021	<input type="checkbox"/> Inspection	
Reference	61D-11.007(2-3) / 61D-11.016(6)			<input type="checkbox"/> Costs	
Entered	07/20/2022	Entered By	Imuniz	<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
Summary	140-TBD Entertainment, LLC ----- / ----- , Cardroom Operator License & Card and Domino Tables: On July 20, 2022 during a scheduled floor plan inspection it was discovered that Tampa Greyhound Track had fifteen (15) tables on their cardroom floor and floor plan which were in operation. However they were only licensed to have fourteen (14) tables in operation.			<input type="checkbox"/> Attachments	<input type="button" value="History"/>
Updated	08/12/2022 08:53:41	By	icampbell	<input type="checkbox"/> Work Notes	<input type="button" value="Print Report"/>

22	<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: CENTRAL	Date of Complaint: JULY 20, 2022	Case Number: 2022 03 5114
Respondent: TBD ENTERTAINMENT, LLC. P.O. BOX 8096 TAMPA, FL. 33674-8096 [REDACTED]		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309	
License # and Type: 140 / 1002		Profession: PERMIT HOLDER	Report Date: AUGUST 5, 2022
Period of Investigation: JULY 20, 2022 – AUGUST 5, 2022		Type of Report: FINAL	
<p>Alleged Violation:</p> <p>61D-11.007 Cardroom Operator License. (3) No license application, amendment to an application, or amendment to request additional cardroom tables shall be effective until the division has received payment of cardroom table fees, inspected and approved the surveillance requirements for compliance with Rule 61D-11.025, F.A.C., and the division has issued a license or amended license to operate a cardroom.</p> <p>61D-11.016 Card and Domino Tables: (6) At no time may a cardroom contain more tables than that for which the cardroom operator has been licensed.</p>			
<p>Synopsis: On July 20, 2022, during a scheduled floor plan inspection at TAMPA GREYHOUND TRACK, I discovered that the facility had fifteen (15) tables in operation. A check of their Cardroom License for the 22/23 fiscal year showed Tampa Greyhound Track licensed to operate fourteen (14) tables.</p>			
<p>Related Case(s):</p>			
Investigator / Date /s/  Randa Samson / AUGUST 5, 2022		Investigator Supervisor / Date /s/  C. Derek Washington / August 9, 2022	
Chief of Investigations / Date  Steven E. Kogan / August 11, 2022			

CONTINUATION

On July 20, 2022, during a scheduled floor plan inspection at Tampa Greyhound Track, I discovered that the facility had fifteen (15) tables in operation. A check of their Cardroom License for the 22/23 fiscal year showed Tampa Greyhound Track licensed to operate fourteen (14) tables. **(EXHIBIT #2)**

The violation was brought to Poker Room Manager Jacob Darrell **MAST** (PMW LIC #7050031). **MAST** stated it was an honest mistake, and he thought when he amended his 21/22 license, which included 15 tables, that all the tables would roll over into the 22/23 license.

On July 5, 2022, the Division received the amended application, and payment for three additional tables was received on July 20, 2022. **(EXHIBIT #3)**

On July 27, 2022, **TAMPA GREYHOUND TRACK's** cardroom license was amended, allowing the facility to operate 17 tables. **(EXHIBIT #3)**

TBD ENTERTAINMENT, LLC. (TAMPA GREYHOUND TRACK) is in violation of F.A.C. Rule 61D-11.007 (3) and 61D-11.016 (6) because they contain more tables than that for which the cardroom operator had been licensed.

A review of the Versa Regulation Enforcement database showed no previous violations against **TBD ENTERTAINMENT, LLC. (TAMPA GREYHOUND TRACK)** for these specific F.A.C. Rule 61D-11.007 (3) and 61D-11.016 (6).

Case Status: Investigation case closed and case referred to Legal for review

CONTINUATION

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III. EXHIBITS

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 3. AMENDED APPLICATION AND RECEIVED PAYMENT.....1-17



VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Imuniz

[VR Home](#) > [License Search](#) > [License Home](#)

<p>License</p> <p>Fed Tax # XXXXXXXXXX Lic Type 1002 - Cardroom License Expires On 06/30/2023</p> <p>File # 27 Name TBD ENTERTAINMENT, LLC Extended To</p> <p>License # 140 Rank CLIC - Cardroom Operating License Renewed On</p> <p>Entity # 140 Lic Status Current</p>		<input type="checkbox"/> <p>Licensee</p> <p>History</p> <p>Notes</p> <p>Notes History</p> <p>Back</p>								
<p>Address</p> <p>Street # Street P O BOX 8096</p> <p>Line 2</p> <p>Line 3</p> <p>City TAMPA State FL Zip 33674-8096</p> <p>Routing</p>										
<p>Other</p> <p>1st License Date 03/15/2022 Rank Date 07/15/2022 Certificate #</p> <p>Method I-S-1020 Status Date 06/24/2002 Certificate Date</p> <p>Fee Exempt No Birth Date Renewal Sent</p>										
<p>Select</p> <p>Action <input type="text"/>  </p>										
<p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>L</td> <td>140 - Associated Outdoor Clubs Inc</td> <td>04/09/2004</td> <td></td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	L	140 - Associated Outdoor Clubs Inc	04/09/2004		
Type	Modifier	Effective Date	Additional Info							
L	140 - Associated Outdoor Clubs Inc	04/09/2004								
<p>Alt Keys</p> <p>BEST LIC NBR CLI140</p>										

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EXHIBIT #1
PAGE 1/1

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

License Number: CR140
Permit Type: GHND
Permit County: Hillsborough

LICENSE TO OPERATE A CARDROOM

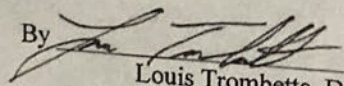
For:
TBD Entertainment, LLC
D/B/A TGT Poker & Racebook and/or Lucky's Card Room

Licensed to Operate, At the Pari-Mutuel Facility, Located At:
755 East Waters Avenue
Tampa, FL 33604
Hillsborough County

Valid From: July 1, 2022
Expires On: June 30, 2023
Licensed to Operate: 14 Tables



Issued and dated, this 15 day of March, 2022.

By 
Louis Trombetta, Director
Division of Pari-Mutuel Wagering

This license is issued in accordance with the Florida Pari-Mutuel Wagering Act, Section 849.086, Fla. Stat., and the rules promulgated thereunder. This license is to be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

EXHIBIT #2
PHOTO 1/1

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number: CR140
Permit Type: GHND
Permit County: Hillsborough
Amendment: #3

LICENSE TO OPERATE A CARDROOM

EXHIBIT #3
PAGE 1/17

For:

TBD Entertainment, LLC
D/B/A TGT Poker & Racebook and/or Lucky's Card Room

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

755 East Waters Avenue
Tampa, FL 33604
Hillsborough County

Valid From: July 1, 2022
Expires On: June 30, 2023
Licensed to Operate: 17 Tables

Issued and dated, this 27th day of July, 2022.

By



Louis Trombetta, Executive Director
Florida Gaming Control Commission



This license is issued in accordance with the Florida Pari-Mutuel Wagering Act, Section 849.086, Fla. Stat., and the rules promulgated thereunder. This license is to be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

IN RE: TBD ENTERTAINMENT, LLC
AMENDED APPLICATION FOR A
CARDROOM LICENSE

FGCC CASE No. 2022-035298

FINAL ORDER APPROVING AMENDMENT TO CARDROOM LICENSE

Pursuant to the provisions of section 849.086, Florida Statutes, and the rules promulgated thereunder, the Florida Gaming Control Commission ("Commission"), is responsible for the investigation and prior approval of applications and amendments to applications for cardrooms; and

TBD Entertainment, LLC d/b/a TGT Poker & Racebook and/or Lucky's Card Room ("TBD") possesses a greyhound permit. TBD has been issued two licenses for the 2022-2023 Fiscal Year: 1) a pari-mutuel operating license; and 2) a cardroom license. TBD is currently licensed to operate 14 card tables and is requesting to amend its license to add 3 more to its cardroom. TBD has paid the \$3,000 license fee associated with the additional tables.

The Commission has received and reviewed the pertinent records and supporting documentation concerning TBD's amended application for a cardroom license and after proper consideration thereof,

NOW, THEREFORE, be it known that:

Pursuant to the requirements of section 849.086, Florida Statutes, the Commission hereby approves this amendment to TBD Entertainment, LLC's cardroom license.

It is so ordered.

MACIVER, Chair, YAWORSKI, Vice-Chair, and BROWN, DRAGO, and D'AQUILA, Commissioners, concur.

I HEREBY CERTIFY that this document was filed and a copy served on each party on July, 27th, 2022.



AGENCY CLERK'S OFFICE
Florida Gaming Control Commission

EXHIBIT #3
PAGE 2/17



State of Florida
Department of Business and Professional Regulation
Chronology Report

EXHIBIT #3
PAGE 3/17

Case #: 2022035298 **Incident date:** 07/05/2022 **Status:** 10 - Initial Review

Lic Type: 1002 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: TBD ENTERTAINMENT, LLC
P O BOX 8096, TAMPA, FL 33674-8096

Respondent: FGCC, PARI-MUTUEL WAGERING
2601 BLAIR STONE ROAD, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER: TBD Entertainment, LLC (#140) FISCAL YEAR: 2022/2023

DATE RECEIVED: July 5, 2022
DATE PROCESSED: July 14, 2022
REVIEWER'S NAME: La'Kesha Jelks
CHANGE REQUESTED: Adding three (3) tables for a total of seventeen (17).

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	07/21/2022	R		ljelks	JELKS, LA'KESHA	jpouncey	
	07/21/2022	S	1002	10	Initial Review	jpouncey	

State of Florida
Department of Business and Professional Regulation
Chronology Report

EXHIBIT #3
PAGE 4/17

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Operator's Request to add Card Tables
Date: July 20, 2022

Executive Summary

A cardroom operator is seeking permission to add additional card tables to its poker room. The Commission should approve this request.

Background

TBD Entertainment, LLC d/b/a TGT Poker & Racebook and/or Lucky's Card Room ("TBD") possesses a greyhound permit. TBD has been issued two licenses for the 2022-2023 Fiscal Year: 1) a pari-mutuel operating license; and 2) a cardroom license.¹ TBD is currently licensed to operate 14 card tables and is requesting permission to add 3 more to its cardroom. TBD has paid the \$3,000 license fee associated with the additional tables.²

Analysis

Aside from the \$1,000-per-card-table license fee, there is no other applicable restriction³ to the number of card tables that TBD can add to its cardroom. Since TBD has paid the additional \$3,000 license fee, TBD should be authorized to add additional card tables to its cardroom.

Recommendation: The Florida Gaming Control Commission should approve TBD Entertainment, LLC d/b/a TGT Poker & Racebook and/or Lucky's Card Room's request to add additional card tables to its cardroom.

EXHIBIT #3
PAGE 5/17

¹ See generally § 849.086, Fla. Stat.

² The annual cardroom license fee costs \$1,000 for each table in the cardroom. § 849.086(5)(e), Fla. Stat.

³ There is no indication that TBD is seeking to change the number of card tables for the play of poker games in a designated player method. See §§ 849.086(7)(h)2. & 4., Fla. Stat. (restricting the number of tables for the play of poker games in a designated player manner).

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: TBD Entertainment, LLC (#140) FISCAL YEAR: 2022/2023

DATE RECEIVED: July 5, 2022

DATE PROCESSED: July 14, 2022

REVIEWER'S NAME: La'Kesha Jelks

CHANGE REQUESTED: Adding three (3) tables for a total of seventeen (17).

Requirement	Met? Y/N	Deficiency Sent	Resolved
Original application form DBPR PMW 3160.	Y		
Payment for additional tables	Y	7/15/2022	7/20/2022
Floor Plan	Y	7/15/2022	7/15/2022

CONTACT FROM OTHER FACILITIES WITHIN FIFTY (50) MILES:	CONTACTED?			OBJECTED?		
	NO	YES	DATE	NO	YES	DATE
NONE						

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REVENUE SECTION	<input type="checkbox"/>	REVIEWED	INITIALS: _____	DATE: _____
PERMIT ADMIN	<input checked="" type="checkbox"/>	REVIEWED	INITIALS: <u>JP</u>	DATE: <u>7-20-22</u>
OPERATIONS REVIEW SP	<input type="checkbox"/>	REVIEWED	INITIALS: _____	DATE: _____

DISTRIBUTION OF PMW AND CARDROOM (If applicable) LICENSE(S):

PARI-MUTUEL LICENSE

General Manager (Original)	Office of Investigations
Office of Auditing	Office of Revenue &
Licensing Administrator	Financial Analysis
(with all paperwork)	Chief Inspector
Regional Manager	U of FL Laboratory
Director's MRS	Florida Greyhound
	Association (Greyhound
	Tracks Only)

CARDROOM LICENSE

General Manager (Original)	Office of Investigations
Office of Auditing	Office of Revenue &
Licensing Administrator	Financial Analysis
(with all paperwork)	Regional Manager
Chief Inspector	Director's MRS

**EXHIBIT #3
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Jelks, La'Kesha

From: Jelks, La'Kesha
Sent: Friday, July 15, 2022 11:33 AM
To: 'poker@luckyscards.com'
Subject: RE: TGT poker

Categories: Amendment

Greetings,

Just checking in to see if you have submitted the tables fees and updated floor plan. If you would like to be on the agenda for the July 27th meeting, I will need the fee no later than July 20th.

Once the Committee approves the request and I have the floor plan, Randa can come out to complete the inspection.

Let me know what decide.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 717-1095

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

From: Pouncey, Jamie
Sent: Tuesday, July 5, 2022 8:53 AM
To: Jelks, La'Kesha
Subject: FW: TGT poker

Jamie Pouncey
850.717.1073
Florida Gaming Control Commission
Important: Confidentiality & Public Records Statement

From: poker@luckyscards.com [<mailto:poker@luckyscards.com>]
Sent: Monday, July 4, 2022 12:52 PM
To: Pouncey, Jamie
Subject: FW: TGT poker

EXHIBIT #3
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From: poker@luckyscards.com <poker@luckyscards.com>
Sent: Monday, July 04, 2022 12:49 PM
To: 'Jelks, La'Kesha' <La'Kesha.Jelks@myfloridalicense.com>
Subject: TGT poker

Im looking to add an additional table. I will be submitting floorplan and payment soon. Thanks
Jacob Mast

EXHIBIT #3
PAGE 8/17

DBPR PMW-3160 – Permitholder Application for Annual License to Operate a Cardroom



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

www.myfloridalicense.com

INSTRUCTIONS

This form is to be submitted in conjunction with Form DBPR PMW-3220. Form DBPR PMW-3220 must be submitted with all pertinent cardroom operation information.

ORGANIZATION INFORMATION

Federal Employer ID Number: [REDACTED]

Permitholder's Legal Name: TBD Entertainment LLC

Doing Business As (D/B/A) Name: TGT Poker & Racebook

MAILING ADDRESS

Street Address or P.O. Box: PO BOX 2007

City: Oldsmar

State: FL

Zip Code (+4 optional): 34677

County (if Florida address): Hillsborough

Country: USA

CONTACT INFORMATION

Contact Name: Greg Gelyon

Primary Phone Number: [REDACTED]

Primary E-Mail Address: [REDACTED]

PHYSICAL ADDRESS

Street Address: 755 E Waters Ave

City: Tampa

State: FL

Zip Code (+4 optional): 33604

County: Hillsborough

ADDITIONAL CONTACT INFORMATION (OPTIONAL)

Alternate Phone Number:

Fax Number:

Alternate E-Mail Address:

**EXHIBIT #3
PAGE 9/17**

CARDROOM INFORMATION	
Physical Location of Cardroom:	2nd Floor Clubhouse
What is the maximum number of card tables you intend to operate during the license period?	17
A check or money order made payable to DBPR for the table fees (\$1,000 per table) must be submitted with this application.	
Name of cardroom manager or cardroom management company:	Jacob Mast
Cardroom manager or cardroom management company license number:	7050031
Type of participation fee charged to players:	Rake - Ante <input type="checkbox"/> Seat Charge <input type="checkbox"/> Both <input checked="" type="checkbox"/>

Additional documentation requirements:

- 1) Attach a list of card games to be offered to your patrons.
- 2) As specified in s. 849.086(9), Florida Statutes, provide evidence that there is in force a surety bond in the amount of \$50,000, payable to the state, or evidence that the pari-mutuel bond has been expanded to include cardroom operations.
- 3) Attach Form DBPR PMW-3220, List of Cardroom Business Occupational Licensees Providing Products and Services to a Cardroom, with whom you intend to do business.
- 4) Attach a copy of your internal controls.
- 5) If this is your initial cardroom operator license application, attach proof that the county commission in the county where you desire to conduct cardroom gaming has voted to approve such activity within the county.


ATTEST STATEMENT	
I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida.	
Signature of Applicant or Applicant's Representative	
Date	7-17-22

EXHIBIT #3
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**TBD Entertainment, LLC Verification
Form 3160**

License		
Fed Tax # [REDACTED]	Lic Type 1012 - Cardroom Employee Occupational	Expires On 06/30/2023
File # 1863	Name MAST, JACOB DARRELL	Extended To
License # 7050031	Rank CEMP - Cardroom Employee Occupational	Renewed On 06/17/2020
Entity # 7050031	Lic Status Current	

Address		
Street # 23907	Street SAN GIOVANNI DR.	
Line 2		
Line 3		
City LAND O' LAKES	State FL	Zip 34639
	Routing	

**EXHIBIT #3
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VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Application Home | Change Application

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: ljelks

VR Home > Application Search > Transaction Check List > Transaction Header

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Fed Tax # [REDACTED]	Name TBD ENTERTAINMENT, LLC	Lic Type 1002 - Cardroom License	Trans Class I - Initial
File # 27	Rank Cardroom Operating License	App # 2161	Sec Class S - Standard
License # 140	Lic Status Current	Status Open	
Entity # 140	Expires On 06/30/2023	Trans Code 1030 - Add Table to Cardroom	

<p>App Date: 07/20/2022 mm/dd/yyyy</p> <p>Normal Expiration Date: 07/21/2023</p> <p>Override Expiration Date: mm/dd/yyyy</p> <p>Application Disposition: []</p> <p>1st Reminder</p> <p>2nd Reminder</p> <table border="0"> <tr><td>Total Fee \$</td><td>3,000.00</td></tr> <tr><td>Paid \$</td><td>3,000.00</td></tr> <tr><td>Released \$</td><td>3,000.00</td></tr> <tr><td>Due \$</td><td>0.00</td></tr> </table> <p>Notes</p> <p>Set License Expiry</p>	Total Fee \$	3,000.00	Paid \$	3,000.00	Released \$	3,000.00	Due \$	0.00	<p>Application For</p> <p>Rank Cardroom Operating License</p> <p>Lic Status</p> <p>Modifiers</p> <p>Type [] Code [v] Additional Info [] Add</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Additional Info</th> <th>Actions</th> </tr> </thead> <tbody> <tr> <td colspan="4">No items found.</td> </tr> </tbody> </table>	Type	Modifier	Additional Info	Actions	No items found.				<p>Dpsit History</p> <p>History</p> <p>Deficiencies</p> <p>Regenerate</p> <p>Notes</p> <p>Notes History</p> <p>Cancel</p> <p>OK</p>
Total Fee \$	3,000.00																	
Paid \$	3,000.00																	
Released \$	3,000.00																	
Due \$	0.00																	
Type	Modifier	Additional Info	Actions															
No items found.																		

Checklist | Release | Transfer | Approve | Exit

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Jelks, La'Kesha

From: poker@luckyscards.com
Sent: Friday, July 15, 2022 1:20 PM
To: Jelks, La'Kesha
Subject: FW: TBD Entertainment - PMW3160 Application TGT

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Yes it was added in March 22. Our job is to increase revenue for the state through additional tables and rake. When we send amendment to 15 tables this should be going forward that we are continuing to operate 15 tables unless we send an amendment after that to reduce to 14 tables. Unfortunately paperwork is required way in advance and we added the table after the renewal was due. This in no way should keep us from operating the amount of tables that our last application is for.

From: poker@luckyscards.com <poker@luckyscards.com>
Sent: Friday, July 15, 2022 1:05 PM
To: 'Jelks, La'Kesha' <La'Kesha.Jelks@fgcc.fl.gov>
Subject: RE: TBD Entertainment - PMW3160 Application TGT

Yes correct we added it for March 21 and going forward

From: Jelks, La'Kesha <La'Kesha.Jelks@fgcc.fl.gov>
Sent: Friday, July 15, 2022 1:04 PM
To: jdmast@tgtpoker.com; poker@luckyscards.com
Cc: jamie.pouncey@fgcc.fl.gov
Subject: FW: TBD Entertainment - PMW3160 Application TGT

Hey Jacob,

I found my email asking you to specify which fiscal year you'd like the table to be added; your response is below.

From: Jelks, La'Kesha
Sent: Monday, February 28, 2022 4:08 PM
To: JD Mast
Subject: RE: TBD Entertainment - PMW3160 Application TGT

OK. I'll give it to the Director.

From: JD Mast [mailto:JDMast@tgtpoker.com]
Sent: Monday, February 28, 2022 4:06 PM

EXHIBIT #3
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To: Jelks, La'Kesha
Subject: Re: TBD Entertainment - PMW3160 Application TGT

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21/22

From: Jelks, La'Kesha <La'Kesha.Jelks@myfloridalicense.com>
Sent: Monday, February 28, 2022 3:52 PM
To: JD Mast <JDMast@tgtpoker.com>
Subject: RE: TBD Entertainment - PMW3160 Application TGT

Greetings,

Before I process this request, is it for the 21/22 (June 30th) fiscal year or the 22/23 fiscal year (July 1, 2022 – June 30, 2023)?

Best,

La'Kesha Jelks



La'Kesha Jelks
Cardroom Coordinator
Operations Review Specialist
Division of Pari-Mutuel Wagering
2601 Blair Stone Road Tallahassee, FL 32399-1035
Phone: (850) 717-1095 Fax: (850) 488-0550

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From: JD Mast [mailto:JDMast@tgtpoker.com]
Sent: Wednesday, February 23, 2022 1:10 PM
To: Jelks, La'Kesha
Subject: Fw: TBD Entertainment - PMW3160 Application TGT

EXHIBIT #3
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Application for adding an additional table. Check for \$1,000 was mailed out today. I will be sending new floor plan for approval in the next few days. Can you please confirm when check is received

Thanks
Jacob Mast

From: Bekki Furlong <bfurlong@tbdgllc.com>
Sent: Wednesday, February 23, 2022 11:27 AM
To: JD Mast <JDMast@tgtpoker.com>
Subject: TBD Entertainment - PMW3160 Application

JD-Here is the scanned PMW3160 Application...

Rebekah J. Furlong
TBD Entertainment, LLC. Dba TGT Poker & Racebook
Human Resources/Accounts Payable
Monday – Friday 9:30am-4:00pm
755 E. Waters Avenue
Tampa, FL 33604
PH: 813-932-4313 X 4
Fax: 813-932-5048
Monday – Friday 9:30am-4:00pm

With enough coffee anything is possible...

EXHIBIT #3
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[FAQ](#) | [Help](#) | [Sign Out](#)

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint		Mass Activity Update		Mass Discipline		

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1002 - Cardroom License	Status	20 Under Investigation	Status Date	07/20/2022
Complaint #	2022035114	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	TBD ENTERTAINMENT, LLC	Responsible	rsamson - SAMSON, RANDA	Private Case

Complaint	Respondent	Complainant	Add'l Info
Source	INTN - Internal	Security Level	1
Form	INTR - Internal	Priority	
Class'n	V-C - Cardroom Violations	Complexity	R - Regular
Security	STND - Standard	Incident	
Region	CR - Central Region	Received	07/20/2021
Reference	61D-11.007(2-3) / 61D-11.016(6)		
Entered	07/20/2022	Entered By	Imuniz
Summary	<p>140-TBD Entertainment, LLC ----- / ----- . Cardroom Operator License & Card and Domino Tables: On July 20, 2022 during a scheduled floor plan inspection it was discovered that Tampa Greyhound Track had fifteen (15) tables on their cardroom floor and floor plan which were in operation. However they were only licensed to have fourteen (14) tables in operation.</p>		
Updated	07/20/2022 16:11:55	By	Imuniz

<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
<input type="checkbox"/>	Inspection		
<input type="checkbox"/>	Costs		
<input type="checkbox"/>	Time Tracking		Auto Assign
<input type="checkbox"/>	Attachments		History
<input type="checkbox"/>	Work Notes		Print Report

Change	Save	OK	Cancel	Back
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**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

OPEN CASE REQUEST

(OTHER THAN THOSE SPECIFIED IN SECTION 120.80(4)(a), F.S.)

INCIDENT DATE: JULY 1-20, 2022

<input checked="" type="checkbox"/> PMW	<input type="checkbox"/> Cardroom	<input type="checkbox"/> Slot
-----------------------------------------	-----------------------------------	-------------------------------

<input type="checkbox"/> Violation	<input checked="" type="checkbox"/> Incident	<input checked="" type="checkbox"/> Complaint
------------------------------------	----------------------------------------------	-----------------------------------------------

FACILITY NAME: TBD Entertainment, LLC **LIC #:** 140

RESPONDENT

NAME: TBD Entertainment, LLC		
Address: 755 E Waters Ave, Tampa, FL 33604		Tel: [REDACTED]
LIC #: 140	LIC TYPE: 1002	OCCUPATION: Permit Holder

COMPLAINANT

NAME:		Tel #:
Address:		
LIC #:	LIC TYPE:	OCCUPATION:

VIOLATION(S) / TITLE(S): 61D-11.007 Cardroom Operator License.

(2) An applicant for an annual cardroom license shall complete Form DBPR PMW-3160, Permit holder Application for Annual License to Operate a Cardroom, effective 7-21-14, incorporated herein by reference, <https://www.flrules.org/Gateway/reference.asp?No=Ref-04395>, which can be obtained at www.myfloridalicense.com/dbpr/pmw, or by contacting the Division of Pari-Mutuel Wagering at 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, and submit a fee of \$1,000.00 for each table to be operated during the license period. For cardroom facilities at which more than one pari-mutuel permit is operated during a year, table fees for the facility may be paid by one or all of the permit holders. License fees are non-refundable. For the initial cardroom license application, in addition to the application and fees submitted, the applicant shall submit its written internal controls, required by Rule 61D-11.019, F.A.C., for approval by the division, and proof of authorization by a local government pursuant to Section 849.086(16), F.S.

(3) No license application, amendment to an application, or amendment to request additional cardroom tables shall be effective until the division has received payment of cardroom table fees, inspected and approved the surveillance requirements for compliance with Rule 61D-11.025, F.A.C., and the division has issued a license or amended license to operate a cardroom.

61D-11.016 Card and Domino Tables:

(6) At no time may a cardroom contain more tables than that for which the cardroom operator has been licensed.

DESCRIPTION: On July 20, 2022 during a scheduled floor plan inspection it was discovered that Tampa Greyhound Track had fifteen (15) tables on their cardroom floor and floor plan which were in operation. However they were only licensed to have fourteen (14) tables in operation.

CASE DETAILS FILED BY:

Chief Inspector Judge/Steward Investigator Other: _____
(Title of State Employee)

Randa Samson
(Print Name)

(Signature)

July 20, 2022
(Date)

Muniz, Luz

From: Washington, Derek
Sent: Wednesday, July 20, 2022 1:31 PM
To: Muniz, Luz
Cc: Samson, Randa
Subject: OCR TBD ENTERTAINMENT 7.20.2022
Attachments: OCR TBD ENTERTAINMENT 7.20.2022.docx

Hello Luz,
Attached is an OCR for a cardroom violation, please assign to Randa.

Thanks
Derek



VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
-------------------------	-----------------------	------------------------	-----------------------------	-------------------------	----------------------	----------------------	----------------------------	-----------------------------	------------------------

[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [License Search](#) > [License Home](#)

<p>License</p> <p>Fed Tax # XXXXXXXXXX Lic Type 1002 - Cardroom License Expires On 06/30/2023</p> <p>File # 27 Name TBD ENTERTAINMENT, LLC Extended To</p> <p>License # 140 Rank CLIC - Cardroom Operating License Renewed On</p> <p>Entity # 140 Lic Status Current</p>		<input type="checkbox"/> <p>Licensee</p> <p>History</p> <p>Notes</p> <p>Notes History</p> <p>Back</p>								
<p>Address</p> <p>Street # Street P O BOX 8096</p> <p>Line 2</p> <p>Line 3</p> <p>City TAMPA State FL Zip 33674-8096</p> <p>Routing</p>										
<p>Other</p> <p>1st License Date 03/15/2022 Rank Date 07/15/2022 Certificate #</p> <p>Method I-S-1020 Status Date 06/24/2002 Certificate Date</p> <p>Fee Exempt No Birth Date Renewal Sent</p>										
<p>Select</p> <p>Action <input type="text"/>  </p>										
<p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>L</td> <td>140 - Associated Outdoor Clubs Inc</td> <td>04/09/2004</td> <td></td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	L	140 - Associated Outdoor Clubs Inc	04/09/2004		
Type	Modifier	Effective Date	Additional Info							
L	140 - Associated Outdoor Clubs Inc	04/09/2004								
<p>Alt Keys</p> <p>BEST LIC NBR CLI140</p>										

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<input type="checkbox"/> Adult Signature Restricted Delivery	\$ _____

8-23-22

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City, State, ZIP

TBD Entertainment, LLC
P.O. Box 8096
Tampa, Florida 33674
AC - 2022035114 - PMW

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September 1, 2022 at 4:16 pm
TAMPA, FL 33604

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MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Case Number 2022-036656; Default Final Order
Date: October 24, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks the permanent exclusion of Clifton Smith (“Respondent”) from all pari-mutuel and slot facilities in the state of Florida. By failing to respond to the properly served administrative complaint seeking to exclude him, Respondent waived his right to request a hearing contesting the Division’s decision. Therefore, the Florida Gaming Control Commission should enter a final order excluding Respondent from all pari-mutuel and slot facilities in the state of Florida.

Background

On June 20, 2022, Respondent was a patron of Calder Casino.¹ Respondent was seen via surveillance footage capping his bet. Capping, a form of cheating, means adding money to a winning bet after the outcome is known. Respondent was permanently excluded from Calder Casino², on June 30, 2022.

Based on his exclusion from Calder Casino, the Division served Respondent with an administrative complaint seeking his exclusion from all pari-mutuel and slot facilities in the state of Florida. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days³ to file a written response to the administrative complaint. The Respondent was served on September 6, 2022 which means the Respondent had until September 27, 2022 to respond. He has never responded.

¹ Calder Casino is operated by a pari-mutuel wagering permitholder that also possesses a slot machine and cardroom license.

² Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

³ See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

Analysis

Respondent can be excluded from all pari-mutuel and slot machine facilities in this state. Section 550.0251(6), Florida Statutes, provides, in relevant part, that “[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state.” Likewise, section 551.112, Florida Statutes, provides, in relevant part “[t]he Commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state.” Calder Casino is both a pari-mutuel facility and slot machine licensee in this state. And Respondent was ejected from it. Accordingly, Respondent can be excluded from all pari-mutuel and slot machine facilities in this state.

Because Respondent failed to file a timely response to the properly served administrative complaint seeking to exclude him from all pari-mutuel and slot machine facilities in this state, he waived his right to request a hearing. Therefore, the Florida Gaming Control Commission may enter a final order excluding Respondent from all pari-mutuel and slot machine facilities in this state

Staff Recommendation: The Florida Gaming Control Commission should enter a final order permanently excluding Clifton Smith from all pari-mutuel and slot machine facilities in this state.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-036656

CLIFTON SMITH,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Clifton Smith (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to Chapters 550, 551, and 849, Florida Statutes.
2. At all times material hereto, Respondent’s address was reported as 20115 Northeast 9th Avenue, Miami, Florida 33169.
3. At all times material hereto, Calder Casino was a facility operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the State of Florida.
4. On or about June 20, 2022, Respondent was a patron of Calder Casino.
5. On or about June 30, 2022, Respondent was permanently excluded from Calder Casino.
6. Respondent was permanently excluded for the reasons alleged in Exhibit 1.

7. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in the state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

8. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the division may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis supplied).

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida under Sections 550.0251(6) and 551.112, Florida Statutes based on his ejection from Calder Casino on or about June 30, 2022.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida, along with any other remedy provided by Chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-036656 is signed this 25th day of August, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.



FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Complaint Search Update	Change Recording Mass Status Update	License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline
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Domain 10 - Division of Pari-Mutuel Wagering

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VR Home > Complaint Search > Maintain Complaint

Lic Type	1098 - Unlicensed Complaints	Status	90 Closed	Status Date	08/18/2022
Complaint #	2022036656	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#		Respondent	SMITH, CLIFTON	Responsible	tsmith2 - SMITH, TYRELL
					Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------


Source	INTN - Internal	Security Level	1
Form	INTR - Internal	Priority	
Class'n	V-C - Cardroom Violations	Complexity	R - Regular
Security	STND - Standard	Incident	06/20/2022
Region	SR - Southern Region	Received	07/29/2022
Reference	61D-11.005(4)(a-c)		
Entered	07/29/2022	Entered By	Imuniz

- Parties
- Allegations
- Violations
- Related
- Inspection
- Costs
- Time Tracking
- Attachments
- Work Notes
- Activities
- Discipline
- Compliance
- Disposition
- Auto Assign
- History
- Print Report

285-Calder Race Course, Inc. ----- / ----- .
Prohibitions: On June 20, 2022, at approximately 6:01 P.M., the above mentioned Patron was observed via a surveillance review cheating. The video revealed that at approximately 5:29 P.M., the patron who was later identified as Clifton Smith was sitting at Poker Table # 6, seat #4. As the dealer was changing shifts Smith was seen on video surveillance looking at his cards and realizing that he had a winning hand. He then added an extra chip to his current bet (Capping) to insure a bigger winning payout. The Designated Player sitting at the table observed Smith adding the chip and notified Card room Supervisor Ralph Meshaan of the incident. Meshaan along with Card room Supervisor Scott Burnett, and Security Supervisor Jason Milam confronted Smith about his actions. Smith then voluntarily returned the money that he won without further incident. He was then advised by Security Supervisor Milam that he is excluded from the facility indefinitely pending a review. After Management reviewed the incident Smith was permanently excluded. A copy of the incident report was provided by Calder Casino Director of Compliance Iliana Velazquez and video of the incident was provided by Surveillance Manager Leo Martinez.

Updated 08/18/2022 15:48:06 By Imuniz

20	Change	Save	OK	Cancel	Back
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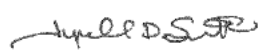
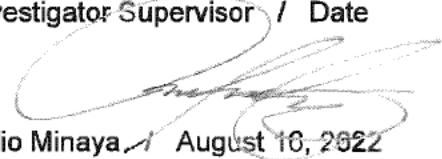
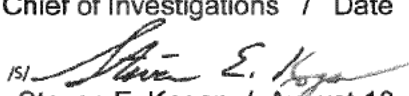
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: July 29, 2022	Case Number: 2022 03 6656
Respondent: SMITH, CLIFTON 		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL (954) 202-3900	
License # and Type: N/A - 1098		Profession: Patron	Report Date: August 9, 2022
Period of Investigation: July 29, 2022 through August 9, 2022		Type of Report: Final	
<p>Alleged Violation: 61D-11.005 Prohibitions.</p> <p>(4) No person shall, either directly or indirectly:</p> <p>(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.</p> <p>(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.</p> <p>(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.</p>			
<p>Synopsis: On June 20, 2022, at approximately 6:01 P.M, the above mentioned Patron was observed via surveillance review cheating during a live Poker game. Video footage of the incident revealed that at approximately 5:29 P.M., the patron who was identified as Clifton SMITH was sitting at Poker Table #6, seat #4. As the dealer was being relieved by another dealer SMITH was observed looking at his cards and is seen adding an extra chip (Capping) to his current bet to insure a bigger payout. The Designated Player sitting at the table observed SMITH adding the chip and notified Card room Supervisor Ralph Meschaan of the incident. Meschaan along with Card room Supervisor Scott Burnett, and Security Supervisor Jason Milam made contact with SMITH who admitted to cheating. SMITH then voluntarily returned the money that he won without further incident. He was then advised by Security Supervisor Milam that he was being trespassed from the facility indefinitely pending a review by Management. After Management reviewed the incident SMITH was permanently excluded.</p>			
<p>A copy of the incident report was provided by Calder Casino Director of Compliance Iliana Velazquez and video of the incident was provided by Surveillance Manager Leo Martinez. (EXHIBIT #2)</p>			
Related Case(s):			
Investigator / Date: August 9, 2022		Investigator Supervisor / Date	
			
Tyrell Smith /		Julio Minaya / August 10, 2022	
Chief of Investigations / Date			
			
Steven E. Kogan / August 18, 2022			

CONTINUATION

On June 25, 2022, this Investigator received a phone call from Calder Casino Director of Regulatory and Compliance Susan Flores informing me that on June 20, 2022, a patron, Clifton **SMITH**, had been issued an indefinite trespass warning for cheating during a live Poker game. Flores also informed me that the facility was conducting its own internal investigation and asked that I hold off on opening my investigation since they were still looking into the matter and had not made the decision to permanently exclude **SMITH**.

On June 27, 2022, I obtained a USB flash drive containing surveillance footage of the incident. **(EXHIBIT #4)**

On July 27, 2022, this Investigator received an e-mail from the newly appointed Director of Regulatory and Compliance Iliana Velazquez informing me that after further review of the incident Management decided to permanently exclude **SMITH** from the facility for cheating. **(EXHIBIT #3)**

After receiving Velazquez's e-mail an Open Case Request was submitted to FGCC Investigations Supervisor Julio Minaya for review and approval.

Further investigation into this matter and review of surveillance footage shows that on June 20, 2022, at approximately 5:29 P.M., **SMITH** was seated at Poker Table #6, in seat #4. He was observed placing his bets on the table. After placing his bet down the dealers were observed switching due to a shift change. Just prior to the new dealer taking over the table, **SMITH** is seen cuffing a chip in the palm of his left hand, and covering his left hand with his right hand to conceal the chip.

At approximately 5:30 P.M., the new dealer deals the cards on the table and **SMITH** picks his cards up from the table and looks at them. He then takes his cards with his right hand and places them underneath his stack of chips that he had previously placed his bet on. As he puts the cards underneath the stack of chips and incorporates the chip that he still has cuffed in his left hand on top of the stack of chips.¹ At the end of the hand **SMITH** won and was paid \$100.00.

According to Calder Incident Report #SURV-2022-06-00043 **SMITH's** action was observed by the Designated Player Banker, who alerted Poker Supervisor Ralph Meschaan.

Meschaan had Poker Supervisor Scott Burnett conduct a surveillance review which confirmed **SMITH** had cheated.

At approximately 6:35 P.M., Poker Supervisors Ralph Meschaan, Scott Burnett and Security Supervisor Jason Milam made contact with **SMITH** and escorted him from the table. After a conversation with **SMITH**, he admitted to the incident and volunteered to return \$50.00 which was the value of the additional chip that he placed on his stack of chips.

At 6:47 P.M., **SMITH** was advised by Security Supervisor Milam that he was being trespassed indefinitely for cheating and was allowed to leave the property without further incident. On June 30, 2022, **SMITH's** status was changed from being trespassed indefinitely to being permanently excluded. **(EXHIBIT #2 & 3)**

Case closed by Investigations and forwarded to Legal for further review.

¹**SMITH's** action is called "capping the bet" which allows a larger payment to the winner.

CONTINUATION

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	4. Property Receipt	1-1



STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 DIVISION OF PARI-MUTUEL WAGERING

OPEN CASE REQUEST

(OTHER THAN THOSE SPECIFIED IN SECTION 120.80(4)(a), F.S.)

INCIDENT DATE: June 20, 2022

PMW Cardroom Slot

Violation Incident Complaint

FACILITY NAME: Calder Race Course Inc. (Calder Casino) LIC #: 285

RESPONDENT

NAME: Clifton Smith		
Address:	[REDACTED]	Tel
LIC #: N/A	LIC TYPE: 1098	OCCUPATION: Patron

COMPLAINANT

NAME:		Tel #:
Address:		
LIC #:	LIC TYPE:	OCCUPATION:

VIOLATION(S) / TITLE(S): 61D-11.005 Prohibitions.

- (4) No person shall, either directly or indirectly:
- (a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.
 - (b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.
 - (c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.

DESCRIPTION: On June 20, 2022, at approximately 6:01 P.M, the above mentioned Patron was observed via a surveillance review cheating. The video revealed that at approximately 5:29 P.M., the patron who was later identified as Clifton Smith was sitting at Poker Table # 6, seat #4. As the dealer was changing shifts Smith was seen on video surveillance looking at his cards and realizing that he had a winning hand. He then added an extra chip to his current bet (Capping) to insure a bigger winning payout. The Designated Player sitting at the table observed Smith adding the chip and notified Cardroom Supervisor Ralph Meshaan of the incident. Meshaan along with Cardroom Supervisor Scott Burnett, and Security Supervisor Jason Milam then confronted Smith about his actions. Smith then voluntarily returned the money that he won without further incident. He was then advised by Security Supervisor Milam that he is excluded from the facility indefinitely pending a review. After the review by the Casino Management Staff, it was determined that Smith will be permanently excluded for cheating.

A copy of the incident report was provided by Calder Casino Director of Compliance Iliana Velazquez and video of the incident was provided by Surveillance Manager Leo Martinez.

An Open Case Request was sent to FGCC Investigations Supervisor Julio Minaya for review and approval.

CASE DETAILS FILED BY:

Chief Inspector Judge/Steward Investigator Other: _____
 (Title of State Employee)

Tyrell D. Smith [Signature] July 27, 2022
 (Print Name) (Signature) (Date)

EXHIBIT # - 1
PAGE # - 1

Smith, Tyrell

From: Muniz, Luz
Sent: Friday, July 29, 2022 10:08 AM
To: Smith, Tyrell
Cc: Minaya, Julio; Futrell, Michelle; Campbell, Ian
Subject: 2022 03 6656 - Smith, Clifton - CARD

Hi Tyrell,

The above mentioned case was opened and assigned to you.

Respectfully,



FLORIDA
GAMING CONTROL
COMMISSION

Luz E. Muniz

Operations Analyst II
Florida Gaming Control Commission

Phone: (954) 202-6773

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by replying to this e-mail.

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EXHIBIT # -1
PAGE # -2

Calder Casino & Race Course

21001 NW 27th Avenue
Miami Gardens, Florida United States
33056

Incident Report

Incident Number: SURV-2022-06-00043

Class/Category: Criminal/Cheating

Occurred From Date/Time: 6/20/2022 5:30 PM

Status: Open

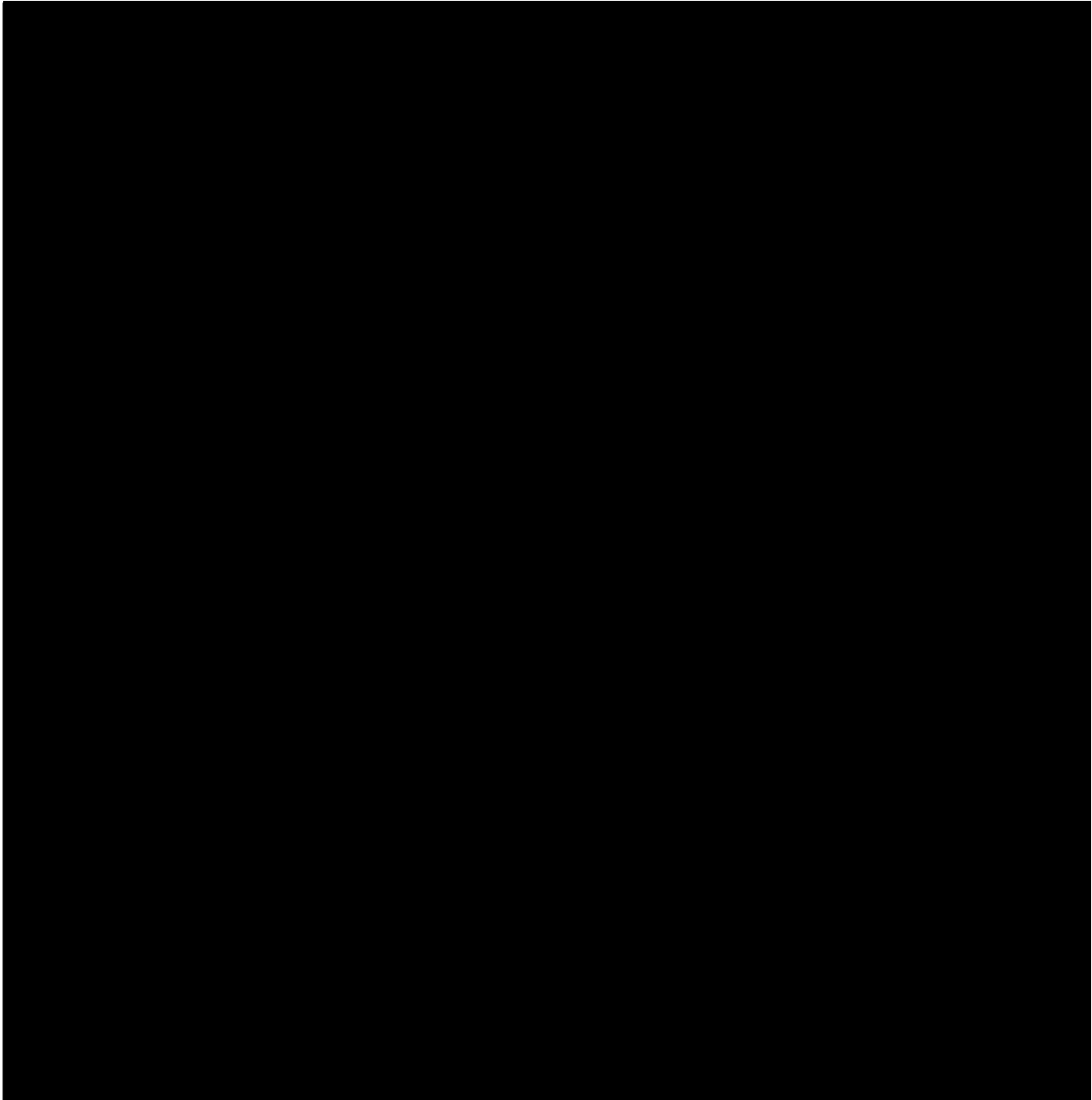


EXHIBIT # -2
PAGE # ~1

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Class: Unrest

Status: Closed



Case: Workgroup
4000-1000

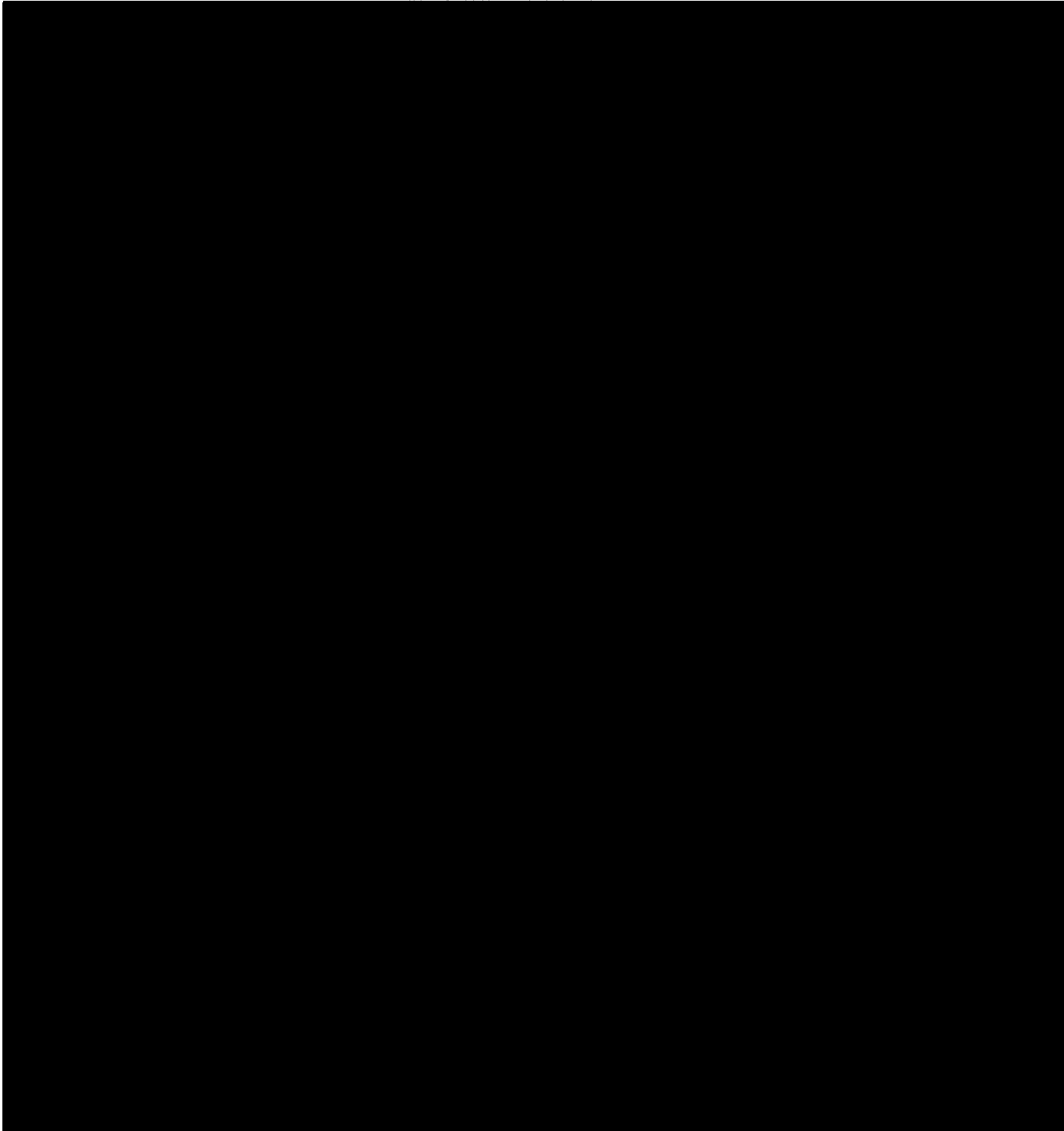
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Local Print Date/Time:
000 000 0000

Page 1 of 5

EXHIBIT # -2
PAGE # -2

Incident Number: SURV-2022-06-00143



Owner Workgroup
Surrealists

Access Level
Level 2

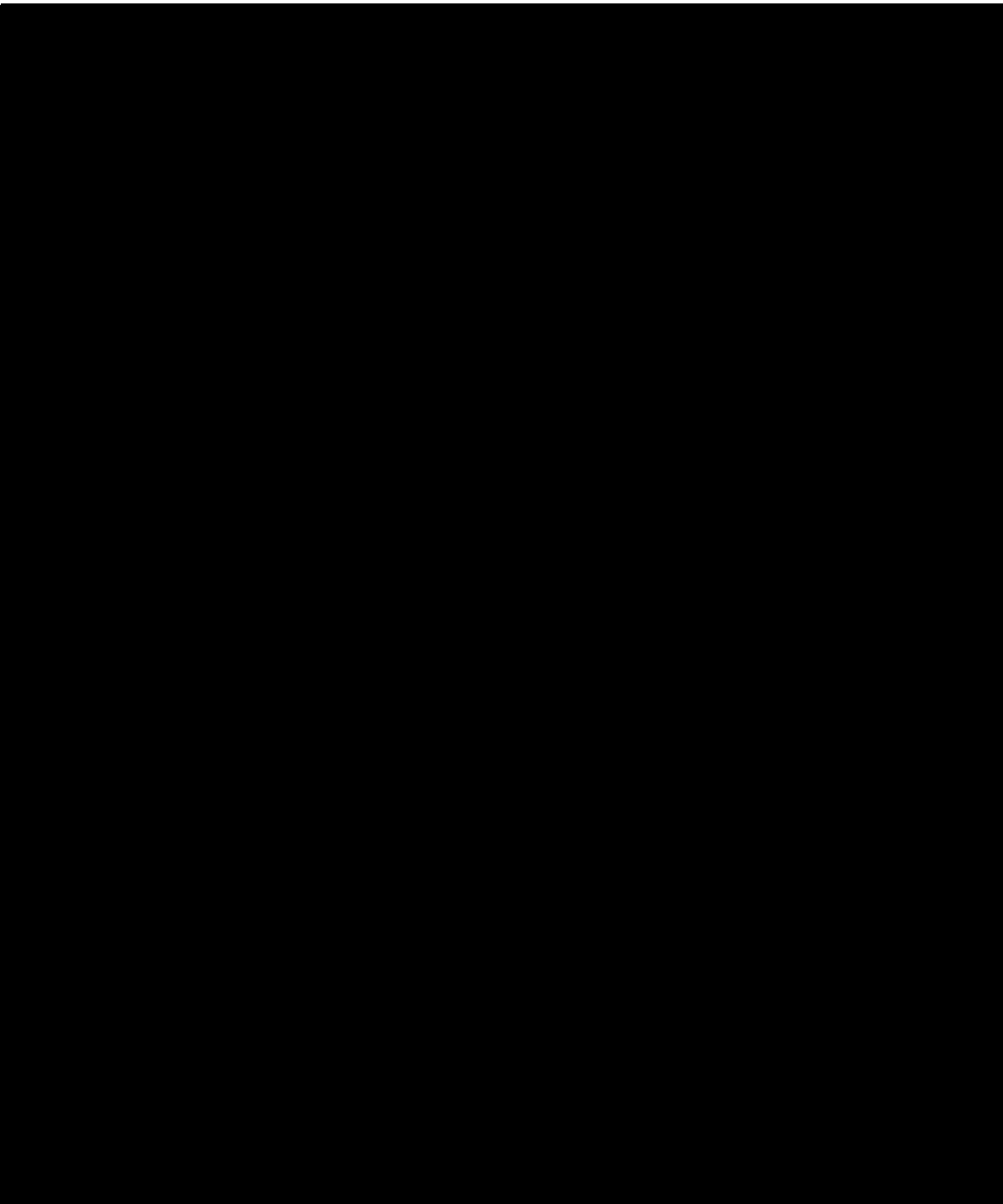
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6/23/2022 10:17:00

Page 2 of 5

EXHIBIT # -2
PAGE # -3

Incident Number: SURV-2022-06-00043

2.85 - [redacted] - [redacted] - [redacted]
[redacted]



Owner Workgroup:
[redacted]

Access Level:
Level 2

Local Print Date/Time:
6/20/2022 8:20 PM

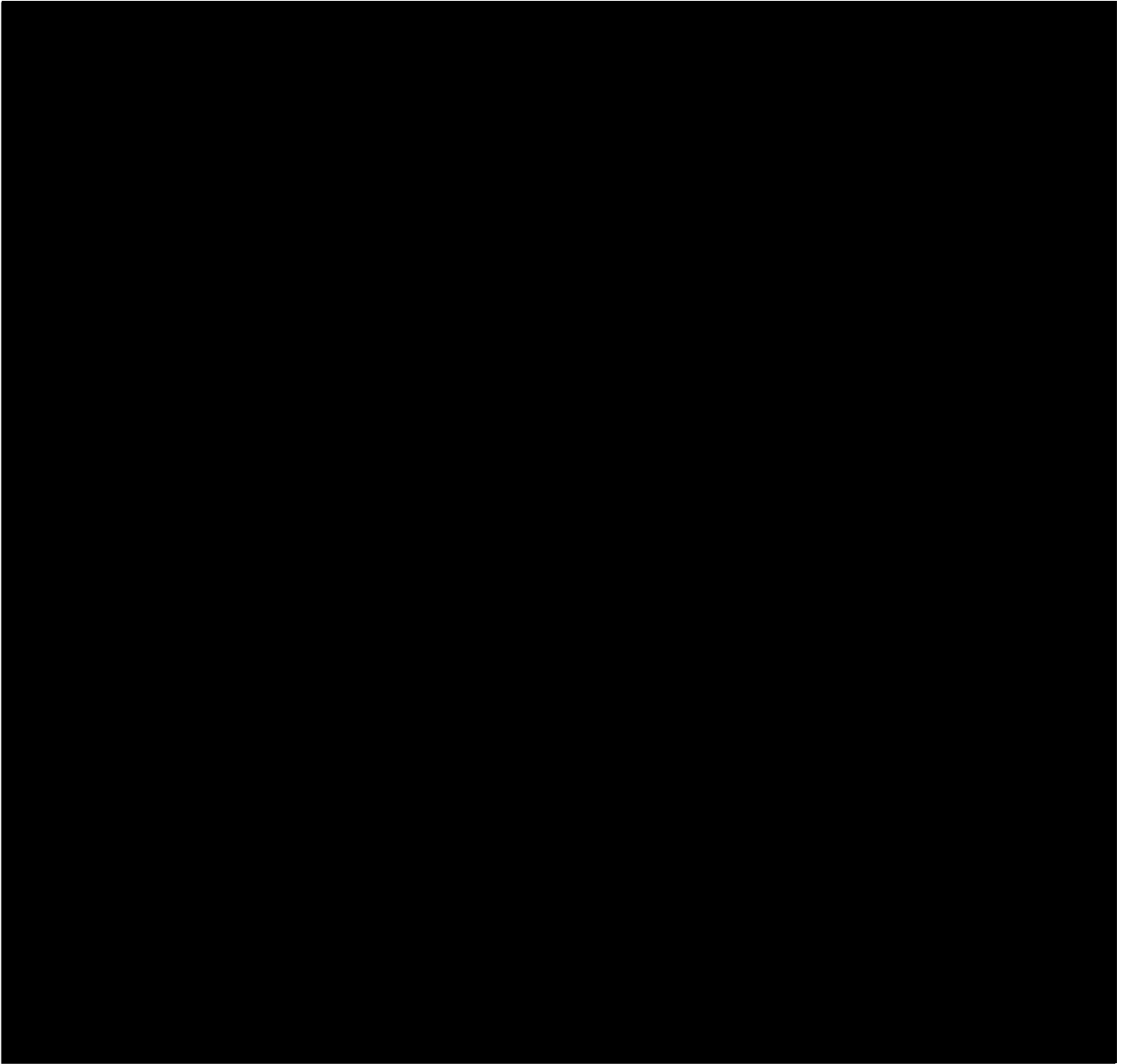
Page 3 of 5

EXHIBIT # -2
PAGE # -4

Incident Number: SURV-2022-06-00043

Incident Category: [Redacted]

State: [Redacted]



Owner Workgroup:

[Redacted]

Access Level:

Level 2

Local Print Date/Time:

6/20/22 8:20 PM

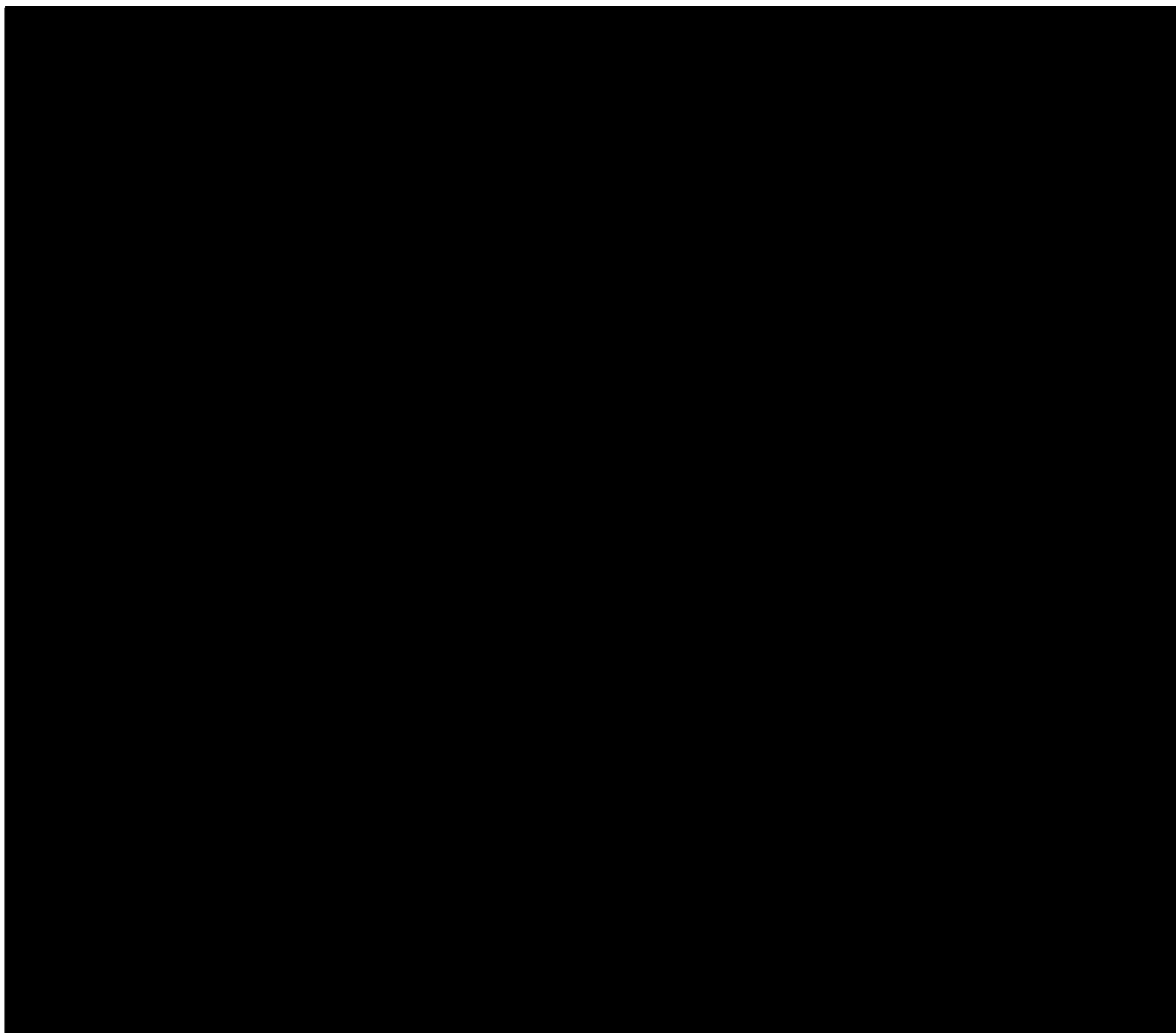
Page 4 of 5

EXHIBIT # -2
PAGE # -5

Incident Number: SURV-2022-06-00043

Category: Information Security

Status: Open



Owner: Workgroup
S. J. [redacted]

Access Level:
Level 2

Local Front Desk: [redacted]
[redacted]

Page 5 of 5

EXHIBIT # -2
PAGE # -2

Smith, Tyrell

From: Iliana Velazquez <Iliana.Velazquez@caldercasino.com>
Sent: Wednesday, July 27, 2022 10:31 AM
To: Smith, Tyrell
Cc: Richard Sukhu; Susan Flores; JC Lara; Calder_Surveillance
Subject: Lifetime Ban Request for State Exclusion List- Clifton Smith
Attachments: Security - Cheating Report.pdf; SURV-2022-06-00043.pdf

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

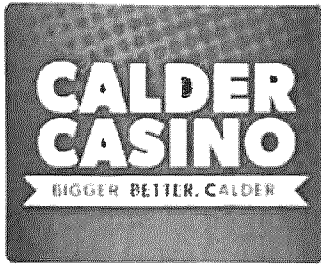
Hi Tyrell,

Calder Casino is recommending to have cardroom ex-patron Clifton Smith be added to the State Lifetime Ban List. This Patron was caught cheating "stacking chips/capping the bet" while gaming at our facility, attached you'll find supporting documentation from our security and surveillance team outlining his actions. Mr. Smith has been banned from Calder on 6/30/22, footage is on file for your review.

6:30/2022	Calder	Smith	Clifton			Miami	FL	33169	
-----------	--------	-------	---------	--	--	-------	----	-------	--

Thank you,

Iliana



ILIANA VELAZQUEZ

Director of Compliance

21001 NW 27th Avenue | Miami Gardens, FL 33056

Office: [REDACTED] ext: 1175 or 1513

Iliana.Velazquez@CalderCasino.com

This Churchill Downs Incorporated communication (including any attachments) is for the use of the intended recipient(s) only and may contain information that is confidential, privileged or legally protected. Any unauthorized use or dissemination of this communication is strictly prohibited. If you have received this communication in error, please immediately notify the sender by return e-mail message and delete all copies of the original communication. Thank you for your cooperation.



**Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations**

PROPERTY RECEIPT

Complaint # 2022-03-6656 Date 6-27-22 Lab # _____

Item No.	Quantity	Description
1	1	USB CONTAINS FOOTAGE OF PATTON CLIFTON SMITH (CAPPING BETS)
<i>LAST ITEM</i>		

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature

Date

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

EXHIBIT # 4
PAGE # 2/2

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Complaint Search Update	Change Recording License Type Update	Mass Status Update	Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Imuniz

VR Home > Complaint Search > Maintain Complaint


Lic Type	1098 - Unlicensed Complaints	Status	20 Under Investigation	Status Date	07/29/2022
Complaint #	2022036656	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#		Respondent	SMITH, CLIFTON	Responsible	tsmith2 - SMITH, TYRELL
					Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input type="checkbox"/>	Activities
Form	INTR - Internal	Priority		<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	V-C - Cardroom Violations	Complexity	R - Regular	<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	06/20/2022	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	SR - Southern Region	Received	07/29/2022	<input type="checkbox"/>	Inspection		
Reference	61D-11.005(4)(a-c)			<input type="checkbox"/>	Costs		Auto Assign
Entered	07/29/2022	Entered By	Imuniz	<input type="checkbox"/>	Time Tracking		History
				<input type="checkbox"/>	Attachments		Print Report
				<input type="checkbox"/>	Work Notes		

285-Calder Race Course, Inc. ----- / ----- .
 Prohibitions: On June 20, 2022, at approximately 6:01 P.M, the above mentioned Patron was observed via a surveillance review cheating. The video revealed that at approximately 5:29 P.M., the patron who was later identified as Clifton Smith was sitting at Poker Table # 6, seat #4. As the dealer was changing shifts Smith was seen on video surveillance looking at his cards and realizing that he had a winning hand. He then added an extra chip to his current bet (Capping) to insure a bigger winning payout. The Designated Player sitting at the table observed Smith adding the chip and notified Card room Supervisor Ralph Meshaan of the incident. Meshaan along with Card room Supervisor Scott Burnett, and Security Supervisor Jason Milam confronted Smith about his actions. Smith then voluntarily returned the money that he won without further incident. He was then advised by Security Supervisor Milam that he is excluded from the facility indefinitely pending a review. After Management reviewed the incident Smith was permanently excluded. A copy of the incident report was provided by Calder Casino Director of Compliance Ilana Velazquez and video of the incident was provided by Surveillance Manager Leo Martinez.

Updated 07/29/2022 10:02:46	By Imuniz			
<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>

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STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 DIVISION OF PARI-MUTUEL WAGERING

OPEN CASE REQUEST

(OTHER THAN THOSE SPECIFIED IN SECTION 120.80(4)(a), F.S.)

INCIDENT DATE: June 20, 2022

PMW Cardroom Slot

Violation Incident Complaint

FACILITY NAME: Calder Race Course Inc. (Calder Casino) LIC #: 285

RESPONDENT

NAME: Clifton Smith		
Address: [REDACTED]		Tel
LIC #: N/A	LIC TYPE: 1098	OCCUPATION: Patron

COMPLAINANT

NAME:		Tel #:
Address:		
LIC #:	LIC TYPE:	OCCUPATION:

VIOLATION(S) / TITLE(S): 61D-11.005 Prohibitions.

- (4) No person shall, either directly or indirectly:
 - (a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.
 - (b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.
 - (c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.

DESCRIPTION: On June 20, 2022, at approximately 6:01 P.M, the above mentioned Patron was observed via a surveillance review cheating. The video revealed that at approximately 5:29 P.M., the patron who was later identified as Clifton Smith was sitting at Poker Table # 6, seat #4. As the dealer was changing shifts Smith was seen on video surveillance looking at his cards and realizing that he had a winning hand. He then added an extra chip to his current bet (Capping) to insure a bigger winning payout. The Designated Player sitting at the table observed Smith adding the chip and notified Card room Supervisor Ralph Meshaan of the incident. Meshaan along with Card room Supervisor Scott Burnett, and Security Supervisor Jason Milam confronted Smith about his actions. Smith then voluntarily returned the money that he won without further incident. He was then advised by Security Supervisor Milam that he is excluded from the facility indefinitely pending a review. After Management reviewed the incident Smith was permanently excluded.

A copy of the incident report was provided by Calder Casino Director of Compliance Iliana Velazquez and video of the incident was provided by Surveillance Manager Leo Martinez.

CASE DETAILS FILED BY:

Chief Inspector Judge/Steward Investigator Other: _____
 (Title of State Employee)

Tyrell D. Smith *Tyrell D. Smith* July 27, 2022
 (Print Name) (Signature) (Date)

Muniz, Luz

From: Minaya, Julio
Sent: Thursday, July 28, 2022 2:03 PM
To: Muniz, Luz
Cc: Smith, Tyrell; Kogan, Steven
Subject: OCR
Attachments: CALDER OCR (EUSTACHE) 06-18-2022.docx; CALDER OCR (SMITH) 06-20-2022.docx

Luz,

Please open and assign to Tyrell.

Than you



Julio Minaya
Investigative Supervisor
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Office: 954-202-6844 / Fax: 954.202.3930

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CERTIFIED MAIL® RECEIPT
Domestic Mail Only

For delivery information, visit our website at www.usps.com®.

OFFICIAL USE

Certified Mail Fee

\$

Extra Services & Fees (check box, add fee as appropriate)

- | | | |
|--------------------------------------------------------------|----|-------|
| <input type="checkbox"/> Return Receipt (hardcopy) | \$ | _____ |
| <input type="checkbox"/> Return Receipt (electronic) | \$ | _____ |
| <input type="checkbox"/> Certified Mail Restricted Delivery | \$ | _____ |
| <input type="checkbox"/> Adult Signature Required | \$ | _____ |
| <input type="checkbox"/> Adult Signature Restricted Delivery | \$ | _____ |

8-31-22

Postmark
Here

Postage

\$

Total Postage

\$

Sent To

Street and Ap

City, State, Zi

Clifton Smith
20115 Northeast 9th Avenue
Miami, Florida 33169
AC - 2022036656 - PMW

7020 2450 0000 5094 3393

[Track Another Package +](#)

Tracking Number: 70202450000050943393

[Remove X](#)

Your item was delivered to an individual at the address at 1:45 pm on September 6, 2022 in MIAMI, FL 33169.

USPS Tracking Plus® Available [v](#)

Delivered, Left with Individual

September 6, 2022 at 1:45 pm
MIAMI, FL 33169

Feedback

Get Updates [v](#)

Text & Email Updates



Tracking History



USPS Tracking Plus®



Product Information



See Less [^](#)

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FAQs

Feedback

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Case Number 2022-041504; Default Final Order
Date: October 24, 2022

Executive Summary

The Division of Pari-Mutuel seeks the issuance of a written warning to Samantha Rose Dobles (“Respondent”) based on her violation of section 550.2415(1)(a), Florida Statutes, and rule 61D-6.008(3)(c), Florida Administrative Code. Section 550.2451(7)(c), Florida Statutes, requires the Commission to incorporate the classification system for drugs and substances and the corresponding penalty schedule from the Uniform Classification Guidelines for Foreign Substances, Version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. (“Guidelines”). The penalty for this violation is a minimum of a written warning to a maximum of \$500 under the Guidelines. Therefore, the Florida Gaming Control Commission should enter a final order issuing a written warning to Respondent.

Background

Respondent was the trainer of record for the racing horse Hardly Social, which was owned by Joseph Raymond Canosa. On August 7, 2022, Hardly Social finished 1st place in the 5th race of the performances held by Gulfstream Park Racing Association. A blood sample was taken from Hardly Social to test for any prohibited substances. The sample was sent to the University of Florida Lab (“UF Lab”) and subsequently tested. The UF Lab detected phenylbutazone¹ in the urine. Phenylbutazone was detected at a urine concentration of 2.43 +/- 0.19 µg/mL.

The Division filed an administrative complaint alleging a violation of section 550.2415(1)(a), Florida Statutes, which makes it a violation of Florida law to race an animal that has been determined to have a prohibited substance present in its system. This is Respondent’s first violation of section 550.2415(1)(a), Florida Statutes.

¹ Phenylbutazone is an NSAID and Class 4 drug. See Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc.

Rule 61D-6.008(3)(c), Florida Administrative Code, provides that it is a violation if phenylbutazone exists at a primary blood serum concentration greater than 2 µg/mL.

The penalty for this violation is a minimum of a written warning to maximum of \$500 under the Guidelines.²

The Division served Respondent with an administrative complaint, settlement agreement, and election of rights form. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days³ to file a written response to the administrative complaint. The Respondent was served on September 20, 2022, which means the Respondent had until October 11, 2022 to respond. She has never responded.

Analysis

The Commission is required to incorporate the classification system for drugs and substances and the corresponding penalty schedule from the Guidelines.⁴ Absent aggravating circumstances, it is advised that a written warning be issued to a trainer when phenylbutazone is detected at an amount between 2 to 5 µg/mL. Because Respondent has no prior discipline in the last 365 days and Hardly Social's blood sample from August 7, 2022 contained phenylbutazone over the primary blood serum concentration amount permissible in rule 61D-6.008(3)(c), Florida Administrative Code, but under 5 µg/mL, she is subject to issuance of a written warning or an administrative fine up to \$500.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order issuing a written warning.

² The Guidelines provide that “[i]f the trainer has not had more than one violation within the previous two years, the Stewards/Judges are encouraged to issue a warning in lieu of a fine provided the reported level is below 3.0 mcg/ml absent of aggravating factors”

³ See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

⁴ See Section 550.2451(7)(c), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-041504

SAMANTHA ROSE DOBLES,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Samantha Rose Dobles (“Respondent”), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 8181308-1021, issued by Petitioner.
3. At all times material hereto, Respondent was the trainer of record for the racing horse “Hardly Social,” with microchip number 981020029198921.
4. At all times material hereto, “Hardly Social” was owned by Joseph Raymond Canosa, who holds a Pari-Mutuel Wagering Professional Individual Occupational License, number 12779361-1021, issued by Petitioner.
5. On August 7, 2022, “Hardly Social” participated in the 5th race of the performances held by Gulfstream Park Racing Association, Inc. (“Gulfstream Park”).

6. On August 7, 2022, “Hardly Social” finished in 1st place in the 5th race of the performances held by Gulfstream Park.

7. Gulfstream Park is a facility operated by a permitholder authorized to conduct pari-mutuel wagering in this state under Chapter 550, Florida Statutes.

8. On August 7, 2022, blood sample number 312587 was collected from “Hardly Social.”

9. Blood sample number 312587 was processed and forwarded to the University of Florida Racing Laboratory (“UF Lab”), for analysis.

10. The UF Lab tested the serum extracted from blood sample number 312587 and found that it contained **phenylbutazone**.

11. In blood sample number 312587, **phenylbutazone** was detected at a serum concentration of 2.43 +/- 0.19 µg/mL.

12. Pursuant to Section 550.2415(1)(a), Florida Statutes:

The racing of an animal that has been impermissibly medicated or determined to have a prohibited substance present is prohibited. It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.

13. According to Rule 61D-6.008(3)(c), Florida Administrative Code, it is a violation of Section 550.2415, Florida Statutes, if **phenylbutazone** exists at a primary blood serum concentration greater than 2 µg/mL.

14. Section 550.2415(1)(c), Florida Statutes, states, “[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race.”

15. Rule 61D-6.002(1), Florida Administrative Code, provides that, "[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses...he/she enters to race."

16. Based on the foregoing, Respondent violated Section 550.2415(1)(a), Florida Statutes, and Rule 61D-6.008(3)(c), Florida Administrative Code, by racing "Hardly Social" with an impermissible concentration of a permitted substance in its body on August 7, 2022.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in Rule 61D-6.011, Florida Administrative Code, Section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Commission is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-041504 is signed this 30th day of August, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

NOTICE OF RIGHT TO REQUEST A SPLIT SAMPLE

Pursuant to Rule 61D-6.006, Florida Administrative Code, you have the right to request a split sample with respect to each "Report of Positive Result" from the UF Lab. (Copy attached as Exhibit A). To request a split sample, use Form DBPR PMW-3290, Notification to Stewards/Judge of Split Sample Request (Form 3290).

You can obtain Form 3290, as well as a list of approved split samples laboratories, at the State Office located in any Florida pari-mutuel facility, or on the Division's website: <http://www.myfloridalicense.com/DBPR/pari-mutuel-wagering/forms-and-publications/>. You must submit Form 3290 to the state steward, Division hearing officer, or office of the General Counsel, within 10 days from receipt of this Notice, or you will waive your right to request a split sample.

EXHIBIT A

UF UNIVERSITY of FLORIDA

College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

August 24, 2022

Mr. Joe Dillmore, Director
Florida Gaming Control Commission
2891 Blair Stone Road
Tallahassee, FL
32399-1037

RE: Report of Positive Result

Sample 312587 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

Phenylbutazone (primary) found in serum
Phenylbutazone (an anti-inflammatory and Class 4 drug) - the concentration of Phenylbutazone (primary) is 2.43 +/- 0.19 µg/mL.

<u>ED00840-FHB</u>	<u>Horse Serum</u>	<u>8 g</u>	<u>INTACT</u>
<u>Laboratory Number</u>	<u>Specimen</u>	<u>Final Weight</u>	<u>Seat</u>
<u>Gulfstream Park</u>		<u>08/07/2022</u>	<u>08/09/2022</u>
<u>Collected From</u>		<u>Date Collected</u>	<u>Date Received</u>

Pursuant to Chapter 560.2416 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 08/02/2022, or upon commencement of administrative action, whichever occurs first.

Dirk A Hunt

Associate Director

Moriah Martin

Chemist III

23-9

Page 1 of 1

University of Florida Racing Lab
ISO/IEC 17025-2017
Certificate # AT-1542



The Foundation for The Gator Nation

An Equal Opportunity Institution



DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

312587 DATE 8-7-22

TRACK 321

RACE 5 FINISH 1

NAME OF ANIMAL Hardly Social
NOMBRE DEL ANIMAL

COLOR B SEX F AGE 4

ID NUMBER 981020029198921

MICROCHIP TATTOO FREEZE-BRAND

BLOOD William M. Watson, III DVM
SAMPLED BY

URINE Gloria Perpetua
SAMPLED BY

TRAINER Samantha Doble
ENTRENADOR / ENTRENADORA

WITNESS Luis Lozano
SIGNATURE FIRMA DE TESTIGO

WITNESS 10635370
LICENSE # NÚMERO DE LICENCIA

TE RL-252-02

College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

August 24, 2022

Mr. Joe Dillmore, Director
Florida Gaming Control Commission
2801 Blair Stone Road
Tallahassee, FL
32399-1037

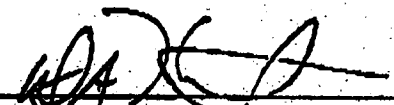
RE: Report of Positive Result

Sample 312587 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

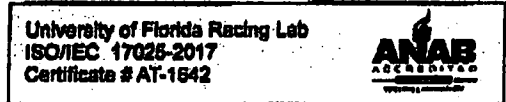
Phenylbutazone (primary) found in serum
Phenylbutazone (an anti-inflammatory and Class 4 drug) - the concentration of Phenylbutazone (primary) is 2.43 +/- 0.19 µg/mL.

<u>E000840-FHB</u>	<u>Horse Serum</u>	<u>8 g</u>	<u>INTACT</u>
<u>Laboratory Number</u>	<u>Specimen</u>	<u>Final Weight</u>	<u>Seal</u>
<u>Gulfstream Park</u>		<u>08/07/2022</u>	<u>08/09/2022</u>
<u>Collected From</u>		<u>Date Collected</u>	<u>Date Received</u>

Pursuant to Chapter 660.2416 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 09/02/2022, or upon commencement of administrative action, whichever occurs first.


Dirk A Hunt Associate Director


Moriah Murrin Chemist III





312587 DATE 8-7-22
TRACK 321
RACE 5 FINISH 1
NAME OF ANIMAL Hardly Social
NOMBRE DEL ANIMAL
COLOR B SEX F AGE 4
ID NUMBER 981020029198921
 MICROCHIP TATTOO FREEZE-BRAND
BLOOD William M. Watson, III DVM ^{WMM}
SAMPLED BY
URINE Gloria Perpetuo GHP
SAMPLED BY
TRAINER Samantha Doble
ENTRENADOR / ENTRENADORA
WITNESS Luis Lozano Luis Lozano
SIGNATURE FIRMA DE TESTIGO
WITNESS 10635370
LICENSE # NÚMERO DE LICENCIA
TE

DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

RL-252-02

[VR Home](#) | [Inbox](#) | [Entity](#) | [Application](#) | [License](#) | [Cash](#) | [Exam](#) | [Inspection](#) | [Enforcement](#) | [Report](#)

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[Mass Status Update](#) | [Public Case Info](#)

Domain **100 - Division of Pari-Mutuel Wagering**

Logged in as: **Istallings**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational	Status	10 Initial Review	Status Date	08/25/2022
Complaint #	2022041504	Case Type	CMP - Complaint	Disposition	
Docket#		Respondent	DOBLES, SAMANTHA ROSE	Responsible	elanier - LANIER, EBONIE
					Private Case

[Complaint](#) | [Respondent](#) | [Complainant](#) | [Add'l Info](#)

Source	LAB - UF Laboratory	Security Level	1	Parties	Activities
Form	FAX - Fax	Priority	1	Allegations	Discipline
Class'n	IV-X - Class IV Drug Positive	Complexity	R - Regular	Violations	Compliance
Security	STND - Standard	Incident	08/07/2022	Related	Disposition
Region	SR - Southern Region	Received	08/25/2022	Inspection	
Reference	312587			Costs	
Entered	08/25/2022	Entered By	Istallings	Time Tracking	Auto Assign
Summary	321 Gulfstream Park Horse HARDLY SOCIAL Drug Phenylbutazone Sample # 312587 Lab # E000840			Attachments	History
Updated	08/25/2022 13:00:54	By	Istallings	Work Notes	Print Report

[Change](#) | [Save](#) | [OK](#) | [Cancel](#) | [Back](#)

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search Entity Search Modify License Standing Maintain License CE Control

Domain **100 - Division of Pari-Mutuel Wagering**

Logged in as: **lstallings**

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2025**

File # **68478** Name **DOBLES, SAMANTHA ROSE** Extended To

License # **8181308** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **8181308** Lic Status **Current**

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # **5397** Street **CEDAR LAKE RD**

Line 2 **APT 1631**

Line 3

City **BOYNTON BEACH** State **FL** Zip **33437**

Routing

Other

1st License Date **07/15/2022** Rank Date **07/15/2022** Certificate #

Method **I-S-1024** Status Date **07/15/2022** Certificate Date

Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action:

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ATRN - Asst. Trainer	07/05/2019	
C	ONTR - Owner/Trainer	06/30/2022	
C	TRNR - Trainer	12/04/2021	
I	TBRD - Thoroughbred	07/05/2019	
L	326 - Palm Meadows	06/30/2022	
Y	3YR - 3 Year License	07/05/2019	

Alt Keys

BEST LIC NBR **8181308**

Get Adobe Reader.

5



CLAIMING \$8,000
Purse \$20,000. (Includes \$2,000 from FTHA-FOA) For Fillies Three Years Old or Fillies and Mares Four Years Old and Upward Which Have Never Won Three Races. Three Year Olds, 120 Lbs; Older, 125 Lbs Claiming Price \$8,000. **One Mile And Seventy Yards (Tapeta)**

Track Record: **Woffie's Dynaghost**(4). 1:19.82 (1-29-22)

Program #	Odds	Speed	Weight	Jockey	Class	2022	2021	Life	GP	Turf	Synth	Off Tr	Distm	Course			
1	20-1					58.45				6	0	0	0	\$1,144			
Red	Truly (L)									2021:	8	2	5	\$25,930			
	Owner: Daniel C. Hurtak (22-5-0-3) 22.73%									Life:	24	2	5	\$54,804			
	Silks: Green, Pink Stars, Pink Stars on Sleeves, Green Cap									GP	17	1	4	\$38,840			
	Trainer: Owner (27-5-0-3) 18.52%																
	Chm. 6 Will Take Charge - Nasty Rumor by Johannesburg - Bred by Martha Jane Muiholland (May 08, 2016) in KY																
30Jun22	2 GP	ft	170	:4932 1:1366 1:4295	31	64	1	66	64	79	69	512	Ros J.W.	122	bl.	17.70	La Rusta 1/4 Kentucky Pride 1/4 Shea 1
26May22	2 GP	fm	125	:4936 1:1223 1:3719	31	75	3	76	76	79	711	68	Calles F	115	bl.	41.30	Dobby's Joyful Fillaro Earl 1/4 She On
	Previously trained by Adair Scott D 2022 (as of 04/03): (30 4 6 2 6.19)																
03Apr22	2 Tam	gd	140	:4870 1:1403 1:4163	41	20	7	76	79	716	717	740	Battista J.A.	120	bl.	28.50	Towering Gaze 6/8 Thunder Bugg
06Mar22	9 Tam	fm	130	:4682 1:1173 1:4290	41	66	4	1013	913	983	910	914	Spleth S	120	bl.	14.10	Slime Queen 1/4 Musetta 4 Towe
	Previously trained by Hurtak Daniel C 2021 : (37 4 9 5 6.11)																
23Jan22	5 GP	ft	170	:4767 1:1231 1:4178	41	75	10	86	84	84	99	85	Prado E.S.	122	fl.	57.20	Riveting Spirit 6/8 Kissing Frog 1/4 Sy
02Jan22	2 GP	ft	170	:4901 1:1305 1:4394	41	67	2	33	32	54	56	56	Prado E.S.	122	fl.	8.40	Forty Smooth 1/4 Uptown Queen 2
15Dec21	4 GP	ft	1m	:4632 1:1039 1:3616	31	68	4	45	59	512	617	617	Prado E.S.	122	fl.	10.80	Baby Upp 1/4 Victory Crusade 1/4 The
12Nov21	5 GP	ft	170	:5107 1:1618 1:4701	31	65	4	63	63	104	62	42	Morelos J.E.	123	L	9.90	Uth At the Beach 1/4 Shaddeent Lar
	Claimed by Hurtak Daniel C. from L and M Stable for \$8,000, Delgado Jorge - Trainer																
29Oct21	6 GP	ft	170	:4891 1:1444 1:4738	31	82	2	75	75	71	3	12	Morelos J.E.	123	L	5.80	Truly 2 Ration the Glass 1/4 Cactu
12Jun21	1 GP	fm	130	:4812 1:1239 1:3649	31	67	1	86	95	83	54	55	Sutherland C	126	L	2.00	Starship Nighth 1/4 La Rusta 1/4 Magic
Workouts:	24 Jun 22 GP 4F ft :50.42b 33/40																
	15 May 22 GP 3F ft :39.30b 28/30																

Program #	Odds	Speed	Weight	Jockey	Class	2022	2021	Life	GP	Turf	Synth	Off Tr	Distm	Course			
2	3-1									78.6				\$6,520			
White	Kissing Frogs (L)									2021:	7	0	2	\$24,470			
	Owner: Richard Burgess (1-0-0-1) 0.00%									Life:	14	2	3	\$85,190			
	Silks: Hunter Green, White RB, White Band on Black Sleeves, Hunter Green Cap									GP	8	2	2	\$65,730			
	Trainer: Gary G. Jackson (22-3-3-4) 13.64%																
	Gr/ro.m.5 Cairo Prince - Kiss a Vet by War Front - Bred by Mr. & Mrs. Harvey A. Clarke (Mar 28, 2017) in KY																
10Apr22	1 GP	fm	130	:4787 1:1221 1:3638	31	82	2	11	11	1	1	3	Meneses M	120	bl.	3.40	Starry Hope 1/4 Emma and 1/4 J
17Mar22	6 GP	ft	170	:4894 1:1387 1:4345	41	73	3	53	42	3	4	6	Morelos J.E.	122	bl.	4.80	Khosae 1/4 Emma and D-d Perfect
24Feb22	9 GP	fm	130	---	---	71	3	63	74	84	87	89	Meneses M	118	bl.	6.40	Orkitt th Em- Divn Exchng 1/4 Mar
23Jan22	5 GP	ft	170	:4767 1:1231 1:4178	41	79	1	42	42	42	32	25	Alvarado J	122	bl.	2.00	Riveting Spirit 6/8 Kissing Frogs 1/4 S
	Claimed by Burgess Richard from Paradise Farms Corp., Ferri, Umberto, Keperman, Ira and Chubbinsky Harold for \$12,500, Mott William L. - Trainer																
22Dec21	5 GP	fm	130	---	---	83	1	63	53	52	54	4	Alvarado J	123	bl.	2.00	Whitcol Mio 1/4 My Sweet Mink Kite
28Nov21	2 Aqu	fm	110	:4745 1:1176 1:3588	31	100	2	31	31	41	2	2	Alvarado J	121	bl.	2.00	Two Out Foots 1/4 Kissing Frogs 1/4 No
25Sep21	5 Bel	gd	110	:2332 1:4647 1:1054	31	80	2	6	64	77	77	79	Alvarado J	121	bl.	11.20	Too Stazy 1/4 Royal Address 1/4 J
28Aug21	7 Mth	gd	110	:4822 1:1218 1:4339	31	83	1	41	41	42	66	61	Corrales G	120	bl.	2.80	Saratoga Affair 1/4 Triple Digit 1/4
13Jun21	8 Bel	fm	110	:5068 1:1409 1:4316	31	85	7	42	42	31	21	73	Alvarado J	122	bl.	3.70	Kalifornia Queen 1/4 In a Hurry 1/4 Br
23Apr21	6 Bel	fm	110	:4937 1:3909 2:0217	31	79	7	21	1	3	78	72	McCarthy T	122	bl.	3.05	Virginia Joy 1/4 Capital Structure 2
Workouts:	29 Jul 22 GP 4F ft :50.47b 40/62																
	18 Jul 22 GP 4F ft :50.89b 17/20																

Program #	Odds	Speed	Weight	Jockey	Class	2022	2021	Life	GP	Turf	Synth	Off Tr	Distm	Course			
3	10-1									75.75				\$15,917			
Blue	Beauty Genius (L)									2021:	7	0	1	\$7,115			
	Owner: Robert G. Smith (2-0-0-0) 0.00%									Life:	21	2	2	\$36,739			
	Silks: Red, White Diamond Panel, White Diamonds on Sleeves, Red Cap									GP	4	0	0	\$820			
	Trainer: Owner (15-1-3-2) 6.67%																
	Dk Bl Br.f.4 Handsome Mike - Pure Genius by Mr. Greeley - Bred by Helen Barbazon & Joseph Barbazon (Mar 07, 2018) in FL																
15Jul22	5 GP	ft	170	:5019 1:1520 1:4476	31	68	4	32	21	32	64	67	Panicl L	123	bl.	25.80	Journeytothemoon 1/4 Karamfil
01Jul22	8 Tam	fm	130	:4595 1:1008 1:3548	31	79	5	21	1	1	2	5	Spanabel S	123	bl.	11.60	Chick's Shadow 1/4 Skorniel 1/4 Clas
26May22	2 GP	fm	125	:4838 1:1223 1:3719	31	84	5	54	54	55	53	53	Sutherland C	122	bl.	7.50	Daddy's Joyful Fillaro Earl 1/4
06May22	9 Tam	fm	110	:47 1:1167 1:3683	31	74	8	54	65	75	85	87	Spanabel S	122	bl.	24.90	Katies a Lady 1/4 Blue Nett
20Mar22	3 Tam	fm	112	:4767 1:1263 1:4383	41	77	3	2	21	2	12	11	Spanabel S	120	bl.	6.20	Beauty Genius 1/4 Goodbye Omb
02Mar22	9 Tam	fm	130	:4819 1:1296 1:3761	41	60	7	74	64	76	87	107	Centano D	120	bl.	3.60	Audis Treasure 1/4 Theuncaptured
04Feb22	9 Tam	fm	130	:4741 1:1151 1:4338	41	82	9	54	45	43	35	26	Centano D	120	bl.	4.50	Irish Dream 1/4 Beauty Genius
10Dec21	9 Tam	fm	130	:4819 1:1220 1:4273	31	71	5	52	43	6	78	58	Centano D	118	bl.	8.50	Zerania 1/4 Towering Gaze 1/4
24Nov21	9 Tam	fm	130	:4746 1:1161 1:3837	31	70	3	1	1	4	6	7	Spanabel S	118	bl.	13.60	Lexophilant Musetta 1/4 Sai
09May21	9 GP	gd	130	:4686 1:1087 1:3517	31	80	9	21	2	3	5	6	Montalvo C	120	bl.	39.70	Setting the Mood 1/4 Crystl Cass
Workouts:	11 Jun 22 Mf 4F gd :52b 3/9																

08/07/2022 Race 5
 Printed on 08/20/22 at 11:44:12 AM

RACE 5 CONTI



STATE OF FLORIDA C#T 0128278
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION

LIC NO. 8181308 06/30/2022

TEMPORARY PMW PROFESSIONAL INDIVIDUAL
OCCUPATIONAL (1021)
DOBLES, SAMANTHA ROSE

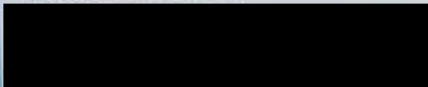
IS LICENSED UNDER THE PROVISIONS OF CH. 550 F.S.
EXPIRATION DATE: **SEPTEMBER 28, 2022**

Exp: 2022-09-28

GULFSTREAM



DOBLES, SAMANTHA



TRAINER

8181308-T

PLM

OUTSIDE

This card is property of Gulfstream Park

Lanier-FGCC, Ebonie

From: Melendez, Kelly
Sent: Tuesday, September 20, 2022 4:11 PM
To: Lanier-FGCC, Ebonie
Cc: Jones, Bradford; Minaya, Julio; Kogan, Steven; Roca, Miriam
Subject: Affidavit of Service-2022-041504-Samantha R. Dobles
Attachments: 2022 04 1504- Samantha Rose Dobles-Affidavit of Service.pdf; PMTC-Samantha Dobles.jpg; PMW License-Samantha Dobles.jpg

Good Afternoon,

Respondent Samantha R. Dobles 2022- 041504 AC packet was served on September 20, 2022 at Palm Meadows Training Center, barn #21.

Thank You!



FLORIDA
GAMING CONTROL
COMMISSION

Kelly M. Melendez

Investigation Specialist II
Division of Pari-Mutuel Wagering
Office of Investigations
Fort Lauderdale, Florida
Office#: 954-202-6799



FLORIDA GAMING CONTROL COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIRMAN
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

FLORIDA CONTROL GAMING COMMISSION,

Petitioner,

v.

DBPR Case No.: 2022-041504

SAMANTHA ROSE DOBLES,

Respondent.

AFFIDAVIT OF SERVICE OR DILIGENT SEARCH


COMES NOW, the Affiant, an employee of the Florida Control Gaming Commission, Division of Pari-Mutuel Wagering, State of Florida, who first being duly sworn, deposes and states:

On (date) September 20, 2022, Affiant made a diligent effort to locate Respondent, to serve: Administrative Complaint and related papers; Subpoena(s); ___ Final order; ESO and related papers; ___ (other) _____.

(PLEASE CHECK APPLICABLE ANSWER)

Affiant made personal service on Respondent, on (date), September 20, 2022, at (location) Palm Meadows Training Center, Barn # 21.

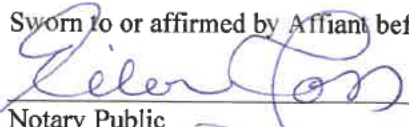
___ Affiant was unable to make service after searching for Respondent at: (a) all addresses for Respondent shown in the F.G.C.C. investigation of the case; (b) all official addresses for Respondent shown in his/her licensing records of the Division of Pari-Mutuel Wagering; (c) any others: _____


Signature of Affiant

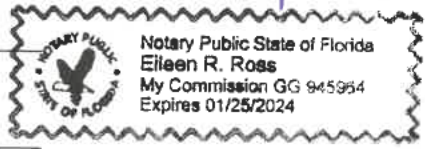
STATE OF Florida
COUNTY OF Broward

Before me, appeared Kelly Melendez; who is personally known to me or ___ whose identity I proved on the basis of _____; and who acknowledges that his/her signature appears above.

Sworn to or affirmed by Affiant before me this 20 day of September 2022.


Notary Public

Eileen Ross
Type or Print Name



MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Case No. 2022-027070: Consent Order
Date: October 25, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the proposed settlement and consent order signed by Huong Dao (“Respondent”) to resolve Case No. 2022-027070. Pursuant to the terms of the proposed order, Respondent would be permanently excluded from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida.

Background

On May 27, 2022, Respondent was ejected and excluded from PPI, Inc.¹ Respondent was seen moving chips that were placed on a losing bet to a winning position. This act happened two times prior to the incident on May 27, 2022. Respondent was excluded from PPI, Inc. for one year.

The Division served Respondent with an administrative complaint. Respondent requested an informal hearing that was set for October 19, 2022. Prior to the hearing, Respondent’s attorney reached out to the Commission seeking to settle this matter. The Commission sent Respondent a settlement agreement seeking permanent exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida. Respondent has agreed to the terms of the proposed order.

¹PPI, Inc. is operated by a pari-mutuel wagering permitholder that also possesses a pari-mutuel, slot machine, and cardroom license.

Analysis

The Commission may resolve matters informally through a negotiated settlement.² Section 550.0251(6), Florida Statutes, provides, in relevant part, that “[t]he division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state.” Likewise, section 551.112, Florida Statutes, provides, in relevant part “[t]he division may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state.” PPI, Inc. is both a pari-mutuel facility and slot machine licensee in this state. And Respondent was ejected from it. Accordingly, Respondent can be excluded from all pari-mutuel and slot machine facilities in this state.

Because Respondent was excluded from a facility holding a pari-mutuel and slot machine license, he is subject to exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida.

Staff Recommendation: The Florida Gaming Control Commission should enter a Final Order adopting and incorporating the proposed settlement and consent order in Case No. 2022-027070.

² See § 120.57(4), Fla. Stat. (“Unless precluded by law, informal disposition may be made of any proceeding by stipulation, agreed settlement, or consent order.”)

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-027070

HUONG DAO,

Respondent.

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Division”), and Huong Dao (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. This Consent Order is to be entered in resolution of the two-count Administrative Complaint filed in FGCC Case Number 2022-027070, alleging that Respondent was in violation of Rule 61D-11.005(4), Florida Administrative Code, and was excluded from PPI, Inc. for one year. The Administrative Complaint further alleged that Respondent is subject to exclusion from all pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida pursuant to Sections 550.0251(6) and 551.112, Florida Statutes.

STIPULATION

WHEREAS the Division and Respondent (“Parties”), desire to resolve this matter, the following terms are stipulated:

2. The Division has jurisdiction over this matter and the Parties.

3. The Division is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

4. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that she is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.

5. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.

6. The Parties acknowledge and agree to waive any further administrative and judicial review.

7. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

8. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

9. Respondent agrees that upon adoption of this consent order, Respondent shall be **PERMANENTLY EXCLUDED** from all pari-mutuel facilities and all facilities of a slot machine licensee within the State of Florida.

10. Respondent must mail this Consent Order to: Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Donna Fleming.

11. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission (“Commission”). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

12. Upon the Commission’s adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys’ fees or costs from the Division in connection with these proceedings.

13. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

14. This Consent Order may be signed in counterparts, and copies shall be treated as original.

15. The Parties agree that this serves as notice that the signed Consent Order will be placed on the agenda of the next available Commission meeting.

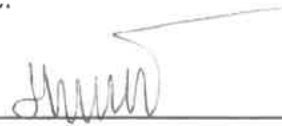
16. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, she is responsible for checking the Florida Gaming Control Commission website at www.fgcc.fl.gov, for the meeting materials, agenda, and contact information.

17. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

WHEREFORE, Respondent, **HUONG DAO**, requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.

SIGNED this 14 day of oct, 2022.



HUONG DAO
Respondent

STATE OF Florida
COUNTY OF Broward

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 14 day of October, 2022, by HUONG DAO, who is personally known to me or who produced the following as identification:

FL Identification Card


Notary Public



LEONIE DASTINOT
Notary Public
State of Florida
Comm# HH289554
Expires 7/19/2026

My commission expires: 7/19/2026

APPROVED this 24 day of October, 2022.



EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

DBPR Case No.: 2022-027070

v.

HUONG DAO,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Huong Dao (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to Chapters 550, 551, and 849, Florida Statutes.

2. At all times material hereto, Respondent’s address was reported as 5260 Northwest 11th Street Lauderhill, Florida 33313.

3. At all times material hereto, PPI, Inc. was a facility operated by a permit holder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the State of Florida.

4. On or about May 27, 2022, Respondent was a patron of PPI, Inc.

5. On or about May 27, 2022, Respondent was ejected and excluded from PPI, Inc. for a period of one year for engaging in an act that would constitute cheating at a cardroom table.

COUNT I

6. Petitioner realleges and incorporates the allegations contained within paragraphs one through five as though fully set forth herein.

7. Rule 61D-11.005(4), Florida Administrative Code, provides, in pertinent part, that “[n]o person shall, either directly or indirectly (a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.”

8. Based on the foregoing, Respondent violated Rule 61D-11.005(4), Florida Administrative Code, by employing a device, scheme, or artifice to defraud the cardroom operator.

COUNT II

9. Petitioner realleges and incorporates the allegations contained within paragraphs one through five as though fully set forth herein.

10. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in the state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

11. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the division may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any facility of a slot machine licensee

any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.'

12. Based on the foregoing, Respondent violated Rule 61D-11.005(4), Florida Administrative Code and is subject to exclusion under Sections 550.0251(6), and 551.112, Florida Statute, from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida.

WHEREFORE, Petitioner respectfully requests the Division Director enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida, along with any other remedy provided by Chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for DBPR Case Number 2022-027070 is signed this 13th day of June, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Department of Business and Professional Regulation
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Leiva@MyFloridaLicense.com
Secondary: Ebonie.Lanier@MyFloridaLicense.com

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Recording License Type	Delete Complaint	Mass Activity Update	Mass Discipline					
Mass Status Update	Public Case Info								

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1098 - Unlicensed Complaints	Status	90 Closed	Status Date	06/08/2022
Complaint #	2022027070	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent DAO, HOUNG	Responsible	bjones - JONES, BRADFORD	Private Case	

Complaint	Respondent	Complainant	Add'l Info		
Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	INTR - Internal	Priority			
Class'n	V-C - Cardroom Violations	Complexity	R - Regular	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Security	STND - Standard	Incident	05/27/2022	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Region	SR - Southern Region	Received	06/01/2022	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Reference					
Entered	06/02/2022	Entered By	Imuniz	<input type="checkbox"/> Inspection	
Summary	<p>430-PPI, Inc. ----- / ----- . Prohibitions: On May 27, 2022 DAO moved a \$25 losing wager (Ultimate Pair) to the "Trips Wager" position while playing Ultimate Texas Hold'em. DAO had been warned several times before related to nefarious moves while gaming. DAO engaged in an act of deceit upon the Designated Player. Pompano Park has issued a one (1) year Exclusion. DAO is also under investigation for similar activity at Calder Casino.</p>				<input type="checkbox"/> Costs <input type="checkbox"/> Time Tracking <input type="checkbox"/> Attachments <input type="checkbox"/> Work Notes
Updated	06/08/2022 15:46:53	By	Imuniz	<input type="checkbox"/> Auto Assign	<input type="checkbox"/> History <input type="checkbox"/> Print Report

6	<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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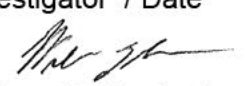


[Get Adobe Reader.](#)

Melanie S. Griffin, Secretary

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTH	Date of Complaint: JUNE 2, 2022	Case Number: 2022 02 7070
Respondent: DAO, HUONG [REDACTED]		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FORT LAUDERDALE, FLORIDA 33309	
TEL # UNKNOWN			
License # and Type: N/A	Profession: PATRON	Report Date: JUNE 3, 2022	
Period of Investigation: MAY 27, 2022 TO , JUNE 3, 2022		Type of Report: FINAL	
<p>Alleged Violation: 61D-11.005(4) No person shall, either directly or indirectly:</p> <p>(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.</p> <p>(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.</p> <p>(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator. &</p> <p>F.S.S. 550.0251 (6) The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation. –</p> <p>In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.</p>			
<p>Synopsis: On May 27, 2022 DAO moved a \$25 losing wager (Ultimate Pair) to the "Trips Wager" position while playing Ultimate Texas Hold'em in the Pompano Park (PPI) card room. DAO had been warned by PPI staff several times previously related to other nefarious moves while gaming. DAO engaged in an act of deceit upon the Designated Player. Pompano Park has issued a one (1) year Exclusion.</p>			
Related Case:			
Investigator / Date  William Smith / June 3, 2022		Investigator Supervisor / Date  Bradford D. Jones / June 8, 2022	
Chief of Investigations / Date  Bradford D. Jones for Steven E. Kogan / June 8, 2022			

CONTINUATION

On May 27, 2022 while in consultation with PPI Poker Director, Vinny Gatto, the subject of patron **DAO, HUONG** cheating while playing Ultimate Texas Hold'em was brought to this Investigator's attention. Subsequent investigation of this matter confirmed a violation of 61D-11.005(4). Review of surveillance video noted **DAO** moving a losing \$25 "Ultimate Pair" wager to a winning position "Trips" wager. As a result, **DAO** was excluded from PPI for one year.

The Investigation determined **DAO** came to the attention of PPI card room supervisory staff after attempting to make bets on other players hands. **DAO**, who is Vietnamese and claims to not speak English, was counseled by a PPI Dealer who happened to be fluent in the Vietnamese language. **DAO** was informed the act(s) she committed were not permitted. This occurred twice prior to the subject exclusion. The first time, **DAO** was met with a warning, the second time she received a 24 hour ejection. The 24 hour ejection fulfilled, on May 27, 2022 **DAO** returned. Now known for suspicious activity a watchful eye was in place. Dealers were alerted and as anticipated, **DAO** once again engaged in cheating. In this instance **DAO** moved a losing \$25 "Ultimate Pair" bet on the table layout¹ to the winning "Trips" position (**EXHIBIT #1**). PPI issued **DAO** a one (1) year exclusion from the facility (**EXHIBIT #3**). This Investigator reviewed the related surveillance recording affirming the cheating activity (**EXHIBIT #2**).

Conclusion: As a result of her actions **DAO** has been excluded for one year by Pompano Park. This investigation has determined **DAO** violated 61D-11.005(4) by committing an act of cheating. Having been excluded by a gaming facility licensed by this Division, **DAO** should be considered for statewide exclusion from all Florida Pari-Mutual facilities under **F.S.S. 550.0251(6)**.

Status: Case file closed by Investigations and forwarded to Legal for review.

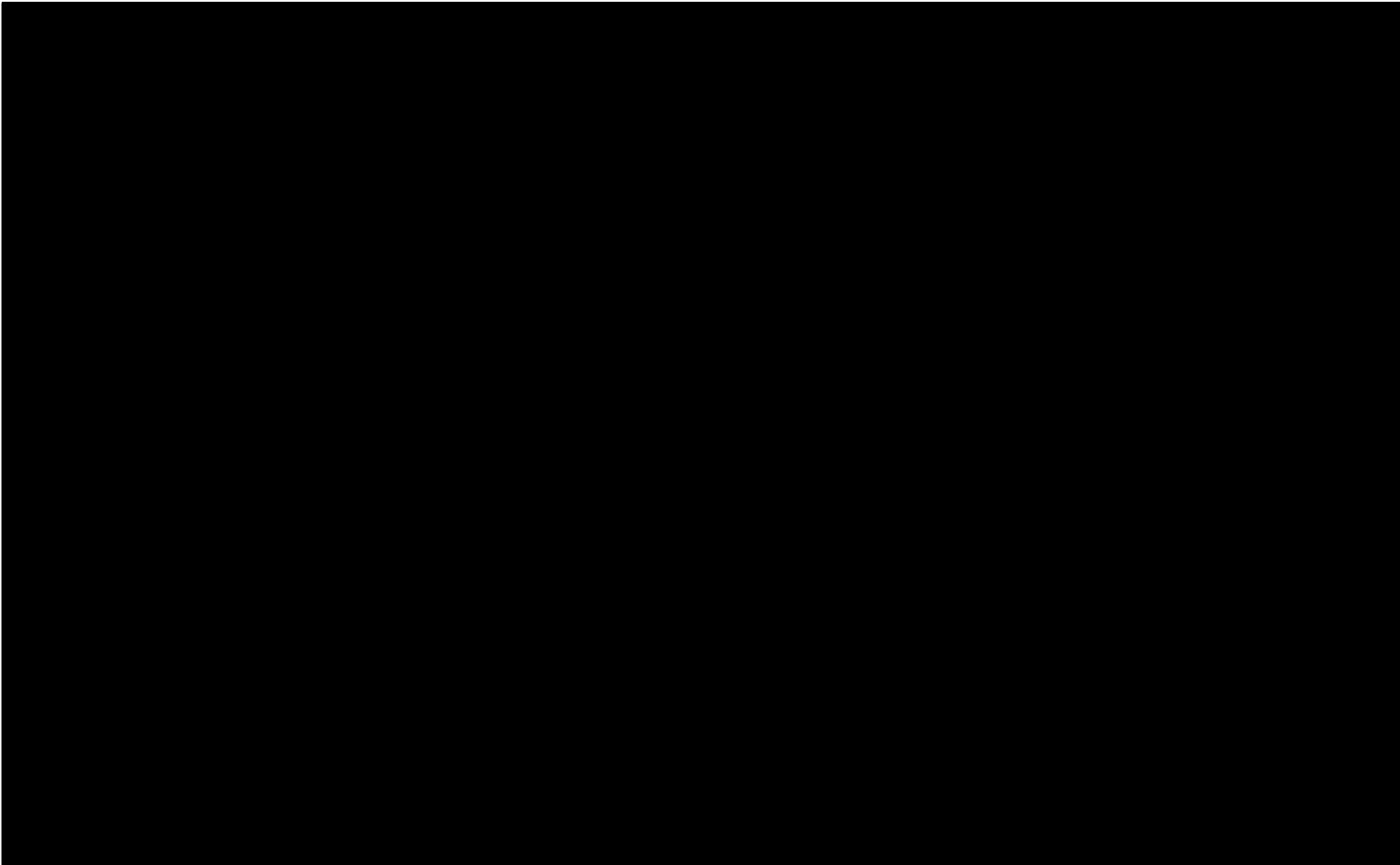
¹ The layout for clarity of understanding is provided as **EXHIBIT #4**.

TABLE OF CONTENTS

- I. INVESTIGATIVE REPORT COVERSHEET
- II. INVESTIGATIVE REPORT
- III. EXHIBITS
 - 1. Surveillance / Security Report.....1- 6
 - 2. DVD.....1-2
 - 3. One Year Exclusion.....1-1
 - 4. Ultimate Hold'em Layout.....1-1

Isle Casino - Pompano
Guest Incident Report
Security Incident: po-SEC-28739

Isle Casino - Pompano
Guest Incident Report
Security Incident: po-SEC-28739

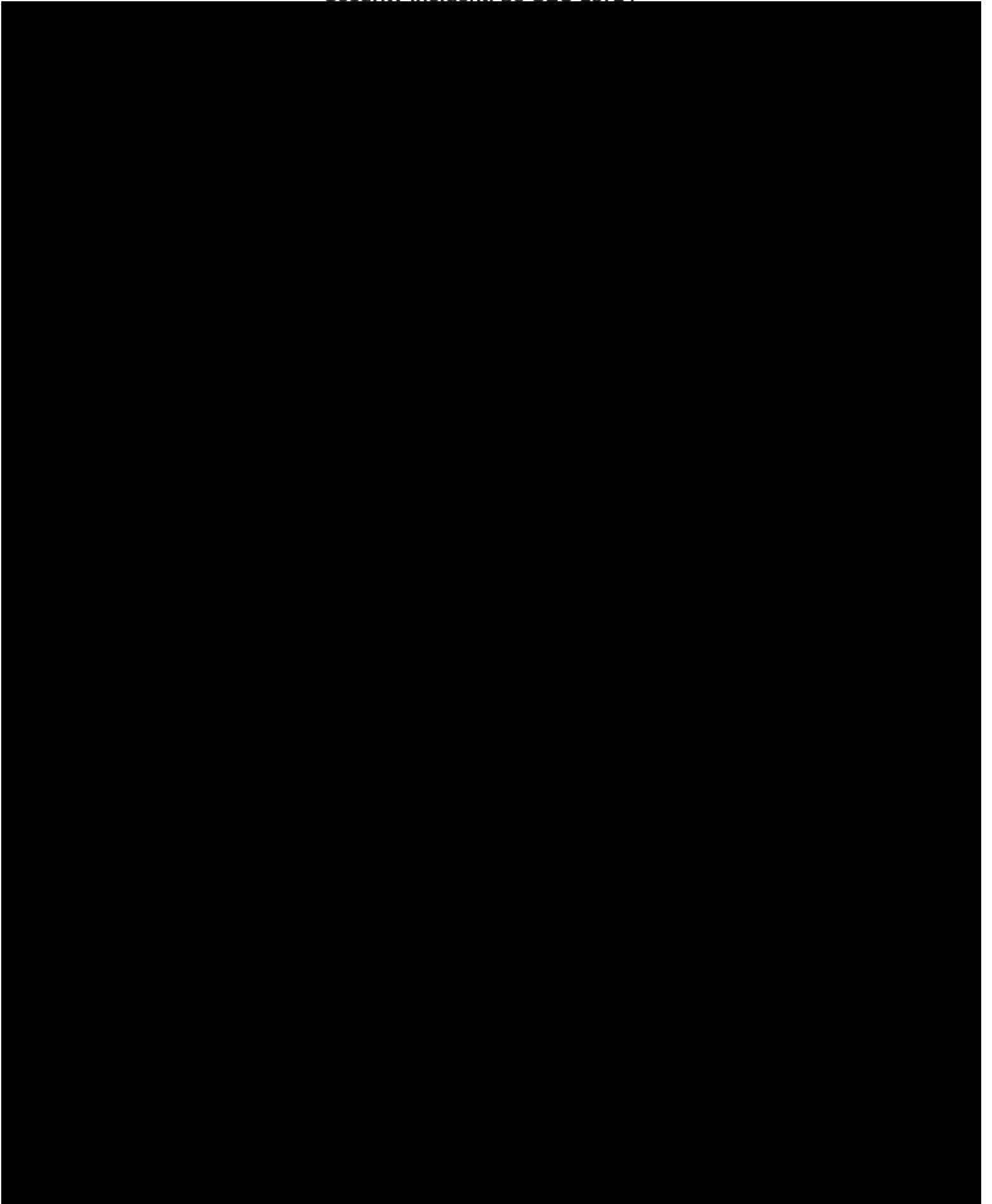


Reporting Officer:
Jackson Charles (Gaming ID: 8975098)

Supervisor:
Juan Colchado (Gaming ID: 8326273)

EXHIBIT # 1
PAGE # 2

Isle Casino - Pompano
Guest Incident Report
Security Incident: po-SEC-28724



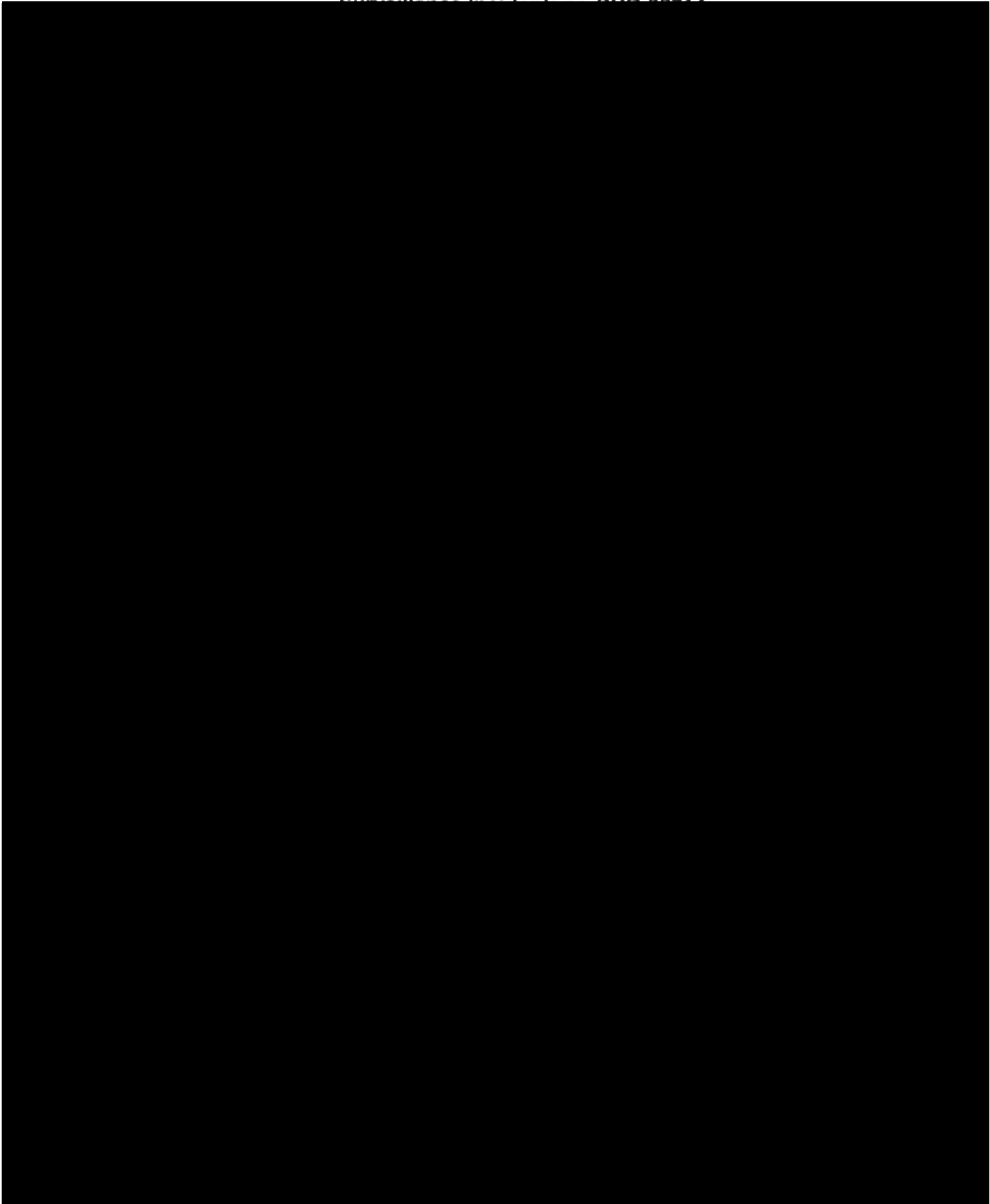


EXHIBIT # 1
PAGE # 4

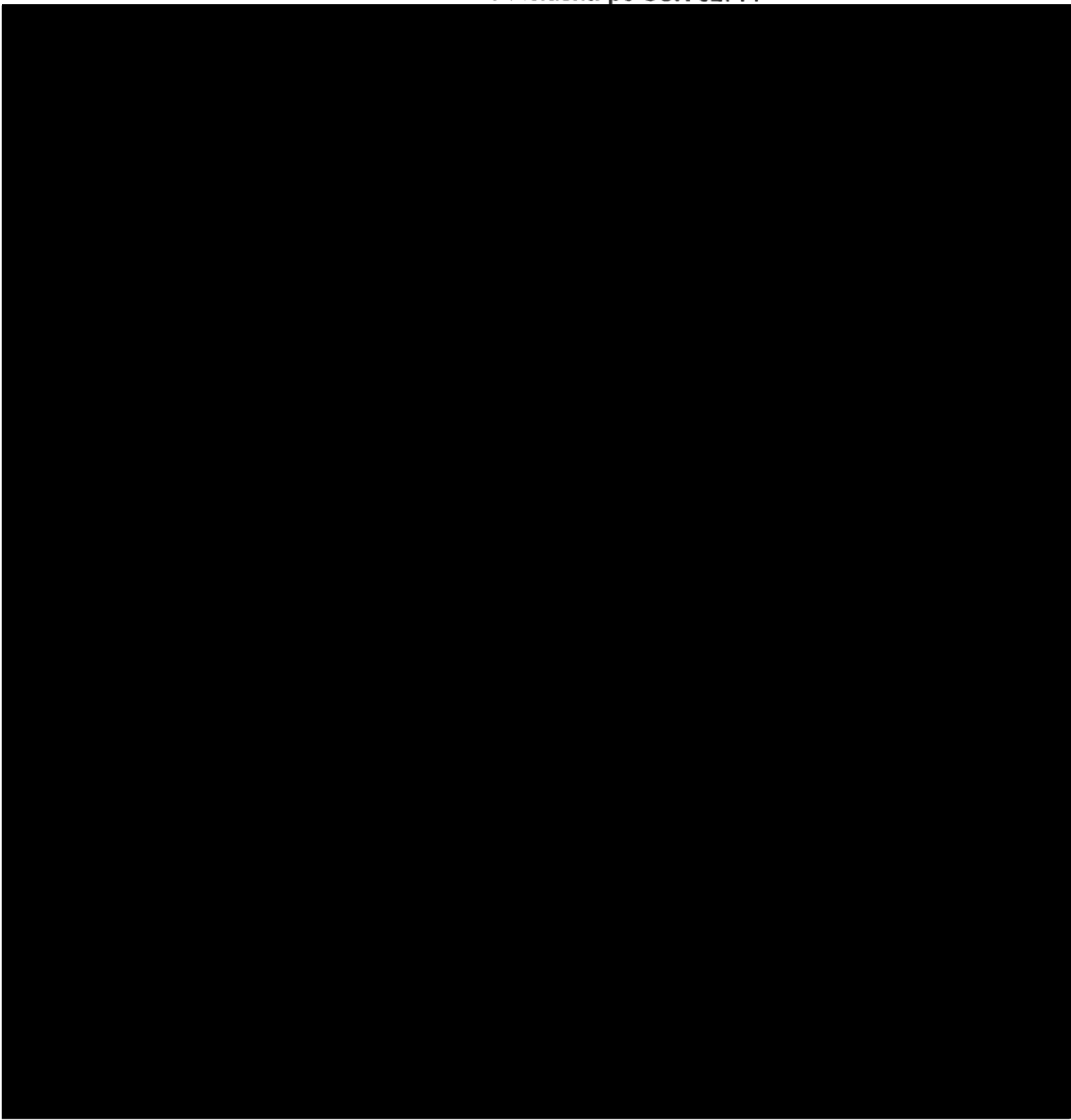


EXHIBIT # 1
PAGE # 5

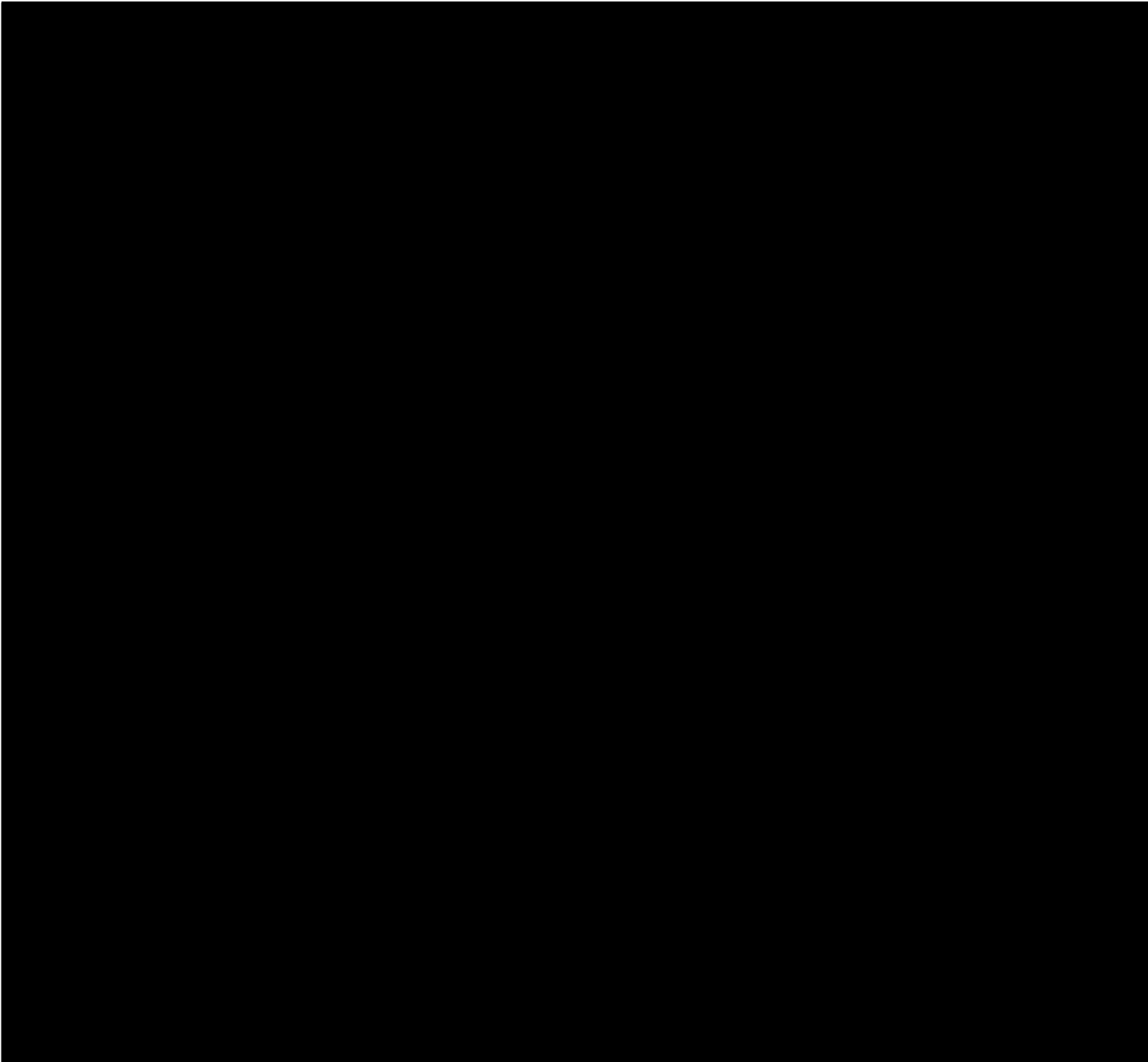


EXHIBIT # 1
PAGE # 6



State of Florida
 Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering
 Investigations Section

PROPERTY RECEIPT

Complaint # 2022027070 Date 6/3/22 Lab # _____

Item No.	Quantity	Description
1	1	DVD DAO cheat moving from Ultimate Pair to Trip

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature

Date

Received by: _____
 Received by: _____
 Received by: _____

Date: _____
 Date: _____
 Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

Video Release and Chain of Custody Receipt: po-1080

Video Released Opened: Jun 01, 2022 09:47

Video Release Closed: Not closed

Authorized By: MMiguel Gonzalez

Video(s) Released: ID: po-SUR-32714 (Guest: Ejection)

Dup/Orig: Duplicate

Subjects

Dossier ID	Team Member #	Name
179955		Huong Dao

Surveillance Report(s): ID: po-SUR-32714 (Guest: Ejection)

Coverage Description: Patron ejection for cheating.

Coverage Location:

Coverage Started: May 26, 2022 23:56

Coverage Ended: May 27, 2022 01:10

Reporting Agent: Napoleon Tascon

All video listed on this Release and Chain of Custody Receipt, is proprietary to the Isle of Capri Casinos. The video presented is in original and unedited format and may not be duplicated in any manner. The date and time stamp on the video is an accurate representation of when the events took place. All video must be returned to the Isle of Capri Casinos Surveillance Department upon completion of legal proceedings.

Released By: _____
Miguel Gonzalez

Date: _____

Released To: _____
DBPR Bill Smith

Date: _____

Re-Released By: _____

Date: _____

Re-Released To: _____

Date: _____

Returned By: _____

Date: _____

Returned To: _____

Date: _____

Re-Returned By: _____

Date: _____

Re-Returned To: _____

Date: _____

EXHIBIT # 2
PAGE # 475



POMPANO PARK

NOTICE OF EXCLUSION

To: Huong Dao

Date of Birth:

SSN: DL#:

You have been excluded for a specific period of time per the Management of the Isle Casino Racing Pompano Park.

On this 30 day of MAY, 2022, you are hereby ordered to leave the property of Isle Casino Racing Pompano Park. You are further advised that you are not to enter or remain at any time on property. Prior written consent must be obtained from the General Manager in order to be allowed back onto the property.

Your removal and denial of access complies with state applicable laws and is not founded on race, creed, color or national origin.

You are further advised that if in the future you knowingly enter or retain in or upon the grounds or facilities of Isle Casino Racing Pompano Park, you will be considered a trespasser and may be subject to arrest.

Reason for Exclusion: **CAPPING**

Length of Exclusion:

30 Days

90 Days

1 Year

3 Years

Permanent

Other: _____

Acknowledged by: _____

(Customer Signature)

Issued by: 85410

Witness: _____

EXHIBIT # 3
PAGE # 1

Ultimate Pairs Paytable

A-A	30 to 1
A-K SUITED	25 to 1
A-Q or A-J SUITED	20 to 1
A-K UNSUITED	15 to 1
K-K Q-Q J-J (HIGH PAIRS)	10 to 1
A-Q or A-J UNSUITED	5 to 1
10-10 thru 2-2 (LOW PAIRS)	3 to 1

ULTIMATE PAIRS BONUS

Trips

TRIPS*

Royal Flush	50 to 1
Straight Flush	40 to 1
Quads	30 to 1
Full House	10 to 1
Flush	8 to 1
Straight	6 to 1
Trips	3 to 1

* Must Beat Dealer

Ante

Blind

Play

BLIND

Royal Flush	500 to 1*
Straight Flush	50 to 1*
Quads	10 to 1*
Full House	3 to 1*
Flush	2 to 1*
Straight	1 to 1*
Other hands	Push*

PLAY BONUS

Before the Flop	3x or 4x	
After the Flop	2x	Tie Dealer Push*
On the River	1x	Lose To Dealer Loss

Ante pushes if player/dealer has less than a Pair

TRIPS*
Royal Flush 50 to 1
Straight Flush 40 to 1
Quads 30 to 1
Full House 10 to 1
Flush 8 to 1
Straight 6 to 1
Trips 3 to 1

Ultimate Pairs Paytable
A-A 30 to 1
A-K SUITED 25 to 1
A-Q or A-J SUITED 20 to 1
A-K UNSUITED 15 to 1
K-K Q-Q J-J (HIGH PAIRS) 10 to 1
A-Q or A-J UNSUITED 5 to 1
10-10 thru 2-2 (LOW PAIRS) 3 to 1

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Recording License Type	Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1098 - Unlicensed Complaints	Status	20 Under Investigation	Status Date	06/02/2022
Complaint #	2022027070	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#		Respondent	DAO, HOUNG	Responsible	wsmith - SMITH, WILLIAM
					Private Case

Complaint	Respondent	Complainant	Add'l Info
Source	INTN - Internal	Security Level	1
Form	INTR - Internal	Priority	
Class'n	V-C - Cardroom Violations	Complexity	R - Regular
Security	STND - Standard	Incident	05/27/2022
Region	SR - Southern Region	Received	06/01/2022
Reference	Entered	Entered By	Imuniz
Summary	<p>430-PPI, Inc. ----- / ----- . Prohibitions: On May 27, 2022 DAO moved a \$25 losing wager (Ultimate Pair) to the "Trips Wager" position while playing Ultimate Texas Hold'em. DAO had been warned several times before related to nefarious moves while gaming. DAO engaged in an act of deceit upon the Designated Player. Pompano Park has issued a one (1) year Exclusion. DAO is also under investigation for similar activity at Calder Casino.</p>		
Updated	06/02/2022 13:46:48	By	Imuniz

<input type="checkbox"/>	Parties	<input type="checkbox"/>	Activities
<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
<input type="checkbox"/>	Inspection		
<input type="checkbox"/>	Costs		
<input type="checkbox"/>	Time Tracking	Auto Assign	
<input type="checkbox"/>	Attachments	History	
<input type="checkbox"/>	Work Notes	Print Report	

Change	Save	OK	Cancel	Back
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STATE OF FLORIDA
DEPARTMENT OF BUSINESS & PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

OPEN CASE REQUEST

(OTHER THAN THOSE SPECIFIED IN SECTION 120.80(4)(a), F.S.)

INCIDENT DATE: MAY 27, 2022

PMW Cardroom Slot

Violation Incident Complaint

FACILITY NAME: PPL, INC. LIC #: 430

RESPONDENT

NAME: HOUNG DAO
Address: [REDACTED] Tel: [REDACTED]
LIC #: N/A LIC TYPE: 1098 OCCUPATION: N/A

COMPLAINANT

NAME: [REDACTED] Tel #: [REDACTED]
Address: [REDACTED]
LIC #: [REDACTED] LIC TYPE: [REDACTED] OCCUPATION: [REDACTED]


VIOLATION(S) / TITLE(S): SPECIFIC RULE: 61D-11.005 Prohibitions

- (4) No person shall, either directly or indirectly:
(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.
(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.
(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.

DESCRIPTION: On May 27, 2022 **DAO** moved a \$25 losing wager (Ultimate Pair) to the "Trips Wager" position while playing Ultimate Texas Hold'em. **DAO** had been warned several times before related to nefarious moves while gaming. **DAO** engaged in an act of deceit upon the Designated Player. Pompano Park has issued a one (1) year Exclusion. **DAO** is also under investigation for similar activity at Calder Casino.

CASE DETAILS FILED BY:

Chief Inspector Judge/Steward Investigator Other: _____
(Title of State Employee)

William Smith  05-31-22

(Print Name) (Signature) (Date)

Muniz, Luz

From: Jones, Bradford
Sent: Wednesday, June 1, 2022 9:26 AM
To: Muniz, Luz
Cc: Smith, William; Kogan, Steven
Subject: OPEN CASE REQUEST
Attachments: OPEN CASE REQUEST- DAO - CHEAT.docx

Follow Up Flag: Follow up
Flag Status: Completed

Good Morning Luz,

This is approved; please open a case and assign to Bill Smith.

Thank you



Bradford D. Jones
Investigations Supervisor
Florida Department of Business and Professional Regulation
Division of Pari-Mutual Wagering, Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

DBPR Case No.: 2022-027070

v.

HUONG DAO,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Huong Dao (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to Chapters 550, 551, and 849, Florida Statutes.
2. At all times material hereto, Respondent’s address was reported as 5260 Northwest 11th Street Lauderhill, Florida 33313.
3. At all times material hereto, PPI, Inc. was a facility operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the State of Florida.
4. On or about May 27, 2022, Respondent was a patron of PPI, Inc.
5. On or about May 27, 2022, Respondent was ejected and excluded from PPI, Inc. for a period of one year for engaging in an act that would constitute cheating at a cardroom table.

COUNT I

6. Petitioner realleges and incorporates the allegations contained within paragraphs one through five as though fully set forth herein.

7. Rule 61D-11.005(4), Florida Administrative Code, provides, in pertinent part, that “[n]o person shall, either directly or indirectly (a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.”

8. Based on the foregoing, Respondent violated Rule 61D-11.005(4), Florida Administrative Code, by employing a device, scheme, or artifice to defraud the cardroom operator.

COUNT II

9. Petitioner realleges and incorporates the allegations contained within paragraphs one through five as though fully set forth herein.

10. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in the state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

11. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the division may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any facility of a slot machine licensee

any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.’

12. Based on the foregoing, Respondent violated Rule 61D-11.005(4), Florida Administrative Code and is subject to exclusion under Sections 550.0251(6), and 551.112, Florida Statute, from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida.

WHEREFORE, Petitioner respectfully requests the Division Director enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida, along with any other remedy provided by Chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for DBPR Case Number 2022-027070 is signed this 13th day of June, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Department of Business and Professional Regulation
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Leiva@MyFloridaLicense.com
Secondary: Ebonie.Lanier@MyFloridaLicense.com

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

7020 2450 0000 5094 3300

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Domestic Mail Only

For delivery information, visit our website at www.usps.com®.

OFFICIAL USE

Certified Mail Fee

\$ _____

Extra Services & Fees (check box, add fee as appropriate)

- Return Receipt (hardcopy) \$ _____
- Return Receipt (electronic) \$ _____
- Certified Mail Restricted Delivery \$ _____
- Adult Signature Required \$ _____
- Adult Signature Restricted Delivery \$ _____

Postage

\$ _____

Total Postage

\$ _____

Sent To _____

Street and Apt _____

City, State, Zip _____

8-25-22

Postmark
Here

Houng Dao

5260 Northwest 11th Street, Apt. 102

Lauderhill, Florida 33313

AC - 2022027070 - PMW

[Track Another Package +](#)

Tracking Number: 70202450000050943300

[Remove X](#)

Your item was delivered to an individual at the address at 4:17 pm on September 7, 2022 in FORT LAUDERDALE, FL 33313.

USPS Tracking Plus[®] Available [v](#)

Delivered, Left with Individual

September 7, 2022 at 4:17 pm
FORT LAUDERDALE, FL 33313

Feedback

Get Updates [v](#)

Text & Email Updates



Tracking History



USPS Tracking Plus[®]



Product Information



See Less [^](#)

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Case No. 2022-038702; Consent Order
Date: October 24, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the proposed settlement and consent order signed by Gulfstream Park Racing Association, Inc. (“Respondent”) to resolve Case No. 2022-038702. Pursuant to the terms of the proposed order, Respondent would pay an administrative fine of \$1000 for violating rule 61D-2.023(1)(h), Florida Administrative Code.

Background

On or about June 15, 2022, July 8, 2022, and August 2, 2022, Respondent allowed four individuals to have access to the backside of Palm Meadows Training Center without an occupational license. Respondent has no prior violations of this rule in Florida.

The Division served Respondent with a one count administrative complaint and included a proposed settlement and consent order seeking a \$1000 administrative fine. Respondent has agreed to the terms of the settlement and consent order and has already mailed in a check.

Analysis

The Commission may resolve matters informally through a negotiated settlement.¹ The Commission has the authority to impose an administrative fine of \$1,000.00 for each violation of section 849.086, Florida Statutes, or any rules adopted pursuant to that section.²

Rule 61D-2.023(1)(h), Florida Administrative Code, provides that “[a] permitholder shall ensure that: [a]ccess to the backside where racing animals are kept is restricted to occupational licensees or other persons authorized pursuant to Rule 61D-5.005, F.A.C.”

Because Respondent allowed four individuals to have access to the backside of Palm Meadows Training Center without an occupational license, it is subject to an administrative fine not to exceed \$1000.00.

Staff Recommendation: The Florida Gaming Control Commission should enter a Final Order adopting and incorporating the proposed settlement and consent order in Case No. 2022-038702.

¹ See § 120.57(4), Fla. Stat. (“Unless precluded by law, informal disposition may be made of any proceeding by stipulation, agreed settlement, or consent order.”)

² § 849.086(14)(c), Fla. Stat.

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1001 - Permitholder Application for Annual License and Operating Day	Status	90 Closed	Status Date	08/17/2022
Complaint #	2022038702	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	GULFSTREAM PARK RACING ASSOCIATION, INC.	Responsible	bjones - JONES, BRADFORD	Private Case

Complaint	Respondent	Complainant	Add'l Info
---------------------------	----------------------------	-----------------------------	----------------------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	INET - Internet	Priority			
Class'n	IIID - Unauthorized Entry/Unlicensed Personnel	Complexity	R - Regular	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Security	STND - Standard	Incident	06/15/2022	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Region	SR - Southern Region	Received	08/09/2022	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Reference	61D-5.002(1)&(2)(a-f)				
Entered	08/09/2022	Entered By	Imuniz	<input type="checkbox"/> Inspection	
Summary	<p>321- Gulfstream Park Racing Association, Inc. / PMTC ----- / ----- . Possession of a License: On June 15, 2022, July 8, 2022, and August 2, 2022 this investigator while conducting barn inspections at Palm Meadows Training Center (PMTTC), found a total of four individuals present and or working in the backside area unlicensed. During each instance security was notified and made aware of the situation. In each instance GSP/PMTTC Security provided assurances that preventive measures would be taken however unlicensed workers continue to be discovered in restricted areas.</p>				
Updated	08/18/2022 09:46:02	By	Imuniz	<input type="checkbox"/> Costs	<input type="checkbox"/> Time Tracking
				<input type="checkbox"/> Attachments	<input type="button" value="Auto Assign"/>
				<input type="checkbox"/> Work Notes	<input type="button" value="History"/>
					<input type="button" value="Print Report"/>




8	<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS
INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: August 9, 2022	Case Number: 2022 03 8702
Respondent: GULFSTREAM PARK RACING ASSOCIATION, INC. 901 S FEDERAL HIGHWAY [REDACTED] [REDACTED]		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL. - 954-202-3900	
License # and Type: 321/1001	Profession: Permit Holder	Report Date: August 12, 2022	
Period of Investigation: August 9, 2022 to August 12, 2022		Type of Report: FINAL	
<p>Alleged Violation: 61D-5.002 Possession of a License.</p> <p>(1) Unless exempted in subsection (2) of this rule, when on the grounds of a permit holder, licensees shall conspicuously wear their current Pari-Mutuel Wagering Occupational License issued by the division.</p> <p>(2) When performing their pari-mutuel occupations, the following persons shall retain on the permit holder's premises their current license:</p> <ul style="list-style-type: none"> (a) Jockeys; (b) Jai alai players; (c) Jai alai judges; (d) Harness drivers; and (e) Exercise persons; and (f) Leadouts.. 			
<p>Synopsis: On June 15, 2022, July 8, 2022, and August 2, 2022 this investigator while conducting barn inspections at Palm Meadows Training Center (PMTTC), found a total of four individuals present and or working in the backside area unlicensed. During each instance security was notified and made aware of the situation. In each instance GSP/PMTTC Security provided assurances that preventive measures would be taken however unlicensed workers continue to be discovered in restricted areas.</p>			
Related Case(s): 2022 03 0646, 2022 03 8572			
Investigator / Date  Kelly Melendez / August 12, 2022		Investigator Supervisor / Date  Bradford D. Jones / August 17, 2022	
Chief of Investigations / Date  Bradford D. Jones for Steven E. Kogan / August 17, 2022			

CONTINUATION

On June 15, 2022, this investigator was conducting a routine facility/barn inspection of barn #31 at the Palm Meadows Training Center (PMTTC), case reference #2022 03 0646. Trainer Priscilla Nichols was found to have two unlicensed staff working directly with her horses, including one individual who was under the waiver process (**EXHIBIT #1**).

On July 8, 2022, this investigator was conducting a routine facility/barn inspection of barn #8. Trainer Walter Woodard's license had expired on June 30, 2022. Trainer Woodard was present in the backside area unlicensed and was verbally warned that he should not be in the backside and would have to leave the backside area. Several hours later after the visit he obtained a current PMW license.

On August 2, 2022, this investigator was conducting a routine facility/barn inspection of barn #30, case reference #2022 03 8572. During this visit Equine Dentist/Vet Assistant Chad M. Breuer was observed conducting a dental service procedure on a thoroughbred race horse, while knowingly unlicensed (**EXHIBIT #2**).

During each of the three above instances, PMTC security was notified that front gate security must be more diligent in checking for PMW state issued licenses and not merely accept the possession of Gulfstream Park photo identifications or vehicle "hangdowns".

As a result of these inspections and failing to address an area of concern, **GULFSTREAM PARK ASSOCIATION INC.** is found to be in violation of 61D-5.002 Possession of a License. **GULFSTREAM PARK ASSOCIATION INC. / PALM MEADOWS TRAINING CENTER** has allowed in the above three (3) instances, unlicensed individuals onto the backside of a state regulated facility with direct access to thoroughbred racehorses without a current valid PMW license.

Case Status: Closed by Investigations and forwarded to Legal for further review.

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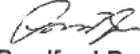
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Melanie S. Griffin, Secretary

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS INVESTIGATIVE REPORT

Office: PMW	Region: Southern	Date of Complaint: June 23, 2022	Case Number: 2022 03 0646
Respondent: NICHOLS, PRISCILLA B. <div style="background-color: black; width: 100px; height: 20px; margin-top: 5px;"></div>		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FORT LAUDERDALE, FLORIDA 33309	
License # and Type: 253130/1021		Profession: Thoroughbred Trainer	Report Date: June 23, 2022
Period of Investigation: June 23, 2022 to June 23, 2022		Type of Report: FINAL	
<p>Alleged Violation: 61D-2.005 F.A.C Violations. "No person shall conspire with, solicit, aid, abet, counsel, hire, or procure any other person or persons to engage in a violation of Chapter 550, Florida Statutes, or the rules promulgated thereunder, nor shall he/she commit any such act on his/her own." FS 550.105(4): "It is unlawful to take part in or officiate in any way at any pari-mutuel facility without first having secured a license and paid the occupational license fee." 61D-5.002 Possession of a License. (1) Unless exempted in subsection (2) of this rule, when on the grounds of a permit holder, licensees shall conspicuously wear their current Pari-Mutuel Wagering Occupational License issued by the division. (2) When performing their pari-mutuel occupations, the following persons shall retain on the permit holder's premises their current license: (a) Jockeys; (b) Jai alai players; (c) Jai alai judges; (d) Harness drivers; and (e) Exercise persons; and (f) Leadouts. 61D-5.006 (3) F.A.C (3) "No applicant for a waiver shall be allowed to work in any capacity as an occupational licensee until a license is issued based upon a waiver, granted by the director."</p>			
<p>Synopsis: On June 15, 2022, while conducting a facility/barn inspection of barn 31 at The Palm Meadows Training Center (PMTTC), Trainer Priscilla Nichols was found having (2) unlicensed staff: "Groom" Freddie Hughes, who was under the waiver process at the time of the visit and "Hot Walker" Roberto Martinez.</p>			
<p>Related Case(s): 2016 02 7516, 2020 00 0716, 2022 02 4632</p>			
Investigation Specialist II / Date  Kelly Melendez / June 23, 2022		Investigator Supervisor / Date  Bradford D. Jones /	
<p>Chief of Investigations / Date  Bradford D. Jones for Steven E. Kogan /</p>			

CONTINUATION

On June 15, 2022, this investigator, along with Investigator Miriam Roca was conducting a routine facility/barn inspection of barn #31 at the Palm Meadows Training Center (PMTTC). Barn #31 is assigned to four trainers; one of them being Trainer Priscilla **NICHOLS**. I observed "Groom" Freddie Hughes, who was under the waiver process during this visit working in one of the stalls. Trainer **NICHOLS**, on the day prior submitted a letter of recommendation for Mr. Hughes proving she knowingly had an unlicensed employee working for her on the grounds of a state regulated facility in violation of Florida Rules and Statutes (**EXHIBIT #1**).

As the barn inspection continued, Trainer **NICHOLS** was found to have "Hot Walker" Roberto Martinez, working unlicensed as well. Martinez's license expired March 15, 2022. Trainer **NICHOLS** stated that she thought PMTC was "just a farm". Based on **NICHOLS** letter of recommendation (for Mr. Hughes), she gives a synopsis of her 40 plus years in the racing industry demonstrating she is fully aware of racing requirements. In 2020, **NICHOLS** also had another unlicensed employee working for her at Tampa Bay Downs, under DBPR case #2020 00 0716. In 2017, a Reprimand enforcement alert was placed on her license, which expired on 6/30/2021 (**EXHIBIT #3**).

On said date, PMTC Lead Security Supervisor Brian Augustyn was notified of the unlicensed employees under **NICHOLS** in barn #31. The following day I inquired about her Badge List indicating staff employed under her on June 15th. Security Supervisor Augustyn indicated it was blank and the following day she only had an Exercise Rider listed. Gulfstream Park Director of Security Jorge Rodriguez was informed by PMTC of the incident. Director of Security Rodriguez indicated she was given 48 hours to vacate the premises with her horses.

As a result of this inspection, **NICHOLS** is found to be in violation of F.A.C. 61D-2.005 Violations and FS 550.105(4) for knowingly allowing unlicensed employees to work in a secure State regulated facility without obtaining the proper license.

Status: Case closed by Investigations and forwarded to Legal for further review.

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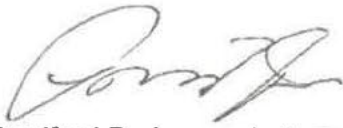
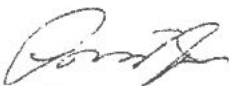
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: August 9, 2022	Case Number: 2022 03 8572
Respondent: BREUER, CHAD M. 		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL. - 954-202-3900	
License # and Type: 10403543/1022		Profession: Vet Assistant	Report Date: August 10, 2022
Period of Investigation: August 9, 2022 to August 10, 2022		Type of Report: FINAL	
<p>Alleged Violation: 61D-5.002 Possession of a License.</p> <p>(1) Unless exempted in subsection (2) of this rule, when on the grounds of a permitholder, licensees shall conspicuously wear their current Pari-Mutuel Wagering Occupational License issued by the division.</p> <p>(2) When performing their pari-mutuel occupations, the following persons shall retain on the permitholder's premises their current license:</p> <ul style="list-style-type: none"> (a) Jockeys; (b) Jai alai players; (c) Jai alai judges; (d) Harness drivers; and (e) Exercise persons; and (f) Leadouts. 			
<p>Synopsis: On August 2, 2022, this investigator was conducting a barn inspection at barn #30, Palm Meadows Training Center (PMTC). This Investigator inquired into license's for all staff at that barn under Trainer Eoin Harty. Equine Dentist Chad M. BREUER was present and stated he had a total of 5 dental appointments at PMTC that day. BREUER'S PMW license number was checked in VERSA and his license had been expired as of June 30, 2022.</p>			
Related Case(s):			
Investigator / Date  Kelly Melendez / August 10, 2022		Investigator Supervisor / Date  Bradford D. Jones / August 11, 2022	
Chief of Investigations / Date  Bradford D. Jones for Steven E. Kogan / August 11, 2022			

CONTINUATION

On August 2, 2022, this investigator, was conducting a routine facility/barn inspection of barn #30 at the Palm Meadows Training Center (PMTC). Barn #30 is assigned to Trainer Eoin Harty; Harty was not present during the visit. I requested the licenses of all individuals present including "Equine Dentist / Vet Assistant" Chad M. **BREUER**. **BREUER** initially indicated his license/credentials were in his vehicle and this was his first dental service appointment out of five that he had at PMTC that day. The other four appointments he had were for Trainers: Michael Lerman, Blake Kelly, Javier Gonzalez, and Carlos David. **BREUER** stated the service he provides takes approximately 15 minutes to complete.

BREUER was already prepped for his appointment to work and was observed with a large bucket with several long metal instruments. I told **BREUER** I would observe him complete the service and asked him to produce his license upon completion of the procedure. I also asked **BREUER** how he handles a horse that is unruly or aggressive. **BREUER** indicated that in such cases, sedation medication would be used. At no point did I observe **BREUER** in possession of medication, nor did he administer any medications in my presence. **BREUER** then proceeded to place a metal bite piece in the horses mouth to prevent him from biting during the service. He began using a manual metal instrument to aggressively file the horses teeth in a back and forth motion as he explained that human teeth are enamel and a horses teeth are similar to cement which is more difficult for filing. After using the metal instrument for several minutes **BREUER** then switched to another one. He mentioned he was also cleaning the horses palate with the instrument. More than halfway through the service, he stated "what if I don't have a license"? I then checked his name in the VERSA mobile app, which confirmed his license expired on June 30, 2022. He then explained that he just flew in that weekend, had injured his pinky, and was unable to obtain a current license. I then stated he could have gone online to obtain his license. **BREUER** then provided a Gulfstream Park (GSP) photo identification card identifying him as an Equine Dentist, a dentistry certification card from the International Association of Equine Dentistry¹ (IAED), and a GSP vehicle "hangdown" (EXHIBIT #1).

This Investigator then directed **BREUER** to the State Office to obtain a current license. The PMTC Security Office is in the same building and was informed of the situation. The State Office issued **BREUER** a "temporary" license that day and currently his PMW license status in VERSA indicates "closed upgrade"². **BREUER** stated he works as a "floater" by that meaning he is an independent contractor not affiliated with any individual employer. He also indicated even though his Gulfstream Park photo identification designates him as an "Equine Dentist" he does not perform any dental extractions. This Investigator also determined via a search of the Florida Department of Business Regulation (DBPR) website, that **BREUER** is also not licensed in any way by the Board of Veterinary Medicine.

As a result of this inspection, **BREUER** was found to be in violation of 61D-5.002 Possession of a License. **BREUER** knowingly provided equine dental services on the grounds of a state regulated facility without a current valid PMW license.

Case Status: Closed by Investigations and forwarded to Legal for further review.

¹ According to the IAED website <https://www.iaedonline.com/iaed-mission-statement> the organization is a group of Equine Dental Technicians and Veterinarians whose mission is to work together to improve equine dentistry all over the world.

² A search of the public DBPR website shows he has been issued a 3 year license expiring on June 30, 2025.

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EXHIBIT # 2
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**DIVISION OF PARI-MUTUEL WAGERING
OFFICE OF INVESTIGATIONS**

BARN INSPECTION				
FACILITY: PMTC	FACILITY #: 326	INSPECTION DATE: 6/15/22	TIME: 10:30AM	
INVESTIGATOR: Kelly Melendez				
STABLE NAME: SEE BELOW		BARN #: 31	BARN LICENSE #: N/A	
INSPECTION TYPE: <input checked="" type="checkbox"/> ROUTINE <input type="checkbox"/> RE-INSPECTION <input type="checkbox"/> INVESTIGATION <input type="checkbox"/> CASE #				
TRAINER NAME: Four (4) Trainers		LICENSE #:	EXPIRATION DATE:	
OTHERS PRESENT AT BARNS NAME:		LICENSE #:	POSITION:	
NAME:		LICENSE #:	POSITION:	
TOTAL NUMBER OF HORSES IN BARN: 19		TOTAL NUMBER OF STALLS:		
NUMBER OF HORSES UNDER CONTRACT THAT ARE CURRENTLY OTHER:		TRAINING:		
TOTAL NUMBER OF HORSES INJURED: TYPE OF INJURIES:		NUMBER:		
TYPE OF INJURIES:		NUMBER:		

PERMITHOLDER SHALL ENSURE THAT:	AUTHORITY	YES	NO	N/A
1. All barns have been inspected by the local fire marshal at least every 24 months for compliance with local fire safety code.	61D-2.023(1)(a)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. All barns are equipped with smoke and/or temperature alarms.	61D-2.023(1)(b)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. There is at least one Fire Extinguisher that has a current annual inspection tag that bears the date of last inspection.	61D-2.023(1)(b)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. The lighting in the barns is kept in working order at all times.	61D-2.023(1)(c)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. The cleaning supplies and pesticide supplies are stored in areas separate from food and bedding intended for racing animals.	61D-2.023(1)(d)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. All occupied barns are free from insects and rodents.	61D-2.023(1)(f)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. The barns have a maintenance program for prevention of insects and rodents.	61D-2.023(1)(f)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. All hazards that could harm a racing animal are removed from the track surface and rails prior to use of the track.	61D-2.023(1)(g)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Access to the backside where racing animals are kept is restricted to occupational Licensees or other persons authorized pursuant to Rule 61D-5.005, F.A.C..	61D-2.023(1)(h)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. A weekly documented walk-through of each occupied barn is being conducted.	61D-2.023(1)(i)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HORSERACING TRAINER SHALL ENSURE THAT:	AUTHORITY	YES	NO	N/A
11. Feed intended for racing animals (other than hay) is stored in such a manner that it is protected from contamination, spoilage, or infestation.	61D-2.023(7)(a)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. All horses entered to race be on time for a pre-race veterinary inspection by the track veterinarian to ensure racing soundness.	61D-2.023(7)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

EXHIBIT # 3
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HORSERACING TRAINER SHALL ENSURE THAT: (CONTINUED)	AUTHORITY	YES	NO	N/A
13. The division is notified within 18 hours of the death of any race horse that occurred on the grounds of a horse track or training facility. The notification shall contain at least the following information: 1. Track/facility where death occurred: 2. Incident date/time: 3. Horse registered name: 4. Tattoo number: 5. Reporting person's name and telephone number: 6. Stable name, address, and telephone number: 7. Trainer's name and telephone number: 8. Location of deceased animals:	61D-2.023(7)(c)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14. No part of a horse's leg may be desensitized on the day the horse is scheduled to race. Note: This rule does not prohibit the routine use of ice packs prior to pre-race veterinarian inspection.	61D-2.023(8)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

VETERINARIAN:	AUTHORITY	YES	NO	N/A
15. The track veterinarian shall report to the stewards the date, time, condition, rider, and name of any outsider horse which the track veterinarian reasonably suspects has been overworked or abused?	61D-2.023(9)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
16. A racing animal that has been declared medically unsound to race by another racing jurisdiction should not be eligible or entered to race until it has been examined and declared sound for racing by the track veterinarian who shall consult with the veterinarian that originally declared the racing animal to be medically unsound, unless the original veterinarian is unavailable, then a good faith effort to contact must be documented.	61D-2.023(10)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
17. Has every racing animal entered to race been given a pre-race examination by track veterinarian?	61D-6.009(2)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

PERMITTED MEDICATION QUESTIONS:	AUTHORITY	YES	NO	N/A
18. Are legend drugs, proprietary drugs, or medicinal compounds (natural or synthetic) of any nature kept in a securely locked cabinet, locker, or room when not actively being administered?	61D-6.003(1)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19. Are all legend drugs validly prescribed by a duly licensed veterinarian?	61D-6.003(2)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20. Is the label securely affixed to the original packing container or bag?	61D-6.003(3)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
21. Are legend drugs dispensed without a valid prescription?	61D-6.004(2)(a)1	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
22. Are there any hypodermic needles?	61D-6.004(2)(a)2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
23. Are there any injectable vials?	61D-6.004(2)(a)2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
24. Are there any syringes capable of accepting a hypodermic needle?	61D-6.004(2)(a)2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

RACING ANIMAL IDENTIFICATION:	AUTHORITY	YES	NO	N/A
25. Is the Paddock judge/horse identifier in the horse racing and harness racing, confirming the identity of each racing animal by reading the tattoo and verifying the markings on the animal?	61D-2.012	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
26. Is the Paddock judge/horse identifier ensuring the racing animal is property blanketed and placed in the appropriate starting gate or starting box?	61D-2.012	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

COMMENTS: During this visit I was accompanied by Inv. Miriam Roca. Gerald James #8107714 exp. 6/30/2024, Priscilla Nichols #253130 exp. 6/30/2024, Herold Simms #8133834 exp. 6/30/2024, and Santiago Medina #12520812 exp. 6/30/2023. All the above trainers were present at barn #31, during this visit. Others present: Patricia Kaminsky LLC Patrivital (supplement supplier) #8611768, Irma Tarax #12450463, Juan Garcia #13225060, and Brayan Waldemar #13223909. Trainer Nichols was found in violation of Florida Rules and Statutes by knowingly employing two unlicensed staff. A case will be opened against Nichols.

EMPLOYEE: Kelly Melendez

DATE: 6/15/2022



**DIVISION OF PARI-MUTUEL WAGERING
OFFICE OF INVESTIGATIONS**

BARN INSPECTION			
FACILITY: PMTC	FACILITY #: 326	INSPECTION DATE: 7-8-2022	TIME: 10:15AM
INVESTIGATOR: Kelly Melendez			
STABLE NAME: N/A	BARN #: 8	BARN LICENSE #: N/A	
INSPECTION TYPE: <input checked="" type="checkbox"/> ROUTINE	<input type="checkbox"/> RE-INSPECTION	<input type="checkbox"/> INVESTIGATION	<input type="checkbox"/> CASE #
TRAINER(S) NAME: John Shaw Walter Woodard	LICENSE #: 15043 820016	EXPIRATION DATE: 6/30/2025 6/30/2022	
OTHERS PRESENT AT BARN NAME: Martin Marquez	LICENSE #: 1061588	POSITION: Groom	
NAME: Victor Herrera	LICENSE #: 4949907	POSITION: Groom	
TOTAL NUMBER OF HORSES IN BARN: 23	TOTAL NUMBER OF STALLS:		
NUMBER OF HORSES UNDER CONTRACT THAT ARE CURRENTLY OTHER:	TRAINING:		
TOTAL NUMBER OF HORSES INJURED: TYPE OF INJURIES:	NUMBER:		
TYPE OF INJURIES:	NUMBER:		

PERMITHOLDER SHALL ENSURE THAT:	AUTHORITY	YES	NO	N/A
1. All barns have been inspected by the local fire marshal at least every 24 months for compliance with local fire safety code.	61D-2.023(1)(a)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. All barns are equipped with smoke and/or temperature alarms.	61D-2.023(1)(b)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. There is at least one Fire Extinguisher that has a current annual inspection tag that bears the date of last inspection.	61D-2.023(1)(b)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. The lighting in the barns is kept in working order at all times.	61D-2.023(1)(c)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. The cleaning supplies and pesticide supplies are stored in areas separate from food and bedding intended for racing animals.	61D-2.023(1)(d)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. All occupied barns are free from insects and rodents.	61D-2.023(1)(f)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. The barns have a maintenance program for prevention of insects and rodents.	61D-2.023(1)(f)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. All hazards that could harm a racing animal are removed from the track surface and rails prior to use of the track.	61D-2.023(1)(g)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Access to the backside where racing animals are kept is restricted to occupational Licensees or other persons authorized pursuant to Rule 61D-5.005, F.A.C..	61D-2.023(1)(h)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. A weekly documented walk-through of each occupied barn is being conducted.	61D-2.023(1)(i)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HORSERACING TRAINER SHALL ENSURE THAT:	AUTHORITY	YES	NO	N/A
11. Feed intended for racing animals (other than hay) is stored in such a manner that it is protected from contamination, spoilage, or infestation.	61D-2.023(7)(a)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. All horses entered to race be on time for a pre-race veterinary inspection by the track veterinarian to ensure racing soundness.	61D-2.023(7)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

EXHIBIT # 3
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HORSERACING TRAINER SHALL ENSURE THAT: (CONTIUNED)	AUTHORITY	YES	NO	N/A
13. The division is notified within 18 hours of the death of any race horse that occurred on the grounds of a horse track or training facility. The notification shall contain at least the following information: 1. Track/facility where death occurred: 2. Incident date/time: 3. Horse registered name: 4. Tattoo number: 5. Reporting person's name and telephone number: 6. Stable name, address, and telephone number: 7. Trainer's name and telephone number: 8. Location of deceased animals:	61D-2.023(7)(c)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14. No part of a horse's leg may be desensitized on the day the horse is scheduled to race. Note: This rule does not prohibit the routine use of ice packs prior to pre-race veterinarian inspection.	61D-2.023(8)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
VETERINARIAN:	AUTHORITY	YES	NO	N/A
15. The track veterinarian shall report to the stewards the date, time, condition, rider, and name of any outrider horse which the track veterinarian reasonably suspects has been overworked or abused?	61D-2.023(9)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
16. A racing animal that has been declared medically unsound to race by another racing jurisdiction should not be eligible or entered to race until it has been examined and declared sound for racing by the track veterinarian who shall consult with the veterinarian that originally declared the racing animal to be medically unsound, unless the original veterinarian is unavailable, then a good faith effort to contact must be documented.	61D-2.023(10)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
17. Has every racing animal entered to race been given a pre-race examination by track veterinarian?	61D-6.009(2)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
PERMITTED MEDICATION QUESTIONS:	AUTHORITY	YES	NO	N/A
18. Are legend drugs, proprietary drugs, or medicinal compounds (natural or synthetic) of any nature kept in a securely locked cabinet, locker, or room when not actively being administered?	61D-6.003(1)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19. Are all legend drugs validly prescribed by a duly licensed veterinarian?	61D-6.003(2)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20. Is the label securely affixed to the original packing container or bag?	61D-6.003(3)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
21. Are legend drugs dispensed without a valid prescription?	61D-6.004(2)(a)1	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
22. Are there any hypodermic needles?	61D-6.004(2)(a)2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
23. Are there any injectable vials?	61D-6.004(2)(a)2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
24. Are there any syringes capable of accepting a hypodermic needle?	61D-6.004(2)(a)2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
RACING ANIMAL IDENTIFICATION:	AUTHORITY	YES	NO	N/A
25. Is the Paddock judge/horse identifier in the horse racing and harness racing, confirming the identity of each racing animal by reading the tattoo and verifying the markings on the animal?	61D-2.012	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
26. Is the Paddock judge/horse identifier ensuring the racing animal is property blanketed and placed in the appropriate starting gate or starting box?	61D-2.012	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
COMMENTS: During this visit I was accompanied by Inv. Miriam Roca. Others present at this barn: Alejandra Hernandez #13192552-Hot Walker, Aida Perez #6481665-Hot Walker, Dale Fergus #1265958-Groom, and Christopher McKenzie #2002574-Exercise Rider. Trainer Woodard was warned of his expired license while on the backside, several hours after the visit he was licensed.				
EMPLOYEE: Kelly Melendez		DATE: 7-8-2022		



FLORIDA GAMING CONTROL COMMISSION

DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS

BARN INSPECTION

FACILITY: PMTC	FACILITY #: 326	INSPECTION DATE: 8/2/22	TIME: 10:30AM
INVESTIGATOR: Kelly Melendez			
STABLE NAME: SEE BELOW		BARN #: 30	BARN LICENSE #: N/A
INSPECTION TYPE:	<input checked="" type="checkbox"/> ROUTINE	<input type="checkbox"/> RE-INSPECTION	<input type="checkbox"/> INVESTIGATION <input type="checkbox"/> CASE #
TRAINER NAME: Eoin Harty	LICENSE #: 2003957	EXPIRATION DATE: 6/30/2023	
OTHERS PRESENT AT BARN: NAME: Lizetta Cedano	LICENSE #: 627877	POSITION: Foreman	
NAME:	LICENSE #:	POSITION:	
TOTAL NUMBER OF HORSES IN BARN: 20	TOTAL NUMBER OF STALLS:		
NUMBER OF HORSES UNDER CONTRACT THAT ARE CURRENTLY OTHER:	TRAINING:		
TOTAL NUMBER OF HORSES INJURED: TYPE OF INJURIES:	NUMBER:		
TYPE OF INJURIES:	NUMBER:		

PERMITHOLDER SHALL ENSURE THAT:	AUTHORITY	YES	NO	N/A
1. All barns have been inspected by the local fire marshal at least every 24 months for compliance with local fire safety code.	61D-2.023(1)(a)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. All barns are equipped with smoke and/or temperature alarms.	61D-2.023(1)(b)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. There is at least one Fire Extinguisher that has a current annual inspection tag that bears the date of last inspection.	61D-2.023(1)(b)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. The lighting in the barns is kept in working order at all times.	61D-2.023(1)(c)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. The cleaning supplies and pesticide supplies are stored in areas separate from food and bedding intended for racing animals.	61D-2.023(1)(d)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. All occupied barns are free from insects and rodents.	61D-2.023(1)(f)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. The barns have a maintenance program for prevention of insects and rodents.	61D-2.023(1)(f)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. All hazards that could harm a racing animal are removed from the track surface and rails prior to use of the track.	61D-2.023(1)(g)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Access to the backside where racing animals are kept is restricted to occupational licensees or other persons authorized pursuant to Rule 61D-5.005, F.A.C..	61D-2.023(1)(h)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
10. A weekly documented walk-through of each occupied barn is being conducted.	61D-2.023(1)(i)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HORSERACING TRAINER SHALL ENSURE THAT:	AUTHORITY	YES	NO	N/A
11. Feed intended for racing animals (other than hay) is stored in such a manner that it is protected from contamination, spoilage, or infestation.	61D-2.023(7)(a)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. All horses entered to race be on time for a pre-race veterinary inspection by the track veterinarian to ensure racing soundness.	61D-2.023(7)(b)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

EXHIBIT # 3
PAGE # 504

HORSERACING TRAINER SHALL ENSURE THAT: (CONTINUED)	AUTHORITY	YES	NO	N/A
13. The division is notified within 18 hours of the death of any race horse that occurred on the grounds of a horse track or training facility. The notification shall contain at least the following information: 1. Track/facility where death occurred: 2. Incident date/time: 3. Horse registered name: 4. Tattoo number: 5. Reporting person's name and telephone number: 6. Stable name, address, and telephone number: 7. Trainer's name and telephone number: 8. Location of deceased animals:	61D-2.023(7)(c)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14. No part of a horse's leg may be desensitized on the day the horse is scheduled to race. Note: This rule does not prohibit the routine use of ice packs prior to pre-race veterinarian inspection.	61D-2.023(8)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

VETERINARIAN:	AUTHORITY	YES	NO	N/A
15. The track veterinarian shall report to the stewards the date, time, condition, rider, and name of any outrider horse which the track veterinarian reasonably suspects has been overworked or abused?	61D-2.023(9)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
16. A racing animal that has been declared medically unsound to race by another racing jurisdiction should not be eligible or entered to race until it has been examined and declared sound for racing by the track veterinarian who shall consult with the veterinarian that originally declared the racing animal to be medically unsound, unless the original veterinarian is unavailable, then a good faith effort to contact must be documented.	61D-2.023(10)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
17. Has every racing animal entered to race been given a pre-race examination by track veterinarian?	61D-6.009(2)(a)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

PERMITTED MEDICATION QUESTIONS:	AUTHORITY	YES	NO	N/A
18. Are legend drugs, proprietary drugs, or medicinal compounds (natural or synthetic) of any nature kept in a securely locked cabinet, locker, or room when not actively being administered?	61D-6.003(1)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19. Are all legend drugs validly prescribed by a duly licensed veterinarian?	61D-6.003(2)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20. Is the label securely affixed to the original packing container or bag?	61D-6.003(3)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
21. Are legend drugs dispensed without a valid prescription?	61D-6.004(2)(a)1	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
22. Are there any hypodermic needles?	61D-6.004(2)(a)2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
23. Are there any injectable vials?	61D-6.004(2)(a)2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
24. Are there any syringes capable of accepting a hypodermic needle?	61D-6.004(2)(a)2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

RACING ANIMAL IDENTIFICATION:	AUTHORITY	YES	NO	N/A
25. Is the Paddock judge/horse identifier in the horse racing and harness racing, confirming the identity of each racing animal by reading the tattoo and verifying the markings on the animal?	61D-2.012	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
26. Is the Paddock judge/horse identifier ensuring the racing animal is properly blanketed and placed in the appropriate starting gate or starting box?	61D-2.012	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

COMMENTS: During this visit, present also in barn #30 was Equine Dentist Chad M Breuer #10403543, who was conducting a manual dental teeth filing for one of the horses in this barn. This investigator observed the approximately 10-15 filing process. Breuer stated he had 4 other appointments that same day at PMTC for teeth filing, and this was his first appointment of the day. This investigator requested to see his license once he completed the filing at his first appointment. Nearing the end of the filing process, he mentioned "what if my license is expired", at which time he explained that he just flew in that weekend and injured his pinky so he was unable to get his license.

EMPLOYEE: Kelly Melendez

DATE: 8/2/2022

EXHIBIT # 3
PAGE # 505

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1001 - Permitholder Application for Annual License and Operating Day	Status	20 Under Investigation	Status Date	08/09/2022
Complaint #	2022038702	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	GULFSTREAM PARK RACING ASSOCIATION, INC.	Responsible	kmelendez - MELENDEZ, KELLY	Private Case

Complaint	Respondent	Complainant	Add'l Info
---------------------------	----------------------------	-----------------------------	----------------------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities	
Form	INET - Internet	Priority				
Class'n	IIID - Unauthorized Entry/Unlicensed Personnel	Complexity	R - Regular	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline	
Security	STND - Standard	Incident	06/15/2022	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance	
Region	SR - Southern Region	Received	08/09/2022	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition	
Reference	61D-5.002(1)&(2)(a-f)			<input type="checkbox"/> Inspection		
Entered	08/09/2022	Entered By	Imuniz	<input type="checkbox"/> Costs		
Summary	<p>321- Gulfstream Park Racing Association, Inc. / PMTC ----- / ----- . Possession of a License: On June 15, 2022, July 8, 2022, and August 2, 2022 this investigator while conducting barn inspections at Palm Meadows Training Center (PMTc), found a total of four individuals present and or working in the backside area unlicensed. During each instance security was notified and made aware of the situation. In each instance GSP/PMTc Security provided assurances that preventive measures would be taken however unlicensed workers continue to be discovered in restricted areas.</p>				<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
				<input type="checkbox"/> Attachments	<input type="button" value="History"/>	
Updated	08/09/2022 16:10:57	By	Imuniz	<input type="checkbox"/> Work Notes	<input type="button" value="Print Report"/>	

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

OPEN CASE REQUEST

(OTHER THAN THOSE SPECIFIED IN SECTION 120.80(4)(a), F.S.)

INCIDENT DATE: June 15, 2022

<input checked="" type="checkbox"/> PMW	<input type="checkbox"/> Cardroom	<input type="checkbox"/> Slot
-----------------------------------------	-----------------------------------	-------------------------------

<input checked="" type="checkbox"/> Violation	<input type="checkbox"/> Incident	<input type="checkbox"/> Complaint
-----------------------------------------------	-----------------------------------	------------------------------------

FACILITY NAME: Gulfstream Park Racing Association, Inc. / PMTC **LIC #:** 321

RESPONDENT

NAME: Gulfstream Park Racing Association, Inc.		
Address: 901 South Federal Highway, Hallandale Beach, FL 33009		Tel #: [REDACTED]
LIC #: 321	LIC TYPE: 1001	OCCUPATION: Permit Holder

COMPLAINANT

NAME:		Tel #:
Address:		
LIC #:	LIC TYPE:	OCCUPATION:

VIOLATION(S) / TITLE(S): 61D-5.002 Possession of a License.

(1) Unless exempted in subsection (2) of this rule, when on the grounds of a permitholder, licensees shall conspicuously wear their current Pari-Mutuel Wagering Occupational License issued by the division.

(2) When performing their pari-mutuel occupations, the following persons shall retain on the permitholder's premises their current license:

- (a) Jockeys;
- (b) Jai alai players;
- (c) Jai alai judges;
- (d) Harness drivers; and
- (e) Exercise persons; and
- (f) Leadouts.

DESCRIPTION: On June 15, 2022, July 8, 2022, and August 2, 2022 this investigator while conducting barn inspections at Palm Meadows Training Center (PMTC), found a total of four individuals present and or working in the backside area unlicensed. During each instance security was notified and made aware of the situation. In each instance GSP/PMTC Security provided assurances that preventive measures would be taken however unlicensed workers continue to be discovered in restricted areas.

CASE DETAILS FILED BY:

Chief Inspector Judge/Steward Investigator Other: _____
(Title of State Employee)

Kelly Melendez

8/9/2022

(Print Name)

(Signature)

(Date)

Muniz, Luz

From: Jones, Bradford
Sent: Tuesday, August 9, 2022 1:05 PM
To: Muniz, Luz
Cc: Campbell, Ian; Melendez, Kelly; Kogan, Steven
Subject: OPEN CASE REQUEST
Attachments: OCR-FGCC-Gulfstream Park Racing Association-PMTC.DOCX

Hi Luz,

This is approved. Please open a case and assign to Kelly Melendez.

Thank you!



Bradford D. Jones

Investigations Supervisor
Division of Pari-Mutual Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#) > [License Home](#)

<p>License</p> <p>Fed Tax # ██████████ Lic Type 1001 - Permitholder Annual Lic & Operating Day Expires On 06/30/2023</p> <p>File # 36 Name GULFSTREAM PARK RACING ASSOCIATION, INC. Extended To</p> <p>License # 321 Rank PLIC - Prmthldr App for Ann Lic and Oprtng Days Renewed On</p> <p>Entity # 321 Lic Status Current</p>		<input type="checkbox"/> <p>Licensee</p> <p>History</p> <p>Notes</p> <p>Notes History</p> <p>Back</p>											
<p>Address</p> <p>Street # 901 Street SOUTH FEDERAL HIGHWAY</p> <p>Line 2</p> <p>Line 3</p> <p>City HALLANDALE State FL Zip 33009-3099</p> <p>Routing</p>													
<p>Other</p> <p>1st License Date 03/23/2022 Rank Date 03/23/2022 Certificate #</p> <p>Method I-S-1020 Status Date 06/24/2002 Certificate Date</p> <p>Fee Exempt No Birth Date Renewal Sent</p>													
<p>Select</p> <p>Action <input type="text"/>  </p>													
<p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>I</td> <td>TBRD - Thoroughbred</td> <td>06/24/2002</td> <td></td> </tr> <tr> <td>L</td> <td>321 - Gulfstream Park</td> <td>02/15/2007</td> <td></td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	I	TBRD - Thoroughbred	06/24/2002		L	321 - Gulfstream Park	02/15/2007	
Type	Modifier	Effective Date	Additional Info										
I	TBRD - Thoroughbred	06/24/2002											
L	321 - Gulfstream Park	02/15/2007											
<p>DBA Name</p> <p>DBA Gulfstream Park Racing & Casino</p>													
<p>Alt Keys</p> <p>BEST LIC NBR PLI321</p>													

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STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-038702

GULFSTREAM PARK RACING
ASSOCIATION, INC.,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Gulfstream Park Racing Association, Inc. (“Respondent”), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.
2. Palm Meadows Training Center is a facility operated by Respondent authorized to conduct pari-mutuel wagering in this state under Chapter 550, Florida Statutes.
3. On or about June 15, 2022, July 8, 2022 and August 2, 2022, Respondent allowed a total of four individuals to have access to the backside of Palm Meadows Training Center without an occupational license.
4. Rule 61D-2.023(1)(h), Florida Administrative Code, provides that “[a] permitholder shall ensure that: [a]ccess to the backside where racing animals are kept is restricted to occupational licensees or other persons authorized pursuant to Rule 61D-5.005, F.A.C.”

5. Based on the foregoing, Respondent is in violation of Rule 61D-2.023(1)(h), Florida Administrative Code, by allowing four individuals to have access to the backside of Palm Meadows Training Center without an occupational license.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties that the Commission is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-038702 is signed this 12th day of September, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

7020 2450 0000 5094 4062

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<input type="checkbox"/> Return Receipt (electronic)	\$ _____
<input type="checkbox"/> Certified Mail Restricted Delivery	\$ _____
<input type="checkbox"/> Adult Signature Required	\$ _____
<input type="checkbox"/> Adult Signature Restricted Delivery	\$ _____

9-14-2022

Postmark
Here

Postage

\$

Total Postage

\$

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Street and A/C

City, State, Z

Gulfstream Park Racing Association, Inc.
901 South Federal Highway
Hallandale, Florida 33009
AC - 2022038702 - PMW

Tracking Number:

Remove X

70202450000050944062

Copy

Add to Informed Delivery

(<https://informedelivery.usps.com/>)

Latest Update

Your item was delivered to the front desk, reception area, or mail room at 10:46 am on September 19, 2022 in HALLANDALE, FL 33009.

Feedback

Delivered

Delivered, Front Desk/Reception/Mail Room

HALLANDALE, FL 33009

September 19, 2022, 10:46 am

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Feedback

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-038702

GULFSTREAM PARK RACING
ASSOCIATION, INC.,

Respondent.

_____ /

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Division”), and Gulfstream Park Racing Association, Inc. (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a Pari-Mutuel Wagering permit and license, number 321-1001, issued by the Division.
2. This Consent Order is to be entered in resolution of the one-count Administrative Complaint filed in FGCC Case Number 2022-038702, alleging that on or about June 15, 2022, July 8, 2022, and August 2, 2022, Respondent was in violation of Rule 61D-2.023(1)(h), Florida Administrative Code, by allowing four individuals to have access to the backside of Palm Meadows Training Center without an occupational license.

STIPULATION

WHEREAS the Division and Respondent (“Parties”), desire to resolve this matter, the following terms are stipulated:

3. The Division has jurisdiction over this matter and the Parties.
4. The Division is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
5. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.
6. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.
7. The Parties acknowledge and agree to waive any further administrative and judicial review.
8. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.
9. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.
10. **FINE:** Respondent agrees to, and must pay to the Division, the sum of **ONE THOUSAND DOLLARS (\$1,000.00)**, at the time Respondent submits an executed copy of this Consent Order. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-**

Mutuel Wagering (NO PERSONAL CHECKS). Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

11. Respondent must mail this Consent Order and the payment to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Melba Apellaniz**. Please note FGCC Case Number 2022-038702 on the face of the payment.

12. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

13. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

14. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

15. This Consent Order may be signed in counterparts, and copies shall be treated as original.

16. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

17. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.fgcc.fl.gov, for the meeting materials, agenda, and contact information.

18. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

WHEREFORE, GULFSTREAM PARK RACING ASSOCIATION, INC., requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.

SIGNED this 30th day of September, 2022

[Signature]
GULFSTREAM PARK RACING ASSOCIATION, INC.,
Respondent

Signed on behalf of Gulfstream Park Racing Association, Inc. by:

Joshua Adams
Printed Name

VP Finance
Title

STATE OF Florida

COUNTY OF Broward

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 30th day of September, 2022, by Joshua Adams, who is personally known to me or who produced the following as identification: _____

[Signature]
Notary Public



My commission expires: 7/31/2024

APPROVED this 24 day of October, 2022.



EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-038702

GULFSTREAM PARK RACING
ASSOCIATION, INC.,

Respondent.

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Gulfstream Park Racing Association, Inc. (“Respondent”), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.
2. Palm Meadows Training Center is a facility operated by Respondent authorized to conduct pari-mutuel wagering in this state under Chapter 550, Florida Statutes.
3. On or about June 15, 2022, July 8, 2022 and August 2, 2022, Respondent allowed a total of four individuals to have access to the backside of Palm Meadows Training Center without an occupational license.
4. Rule 61D-2.023(1)(h), Florida Administrative Code, provides that “[a] permitholder shall ensure that: [a]ccess to the backside where racing animals are kept is restricted to occupational licensees or other persons authorized pursuant to Rule 61D-5.005, F.A.C.”

5. Based on the foregoing, Respondent is in violation of Rule 61D-2.023(1)(h), Florida Administrative Code, by allowing four individuals to have access to the backside of Palm Meadows Training Center without an occupational license.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties that the Commission is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-038702 is signed this 12th day of September, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Case No. 2022-041729; Consent Order
Date: October 24, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the proposed settlement and consent order signed by St. Petersburg Kennel Club, Inc. (“Respondent”) to resolve Case No. 2022-041729. Pursuant to the terms of the proposed order, Respondent would pay an administrative fine of \$500 for violating rules 61D-11.014(4)(c), and 61D-11.020(1)(b), Florida Administrative Code.

Background

On or about August 11, 2022, Respondent failed to permanently alter a damaged card to ensure it was not put back into play. Respondent has two prior violation of this rule.¹ On August 25, 2022, Respondent failed to have a drop box secured with a lock to the poker table. Respondent has three prior violations of this rule.²

The Division served Respondent with an administrative complaint and included a proposed settlement and consent order seeking a \$500 administrative fine. Respondent has agreed to the terms of the settlement and consent order and has already mailed in a cashier’s check.

¹ Case No. 2018-017900 was a one count complaint which resulted in a fine of \$150. Case No. 2020-0039998, consisted of 5 violations of rule 61D-11.014(4)(c), which resulted in a \$500 fine. If the settlement is adopted, a \$200 fine would be issued for this count.

² Case No. 2020-002174 was a three count complaint alleging violations of multiple rules, one of which was 61D-11.020(1)(b). This case resulted in \$750 total for all three counts (\$250 per count). Case No. 2018-025869 was a one count complaint which resulted in a \$350 fine. Case No. 2017-050180 was a one count complaint which resulted in a \$500 fine. If the settlement is adopted, a \$300 fine would be issued for this count.

Analysis

The Commission may resolve matters informally through a negotiated settlement.³ The Commission has the authority to impose an administrative fine of \$1,000.00 for each violation of section 849.086, Florida Statutes, or any rules adopted pursuant to that section.⁴

Rule 61D-11.014(4)(c), Florida Administrative Code, provides that “[c]ards which have been removed from play shall be permanently altered so that the cards cannot be put back into play.” Rule 61D-11.020(1)(b), Florida Administrative Code, provides that “[t]he drop box shall be: affixed with a lock to the table.”

Because Respondent failed to permanently alter a card removed from play and failed to affix a drop box with a lock to the table, it is subject to an administrative fine not to exceed \$1000.00 per count.

Staff Recommendation: The Florida Gaming Control Commission should enter a Final Order adopting and incorporating the proposed settlement and consent order in Case No. 2022-041729.

³ See § 120.57(4), Fla. Stat. (“Unless precluded by law, informal disposition may be made of any proceeding by stipulation, agreed settlement, or consent order.”)

⁴ § 849.086(14)(c), Fla. Stat.

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1002 - Cardroom License	Status	90 Closed	Status Date	08/31/2022
Complaint #	2022041729	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	ST. PETERSBURG KENNEL CLUB, INC.	Responsible	rsamson - SAMSON, RANDA	Private Case

Complaint	Respondent	Complainant	Add'l Info
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Form	INET - Internet	Priority			
Class'n	V-C - Cardroom Violations	Complexity	R - Regular	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Security	STND - Standard	Incident		<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Region	CR - Central Region	Received	08/26/2022	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Reference	61D-11.014, 61D-11.016, 61D-11				
Entered	08/26/2022	Entered By	Imuniz	<input type="checkbox"/> Inspection	
Summary	<p>151-St. Petersburg Kennel Club, Inc. ----- / ----- . Cards / Card and Domino Tables / Drop Box and Key Control Procedures: On August 25, 2022, during a cardroom inspection at DERBY LANE, the following violations were found: 1). A damaged card removed from play had not been permanently altered so that the card is not put back into play; 2). Multiple rake slides on several poker tables enabling the rake to be inserted directly into the drop box were inoperable; 3). The drop box at table E17 was not secured in its sleeve to the table</p>				
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				<input type="checkbox"/> Work Notes	<input type="button" value="History"/>
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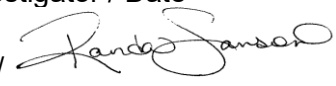
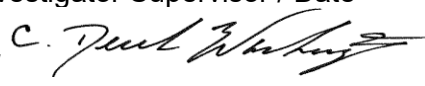
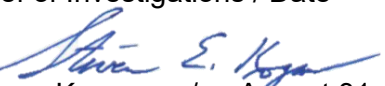
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: CENTRAL	Date of Complaint: AUGUST 26, 2022	Case Number: 2022 04 1729
Respondent: ST. PETERSBURG KENNEL CLUB, INC. 10490 Gandy Blvd St. Petersburg, FL 33702 [REDACTED]		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309	
License # and Type: 151 / 1002	Profession: PERMIT HOLDER	Report Date: AUGUST 26 , 2022	
Period of Investigation: AUGUST 25, 2022 – AUGUST 26, 2022		Type of Report: FINAL	
<p>Alleged Violation: 61D-11.014 Cards. (c) Cards which have been removed from play shall be permanently altered so that the cards cannot be put back into play.</p> <p>61D-11.016 Card and Domino Tables: (1) Card tables shall clearly designated rake circles, impret trays, tip boxes, and drop box slots. In lieu of a rake circle on a table, a drop box slide may be used.</p> <p>61D-11.020 Drop Box and Key Control Procedures. (1) Each table shall have a drop box that is configured to permit the dealer to insert the rake directly into the drop box. The drop box shall be: (b) Affixed with a lock to the table.</p>			
Synopsis: On August 25, 2022, during a cardroom inspection at DERBY LANE, the following violations were found: 1). A damaged card removed from play had not been permanently altered so that the card is not put back into play; 2). Multiple rake slides on several poker tables enabling the rake to be inserted directly into the drop box were inoperable; 3). The drop box at table E17 was not secured in its sleeve to the table.			
Related Case: 2018 01 7900 - 2020 03 9998 - 2017 05 0180 - 2018 02 5869 - 2019 05 8829 & 2020 00 2174			
Investigator / Date /s/  Randa Samson / August 26, 2022		Investigator Supervisor / Date /s/  C. Derek Washington / August 30, 2022	
Chief of Investigations / Date /s/  Steven Kogan / August 31, 2022			

CONTINUATION

On August 25, 2022, Supervisor Derek **WASHINGTON**, Investigator Specialist Charles **TAYLOR**, Investigator LeeAnn **ROUNDS**, and I conducted an Annual Cardroom inspection at **ST. PETERSBURG KENNEL CLUB (DERBY LANE)**. During our inspection of the cardroom and cardroom procedures, the following violations were found:

- A damaged card removed from play on August 11, 2022, by Poker Room Floor Supervisor **DENNIS MONAHAN** (PMW LIC# 332389) had not been permanently altered so that the card is not put back into play (**EXHIBIT #2**).
- Multiple rake slides on several poker tables enabling the rake to be inserted directly into the drop box were inoperable (**EXHIBIT#2**).
- The drop box at table E17 was not secured in its sleeve to the table, and I was able to pull the box from its sleeve (**EXHIBIT #2**).

Upon completing our inspection, I informed Compliance Officer ADAM J **HLAS** (PMW LIC# 7980316) of the violations.

A check of Versa Regulation Enforcement database showed two prior violations of Rule **61D-11.014** (Case #'s **2018 01 7900**, **2020 03 9998**), no prior violations of Rule **61D-11.016** and four prior violations of Rule **61D-11.020** (Case #'s **2017 05 0180**, **2018 02 5869**, **2019 05 8829**, and **2020 00 2174**).

Status: Investigation case closed and case referred to Legal for review.

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET1-1

II. INVESTIGATIVE REPORT1-3

III. EXHIBITS

 1. LICENSE.....1-1

 2. PHOTO.....1-9

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#) > [License Home](#)

<p>License</p> <p>Fed Tax # XXXXXXXXXX Lic Type 1002 - Cardroom License Expires On 06/30/2023</p> <p>File # 34 Name ST. PETERSBURG KENNEL CLUB, INC. Extended To</p> <p>License # 151 Rank CLIC - Cardroom Operating License Renewed On</p> <p>Entity # 151 Lic Status Current</p>		<input type="checkbox"/> <p>Licensee</p> <p>History</p> <p>Notes</p> <p>Notes History</p> <p>Back</p>								
<p>Address</p> <p>Street # 10490 Street GANDY BOULEVARD</p> <p>Line 2</p> <p>Line 3</p> <p>City ST. PETERSBURG State FL Zip 33702</p> <p>Routing</p>										
<p>Other</p> <p>1st License Date 03/22/2022 Rank Date 03/22/2022 Certificate #</p> <p>Method I-S-1020 Status Date 07/14/2008 Certificate Date</p> <p>Fee Exempt No Birth Date Renewal Sent</p>										
<p>Select</p> <p>Action <input type="text"/></p>										
<p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>L</td> <td>151 - St. Petersburg Kennel Club, Inc.</td> <td>03/20/2006</td> <td></td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	L	151 - St. Petersburg Kennel Club, Inc.	03/20/2006		
Type	Modifier	Effective Date	Additional Info							
L	151 - St. Petersburg Kennel Club, Inc.	03/20/2006								
<p>DBA Name</p> <p>DBA DERBY LANE</p>										
<p>Alt Keys</p> <p>BEST LIC NBR CL1151</p>										

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EXHIBIT #1
PAGE 1/1

DATE: 8-11-22
TIME: 1:14 PM
TABLE#: m-4
DEALER: Cheryl Monroe



EXHIBIT #2
PHOTO 1/9

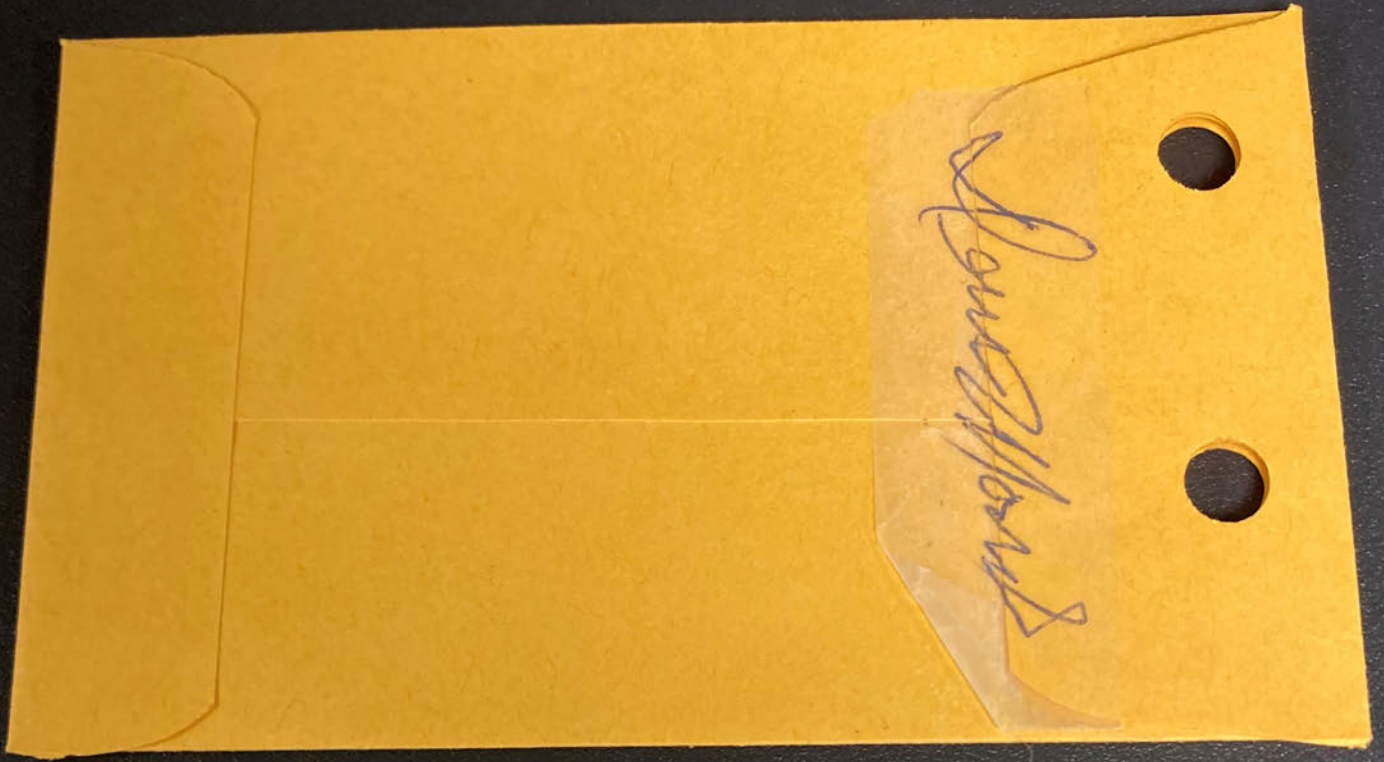




EXHIBIT #2
PHOTO 3/8

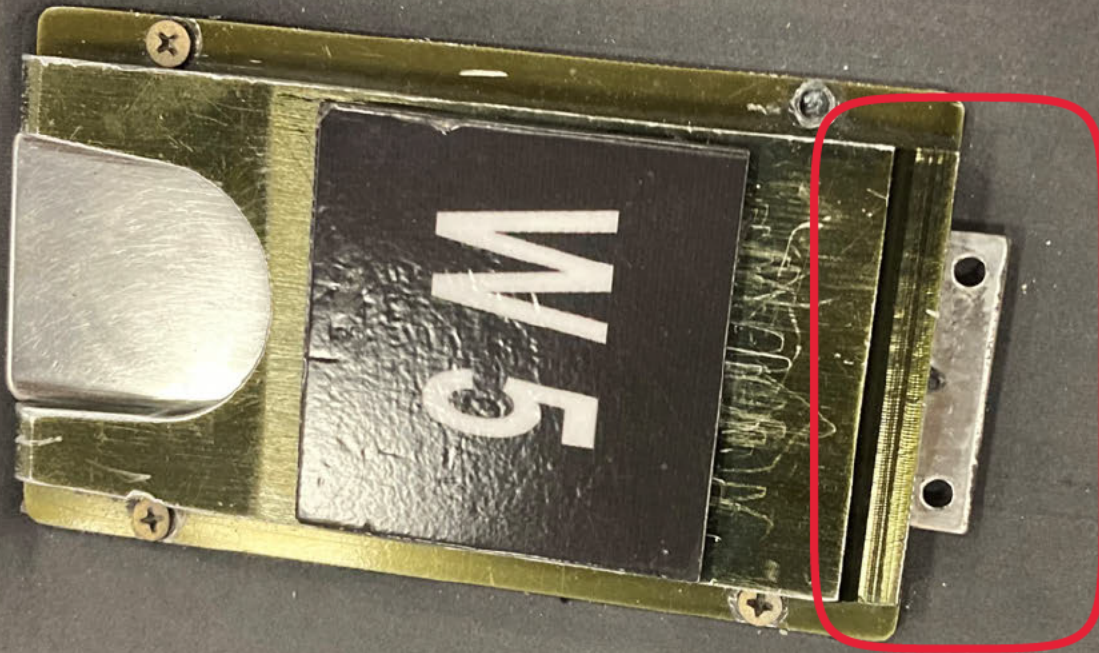


EXHIBIT #2
PHOTO 4/9



EXHIBIT #2
PHOTO 5/9



EXHIBIT #2
PHOTO 6/9

Friday 8K GTE NLHE
Announcement @ 630 PM*
9 Stock - 20 min. levels

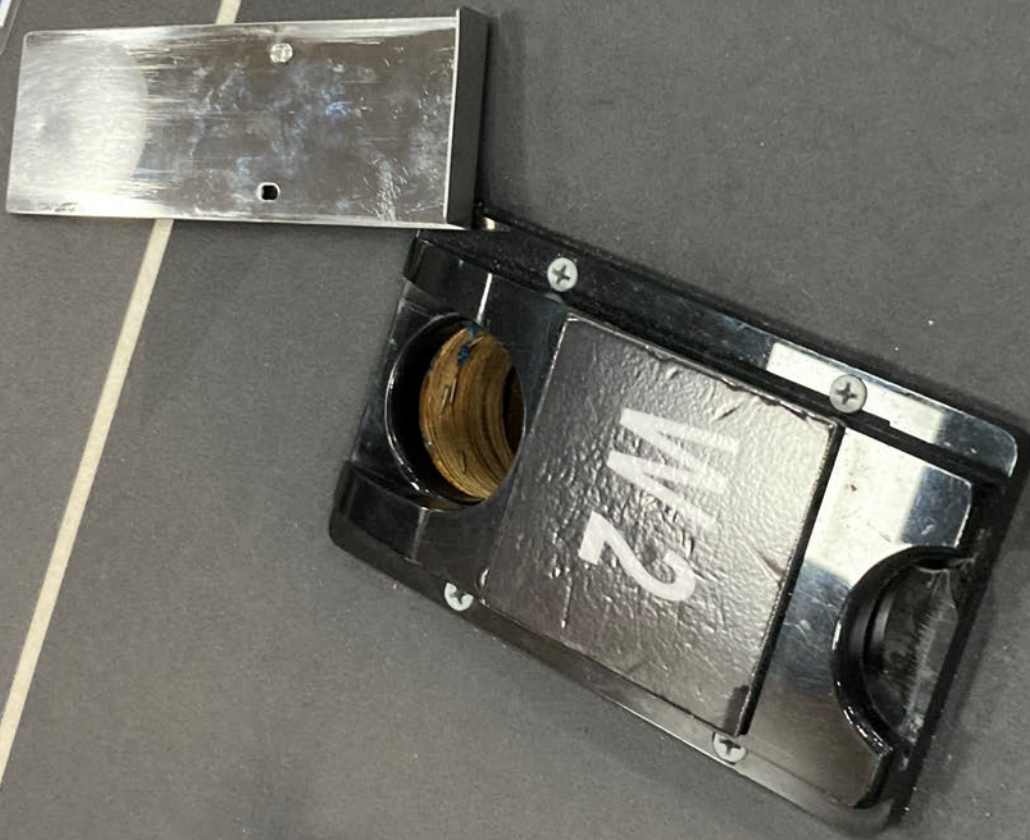


EXHIBIT #2
PHOTO 7/9



EXHIBIT #2
PHOTO 8/9



EXHIBIT #2
PHOTO 9/9

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1002 - Cardroom License	Status	20 Under Investigation	Status Date	08/26/2022
Complaint #	2022041729	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	ST. PETERSBURG KENNEL CLUB, INC.	Responsible	rsamson - SAMSON, RANDA	Private Case

Complaint	Respondent	Complainant	Add'l Info
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Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form	INET - Internet	Priority		<input type="checkbox"/>			
Class'n	V-C - Cardroom Violations	Complexity	R - Regular	<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Security	STND - Standard	Incident		<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Region	CR - Central Region	Received	08/26/2022	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Reference	61D-11.014, 61D-11.016, 61D-11			<input type="checkbox"/>	Inspection		
Entered	08/26/2022	Entered By	Imuniz	<input type="checkbox"/>	Costs		
Summary	<p>151-St. Petersburg Kennel Club, Inc. ----- / ----- . Cards / Card and Domino Tables / Drop Box and Key Control Procedures: On August 25, 2022, during a cardroom inspection at DERBY LANE, the following violations were found: 1). A damaged card removed from play had not been permanently altered so that the card is not put back into play; 2). Multiple rake slides on several poker tables enabling the rake to be inserted directly into the drop box were inoperable; 3). The drop box at table E17 was not secured in its sleeve to the table</p>			<input type="checkbox"/>	Time Tracking	<input type="checkbox"/>	Auto Assign
Updated	08/26/2022 13:01:56	By	Imuniz	<input type="checkbox"/>	Attachments	<input type="checkbox"/>	History
				<input type="checkbox"/>	Work Notes	<input type="checkbox"/>	Print Report

Change	Save	OK	Cancel	Back
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**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

OPEN CASE REQUEST

(OTHER THAN THOSE SPECIFIED IN SECTION 120.80(4)(a), F.S.)

INCIDENT DATE: 8/11/2022 & 8/25/2022

<input type="checkbox"/> PMW	<input checked="" type="checkbox"/> Cardroom	<input type="checkbox"/> Slot
------------------------------	----------------------------------------------	-------------------------------

<input checked="" type="checkbox"/> Violation	<input type="checkbox"/> Incident	<input checked="" type="checkbox"/> Complaint
-----------------------------------------------	-----------------------------------	-----------------------------------------------

FACILITY NAME: ST PETERSBURG KENNEL CLUB, INC. **LIC #:** 151

RESPONDENT

NAME: St. Petersburg Kennel Club, Inc.		
Address: 10490 Gandy Boulevard, St. Petersburg, FL 33702		Tel: [REDACTED]
LIC #: 151	LIC TYPE: 1002	OCCUPATION: Permit Holder

COMPLAINANT

NAME:		Tel #:
Address:		
LIC #:	LIC TYPE:	OCCUPATION:

VIOLATION(S) / TITLE(S): 61D-11.014 Cards

(5)(c) Cards which have been removed from play shall be permanently altered so that the cards cannot be put back into play.

61D-11.016 Card and Domino Tables

(1) Card tables shall clearly designated rake circles, impret trays, tip boxes, and drop box slots. In lieu of a rake circle on a table, a drop box slide may be used.

61D-11.020 Drop Box and Key Control Procedures


(1) Each table shall have a drop box that is configured to permit the dealer to insert the rake directly into the drop box. The drop box shall be:

(b) Affixed with a lock to the table.

DESCRIPTION: On August 25, 2022, during a cardroom inspection at DERBY LANE, the following violations were found: 1). A damaged card removed from play had not been permanently altered so that the card is not put back into play; 2). Multiple rake slides on several poker tables enabling the rake to be inserted directly into the drop box were inoperable; 3). The drop box at table E17 was not secured in its sleeve to the table.

CASE DETAILS FILED BY:

Chief Inspector Judge/Steward Investigator Other: _____
(Title of State Employee)

<u>Randa Samson</u> (Print Name)	<u></u> (Signature)	<u>8/25/2022</u> (Date)
-------------------------------------	------------------------------------------------------------------------------------------------------------	----------------------------

Muniz, Luz

From: Washington, Derek
Sent: Friday, August 26, 2022 11:14 AM
To: Muniz, Luz
Cc: Samson, Randa
Subject: OCR ST PETERSBURG KENNEL CLUB INC (DERBY LANE) 8 25 2022
Attachments: OCR ST PETERSBURG KENNEL CLUB INC (DERBY LANE) 8 25 2022.docx

Hello Luz,
Attached is OCR for a cardroom violation, please assign to Randa.

Thanks
Derek

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

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<p>License</p> <p>Fed Tax # XXXXXXXXXX Lic Type 1002 - Cardroom License Expires On 06/30/2023</p> <p>File # 34 Name ST. PETERSBURG KENNEL CLUB, INC. Extended To</p> <p>License # 151 Rank CLIC - Cardroom Operating License Renewed On</p> <p>Entity # 151 Lic Status Current</p>		<input type="checkbox"/> <p>Licensee</p> <p>History</p> <p>Notes</p> <p>Notes History</p> <p>Back</p>								
<p>Address</p> <p>Street # 10490 Street GANDY BOULEVARD</p> <p>Line 2</p> <p>Line 3</p> <p>City ST. PETERSBURG State FL Zip 33702</p> <p>Routing</p>										
<p>Other</p> <p>1st License Date 03/22/2022 Rank Date 03/22/2022 Certificate #</p> <p>Method I-S-1020 Status Date 07/14/2008 Certificate Date</p> <p>Fee Exempt No Birth Date Renewal Sent</p>										
<p>Select</p> <p>Action <input type="text"/></p>										
<p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>L</td> <td>151 - St. Petersburg Kennel Club, Inc.</td> <td>03/20/2006</td> <td></td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	L	151 - St. Petersburg Kennel Club, Inc.	03/20/2006		
Type	Modifier	Effective Date	Additional Info							
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<p>DBA Name</p> <p>DBA DERBY LANE</p>										
<p>Alt Keys</p> <p>BEST LIC NBR CLI151</p>										

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STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-041729

ST. PETERSBURG KENNEL CLUB, INC.,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against St. Petersburg Kennel Club, Inc. (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to Chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering and Cardroom permit and license, number 151-1002, issued by Petitioner.

COUNT I

3. Petitioner realleges and adopts paragraphs numbered one and two as if set forth fully herein.
4. On or about August 11, 2022, Respondent failed to permanently alter a damaged card to ensure it was not put back into play.

5. Rule 61D-11.014(4)(c), Florida Administrative Code, provides that “[c]ards which have been removed from play shall be permanently altered so that the cards cannot be put back into play.”

6. Based on the foregoing, Respondent violated 61D-11.014(4)(c), Florida Administrative Code, by failing to permanently alter a damaged card to ensure it was not put back into play.

COUNT II

7. Petitioner realleges and adopts paragraphs numbered one and two as if set forth fully herein.

8. On or about August 25, 2022, Respondent failed to have the drop box on table E17 secured to the table with a lock.

9. Rule 61D-11.020(1)(b), Florida Administrative Code, provides that “[t]he drop box shall be: affixed with a lock to the table.”

10. Based on the foregoing, Respondent violated Rule 61D-11.020(1)(b), Florida Administrative Code, by failing to have a drop box secured with a lock to the poker table.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in Sections 550.105 and 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-041729 is signed this 8th day of September, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

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<input type="checkbox"/> Adult Signature Restricted Delivery	\$

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City, State

St. Petersburg Kennel Club, Inc.
c/o Gary Rutledge, Esq.
Rutledge-Ecena
P.O. Box 551
Tallahassee, FL 32302-0551
AC -2022041729-PMW

PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions

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STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-041729

ST. PETERSBURG KENNEL CLUB, INC.,

Respondent.

_____ /

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Division”), and St. Petersburg Kennel Club, Inc. (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a Pari-Mutuel Wagering and Cardroom permit and license, number 151-1002, issued by the Division.

2. This Consent Order is to be entered in resolution of the three-count Administrative Complaint filed in FGCC Case Number 2022-041729, alleging that:

- a. On August 11, 2022, Respondent was in violation of Rule 61D-11.014(4)(c), Florida Administrative Code, by failing to permanently alter a damaged card to ensure it was not put back into play.
- b. On August 25, 2022, Respondent was in violation of Rule 61D-11.020(1)(b), Florida Administrative Code, by failing to have a drop box secured with a lock to the poker table.

3. Aggravation: This Consent Order was entered into in consideration of Respondent's disciplinary history including two prior violations of Rule 61D-11.014(4)(c), Florida Administrative Code, in DBPR Case Numbers 2018-017900 and 2020-039998 and three prior violations of Rule 61D-11.020(1)(b), Florida Administrative Code, in DBPR Case Numbers 2017-050180, 2018-025869, and 2020-002174.

STIPULATION

WHEREAS the Division and Respondent ("Parties"), desire to resolve this matter, the following terms are stipulated:

4. The Division has jurisdiction over this matter and the Parties.
5. The Division is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
6. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.
7. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.
8. The Parties acknowledge and agree to waive any further administrative and judicial review.

9. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

10. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

11. **FINE:** Respondent agrees to, and must pay to the Division, the sum of **FIVE HUNDRED DOLLARS (\$500.00)**, at the time Respondent submits an executed copy of this Consent Order. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).** Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

12. Respondent must mail this Consent Order and the payment to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Melba Apellaniz.** Please note FGCC Case Number 2022-041729 on the face of the payment.

13. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

14. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent

Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

15. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Consent Order may be signed in counterparts, and copies shall be treated as original.

17. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

18. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.fgcc.fl.gov, for the meeting materials, agenda, and contact information.

19. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

WHEREFORE, ST. PETERSBURG KENNEL CLUB, INC., requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.

SIGNED this 19th day of September, 2022.



**ST. PETERSBURG KENNEL CLUB, INC.,
Respondent**

Signed on behalf of St. Petersburg Kennel Club, Inc.

by:




Printed Name



Title

STATE OF Florida
COUNTY OF Pinellas

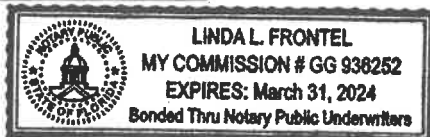
The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 19th day of September, 2022, by ADAM HLAS, who is personally known to me or who produced the following as identification: _____



Notary Public

My commission expires:

2022-041729



APPROVED this 24 day of October, 2022.

Emily Alvarado

EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-041729

ST. PETERSBURG KENNEL CLUB, INC.,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against St. Petersburg Kennel Club, Inc. (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to Chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering and Cardroom permit and license, number 151-1002, issued by Petitioner.

COUNT I

3. Petitioner realleges and adopts paragraphs numbered one and two as if set forth fully herein.
4. On or about August 11, 2022, Respondent failed to permanently alter a damaged card to ensure it was not put back into play.

5. Rule 61D-11.014(4)(c), Florida Administrative Code, provides that “[c]ards which have been removed from play shall be permanently altered so that the cards cannot be put back into play.”

6. Based on the foregoing, Respondent violated 61D-11.014(4)(c), Florida Administrative Code, by failing to permanently alter a damaged card to ensure it was not put back into play.

COUNT II

7. Petitioner realleges and adopts paragraphs numbered one and two as if set forth fully herein.

8. On or about August 25, 2022, Respondent failed to have the drop box on table E17 secured to the table with a lock.

9. Rule 61D-11.020(1)(b), Florida Administrative Code, provides that “[t]he drop box shall be: affixed with a lock to the table.”

10. Based on the foregoing, Respondent violated Rule 61D-11.020(1)(b), Florida Administrative Code, by failing to have a drop box secured with a lock to the poker table.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in Sections 550.105 and 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-041729 is signed this 8th day of September, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Case No. 2022-042997; Consent Order
Date: October 24, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the proposed settlement and consent order signed by Robert Bruce Hess (“Respondent”) to resolve Case No. 2022-042997. Pursuant to the terms of the proposed order, Respondent would be issued a written warning for violating section 550.2415(1)(a), Florida Statutes, and 61D-6.008(2)(s), Florida Administrative Code.

Background

Respondent was the trainer of record for the racing horse Showgirl Lynne B, which was owned by Westlake Racing Stable. On August 20, 2022, Showgirl Lynne B finished 1st place in the 4th race of the performances held by Gulfstream Park Racing Association. A urine sample was taken from Showgirl Lynne B to test for any prohibited substances. The sample was sent to the University of Florida Lab (“UF Lab”) and subsequently tested. The UF Lab detected omeprazole sulfide¹ in the urine. Omeprazole sulfide was detected at a urine concentration of 1.37 +/- 0.09 ng/mL.

The Division filed an administrative complaint alleging a violation of section 550.2415(1)(a), Florida Statutes, which makes it a violation of Florida law to race an animal that been determined to have a prohibited substance present in its system.

Rule 61D-6.008(2)(s), Florida Administrative Code, provides that it is a violation if omeprazole sulfide exists at a urinary concentration greater than 1 ng/mL.

¹ Omeprazole sulfide is a gastric acid secretory depressant and Class 5 drug. See Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. Omeprazole sulfide is used to treat certain stomach and esophagus problems.

The penalty for this violation is a Class D penalty under the Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. (“Guidelines”).

Analysis

Respondent has no prior violations of section 550.2415(1)(a), Florida Statutes, in the last 365 days. Therefore, Respondent should be issued a written warning under rule 61D-6.011(3), Florida Administrative Code.

Staff Recommendation: The Florida Gaming Control Commission should enter a Final Order adopting and incorporating the proposed settlement and consent order in Case No. 2022-042997.



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

September 01, 2022

Mr. Joe Dillmore, Director
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL
32399-1037

RE: Report of Positive Result

Sample 312677 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:


Omeprazole sulfide found in urine

Omeprazole sulfide is a metabolite of Omeprazole (a gastric acid secretory depressant and Class 5 drug) - the concentration of Omeprazole sulfide is 1.37 +/- 0.09 ng/mL.

<u>E001060-FHU</u>	<u>Horse Urine</u>	<u>68.2 g</u>	<u>INTACT</u>
Laboratory Number	Specimen	Final Weight	Seal
<u>Gulfstream Park</u>		<u>08/20/2022</u>	<u>08/23/2022</u>
Collected From		Date Collected	Date Received

Pursuant to Chapter 550.2415 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 09/10/2022, or upon commencement of administrative action, whichever occurs first.


Dirk A Hunt Associate Director


Moriah Murrin Chemist III



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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Istallings**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational	Status	10 Initial Review	Status Date	09/02/2022
Complaint #	2022042997	Case Type	CMP - Complaint	Disposition	
Docket#		Respondent	HESS, ROBERT BRUCE	Responsible	elanier - LANIER, EBONIE
					Private Case

[Complaint](#) | [Respondent](#) | [Complainant](#) | [Addt'l Info](#)

Source	LAB - UF Laboratory	Security Level	1	Parties	Activities
Form	FAX - Fax	Priority	1	Allegations	Discipline
Class'n	V-X - Class V Drug Positive	Complexity	R - Regular	Violations	Compliance
Security	STND - Standard	Incident	08/20/2022	Related	Disposition
Region	SR - Southern Region	Received	09/01/2022	Inspection	
Reference	312677			Costs	
Entered	09/02/2022	Entered By	Istallings	Time Tracking	Auto Assign
Summary	3221 Gulfstream Park Horse SHOWGIRL LYNNE B Drug Omeprazole Sulfide Sample # 312677 Lab # E001060-FHU			Attachments	History
Updated	09/02/2022 14:47:45	By	Istallings	Work Notes	Print Report

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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Istallings**

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License
 Fed Tax # [REDACTED] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2025**
 File # **24627** Name **HESS, ROBERT BRUCE** Extended To
 License # **1353180** Rank **PIND - Professional Individual Occupational** Renewed On
 Entity # **1353180** Lic Status **Current**

- [Licensee](#)
- [History](#)
- [Notes](#)
- [Notes History](#)
- [Back](#)

Address
 Street # **3579** Street **E FOOTHILL BLVD 739**
 Line 2
 Line 3
 City **PASADENA** State **CA** Zip **91107**
 Routing

Other
 1st License Date **07/05/2022** Rank Date **07/05/2022** Certificate #
 Method **I-S-1024** Status Date **07/05/2022** Certificate Date **06/01/2001**
 Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select
 Action:

Modifiers

Type	Modifier	Effective Date	Additional Info
C	TRNR - Trainer	01/06/2019	
I	TBRD - Thoroughbred		
L	321 - Gulfstream Park	03/03/2021	
Y	3YR - 3 Year License		

Alt Keys
 BEST LIC NBR **PIN1353180**

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4



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STAKES

FILLIES, TWO YEARS OLD. Free nomination by Sunday, August 7. \$650 to enter. A \$100 supplemental nomination may be made prior to closing time of entries. After payment of 1% to all owners of horses finishing sixth through last, 60% of the remaining purse shall be paid to the owner of the winner, 20% to second, 12% to third, 5% to fourth and 3% to fifth. Weight: 122 lbs. Non-winners of a race other than maiden or claiming allowed 2 lbs; a race other than claiming, 4 lbs. Starters to be named by usual time of closing. All fees shall be paid prior to the race. Five And One Half Furlongs (Tapeta)

Track Record: Reconfigure(10), 120 lbs; 1:02.79 (1-21-22)

Table with columns: Program #, Odds, Owner, Silks, Trainer, Name, Age, Sex, Color, Height, Weight, Jockey, Class, 2022, 2021, Life, GP, Turf, Synthetic, Off Track, Distance, Course, and various race results.

PROBABLE FAVORITES 3-6-4-5

2022 Race 4 on 8/19/2022 at 1:44:25 PM

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-042997

ROBERT BRUCE HESS,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Robert Bruce Hess (“Respondent”), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 1353180-1021, issued by Petitioner.
3. At all times material hereto, Respondent was the trainer of record for the racing horse “Showgirl Lynne B,” with microchip number 981020035772589.
4. At all times material hereto, “Showgirl Lynne B” was owned by Westlake Racing Stable, who holds a Pari-Mutuel Wagering Business Occupational License, 13007513-1020, issued by Petitioner.
5. On August 20, 2022, “Showgirl Lynne B” participated in the 4th race of the performances held by Gulfstream Park Racing Association, Inc. (“Gulfstream Park”).

6. On August 20, 2022, “Showgirl Lynne B” finished in 1st place in the 4th race of the performances held by Gulfstream Park.

7. Gulfstream Park is a facility operated by a permit holder authorized to conduct pari-mutuel wagering in this state under Chapter 550, Florida Statutes.

8. On August 20, 2022, urine sample number 312677 was collected from “Showgirl Lynne B.”

9. Urine sample number 312677 was processed and forwarded to the University of Florida Racing Laboratory (“UF Lab”), for analysis.

10. The UF Lab tested urine sample number 312677 and found that it contained **omeprazole sulfide (a metabolite of omeprazole)**.

11. In urine sample number 312677, **omeprazole sulfide** was detected at a urinary concentration of 1.37 +/- 0.09 ng/mL.

12. Pursuant to Section 550.2415(1)(a), Florida Statutes:

The racing of an animal that has been impermissibly medicated or determined to have a prohibited substance present is prohibited. It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.

13. According to Rule 61D-6.008(2)(s), Florida Administrative Code, it is a violation of Section 550.2415, Florida Statutes, if **omeprazole** exists at a urinary concentration greater than 1 ng/mL.

14. Section 550.2415(1)(c), Florida Statutes, states, “[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race.”

15. Rule 61D-6.002(1), Florida Administrative Code, provides that, "[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses...he/she enters to race."

16. Based on the foregoing, Respondent violated Section 550.2415(1)(a), Florida Statutes, and Rule 61D-6.008(2)(s), Florida Administrative Code, by racing "Showgirl Lynne B" with an impermissible concentration of a permitted substance in its body on August 20, 2022.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in Rule 61D-6.011, Florida Administrative Code, Section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Commission is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-042997 is signed this 8th day of September, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

NOTICE OF RIGHT TO REQUEST A SPLIT SAMPLE

Pursuant to Rule 61D-6.006, Florida Administrative Code, you have the right to request a split sample with respect to each "Report of Positive Result" from the UF Lab. (Copy attached as Exhibit A). To request a split sample, use Form DBPR PMW-3290, Notification to Stewards/Judge of Split Sample Request (Form 3290).

You can obtain Form 3290, as well as a list of approved split samples laboratories, at the State Office located in any Florida pari-mutuel facility, or on the Division's website: <http://www.myfloridalicense.com/DBPR/pari-mutuel-wagering/forms-and-publications/>. You must submit Form 3290 to the state steward, Division hearing officer, or office of the General Counsel, within 10 days from receipt of this Notice, or you will waive your right to request a split sample.

EXHIBIT A

09-01-22;02:41PM;University of Florida

;352

2 / 2



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

September 01, 2022

Mr. Joe Dillmore, Director
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL
32399-1037

RE: Report of Positive Result

Sample 312677 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

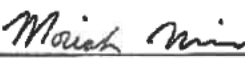
Ormeprazole sulfide found in urine

Ormeprazole sulfide is a metabolite of Ormeprazole (a gastric acid secretory depressant and Class 5 drug) - the concentration of Ormeprazole sulfide is 1.37 +/- 0.09 ng/mL.

<u>E001060-FHU</u>	<u>Horse Urine</u>	<u>68.2 g</u>	<u>INTACT</u>
Laboratory Number	Specimen	Final Weight	Seal
<u>Gulfstream Park</u>		<u>08/20/2022</u>	<u>08/23/2022</u>
Collected From		Date Collected	Date Received

Pursuant to Chapter 650.2415 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 09/10/2022, or upon commencement of administrative action, whichever occurs first.


Dirk A Hunt
Associate Director


Moriah Murrin
Chemist III

Page 1 of 1

23-12
University of Florida Racing Lab
ISO/IEC 17025-2017
Certificate # AT-1542



The Foundation for The Gator Nation
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DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

312677 DATE 8-20-22

TRACK 321

RACE 4 FINISH 1

NAME OF ANIMAL Showgirl Lynne B
NOMBRE DEL ANIMAL

COLOR ch SEX F AGE 2

ID NUMBER 981020035772589

MICROCHIP TATTOO FREEZE-BRAND

BLOOD William M Watson III, DVM ^{Wmw}

SAMPLED BY
URINE Juan Fayo JR

SAMPLED BY
TRAINER Robert B Hess Jr
ENTRENADOR / ENTRENADORA

WITNESS Jose Garcia
SIGNATURE FIRMA DE TESTIGO

WITNESS 7280367
LICENSE # NÚMERO DE LICENCIA

60 RL-252-02

7020 2450 0000 5094 4000

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Robert Bruce Hess
3579 East Foothill Blvd. #739
Pasadena, California 91107
AC - 2022042997 - PMW

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-042997

ROBERT BRUCE HESS,

Respondent.

_____ /

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Division”) and Robert Bruce Hess (“Respondent”) stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 1353180-1021, issued by the Division.
2. This Consent Order is to be entered in resolution of the one-count Administrative Complaint filed in FGCC Case Number 2022-042997, alleging that Respondent was in violation of Section 550.2415, Florida Statutes, and Rule 61D-6.008(2)(s), Florida Administrative Code, by racing “Showgirl Lynne B” with an impermissible amount of **omeprazole sulfide (a metabolite of omeprazole)** in its body during the performances held at Gulfstream Park Racing Association, Inc. (“Gulfstream Park”) on August 20, 2022.
3. On August 20, 2022, Showgirl Lynne B participated in the 4th race of the performances held at Gulfstream Park. Showgirl Lynne B finished in 1st in the 4th race of the performances held at Gulfstream Park.

4. This Consent Order was entered into in consideration of Respondent's previous disciplinary history, including no prior violations of Section 550.2415, Florida Statutes, in the previous 365 days.

STIPULATION

WHEREAS the Division and Respondent ("Parties"), desire to resolve this matter, the following terms are stipulated:

5. The Division has jurisdiction over this matter and the Parties.
6. The Division is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
7. As the trainer of record, Respondent admits that they are responsible for the condition of the horse they enter into races.
8. Respondent waives his right to a Stewards Hearing in order to settle this matter through this Consent Order.
9. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that they are entitled to the advice of counsel, and have either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.
10. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.
11. The Parties acknowledge and agree to waive any further administrative and judicial review.

12. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

13. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

14. **WARNING:** The Parties agree that Respondent will be issued a **WRITTEN WARNING**. The Written Warning is not appealable and may be considered in aggravation of any future disciplinary proceedings against Respondent. Future violation of Chapter 550, Florida Statutes may result in fines and/or suspension of Respondent's Pari-Mutuel Wagering Professional Individual Occupational License.

15. Respondent execute and mail this Consent Order to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Melba Apellaniz.**

16. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

17. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

18. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

19. This Consent Order may be signed in counterparts, and copies shall be treated as original.

20. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

21. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.fgcc.fl.gov, for the meeting materials, agenda, and contact information.

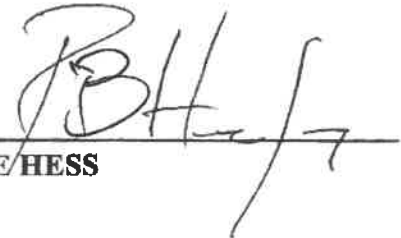
22. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

WHEREFORE, Respondent, **ROBERT BRUCE HESS**, requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.

SIGNED this 28th day of September, 2022.

ROBERT BRUCE HESS
Respondent




STATE OF CALIFORNIA

COUNTY OF Los ANGELES

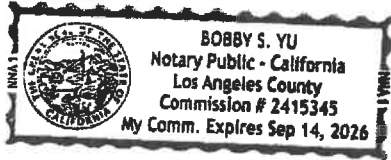
The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 28th day of September, 2022, by **ROBERT BRUCE HESS**, who is personally known to me or who produced the following as identification:

CA DRIVER LICENSE



Notary Public

My commission expires: 09/14/2026



APPROVED this 24 day of october, 2022.



EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-042997

ROBERT BRUCE HESS,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Robert Bruce Hess (“Respondent”), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 1353180-1021, issued by Petitioner.
3. At all times material hereto, Respondent was the trainer of record for the racing horse “Showgirl Lynne B,” with microchip number 981020035772589.
4. At all times material hereto, “Showgirl Lynne B” was owned by Westlake Racing Stable, who holds a Pari-Mutuel Wagering Business Occupational License, 13007513-1020, issued by Petitioner.
5. On August 20, 2022, “Showgirl Lynne B” participated in the 4th race of the performances held by Gulfstream Park Racing Association, Inc. (“Gulfstream Park”).

6. On August 20, 2022, “Showgirl Lynne B” finished in 1st place in the 4th race of the performances held by Gulfstream Park.

7. Gulfstream Park is a facility operated by a permitholder authorized to conduct pari-mutuel wagering in this state under Chapter 550, Florida Statutes.

8. On August 20, 2022, urine sample number 312677 was collected from “Showgirl Lynne B.”

9. Urine sample number 312677 was processed and forwarded to the University of Florida Racing Laboratory (“UF Lab”), for analysis.

10. The UF Lab tested urine sample number 312677 and found that it contained **omeprazole sulfide (a metabolite of omeprazole)**.

11. In urine sample number 312677, **omeprazole sulfide** was detected at a urinary concentration of 1.37 +/- 0.09 ng/mL.

12. Pursuant to Section 550.2415(1)(a), Florida Statutes:

The racing of an animal that has been impermissibly medicated or determined to have a prohibited substance present is prohibited. It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.

13. According to Rule 61D-6.008(2)(s), Florida Administrative Code, it is a violation of Section 550.2415, Florida Statutes, if **omeprazole** exists at a urinary concentration greater than 1 ng/mL.

14. Section 550.2415(1)(c), Florida Statutes, states, “[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race.”

15. Rule 61D-6.002(1), Florida Administrative Code, provides that, "[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses...he/she enters to race."

16. Based on the foregoing, Respondent violated Section 550.2415(1)(a), Florida Statutes, and Rule 61D-6.008(2)(s), Florida Administrative Code, by racing "Showgirl Lynne B" with an impermissible concentration of a permitted substance in its body on August 20, 2022.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in Rule 61D-6.011, Florida Administrative Code, Section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Commission is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-042997 is signed this 8th day of September, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

NOTICE OF RIGHT TO REQUEST A SPLIT SAMPLE

Pursuant to Rule 61D-6.006, Florida Administrative Code, you have the right to request a split sample with respect to each "Report of Positive Result" from the UF Lab. (Copy attached as Exhibit A). To request a split sample, use Form DBPR PMW-3290. Notification to Stewards/Judge of Split Sample Request (Form 3290).

You can obtain Form 3290, as well as a list of approved split samples laboratories, at the State Office located in any Florida pari-mutuel facility, or on the Division's website: <http://www.myfloridalicense.com/DBPR/pari-mutuel-wagering/forms-and-publications/>. You must submit Form 3290 to the state steward, Division hearing officer, or office of the General Counsel, within 10 days from receipt of this Notice, or you will waive your right to request a split sample.

EXHIBIT A

09-01-22:02:41PM:University of Florida

;352

2 / 2



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

September 01, 2022

Mr. Joe Dilmora, Director
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL
32399-1037

RE: Report of Positive Result

Sample 312677 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

Omeprazole sulfide found in urine

Omeprazole sulfide is a metabolite of Omeprazole (a gastric acid secretory depressant and Class 5 drug) - the concentration of Omeprazole sulfide is 1.37 +/- 0.09 ng/mL.

<u>E001060-FHU</u>	<u>Horse Urine</u>	<u>68.2 g</u>	<u>INTACT</u>
Laboratory Number	Specimen	Final Weight	Seal
Gulfstream Park		08/20/2022	08/23/2022
Collected From		Date Collected	Date Received

Pursuant to Chapter 650.2415 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 09/10/2022, or upon commencement of administrative action, whichever occurs first.

Dirk A Hunt

Associate Director

Moriah Murrin

Chemist III

23-12

Page 1 of 1

University of Florida Racing Lab
ISO/IEC 17025-2017
Certificate # AT-1642



The Foundation for The Gator Nation
An Equal Opportunity Institution



DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

312677 DATE 8-20-22
 TRACK 321
 RACE 4 FINISH 1
 NAME OF ANIMAL Showgirl Lynne B
NOMBRE DEL ANIMAL
 COLOR ch SEX F AGE 2
 ID NUMBER 981020035772589
 MICROCHIP TATTOO FREEZE-BRAND
 BLOOD William M Watson III, DVM (WMA)
SAMPLED BY
 URINE Juan Fayo JR
SAMPLED BY
 TRAINER Robert B Hess Jr
ENTRENADOR / ENTRENADORA
Jose Garcia
 WITNESS SIGNATURE Garcia FIRMA DE TESTIGO
 WITNESS 7280367
 LICENSE # 60 NÚMERO DE LICENCIA RL-252-02

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Marc Taupier, Chief Attorney
Re: Steven R. Frazier; Case No. 2022-040492
Date: October 25, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Steven R. Frazier's ("Applicant") application for a Cardroom Employee Occupational License. Applicant submitted a completed application for a Cardroom Employee Occupational License on August 15, 2022, and applied for a waiver of his felony convictions. The Executive Director reviewed the file along with the waiver interview notes and declined to waive the felony convictions. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On August 15, 2022, Applicant submitted their completed application to the Division of Pari-Mutuel Wagering for a Cardroom Employee Occupational License. Upon review of the completed application, it appears that Applicant was convicted of several felony crimes in the state of South Carolina. Specifically, the Applicant was convicted of:

- Manufacture, Deliver, or Possess Narcotic Drugs (LSD and Cocaine) in 2007;
- Unlawful Neglect of Child or Helpless Person in 2006; and
- Strong Arm Robbery in 2007.

Applicant applied for a waiver of the felony convictions and a waiver interview was subsequently scheduled. On September 8, 2022, Applicant attended the waiver interview with the Division and a report was drafted of that interview which was submitted to the Executive Director of the Florida Gaming Control Commission for consideration. On September 29, 2022, the Executive Director declined to waive the felony convictions.

Relevant Law

Section 849.086(6)(f), Florida Statutes, provides that the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

Section 849.086(6)(g), Florida Statutes, provides that:

“[t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.”

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

“[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.”

Rule 61D-5.006(1), Florida Administrative Code, requires the applicant to submit Form DBPR PMW-3180, Request for Waiver, and schedule a waiver interview with the Office of Investigations. Failure to participate in a waiver interview or to disclose any pertinent information regarding criminal convictions shall result in a denial of the request for waiver.

Rule 61D-5.006(2), Florida Administrative Code, provides that “[t]he applicant shall establish proof of rehabilitation and demonstrate good moral character.”

Staff Recommendation: Because the Applicant's disqualifying criminal convictions were not waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

ROUTING SLIP REQUEST FOR WAIVER

RE: FRAZIER, STEVEN R. – 13162896
(APPLICANT'S NAME – LICENSE #)

Case No: 2022 04 0492

1012 – Chip Runner
Occupation Code and Job Title

145 – Orange Park Best Bet
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: NOVEMBER 17, 2022
(DATE)

Investigations Section: Reviewed by Bradford D. Jones for
Steve Kogan

 9/15/2022
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: DD 09/15/2022
(Initial & Date)

(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes or No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

Prepare Waiver or Prepare File for Commission Review

Comments: _____

Investigative Findings:

August 7, 2007 Clarendon County Sheriff's Office, SC. – Drugs/MDP, Narcotic Drugs in Schedule I (b) & (c), LSD, and Schedule, II (Cocaine), 1st Offense – Felony – Pled Guilty – Convicted on August 27, 2007 – Six years in prison and fined \$231.75. – Balance due \$231.75.

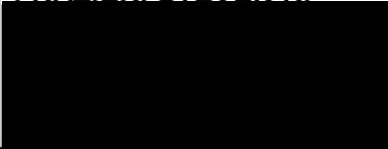



September 13, 2005 Sumter County Sheriff's Office, SC. – Unlawful Neglect of Child/Helpless Person (2 counts) – Felony – Pled Guilty – Convicted on March 14, 2006 – Five years' in State Prison, suspended to 90 days, 2 years' Probation for each count served concurrently, and Fines totaling \$772.50. - Fine paid in full.

August 9, 2004 Clarendon County Sheriff's Office, SC. – Strong Arm Robbery – Felony – Pled Guilty – Convicted on August 27, 2007 – Six years in State Prison and fined \$128.75 – Balance due \$128.75.

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS
WAIVER INVESTIGATIVE REPORT

Office: PMW	Region: NORTHERN	Date of Complaint: August 19, 2022	Case Number: 2022 04 0492
Respondent: FRAZIER, STEVEN R. 		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 West Commercial Boulevard, Suite 165 Ft. Lauderdale, Florida 33309	
License # / Type: 13162896 / 1012		Profession: Chip Runner	Report Date: September 9, 2022
Period of Investigation: August 19, 2022 through September 9, 2022		Type of Report: Final	
<p>Basis of Investigation: This investigation is predicated upon the submission of STEVEN R. FRAZIER's State of Florida Pari-Mutuel Occupational License Application dated April 12, 2022 and Request for Waiver dated July 6, 2022.</p> <p>On April 12, 2022, FRAZIER applied for a PMW Cardroom Employee Occupational License (1012) to work as a Chip Runner at Orange Park Best Bet. On his application, he answered "NO" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?"</p> <p>Upon receipt of FRAZIER's FDLE Criminal History Report, PMW Licensing sent him a Deficiency Letter on April 18, 2022, requesting that he list and provide court disposition records for the following arrests:</p> <ul style="list-style-type: none"> • Manning, South Carolina arrests – 02/14/2004 • Summerton, South Carolina arrest – 5/21/2004 • Sumter, South Carolina arrest(s) – 1/14/2005, 9/13/2005, 7/5/2007 • Clarendon, South Carolina arrest(s) – 8/22/2007, 3/30/2012, 5/8/2016, 2/10/2017 • Richland, South Carolina arrest(s) – 2/18/2014 <p>On July 6, 2022, FRAZIER submitted an amended application listing several arrests.</p>			
Related Case:			
Investigations Specialist II / Date /s/  Charles W. Taylor / September 9, 2022		Approved by Investigator Supervisor / Date /s/  C. Derek Washington / September 13, 2022	
Chief of Investigations / Date  Bradford D. Jones for Steven E. Kogan / September 15, 2022			

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 8/27/2007*		Arresting Agency: Clarendon County Sheriff's Office, SC			
OFFENSE					
Charge(s):	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE	
1 Drugs/MDP, Narcotic Drugs in Sch I (b) & (c), LSD, and Sched, II (Cocaine) – 1 st Offense	Felony	Guilty	Convicted	8/27/2007*	
2					
3					
4					

SENTENCE
Six years in state prison and fined \$231.75.

Additional Information: Balance due \$231.75
 *All dates reflected as per South Carolina court records.

Arrest 2					
Date of Arrest: 09/13/2005		Arresting Agency: Sumter County Sheriff's Office, South Carolina			
OFFENSE					
Charge(s):	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE	
1 Unlawful Neglect of Child/Helpless Person	Felony	Guilty	Guilty	03/14/2006	
2 Unlawful Neglect of Child/Helpless Person	Felony	Guilty	Guilty	03/14/2006	
3					
4					

SENTENCE
5 years' in State Prison, suspended to 90 days confinement, 2 years' Probation for each count to be served concurrently, and Fines totaling \$772.50.

Additional Information: Fines paid in full.

CONTINUATION

CRIMINAL HISTORY

Arrest 3					
Date of Arrest: 8/09/2004		Arresting Agency: Clarendon County Sheriff's Office, SC			
OFFENSE					
		CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1	Strong Arm Robbery	Felony	Guilty	Convicted	8/27/2007
2					
3					
4					

SENTENCE
Six years in State Prison and fined \$128.75

Additional Information: Balance Due \$128.75

Arrest 4					
Date of Arrest:		Arresting Agency:			
OFFENSE					
		CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?	X	
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: Cardroom Employee 1012 (**TEMPORARY**)			
Date Licensed: 4/13/2022	Expiration Date: 7/12/2022	License #: 13162896	Agency or Jurisdiction: FGCC
		YES	NO
Has License ever been suspended or revoked?			X
Was any derogatory information received?		X	
Additional Comments: This license was issued in the field office because the applicant did not disclose any convictions on his initial application.			

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:
		YES	NO
Has License ever been suspended or revoked?			
Was any derogatory information received?			
Additional Comments:			

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:
		YES	NO
Has License ever been suspended or revoked?			
Was any derogatory information received?			
Additional Comments:			

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:
		YES	NO
Has License ever been suspended or revoked?			
Was any derogatory information received?			
Additional Comments:			

CONTINUATION

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: September 8, 2022	Location of Interview: Office at Best Bet Jacksonville		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments: FRAZIER indicated chip runner as his occupation on his application, however, he will be working in the IT Department if granted a waiver.			

SUMMARY OF INTERVIEW:

During his interview, I asked Mr. **FRAZIER** why he did not disclose all of his criminal offenses on his initial application. He stated that he hoped they were only asking about criminal history in the state of Florida and that he did not have any Florida offenses.

We discussed his 2004 felony arrest for Strong Arm Robbery. **FRAZIER** stated that the police questioned him about another drug dealer, who claimed that **FRAZIER** robbed him of his drugs and money. **FRAZIER** admitted that he was a drug dealer, but insisted he was not a thief. He said after being questioned, police arrested him for drug possession. He stated that the police threatened him with a 10-year sentence in prison but offered him a plea deal for a 6-year sentence, but he had to admit guilt in the robbery case, which he did¹.

Mr. **FRAZIER** explained his felony conviction for Unlawful Neglect of a Child by saying that when he and his child’s mother were living together, the mother had to go to work one day before **FRAZIER** could get home from his job. **FRAZIER** claims he told her to wait, but when he got home, the police were already there, and she was not. He stated he thought the police were there for him because of his drug dealing, so he did not immediately approach the home. He said he watched until his child’s mother arrived, and while she was being arrested, he showed up and took the blame so that she would not go to jail.

Mr. **FRAZIER** explained that he realized he was on the wrong course, so he moved to Florida. He said he arrived on a Greyhound bus, rented a studio apartment, and applied for a server job at Applebee’s. He then got a second job at Miller’s Ale House, where he met Tim Hay, an Information Technology Supervisor for Jacksonville Best Bet, located around the corner. **FRAZIER** said Hay was so impressed by his work ethic that Hay told him to come by Best Bet and apply for a job. **FRAZIER** said he got hired to help Hay in the I.T. Department prewire the new Best Bet facility in St. Augustine.

FRAZIER stated that Best Bet management was also impressed with his work ethic and

¹ This chronology cannot be reconciled with available court records. These records indicate that while **FRAZIER** was arrested for the Strong Arm Robbery on August 9, 2004 he was not convicted of this crime until August 27, 2007. This is the same conviction date as the 2007 felony narcotics conviction. This same date is also listed as the arrest date for the narcotics charge. The cause of the 3-year interval and the relationship between these events is unclear in the records and in the recollections of Mr. **FRAZIER**.

CONTINUATION

that they held a position for him until he received his license. **FRAZIER** went on to say his family is very proud that he has turned his life around and that he hopes the Commission will grant his waiver so that he can continue to be successful with his new career in the gaming industry.

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **FRAZIER (EXHIBIT #4)**.

Case Status: Case closed by Investigations and forwarded to Licensing.

CONTINUATION

TABLE OF CONTENTS

- I. INVESTIGATIVE REPORT COVERSHEET
- II. INVESTIGATIVE REPORT
- III. EXHIBITS
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 - 2. Legal Documents 1-8
 - 3. Rap Sheet 1-15
 - 4. Supporting Docs: ARCI / Def. Letter / Other. 1-5



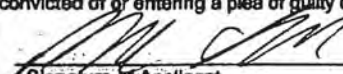
BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)					
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?				
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.				
DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.				
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.				
If you answered yes to any of the questions above, provide details here:					
PLEASE READ AND SIGN BELOW					
<p>Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.</p> <p>I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.</p> <p>Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.</p> <p>I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.</p>					
 Signature of Applicant				4-12-2022 Date	

EXHIBIT # 1
PAGE # 3-9
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BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

- Yes Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
- No
- Yes Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.
- No

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
2/17/2004	Clarendon	S.C.	Assault on Battery	not sure	time served
5/21/2004	Clarendon	S.C.	Driving No LIC	Misdemeanor	paid fine
1/14/05	Sumter	S.C.	Driving No Lic	Misdemeanor	paid fine
9/13/05	Sumter	S.C.	Driving No L.I.C	Misdemeanor	paid fine

- Yes Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
- No
- Yes Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine?
- No If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.


 Signature of Applicant

7/6/22
 Date

7/5/2007 Sumter / S.C. / Simple Position of Wheel / Paid fine

8/22/2007 / Clerndon County / S.C. / P.H.I.D / Driving no L.I.C. / threaten a Public officer / Position of Wheel / Served 5 years in prison and paid fine

3/30/2012 / - I dont know about that date I was still in ~~Prison~~ Jail

5/8/16 - Clerendon / S.C. / Driving no L.I.C. / Paid fine
Failure to stop for blue light
Driving unregistered vehicle
Simple position of wheel

2/18/2014 / Richland County / Columbia SC. / Driving no L.I.C.
Paid fine

#13162896

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
8/2007	DURHAM	SC	POSSESSION	F	6 yrs.
2/2010	WACONAH	SC	DUI - DRIVING UNDER THE INFLUENCE	MIS	15 days

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

license through DBPR suspended due to record

2022 AUG
 FLORIDA
 ON ROL

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

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[Handwritten Signature]
 Signature of Applicant

8/12/2022
 Date

6-9



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2022040492 **Incident date:** 07/06/2022 **Status:** 106 - Sent to Directors office

Lic Type: 1012 **Disposition:**

Case Type: Complaint

Responsible: Itrombetta - TROMBETTA, LOUIS

Complainant: DIVISION OF PARI-MUTUEL WAGERING
 1400 WEST COMMERCIAL BOULEVARD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: FRAZIER, STEVEN R
 1110 CALIENTE DRIVE #16, JACKSONVILLE, FL 32211

Summary: 90-DAY DEADLINE (from email receiving date): November 17, 2022 ----- / ----- . 09/08/2022 -
 Waiver Interview conducted ----- / ----- . 148-Orange Park Kennel Club, Inc.

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	08/19/2022	A	79	440	Incoming Correspondence	rmahalinga	FRAZIER, STEVEN R
	09/08/2022	A	10	50	Interview Respondent		FRAZIER, STEVEN R
	09/07/2022	A	79	435	Telephone		FRAZIER, STEVEN R
	08/19/2022	A	10	15	Assigned to Investigator	cwtaylor	FRAZIER, STEVEN R
	09/15/2022	A	79	70	Sent to Director for Review	ddonaldson	FRAZIER, STEVEN R
	08/19/2022	R			TAYLOR, CHARLES W	rmahalinga	

State of Florida
Department of Business and Professional Regulation
Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	09/15/2022	R		ltrombeta	TROMBETTA, LOUIS	ddonaldson	
	09/15/2022	R		bjones	JONES, BRADFORD	bjones	
	09/15/2022	R		ddonaldson	DONALDSON, DAVID	icampbell	
	09/15/2022	S	1012	106	Sent to Directors office	ddonaldson	
	09/15/2022	S	1012	165	Supervisor Review	bjones	
	09/15/2022	S	1012	104	Sent to Licensing Section	icampbell	
	09/15/2022	S	1012	90	Closed	icampbell	
	08/19/2022	S	1012	20	Under Investigation	rmahalinga	
	08/19/2022	S	1012	10	Initial Review	rmahalinga	
Allegation:	Code	Description					
	WAVR	Waiver of Crim. Conv. or Other Offenses					

DD

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

[Complaint Search](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline Update](#)
[Mass Status Update](#) | [Public Case Info](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: icampbell

[VR Home](#) | [Complaint Search](#) | [Maintain Complaint](#)

Lic Type	1012 - Cardroom Employee Occupational	Status	104 Sent to Licens ing Section	Status Date	09/15/2022
Complaint #	2022040492	Case Type	CMP Complaint	Disposition	Disposition Date
Docket#	Re pondent FRAZIER, STEVEN R	Re pon ible	ddonaldson - DONALDSON, DAVID	Private Ca e	

Complaint	Re pondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	LIC - Licensee	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form	WALK - Walk-in	Priority		<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	IIIB - Waivers	Complexity	R - Regular	<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	07/06/2022	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	CR - Central Region	Received	08/19/2022	<input type="checkbox"/>	Inspection		
Reference				<input type="checkbox"/>	Costs		
Entered	08/19/2022	Entered By	rmahalinga	<input type="checkbox"/>	Time Tracking		Auto Assign
Summary	90-DAY DEADLINE (from email receiving date): November 17, 2022 ----- / ----- , 09/08/2022 - Waiver Interview conducted - ----- / ----- . 148-Orange Park Kennel Club, Inc.			<input type="checkbox"/>	Attachments		History
Updated	09/15/2022 12:58:23	By	icampbell	<input type="checkbox"/>	Work Notes		Print Report

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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 [Get Adobe Reader.](#)

Licensing Administrator Review – PMW Occupational License

RE: FRAZIER, STEVEN R - 13162896
 (APPLICANT'S NAME- LICENSE #)

Case No: 2022 04 0492

INITIAL APPLICATION RECEIVED:	<u>4/13/2022</u>
COMPLETE APPLICATION RECEIVED:	<u>8/15/2022</u>
90-DAY DEADLINE:	<u>11/13/2022</u>

Randall Kitchens
 Application Processor

148 – Orange Park
 Facility (d/b/a name)

1012 – Chip Runner
 Occupation/Job Title

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review

Conviction

Did the application accurately reflect the Criminal History Record? Yes No

- Felony : 2 Count(s)
 Misdemeanor – Industry Related/Gambling Related/Bookmaking
 Animal Cruelty
 Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Disposition
03/14/2006	Sumter, SC	Unlaw Neglect Child/helpless H905919	F	Guilty
03/30/2012	Clarendon, SC	Threat Life Person or Fam J123864	F	Guilty

Disposition Unknown

- Felony Arrest(s) :
 Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking
 Animal Cruelty

Comments:

Arrest Date	Location	Charge	Level	Disposition
08/30/2007		Strong Arm Robbery	F	

Enforcement/Jurisdiction Offenses

Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes No If yes, complete sections below.

Business License Number: _____ Business Name: _____

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes No

Date Deficiency Letter Issued: _____ Initials: _____

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes No Approval

Forward to Investigations Legal Director Initials: SS 8/18/22

Comments: X2 Felony - 1x unknown Felony



Licensee: Steven R. Frazier

No Picture Available

Reports on Steven R. Frazier

- Comprehensive Ruling Report
- Drug Ruling Report (Last 5 Years)
- Comprehensive Licensee Report

Identification Information

[Add a New Identification Record](#)

Date of Birth	Federal ID/SSN	Country	Sex
██████████	██████████	USA	Unknown

Name Information

[Add a New Name Record](#)

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		Steven	R	Frazier	

Address Information

Address Type	Street Address	City	State	Zip Code

Phone Information

Phone Number Type	Phone Number

License Information

[Add a New License Record](#)

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
13162896	Other/OTB/Casino	4/13/2022	7/12/2022	Florida Division of Pari-Mutuel Wagering

Fingerprint Information

[Add a New Fingerprint Record](#)

Processing Commission	Date Taken	Status	Note	RCI Card Submitted Date

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports

- Comprehensive Ruling Report
- Comprehensive Licensee Report

Comprehensive Ruling Report

Rulings Against: Steven R. Frazier

Legal Name: Steven R. Frazier

Birth Date: [REDACTED]

0 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factor that should be reviewed by official prior to taking regulatory action. Confirmation of violation should be made directly with the racing regulatory entity responsible."

No Rulings

DD 09/15/2022

Hello,

I am writing this in support of Steven Frazier being granted a waiver for bestbet. He is a valuable member of our team that I brought onboard because I know him to be a genuine individual who has shown a great desire to live an honest life with hard work, a desire to do what is right and mutual respect for others. He works incredibly hard at a few jobs to support himself and has shown he knows what it takes to be successful in life through his hard work and reflects on the mistakes he made when he was younger. I feel he will be a valuable addition to not only us, but our community and society moving forward and will help reach out with his experience and knowledge and help other people.

Tim Hay

Vice President of IT

Bestbet



Clarendon County Third Judicial Circuit Public Index



[Clarendon County Home Page](#)
[South Carolina Judicial Department Home Page](#)
[SC GOV Home Page](#)

<input type="button" value="Switch View"/>					
The State of South Carolina VS Steven Remell Frazier					
Case Number:	J123833	Court Agency:	General Sessions	Filed Date:	08/27/2007
Case Type:	Criminal Clerk	Case Sub Type:			
Status:	Disposed	Assigned Judge:	James, George C Jr	Disposition Judge:	James, George C Jr
Disposition:	Pled Guilty				
Disposition Date:	08/27/2007	Date Received:	08/27/2007	Arrest Date:	08/27/2007
Law Enf. Case:		True Bill Date:		No Bill Date:	
Prosecutor Case:		Indictment Number:	2007GS1400296	Waiver Date:	
Probation Case:					

Case Parties							
Click the <input type="checkbox"/> icon to show associated parties.							
Name	Address	Race	Sex	Year Of Birth	Party Type	Party Status	Last Updated
<input checked="" type="checkbox"/> Frazier, Steven Remell	3552 Mellette Road Manning SC 291020000	Black	M	██████	Defendant		03/04/2008
<input checked="" type="checkbox"/> Koon, Deborah Johnson	Po Box 1 Manning SC 291020000				Defendant Attorney		

Charges			
Name	Charge Code - Charge Description	Original Charge Code - Original Charge	Disposition Date
Frazier, Steven Remell	0183-Drugs / MDP, Narcotic drugs in Sch. I(b) & (c), LSD, and Sched. II (Cocaine)- 1st offense	0183-Drugs / MDP, Narcotic drugs in Sch. I(b) & (c), LSD, and Sched. II (Cocaine)- 1st offense	08/27/2007

Sentencing							
And/Or	Description	Amount	Units	Begin Date	End Date	Completion Date	Consecutive or Concurrent
	SIX (6) YEARS						

Actions						
Name	Description	Type	Motion Roster	Begin Date	Completion Date	Documents
Frazier, Steven Remell	Active Non Probation	Filing		08/27/2007 00:00		

Financials					
Summary					
Fine/Costs:	\$231.75	Total Paid for fine/costs:	\$0.00	Balance Due:	\$231.75
Costs					
Description	Cost Code	Amount	Charge Action	Disbursed Amount	
Victim Conviction Surcharge \$100 / \$25	CVSRCH	\$100.00			\$0.00
Collection Fee for Converted Cases	CFEECC	\$6.75			\$0.00
Law Enforcement Funding Surcharge \$25	LEFSUR	\$25.00			\$0.00
PCC Surcharge	PCCSUR	\$100.00			\$0.00

032

Payments				
Payment Date	Receipt Number	Entered By	Transaction Type Code	Payment Amount
None				

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CDR Codes

CDR Code:

0183

Offense Description:

Drugs / MDP, Narcotic drugs in Sch. I(b) & (c), LSD, and Sched. II - 1st offense

Offense Statute(s):

44-53-0370(b)(1).

Penalty Statute(s):

44-53-0370(b)(1).

Drug Schedule(s):

Schedule I Schedule II

Offense Type:

Felony

Offense Class:

D

Status:

Active

Effective Date:

Expiration Date:

Rescind/Retire Date:

Last Updated:

EXHIBIT #2
PAGE 3-8



Sumter County Third Judicial Circuit Public Index



[Sumter County Home Page](#)
[South Carolina Judicial Department Home Page](#)
[SC GOV Home Page](#)

<input type="button" value="Switch View"/>					
The State of South Carolina VS Steven Frazier					
Case Number:	H905919	Court Agency:	General Sessions	Filed Date:	09/22/2005
Case Type:	Criminal Clerk	Case Sub Type:			
Status:	Pled Guilty	Assigned Judge:	Ward, Kathy Lynn	Disposition Judge:	Newman, Clifton
Disposition:	Pled Guilty				
Disposition Date:	03/14/2006	Date Received:	09/22/2005	Arrest Date:	09/13/2005
Law Enf. Case:		True Bill Date:		No Bill Date:	
Prosecutor Case:		Indictment Number:	2006GS4300060	Waiver Date:	
Probation Case:					

Case Parties							
Click the <input type="checkbox"/> icon to show associated parties.							
Name	Address	Race	Sex	Year Of Birth	Party Type	Party Status	Last Updated
<input checked="" type="checkbox"/> Finney, Ernest A iii	P O BOX 836 SUMTER SC 29151				Defendant Attorney		06/30/2007
<input checked="" type="checkbox"/> Frazier, Steven	3552 MALLET RD MANNING SC 29102	Black	M		Defendant		09/20/2007

Charges			
Name	Charge Code - Charge Description	Original Charge Code - Original Charge	Disposition Date
Frazier, Steven	2481-Children / Legal custodian, unlawful neglect of child or helpless person	2481-Children / Legal custodian, unlawful neglect of child or helpless person	03/14/2006

Sentencing							
And/Or	Description	Amount	Units	Begin Date	End Date	Completion Date	Consecutive or Concurrent
	5 YRS; SUS TO 90 DAYS, 2 YRS PROB. CONCURRENT. CTS						
	2.0			03/14/2006			
	TOWARDS A CHILD			03/14/2006			
	UNLAWFUL CONDUCT			03/14/2006			
	PD FEE. A&D TESTING & COUNSELING. COMPLETE DSS TRE			03/14/2006			

Actions						
Name	Description	Type	Motion Roster	Begin Date	Completion Date	Documents
Frazier, Steven	Active - Probation	Filing		09/11/2007-13:59		
Frazier, Steven	Active - Probation	Filing		09/22/2005-00:00		

Financials

Summary				
Fine/ Costs:	\$772.50	Total Paid for fine/ costs:	\$772.50	Balance Due: \$0.00
Costs				
Description	Cost Code	Amount	Charge Action	Disbursed Amount
Fine to State 44%	AFINES	\$0.00		\$0.00
Fine to General Fund	AFNEGF	\$0.00		\$0.00
Victim Services Asm 38.0013% / 5.7831%	ASMVIC	\$0.00		\$0.00
Victim Conviction Surcharge \$100 / \$25	CVSRCH	\$200.00		\$200.00
Law Enforcement Funding Surcharge \$25	LEFSUR	\$50.00		\$50.00
State Assessment	STAASM	\$0.00		\$0.00
Office of Indigent Defense \$500	OIDSUR	\$500.00		\$500.00
Collection Fee 3%	CFEE3%	\$22.50		\$22.50
Payments				
Payment Date	Receipt Number	Entered By	Transaction Type Code	Payment Amount
09/21/2007	71107	C43KGEDDIN	WO	\$772.50

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EXHIBIT #2
PAGE 5-8



Sumter County Third Judicial Circuit Public Index



[Sumter County Home Page](#)
[South Carolina Judicial Department Home Page](#)
[SC GOV Home Page](#)

Switch View					
The State of South Carolina VS Steven Frazier					
Case Number:	H905923	Court Agency:	General Sessions	Filed Date:	09/22/2005
Case Type:	Criminal Clerk	Case Sub Type:			
Status:	Pled Guilty	Assigned Judge:	Ward, Kathy Lynn	Disposition Judge:	Newman, Clifton
Disposition:	Pled Guilty				
Disposition Date:	03/14/2006	Date Received:	09/22/2005	Arrest Date:	09/13/2005
Law Enf. Case:		True Bill Date:		No Bill Date:	
Prosecutor Case:		Indictment Number:	2006GS4300060	Waiver Date:	
Probation Case:					

Case Parties							
<small>Click the icon to show associated parties.</small>							
Name	Address	Race	Sex	Year Of Birth	Party Type	Party Status	Last Updated
<input checked="" type="checkbox"/> Finney, Ernest A iii	P O BOX 836 SUMTER SC 29151				Defendant Attorney		06/30/2007
<input checked="" type="checkbox"/> Frazier, Steven	3552 MALLET RD MANNING SC 29102	Black	M	[REDACTED]	Defendant		04/13/2006

Charges			
Name	Charge Code - Charge Description	Original Charge Code - Original Charge	Disposition Date
Frazier, Steven	2481-Children / Legal custodian, unlawful neglect of child or helpless person	2481-Children / Legal custodian, unlawful neglect of child or helpless person	03/14/2006

Sentencing							
And/Or	Description	Amount	Units	Begin Date	End Date	Completion Date	Consecutive or Concurrent
	SAME AS H905919						



Clarendon County Third Judicial Circuit Public Index



[Clarendon County Home Page](#)
[South Carolina Judicial Department Home Page](#)
[SC GOV Home Page](#)

The State of South Carolina VS Steven Frazier					
Case Number:	H722142	Court Agency:	General Sessions	Filed Date:	08/11/2004
Case Type:	Criminal Clerk	Case Sub Type:			
Status:	Disposed	Assigned Judge:	James, George C Jr	Disposition Judge:	James, George C Jr
Disposition:	Pled Guilty				
Disposition Date:	08/27/2007	Date Received:	08/11/2004	Arrest Date:	08/09/2004
Law Enf. Case:		True Bill Date:		No Bill Date:	
Prosecutor Case:		Indictment Number:	2004GS1400333	Waiver Date:	
Probation Case:					

Case Parties								
Click the <input type="checkbox"/> icon to show associated parties.								
Name	Address	Race	Sex	Year Of Birth	Party Type	Party Status	Last Updated	
<input checked="" type="checkbox"/> Frazier, Steven	3552 Mallett Road Manning SC 291020000	Black	M	██████	Defendant			
<input checked="" type="checkbox"/> Koon, Deborah Johnson	Po Box 1 Manning SC 291020000				Defendant Attorney			

Charges			
Name	Charge Code - Charge Description	Original Charge Code - Original Charge	Disposition Date
Frazier, Steven	0137-Robbery / Common law robbery, strong arm robbery	0026-Robbery / Attempted armed, or allegedly armed, robbery	08/27/2007

Sentencing							
And/Or	Description	Amount	Units	Begin Date	End Date	Completion Date	Consecutive or Concurrent
	SIX YEARS						

Actions						
Name	Description	Type	Motion Roster	Begin Date	Completion Date	Documents
Frazier, Steven	Active - Non Probation	Filing		08/27/2007-00:00		

Financials					
Summary					
Fine/Costs:	\$128.75	Total Paid for fine/costs:	\$0.00	Balance Due:	\$128.75
Costs					
Description	Cost Code	Amount	Charge Action	Disbursed Amount	
Law Enforcement Funding Surcharge \$25	LEFSUR	\$25.00		\$0.00	
Collection Fee for Converted Cases	CFEECC	\$3.75		\$0.00	
Victim Conviction Surcharge \$100 / \$25	CVSRCH	\$100.00		\$0.00	
Payments					
Payment Date	Receipt Number	Entered By	Transaction Type Code	Payment Amount	

CDR Codes

CDR Code:

0137

Offense Description:

Robbery / Common law robbery, strong arm robbery

Offense Statute(s):

16-11-0325

Penalty Statute(s):

16-11-0325

Offense Type:

Felony

Offense Class:

D

Status:

Active

Effective Date:

Expiration Date:

Rescind/Retire Date:

Last Updated:

EXHIBIT #2
PAGE 8-8

Melanie S. Griffin, Secretary

Ron DeSantis, Governor

April 18, 2022

Mr. Steven R. Frazier
1110 Calintie Drive #16
Jacksonville, Florida 32211

RE: Application No. 67727, Entity 13162896
1012 - Cardroom Employee Occupational

Dear Mr. Frazier:

Your application for an occupational license with the Division of Pari-Mutuel Wagering has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Manning, South Carolina Arrest(s) – 02/14/2004
- Summerton, South Carolina Arrest(s) – 05/21/2004
- Sumpter, South Carolina Arrest(s) – 01/14/2005, 09/13/2005, 07/05/2007
- Clarendon, South Carolina Arrest(s) – 08/22/2007, 03/30/2012, 05/08/2016, 02/10/2017
- Richland, South Carolina Arrest(s) – 02/18/2014

You must provide the court disposition records for the following charges:

- Sumpter, South Carolina Arrest(s) – 01/14/2005, 09/13/2005
- Clarendon, South Carolina Arrest(s) – 08/22/2007, 03/30/2012,

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

- DBPR PMW 3180 - Request for Waiver (form enclosed).
- DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (form enclosed).



FLORIDA GAMING CONTROL COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

July 18, 2022

Mr. Steven R. Frazier
1110 Calintie Drive #16
Jacksonville, Florida 32211

RE: Application No. 67727, Entity 13162896
1012 - Cardroom Employee Occupational

Dear Mr. Frazier:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Clarendon, South Carolina Arrest(s) – 02/10/2017
- Richland County, South Carolina Arrest(s) – 08/30/2007

You must provide the court disposition records for the following charges:

- Richland County, South Carolina Arrest(s) – 08/30/2007

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 08/12/2022, your application shall lapse and no longer be processed by the Florida

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

1/22/18 4
1/22/18 5-5

Mahalingam, Rajani

From: Kitchens, Randall
Sent: Friday, August 19, 2022 11:15 AM
To: Mahalingam, Rajani
Cc: Muniz, Luz; Campbell, Ian; Futrell, Michelle; Donaldson, David; Ricks, Glenda
Subject: Investigations Referral 1012 - File 13687 - Entity 13162896

Good afternoon,

Ms. Mahalingam, can you please open a case for Stevan Frazier. His 90-day deadline is 11/13/2022. Please see the Application Routing Slip in Onbase for all Supporting Documents.

Thank you!



Randall Kitchens, Operations Analyst II

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: (850) 488-3211 ~ Fax: (850) 410.5350

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Marc Taupier, Chief Attorney
Re: Yohanni Mariana Vasquez Feliz; Case No. 2022-040989 – License Denial
Date: October 25, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Yohanni Mariana Vasquez Feliz's ("Applicant") application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Applicant submitted a complete application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License on September 13, 2022. Upon review of the application, it appears Applicant has had a gaming license revoked by another jurisdiction for a gaming-related offense. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On September 13, 2022, Applicant submitted their application to the Division of Pari-Mutuel Wagering for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Upon review of the completed application, it appears that Applicant held a video lottery license with the Maryland Lottery and Gaming Control Commission. On or about April 28, 2022, Applicant's video lottery license was revoked by the Maryland Lottery and Gaming Control Commission.

Relevant Law

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

“. . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee. . . has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Staff Recommendation: Because the Applicant had her video lottery license revoked by the Maryland Lottery and Gaming Control Commission on April 28, 2022, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

Licensing Administrator Review – Slot Machine Occupational License

RE: Vasquez Feliz, Yohanni Mariana
 (APPLICANT'S NAME– LICENSE #)

Case No: 2022-045263

INITIAL APPLICATION RECEIVED:	<u>9/13/2022</u>
COMPLETE APPLICATION RECEIVED:	<u>9/13/2022</u>
90-DAY DEADLINE:	12/12/2022

Cindy Ritter
 Application Processor

Dania Ent Center LLC, #274
 Facility (d/b/a name)

Cocktail Server/1055
 Occupation/Job Title/Lic Type

Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review

Did the application accurately reflect the Criminal History Record? Yes No

Conviction

- Felony :
- Misdemeanor – Gambling Related/Bookmaking
- Two or more misdemeanor convictions that involve theft, fraud, or burglary
- Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Disposition

Disposition Unknown

- Felony Arrest(s) :
- Misdemeanor Arrest – Gambling Related/Bookmaking
- Two or more misdemeanor arrests/counts that involve theft, fraud, or burglary

Comments:

Enforcement/Jurisdiction Offenses

- Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.

Comments: Applicant's license revoked by Maryland Lottery and Gaming.

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business

Is the individual applicant related to a business? Yes No If yes, complete sections below.

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Date Deficiency Letter Issued:	Initials:

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes No Approval
 Forward to Investigations Legal Director Initials [Signature] 9/20/22

Comments: Maryland Lottery & Gaming Lic Revoked Dec 2021

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you previously worked for a gaming-related employer?
 If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving
MGM National hotel	101 MGM National ave ,Oxon Hill Md 20745	06/2020 - 08/2021	COCKTAIL SERVER	Move to Florida

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
Maryland	n/a	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

Ritter, Cynthia

From: James Rosso -MLGCA- <james.rosso1@maryland.gov>
Sent: Tuesday, September 20, 2022 12:03 PM
To: Ritter, Cynthia
Subject: Non-Gaming Revocation
Attachments: 1 - Florida Request.pdf

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Good Afternoon Cindy,

As discussed in our conversation this morning, I have attached the final decision letter sent to Yohanni Vasquez Feliz from the Maryland Lottery and Gaming Control Commission. This letter serves as final notice of her Non-Gaming License revocation.

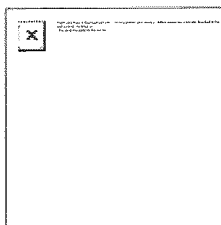
You have also requested for copies of investigative reports pertaining to this case which we are unable to provide per regulation. In the event that you wish to review these reports, you may make arrangements to review them in our Baltimore office. I would be able to assist you with this.

If I can be of further assistance, please feel free to contact me at (410) 230-2604.

Sincerely,

James Rosso

--



James Rosso
Licensing Specialist
Regulatory Licensing and Background Investigations Division
Maryland Lottery and Gaming
1800 Washington Blvd., Suite 330
Baltimore, MD 21230
james.rosso1@maryland.gov
(410) 230-2604

RECEIVED
2022 SEP 20 PM 12:39
FLORIDA GAMING
CONTROL COMMISSION

Maryland Lottery and Gaming contributed \$1.511 billion to the State of Maryland in FY2022. Please play responsibly.

Click [here](#) to complete a two-question customer experience survey.

Maryland Lottery and Gaming Control Commission

Larry Hogan, Governor

Montgomery Park Business Center
1800 Washington Blvd., Suite 330
Baltimore, Maryland 21230



Tel: 410-230-8800
TTY users call Maryland Relay
www.mdlottery.com

April 28, 2022

Yohanni Mariana Vasquez Feliz
900 Ave Ave W. Apt. #805
Miami Beach, Florida 33139

Mr. Vasquez Feliz:

On December 2, 2021, the Director of Casino Licensing and Background Investigations of the Maryland Lottery and Gaming Control Agency (Agency) notified you via registered and certified mail, that the Director of the Agency intended to recommend to the Maryland Lottery and Gaming Control Commission (Commission) that your video lottery employee license be revoked.

By the same December 2, 2021 letter, you were advised of your right to request a hearing on the recommendation to revoke your video lottery employee license in writing as directed and specified in the letter. You were further advised that if you failed to request a hearing timely, the Commission may consider this a default under Code of Maryland Regulations 36.01.02.06 and act in your absence without further notice to you.

On April 28, 2022, the Commission accepted and adopted as final the recommended revocation of your video lottery employee license for the reasons stated in the December 2, 2021, letter.

A party aggrieved by this final administrative action of the Commission may file a petition for judicial review with the circuit court for the county where any party resides or has a principal place of business within thirty days of the date of this decision. State Government § 10-222, Ann. Code of Md.; Maryland Rules 7-201 – 7-211.

Sincerely,

E. Randolph Marriner, Chair

cc: Yohanni Mariana Vasquez Feliz
250 American Way Apt. #102
Oxon Hill, Maryland 20745

RECEIVED
2022 SEP 20 PM 12:39
FLORIDA GAMING
CONTROL COMMISSION

E. Randolph Marriner, Chair

F. Vernon Boozer, George L. Doetsch, Jr., Michelle R. Fager, Harold E. Hodges, James J. Stakem, Jerry E. Williams

Ritter, Cynthia

From: james.rosso1@maryland.gov on behalf of License Verifications
<license.verification@maryland.gov>
Sent: Friday, September 16, 2022 11:32 AM
To: Ritter, Cynthia
Subject: Re: License Verification Request
Attachments: Vasquez Feliz Request.pdf

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Good Morning Cynthia,

Please see the attached completed License Verification form as requested.

James Rosso
(410) 230-2604

On Fri, Sep 16, 2022 at 10:10 AM Ritter, Cynthia <Cynthia.Ritter@fgcc.fl.gov> wrote:

Hello,

Attached is a request for license verification and a signed release form. Please email your response to Cynthia.Ritter@fgcc.fl.gov.

Thank you for your assistance.

Sincerely,

to the State of Maryland in FY2022. Please play responsibly.

[Click here to complete a two-question customer experience survey.](#)

Ritter, Cynthia

From: Ritter, Cynthia
Sent: Friday, September 16, 2022 10:10 AM
To: 'License.Verification@maryland.gov'
Subject: License Verification Request
Attachments: Vasquez Feliz Request.pdf; SKM_368e22091610510.pdf

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Attached is a request for license verification and a signed release form. Please email your response to Cynthia.Ritter@fgcc.fl.gov.

Thank you for your assistance.

Sincerely,



Cindy Ritter
Operations Analyst II
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Operations
2601 Blair Stone Road
Tallahassee, Florida 32399-1037
850.488.3211 Office 850.410.5350 FAX

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The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: Yohanni
Middle Name:
Last Name: Vasquez Feliz
Sex:
Commission:
License Type:


Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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Voucher

Date 09/13/2022

No. 2232

Yohanni Mariana Vasquez Feliz Amount \$ 100.00

Description	F&B		
Charged to	General Employee		
	Professional Employee	Slot Machine/Cardroom/PMW Combo	3 year
Received by			
Approved by			

licen~~ces~~



Licensee
Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: Yohanni
Middle Name:
Last Name: Vasquez Feliz
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

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Cindy Ritter
Operations Analyst II
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Operations
2601 Blair Stone Road
Tallahassee, Florida 32399-1037
850.488.3211 Office 850.410.5350 FAX

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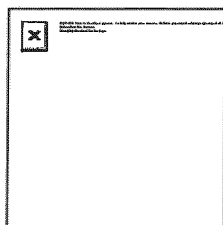
Thank you for your assistance.

Sincerely,



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License Verification Section
Regulatory Licensing and Background Investigations Division
Maryland Lottery and Gaming
1800 Washington Blvd., Suite 330
Baltimore, MD 21230
license.verification@maryland.gov

mdgaming.com | [Facebook](#) | [Twitter](#)

Maryland Lottery and Gaming[®] contributed \$1.511 billion

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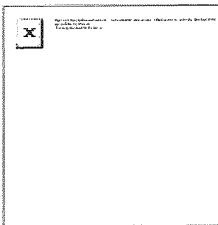
You have also requested for copies of investigative reports pertaining to this case which we are unable to provide per regulation. In the event that you wish to review these reports, you may make arrangements to review them in our Baltimore office. I would be able to assist you with this.

If I can be of further assistance, please feel free to contact me at (410) 230-2604.

Sincerely,

James Rosso

--



James Rosso
Licensing Specialist
Regulatory Licensing and Background Investigations Division
Maryland Lottery and Gaming
1800 Washington Blvd., Suite 330
Baltimore, MD 21230
james.rosso1@maryland.gov
(410) 230-2604

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2022 SEP 20 PM 12:39
FLORIDA GAMING
CONTROL COMMISSION

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Maryland Lottery and Gaming Control Commission

Larry Hogan, Governor

Montgomery Park Business Center
1800 Washington Blvd., Suite 330
Baltimore, Maryland 21230



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TTY users call Maryland Relay
www.mdlottery.com

April 28, 2022

Yohanni Mariana Vasquez Feliz
900 Ave Ave W. Apt. #805
Miami Beach, Florida 33139

Mr. Vasquez Feliz:

On December 2, 2021, the Director of Casino Licensing and Background Investigations of the Maryland Lottery and Gaming Control Agency (Agency) notified you via registered and certified mail, that the Director of the Agency intended to recommend to the Maryland Lottery and Gaming Control Commission (Commission) that your video lottery employee license be revoked.

By the same December 2, 2021 letter, you were advised of your right to request a hearing on the recommendation to revoke your video lottery employee license in writing as directed and specified in the letter. You were further advised that if you failed to request a hearing timely, the Commission may consider this a default under Code of Maryland Regulations 36.01.02.06 and act in your absence without further notice to you.

On April 28, 2022, the Commission accepted and adopted as final the recommended revocation of your video lottery employee license for the reasons stated in the December 2, 2021, letter.

A party aggrieved by this final administrative action of the Commission may file a petition for judicial review with the circuit court for the county where any party resides or has a principal place of business within thirty days of the date of this decision. State Government § 10-222, Ann. Code of Md.; Maryland Rules 7-201 – 7-211.

Sincerely,

E. Randolph Marriner, Chair

cc: Yohanni Mariana Vasquez Feliz
250 American Way Apt. #102
Oxon Hill, Maryland 20745

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2022 SEP 20 PM 12:39
FLORIDA GAMING
CONTROL COMMISSION

E. Randolph Marriner, Chair

F. Vernon Boozer, George L. Doetsch, Jr., Michelle R. Fager, Harold E. Hodges, James J. Stakem, Jerry E. Williams

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Marc Taupier, Chief Attorney
Re: James Auguste; Case No. 2022-047255 – License Denial
Date: October 25, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny James Auguste’s (“Applicant”) application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Applicant submitted a complete application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License on September 26, 2022. Upon review of the application, it appears Applicant has been convicted of several felony crimes. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On September 26, 2022, Applicant submitted their application to the Division of Pari-Mutuel Wagering for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Upon review of the completed application, it appears that Applicant was convicted of several felony crimes in the state of Florida. Specifically, the Applicant was convicted of the following:

- Throw Missile into Dwelling in 2001;
- Use Firearm During Felony in 2001;
- Burglary in 2001;
- Grand Theft in 2001;
- Grand Theft in 2006;
- Resist Officer with Violence in 2006;
- Battery on Police Officer in 2007.

Applicant did not apply for a waiver for his felony convictions, however, under section 551.107(6)(a), Florida Statutes, the legislature did not authorize the Executive Director to waive criminal convictions for slot machine licensing.

Relevant Law

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

“. . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Section 849.086(6)(f), Florida Statutes, provides that the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

Section 849.086(6)(g), Florida Statutes, provides that:

“[t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.”

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's disqualifying criminal convictions cannot be waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

Licensing Administrator Review – Slot Machine Occupational License

RE: AUGUSTE, JAMES- 2005795
 (APPLICANT'S NAME– LICENSE #)

Case No: 2022047255

INITIAL APPLICATION RECEIVED:	9/26/2022
COMPLETE APPLICATION RECEIVED:	9/26/2022
90-DAY DEADLINE:	12/25/2022

Jonathan Dye
 Application Processor

325 - Calder Race Crs
 Facility (d/b/a name)

1055- SECR - Security
 Occupation/Job Title

Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review				
Did the application accurately reflect the Criminal History Record? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
Conviction				
<input checked="" type="checkbox"/> Felony : 7 Count(s)				
<input type="checkbox"/> Misdemeanor – Gambling Related/Bookmaking				
<input type="checkbox"/> Two or more misdemeanor convictions that involve theft, fraud, or burglary				
<input type="checkbox"/> Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)				
Comments:				
Arrest Date	Location	Charge	Level	Disposition
03/01/2001	Miami-Dade, FL	Missile into Dwelling; Case# 132001CF0068500001XX	F-2	Guilty/Convicted
03/01/2001	Miami-Dade, FL	Firearm use during felony; Case# 132001CF0068500001XX	F-2	Guilty/Convicted
05/02/2001	Miami-Dade, FL	Burglary; Case# 132001CF014019B000XX	F-2	Guilty/Convicted
05/02/2001	Miami-Dade, FL	Grand Theft 3 rd Degree; Case# 132001CF014019B000XX	F-3	Guilty/Convicted
06/15/2006	Miami-Dade, FL	Grand Theft 3 rd Degree; Case# 132006CF0195370001XX	F-3	Guilty/Convicted
06/15/2006	Miami-Dade, FL	Resist Officer with Violence; Case# 132006CF0195370001XX	F-3	Guilty/Convicted
08/31/2007	Miami-Dade, FL	Battery on Police Officer; Case# 132007CF0302000001XX	F-3	Guilty/Convicted
Disposition Unknown				
<input type="checkbox"/> Felony Arrest(s) :				
<input type="checkbox"/> Misdemeanor Arrest – Gambling Related/Bookmaking				
<input type="checkbox"/> Two or more misdemeanor arrests/counts that involve theft, fraud, or burglary				
Comments:				

Enforcement/Jurisdiction Offenses

Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.

Comments:

Applicant is current on inmate release status

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business

Is the individual applicant related to a business? Yes No If yes, complete sections below.

Business License Number:

Business Name:

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes No

Date Deficiency Letter Issued:

Initials:

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes No Approval

Forward to Investigations Legal Director Initials: 

9/29/22

Comments:

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you previously worked for a gaming-related employer?
 If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving
Casino Mardi Gras	Hallandale beach 33009 831 N. Fed Hwy	2/2000	8/2000	Back to School

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

- Yes
 No
 Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
- Yes
 No
 Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
09/20/2007	Miami Dade	FL	Battery By Detainee	Felony	Nolle Pros
11/14/2006	Miami Dade	FL	G TFT 3D/BEF10/1/19	Felony	CONV & SENT Concur
11/14/2006	Miami Dade	FL	Resist Off W/Viol	Felony	Conv & Sent-Concur
11/14/2006	Miami Dade	FL	FLS NAME/ID/AFT ARRE	Misdemeanor	Conv W/CTS
11/14/2006	Miami Dade	FL	Resist Off W/Viol	Felony	No Action

- Yes
 No
 Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?
- Yes
 No
 Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

Comprehensive Ruling Report

Rulings Against: JAMES AUGUSTE

Legal Name: JAMES AUGUSTE

Birth Date: 10/15/1982

0 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factors that should be reviewed by officials prior to taking regulatory action. Confirmation of violations should be made directly with the racing regulatory entity responsible."

No Rulings

Current Prison Sentence History:

Offense Date	Offense	Sentence Date	County	Case No.	Prison Sentence Length
03/01/2001	SHOOT/THROW MISSILE-BLDG/VEH.	11/14/2006	MIAMI-DADE	0106850	3Y oM oD
03/01/2001	THREATENS TO USE ANY FIREARM	11/14/2006	MIAMI-DADE	0106850	3Y oM oD
05/02/2001	BURG/DWELL/OCCUP.CONVEY	11/14/2006	MIAMI-DADE	0114019	3Y oM oD
05/02/2001	GRAND THEFT,300 L/5,000	11/14/2006	MIAMI-DADE	0114019	3Y oM oD
05/02/2001	TRAFFIC IN STOLEN PROPERTY	11/14/2006	MIAMI-DADE	0114019	3Y oM oD
05/08/2006	GRAND THEFT,300 L/5,000	11/14/2006	MIAMI-DADE	0619537	3Y oM oD
06/15/2006	RESISTING OFFICER W/VIOLEN.	11/14/2006	MIAMI-DADE	0619537	3Y oM oD
08/30/2007	BATT.LEO/FIRFGT/EMS/ETC. (ATTEMPTED)	09/20/2007	MIAMI-DADE	0730200	1Y oM 1D

Note: The offense descriptions are truncated and do not necessarily reflect the crime of conviction. Please refer to the court documents or the Florida Statutes for further information or definition.

Incarceration History:

Date In-Custody	Date Out-Custody
11/02/2007	02/28/2009

[First](#)
[Previous](#)
[Next](#)
[Last](#)
[Return to List](#)
[New Search](#)
Record: 2 of 2

The Florida Department of Corrections updates this information regularly, to ensure that it is complete and accurate, however this information can change quickly. Therefore, the information on this site may not reflect the true current location, status, release date, or other information regarding an inmate.

This database contains public record information on felony offenders sentenced to the Department of Corrections. This information only includes offenders sentenced to state prison or state supervision. Information contained herein includes current and prior offenses. Offense types include related crimes such as attempts, conspiracies and solicitations to commit crimes. Information on offenders sentenced to county jail, county probation, or any other form of supervision is not contained. The information is derived from court records provided to the Department of Corrections and is made available as a public service to interested citizens. The Department of Corrections makes no guarantee as to the accuracy or completeness of the information contained herein. Any person who believes information provided is not accurate may contact the Department of Corrections.

For questions and comments, you may contact the Department of Corrections, Bureau of Admission and Release, at (850) 488-9167 or go to [Frequently Asked Questions About Inmates for more information \(http://prod.fdc-wpws001.fdc.myflorida.com/ci/index.html \)](http://prod.fdc-wpws001.fdc.myflorida.com/ci/index.html). This information is made available to the public and law enforcement in the interest of public safety.

Search Criteria: (/OffenderSearch/search.aspx?TypeSearch=IR) Last Name: auguste First Name: james Search Aliases: YES Offense Category: Release Facility: ALL Stated County of Residence Upon Release: ALL County of Commitment: ALL

[Return to Corrections Offender Information Network \(../OffenderSearch/InmateInfoMenu.aspx\)](#)

About Us

(http://www.dc.state.fl.us/about.html)

As Florida's largest state agency, and the third largest prison system in the country, FDC employs 24,000 members, incarcerates approximately 80,000 inmates and supervises nearly 146,000 offenders in the community.

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- [Public Records](#) (<http://www.dc.state.fl.us/comm/PRR.html>)
- [Volunteer](#) (<http://www.dc.state.fl.us/volunteer/info.html>)
- [File a Complaint](#) (<http://www.dc.state.fl.us/apps/IGcomplaint.html>)
- [Organization](#) (<http://www.dc.state.fl.us/org/orgchart.html>)
- [Regulatory Plan](#) (<http://www.dc.state.fl.us/pub/regulatory/2018.pdf>)
- [Victim Services](#) (<http://www.dc.state.fl.us/vict/index.html>)
- [Inmate and Offender Programming](#) (<http://www.dc.state.fl.us/development/index.html>)
- [Florida Corrections Foundation](#) (<https://www.correctionsfoundation.org/>)
- [Public Information](#) (<https://www.fc.state.fl.us/index.shtml>)
- [Inspector General](#) (<http://www.dc.state.fl.us/ig/index.html>)
- [Prison Rape Elimination Act](#) (<http://www.dc.state.fl.us/PREA/index.html>)

Contact Us

(http://www.dc.state.fl.us/or

*501 South Calhoun Street
Tallahassee, FL 32399-2500
Main: (850) 488-5021*
[Phone Directory](#) (<http://www.dc.state.fl.us/org/contact.html>)
[Citizen Services](#) (<http://www.dc.state.fl.us/citizen/index.html>)

No	Book/Page	Book/Page	DESCRIPTION
129	06/19/2006	06/19/2006	REPORT RE: ARR ON AFFDVT SET FOR 07/06/2006 AT 09:30
124	06/19/2006	06/19/2006	VIOLATION OF PROBATION AFFIDAVIT FILED
123	06/19/2006	06/19/2006	ARRAIGNMENT HEARING SCHEDULED FOR 07/06/2006 AT 09:30
122	05/31/2006	05/31/2006	MEMORANDUM:- BOOT CAMP PROGRAM - PROGRAM COMPLETION
161	01/07/2005	01/07/2005	CASE CLOSED
159	01/07/2005	01/07/2005	CASE CLOSED
154	01/07/2005	01/07/2005	CASE CLOSED
150	01/07/2005	01/07/2005	CASE CLOSED
148	01/07/2005	01/07/2005	CASE CLOSED
141	01/07/2005	01/07/2005	CASE CLOSED
138	01/07/2005	01/07/2005	CASE CLOSED
132	01/07/2005	01/07/2005	CASE CLOSED
120	01/07/2005	01/07/2005	ORDER FOR DEFT'S PLACEMENT IN BOOT CAMP PROGRAM
119	01/07/2005	01/07/2005	SENTENCING GUIDELINES SCORE SHEET
118	01/07/2005	01/07/2005	ORDER OF SUPERVISION 6 YEARS PROBATION
116	01/07/2005	01/07/2005	CASE CLOSED
115	01/07/2005	01/07/2005	STAY GRANTED - DUE 12/07/2010
114	01/07/2005	01/07/2005	00002 F/ 790.07(2) FIREARM/USE COMT FEL GB B DISP/WH ADJ-SPLIT SENT - MODIFIED/REMOVED
113	01/07/2005	01/07/2005	00001 F/ 790.19 DEADLY MISSILE/THROW GB B DISP/WH ADJ-SPLIT SENT - MODIFIED/REMOVED
112	01/07/2005	01/07/2005	CASE CLOSED
111	01/07/2005	01/07/2005	CLOSING JUDGE WARD, DIANE
109	01/04/2005	01/04/2005	TRIAL PROS. ASSIGNED: ASA, DIVISION
108	01/04/2005	01/04/2005	REPORT RE: PLEA SET FOR 01/07/2005 AT 09:30
105	12/23/2004	12/23/2004	REPORT RE: PLEA SET FOR 01/04/2005 AT 09:30
110	12/22/2004	12/22/2004	MEMORANDUM:- CPL. LYONEL MANUEL / 12/17/04
100	12/16/2004	12/16/2004	REPORT RE: EVALUATION SET FOR 12/23/2004 AT 09:30
99	12/16/2004	12/16/2004	REPORT RE: BOOT CAMP SET FOR 12/23/2004 AT 09:30
103	12/03/2004	12/03/2004	ORDER: FOR PHYSICAL EXAM-PSYCHOLOGICAL/PSYCHIATRICE EVAL BOOT CAMP PROGRAM
97	12/03/2004	12/03/2004	ORDER: FPR PHYSICAL EXAM-PSYCHOLOGICAL/PSYCHIATRICE EVAL BOOT CAMP
94	12/03/2004	12/03/2004	REPORT RE: EVALUATION SET FOR 12/16/2004 AT 09:30
93	12/03/2004	12/03/2004	REPORT RE: BOOT CAMP SET FOR 12/16/2004 AT 09:30
90	11/17/2004	11/17/2004	DEFENSE ATTY PDEF/SPENCE, SUSAN M
92	11/16/2004	11/16/2004	NOTICE OF APPEARANCE CORY S. ROBINS
88	11/08/2004	11/08/2004	DEFENSE ATTY PDEF/PUBLIC DEFENDER APPOINTMENT, AS
87	11/03/2004	11/03/2004	TRIAL PROS. ASSIGNED: FANCY, PETAGAYE
86	11/03/2004	11/03/2004	DEFENSE ATTY PRIV/SONTAG, MARK S
85	11/03/2004	11/03/2004	REPORT RE: PROBATION HEARING SET FOR 12/03/2004 AT 09:45
84	11/02/2004	11/02/2004	REPORT RE: DEFENDANT/RESPONDENT SET FOR 11/03/2004 AT 09:30
80	04/05/2002	04/05/2002	VIOLATION OF PROBATION AFFIDAVIT FILED
79	12/10/2001	020088/01170	SENTENCE CT 1,2/ 60 DAYS/
72	10/31/2001	10/31/2001	00003 Z/ 948.061 PROBATION VIOLATION A B DISP/PROBATION MODIFIED - MODIFIED/REMOVED
71	10/31/2001	10/31/2001	WRITE-IN HEARING RECORDED ON 10/31/2001 AT 09:00
69	10/30/2001	10/30/2001	ORDER OF SUPERVISION 12 MONTHS COMMUNITY CONTROL FOLLOWED BY 3 YEARS PROBATION
68	10/30/2001	10/30/2001	WRITE-IN HEARING RECORDED ON 10/30/2001 AT 09:00
67	10/23/2001	10/23/2001	VIOLATION OF PROBATION AFFIDAVIT FILED
77	10/10/2001	019973/03536	SENTENCE CT 1,2/ 6 MOS/
74	10/10/2001	019973/03533	ORDER WITHHOLDING ADJUDICATION
75	09/21/2001	019973/03535	FINGERPRINTS FILED
66	09/21/2001	09/21/2001	00002 F/ 790.07(2) FIREARM/USE COMT FEL GB B DISP/CONV C.C./PROBATION - MODIFIED/REMOVED
65	09/21/2001	09/21/2001	00001 F/ 790.19 DEADLY MISSILE/THROW GB B DISP/CONV C.C./PROBATION - MODIFIED/REMOVED
64	09/21/2001	09/21/2001	WRITE-IN HEARING RECORDED ON 09/21/2001 AT 09:00
63	09/21/2001	09/21/2001	MEMORANDUM OF COSTS AMOUNT 501.00
62	09/21/2001	09/21/2001	STAY GRANTED - DUE 08/21/2005
61	09/21/2001	09/21/2001	WRITE-IN HEARING RECORDED ON 09/21/2001 AT 09:00
60	09/21/2001	09/21/2001	CLOSING JUDGE SCHWARTZ, LAWRENCE A
59	09/21/2001	09/21/2001	SENTENCING GUIDELINES SCORE SHEET
58	09/21/2001	09/21/2001	ORDER OF SUPERVISION REPORTING/1 YEAR CC/3 YEARS PROBATION
56	09/13/2001	09/13/2001	FINANCIAL STATEMENT FOR DETERMINATION OF COST RECOVERY
53	08/27/2001	08/27/2001	MOTION TO/ FOR TO DECL ARE DEF INDIGENT FOR COSTS

Seq. No.	Date	Book/Page	Description
	08/22/2001		MOTION FOR ADJUDICATION OF INSOLVENCY FILED 08/31/2001 SET FOR 08/31/2001 AT 09:08 DENIED
50	07/09/2001		TRIAL HEARING SCHEDULED FOR 10/09/2001 AT 09:00 TRIAL HEARING RESET
49	07/09/2001		MOTION FOR CONTINUANCE FILED 07/09/2001 ORAL SET FOR 07/09/2001 AT 12:55 GRANTED DEFS
45	06/20/2001		DEFENSE ATTY PRIV/HOFFMAN, EVAN A
44	06/19/2001		DEMAND FOR TRIAL BY JURY
43	06/19/2001		DEMAND FOR DISCOVERY
42	06/19/2001		WRITTEN PLEA OF NOT GUILTY
41	06/19/2001		NOTICE OF APPEARANCE MARK S.SONTAG,ESQ
39	06/04/2001		TRIAL PROS. ASSIGNED: VANHECKE, JEREMIAH
38	05/30/2001		DEFENSE ATTY PDEF/POZO-REVILLA, ADA 8
37	05/30/2001		MOTION FOR SUBSTITUTION OF COUNSEL FILED 05/30/2001 ORAL SET FOR 05/30/2001 AT 10:11 GRANTED
36	05/29/2001		REPORT RE: PLEA SET FOR 05/30/2001 AT 09:00
34	05/23/2001		DEMAND FOR DISCOVERY
33	05/23/2001		WRITTEN PLEA OF NOT GUILTY
32	05/23/2001		NOTICE OF APPEARANCE
31	05/23/2001		ARRAIGNMENT HEARING SCHEDULED FOR 05/29/2001 AT 09:00
29	05/07/2001		DISCHARGE CERTIFICATE ISSUED S5266132
28	05/04/2001		AMT/ 2500 DISCHARGED 05/04/2001 POWER/S5266132
27	05/04/2001		ARRAIGNMENT HEARING SCHEDULED FOR 05/23/2001 AT 09:00
26	05/03/2001		REPORT RE: BOND REVIEW SET FOR 05/04/2001 AT 09:00
25	04/04/2001		AMENDED DISCOVERY
24	04/02/2001		MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER
23	03/23/2001		DEFENSE ATTY PDEF/PUBLIC DEFENDER APPOINTMENT, AS
22	03/22/2001		TRIAL UNIT ASSIGNED: FELONY DIVISION 13
21	03/22/2001		DISCOVERY RESP; STATE'S DEMAND FOR DISCOVERY & DEMAND FOR AL
20	03/22/2001		TRIAL PROS. ASSIGNED: CABRERA, ROGER
19	03/22/2001		TRIAL HEARING SCHEDULED FOR 07/16/2001 AT 09:00
18	03/22/2001		REPORT RE: PLEA SET FOR 07/09/2001 AT 10:30
17	03/22/2001		INFORMATION FILED
16	03/22/2001		INTAKE UNIT ASSIGNED: FELONY DIVISION 13
15	03/21/2001		INTAKE UNIT ASSIGNED: CASE PROCESSING
14	03/15/2001		ACKNOWLEDGEMENT OF APPOINTMENT OF PUBLIC DEFENDER
13	03/13/2001		INTAKE PROS. ASSIGNED: HYDE, JANE
11	03/09/2001		INTAKE UNIT ASSIGNED: FSU - CASE SCREENING
12	03/06/2001		BOND RECEIVED POWER/RECEIPT #S5266132
8	03/02/2001		AMT/ 2500 ISSUED 03/02/2001 POWER/S5266132
7	03/02/2001		PUBLIC DEFENDER APPLICATION FEE/AFFIDAVIT TO BE PAID IN FULL AMOUNT 40.00
5	03/02/2001		ORDER ADJUDGING DEFENDANT INDIGENT AND APPOINTING PUB. DEFN
4	03/02/2001		FINANCIAL AFFIDAVIT TO DETERMINE PUBLIC DEFENDER ELIGIBILITY
3	03/02/2001		FIRST APPEARANCE/BOND HEARING - A.M.
2	03/02/2001		ATTORNEY TYPE APPOINTED AT BOND HEARING PUBLIC DEFENDER
1	03/02/2001		ARRAIGNMENT HEARING SCHEDULED FOR 03/22/2001 AT 09:00

Seq. No.	Date	Book/Page	Description
	03/09/2007		WRITE-IN HEARING RECORDED ON 03/09/2007 AT 09:00
	03/09/2007		TRIAL HEARING SCHEDULED FOR 04/23/2007 AT 09:00 TRIAL HEARING RESET
25	03/09/2007		MOTION FOR CONTINUANCE FILED 03/09/2007 ORAL SET FOR 03/09/2007 AT 12:17 GRANTED STIP
24	03/09/2007		REPORT RE: PLEA SET FOR 03/28/2007 AT 10:00
23	02/21/2007		MEMORANDUM:- KHURRUM WAHID, ESQ. 02/21/07
22	02/20/2007		SUBPOENA FOR DEPOSITION
20	02/14/2007		NOTICE OF TAKING DEPOSITION
19	02/06/2007		DISC EXHIBIT: STATE'S DEMAND FOR DEFN DISCV/DEMAND FOR ALIBI
18	02/06/2007		NOTICE OF MANDATORY DNA COLLECTION
15	01/16/2007		WRITE-IN HEARING RECORDED ON 01/16/2007 AT 09:00
14	01/16/2007		DEFENSE ATTY PDEF/PUBLIC DEFENDER APPOINTMENT, AS
13	01/09/2007		CERTIFICATION OF CONFLICT OF INTEREST
12	01/04/2007		MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER
11	01/02/2007		TRIAL PROS. ASSIGNED: PONTON, DANISE
10	01/02/2007		AFFIDAVIT FOR INDIGENT STATUS - INDIGENT PER CT
8	01/02/2007		INFORMATION FILED
7	12/29/2006		INTAKE UNIT ASSIGNED: FELONY DIVISION 16
6	12/21/2006		INTAKE UNIT ASSIGNED: FSU - CASE SCREENING
5	12/21/2006		INTAKE PROS. ASSIGNED: PORTMAN, ERROL
4	12/12/2006		FIRST APPEARANCE/BOND HEARING/RESET: NBC
3	12/12/2006		FIRST APPEARANCE/BOND HEARING - P.M.
1	12/11/2006		ARRAIGNMENT HEARING SCHEDULED FOR 01/02/2007 AT 09:00
17	01/17/2006		MEMO RE: APPOINTMENT AS PRIVATE COURT APPOINTED COUNSEL KHURRUM B. WAHID

Seq. No.	Date	Book/Page	Event
22	07/14/2006		TRIAL PROS. ASSIGNED: ANDREWS JR, FORREST
21	07/14/2006		TRIAL UNIT ASSIGNED: FELONY DIVISION 13
20	07/14/2006		TRIAL PROS. ASSIGNED: ANDREWS JR, FORREST
18	07/14/2006		TRIAL HEARING SCHEDULED FOR 11/13/2006 AT 09:30
17	07/14/2006		INFORMATION FILED
16	07/13/2006		INTAKE UNIT ASSIGNED: FELONY DIVISION 13
14	07/06/2006		ARRAIGNMENT HEARING SCHEDULED FOR 07/14/2006 AT 09:30
12	06/26/2006		NOTICE OF DEFENDANT'S RIGHT TO COUNSEL
11	06/23/2006		INTAKE UNIT ASSIGNED: FSU - CASE SCREENING
10	06/23/2006		INTAKE PROS. ASSIGNED: ADAMS-PL, WAYNE-CC
13	06/22/2006		ACKNOWLEDGEMENT OF APPOINTMENT OF PUBLIC DEFENDER
9	06/22/2006		WRITTEN PLEA OF NOT GUILTY
8	06/21/2006		AFFIDAVIT FOR INDIGENT STATUS - INDIGENT
1	06/19/2006		ARRAIGNMENT HEARING SCHEDULED FOR 07/06/2006 AT 09:30
4	06/16/2006		REPORT RE: BOND REVIEW SET FOR 06/19/2006 AT 09:30
3	06/16/2006		FIRST APPEARANCE/BOND HEARING - P.M.
2	06/16/2006		ATTORNEY TYPE APPOINTED AT BOND HEARING PUBLIC DEFENDER

Seq. No.	Date	Book/Page	Doc#	Description
	12/24/2019			E-SUBPOENA: VELOZ, ERICK ID: 004-02052 FOR: TRIAL ON 01/21/2020 AT 09:30 ISSUED BY: CJIS SENT: 12/24/2019 RECD/NOTFD: ACKN: 12/24/2019 S SENT: RECD/NOTFD: ACKN: 12/24/2019 S
68	12/24/2019			E-SUBPOENA: DUQUE JR., ALBERTO ID: 004-01956 FOR: TRIAL ON 01/21/2020 AT 09:30 ISSUED BY: CJIS SENT: 12/24/2019 RECD/NOTFD: 12/24/2019 ACKN: 12/30/2019 S SENT: RECD/NOTFD: 12/24/2019 ACKN: 12/30/2019 S
67	12/24/2019			E-SUBPOENA: RAWLEY, MONICA ID: 004-01703 FOR: TRIAL ON 01/21/2020 AT 09:30 ISSUED BY: CJIS SENT: 12/24/2019 RECD/NOTFD: 12/24/2019 ACKN: 12/26/2019 S SENT: RECD/NOTFD: 12/24/2019 ACKN: 12/26/2019 S
65	10/17/2019			ORDER: FOR MOTION FOR CONTINUANCE
64	10/17/2019			TRIAL HEARING SCHEDULED FOR 01/21/2020 AT 09:30 TRIAL HEARING RESET
63	10/17/2019			MOTION FOR CONTINUANCE FILED 10/17/2019 ORAL SET FOR 10/17/2019 AT 10:03 GRANTED DEFS
62	10/17/2019			REPORT RE: FELONY SOUNDING SET FOR 01/09/2020 AT 09:30
61	10/11/2019			MOTION FOR CONTINUANCE
59	10/02/2019			TRIAL PROS. ASSIGNED: BRENNER, JARED
58	09/30/2019			E-SUBPOENA: FRANCIS, VANQUILLA ID: 030-08878 FOR: TRIAL ON 10/28/2019 AT 09:30 ISSUED BY: CJIS SENT: 09/30/2019 RECD/NOTFD: 09/30/2019 ACKN: 09/30/2019 S SENT: RECD/NOTFD: 09/30/2019 ACKN: 09/30/2019 S
57	09/30/2019			E-SUBPOENA: GINESTRA, RUDI ID: 004-02077 FOR: TRIAL ON 10/28/2019 AT 09:30 ISSUED BY: CJIS SENT: 09/30/2019 RECD/NOTFD: 09/30/2019 ACKN: 10/01/2019 S SENT: RECD/NOTFD: 09/30/2019 ACKN: 10/01/2019 S
56	09/30/2019			E-SUBPOENA: VELOZ, ERICK ID: 004-02052 FOR: TRIAL ON 10/28/2019 AT 09:30 ISSUED BY: CJIS SENT: 09/30/2019 RECD/NOTFD: 09/30/2019 ACKN: 10/01/2019 S SENT: RECD/NOTFD: 09/30/2019 ACKN: 10/01/2019 S
55	09/30/2019			E-SUBPOENA: DUQUE JR., ALBERTO ID: 004-01956 FOR: TRIAL ON 10/28/2019 AT 09:30 ISSUED BY: CJIS SENT: 09/30/2019 RECD/NOTFD: 09/30/2019 ACKN: 10/03/2019 S SENT: RECD/NOTFD: 09/30/2019 ACKN: 10/03/2019 S
54	09/30/2019			E-SUBPOENA: RAWLEY, MONICA ID: 004-01703 FOR: TRIAL ON 10/28/2019 AT 09:30 ISSUED BY: CJIS SENT: 09/30/2019 RECD/NOTFD: 09/30/2019 ACKN: 09/30/2019 S SENT: RECD/NOTFD: 09/30/2019 ACKN: 09/30/2019 S
52	09/05/2019			TRIAL HEARING SCHEDULED FOR 10/28/2019 AT 09:30 TRIAL HEARING RESET
51	09/05/2019			MOTION FOR CONTINUANCE FILED 09/05/2019 ORAL SET FOR 09/05/2019 AT 10:23 GRANTED DEFS
50	09/05/2019			REPORT RE: FELONY SOUNDING SET FOR 10/17/2019 AT 09:30
49	09/03/2019			MOTION FOR CONTINUANCE
47	08/19/2019			E-SUBPOENA: FRANCIS, VANQUILLA ID: 030-08878 FOR: TRIAL ON 09/16/2019 AT 09:30 ISSUED BY: CJIS SENT: 08/19/2019 RECD/NOTFD: 08/19/2019 ACKN: 08/19/2019 S SENT: RECD/NOTFD: 08/19/2019 ACKN: 08/19/2019 S
46	08/19/2019			E-SUBPOENA: GINESTRA, RUDI ID: 004-02077 FOR: TRIAL ON 09/16/2019 AT 09:30 ISSUED BY: CJIS SENT: 08/19/2019 RECD/NOTFD: 08/19/2019 ACKN: 08/19/2019 S SENT: RECD/NOTFD: 08/19/2019 ACKN: 08/19/2019 S
45	08/19/2019			E-SUBPOENA: VELOZ, ERICK ID: 004-02052 FOR: TRIAL ON 09/16/2019 AT 09:30 ISSUED BY: CJIS SENT: 08/19/2019 RECD/NOTFD: 08/19/2019 ACKN: 08/19/2019 S SENT: RECD/NOTFD: 08/19/2019 ACKN: 08/19/2019 S
44	08/19/2019			E-SUBPOENA: DUQUE JR., ALBERTO ID: 004-01956 FOR: TRIAL ON 09/16/2019 AT 09:30 ISSUED BY: CJIS SENT: 08/19/2019 RECD/NOTFD: 08/19/2019 ACKN: 08/19/2019 S SENT: RECD/NOTFD: 08/19/2019 ACKN: 08/19/2019 S
43	08/19/2019			E-SUBPOENA: RAWLEY, MONICA ID: 004-01703 FOR: TRIAL ON 09/16/2019 AT 09:30 ISSUED BY: CJIS SENT: 08/19/2019 RECD/NOTFD: 08/19/2019 ACKN: 08/19/2019 S SENT: RECD/NOTFD: 08/19/2019 ACKN: 08/19/2019 S
41	07/09/2019			TRIAL HEARING SCHEDULED FOR 09/16/2019 AT 09:30 TRIAL HEARING RESET
40	07/09/2019			MOTION FOR CONTINUANCE FILED 07/09/2019 ORAL SET FOR 07/09/2019 AT 09:42 GRANTED STIP
39	07/09/2019			REPORT RE: FELONY SOUNDING SET FOR 09/05/2019 AT 09:30
38	07/05/2019			MOTION FOR CONTINUANCE
37	07/02/2019			AMENDED DISCOVERY EXHIBIT
				E-SUBPOENA: FRANCIS, VANQUILLA ID: 030-08878 FOR: TRIAL ON 07/15/2019 AT 09:30 ISSUED BY: CJIS

Seq. No.	Date	Book/Page	Docket
			SENT: 06/17/2019 RECD/NOTFD: ACKN: 06/17/2019 S SENT: RECD/NOTFD: ACKN: 06/17/2019 S SENT: 06/27/2019 RECD/NOTFD: ACKN: 06/27/2019 S SENT: RECD/NOTFD: ACKN: 06/27/2019 S E-SUBPOENA: GINESTRA, RUDI ID: 004-02077 FOR: TRIAL ON 07/15/2019 AT 09:30 ISSUED BY: CJIS
33	06/17/2019		SENT: 06/17/2019 RECD/NOTFD: 06/17/2019 ACKN: 06/17/2019 S SENT: RECD/NOTFD: 06/17/2019 ACKN: 06/17/2019 S SENT: 06/27/2019 RECD/NOTFD: 06/27/2019 ACKN: 06/27/2019 S SENT: RECD/NOTFD: 06/27/2019 ACKN: 06/27/2019 S E-SUBPOENA: VELOZ, ERICK ID: 004-02052 FOR: TRIAL ON 07/15/2019 AT 09:30 ISSUED BY: CJIS
32	06/17/2019		SENT: 06/17/2019 RECD/NOTFD: ACKN: 06/17/2019 S SENT: RECD/NOTFD: ACKN: 06/17/2019 S SENT: 06/27/2019 RECD/NOTFD: ACKN: 06/27/2019 S SENT: RECD/NOTFD: ACKN: 06/27/2019 S E-SUBPOENA: DUQUE JR., ALBERTO ID: 004-01956 FOR: TRIAL ON 07/15/2019 AT 09:30 ISSUED BY: CJIS
31	06/17/2019		SENT: 06/17/2019 RECD/NOTFD: 06/17/2019 ACKN: 06/18/2019 S SENT: RECD/NOTFD: 06/17/2019 ACKN: 06/18/2019 S SENT: 06/27/2019 RECD/NOTFD: 06/27/2019 ACKN: 06/30/2019 S SENT: RECD/NOTFD: 06/27/2019 ACKN: 06/30/2019 S SENT: RECD/NOTFD: ACKN: 07/05/2019 S SENT: RECD/NOTFD: ACKN: 07/05/2019 S
			E-SUBPOENA: RAWLEY, MONICA ID: 004-01703 FOR: TRIAL ON 07/15/2019 AT 09:30 ISSUED BY: CJIS
30	06/17/2019		SENT: 06/17/2019 RECD/NOTFD: 06/17/2019 ACKN: 06/17/2019 S SENT: RECD/NOTFD: 06/17/2019 ACKN: 06/17/2019 S SENT: 06/27/2019 RECD/NOTFD: 06/27/2019 ACKN: 06/27/2019 S SENT: RECD/NOTFD: 06/27/2019 ACKN: 06/27/2019 S SENT: RECD/NOTFD: ACKN: 06/27/2019 S SENT: RECD/NOTFD: ACKN: 06/27/2019 S
25	05/02/2019		DEFENSE ATTY PDEF/FRIEDMANN-GRUNSTEIN, ILAN
29	05/01/2019		DEMAND FOR TRIAL BY JURY
28	05/01/2019		DEMAND FOR DISCOVERY
27	05/01/2019		WRITTEN PLEA OF NOT GUILTY
26	05/01/2019		NOTICE OF APPEARANCE RICHARD E. MIRSKY
24	04/29/2019		INTAKE UNIT ASSIGNED: FELONY DIVISION 07
23	04/29/2019		TRIAL PROS. ASSIGNED: DEFILIPPO, ALEXANDER
22	04/26/2019		WAIVER OF PRE-TRIAL CONFERENCE
21	04/26/2019		DEMAND FOR TRIAL BY JURY
20	04/26/2019		NOTICE OF DISCOVERY
19	04/26/2019		ACKNOWLEDGEMENT OF APPOINTMENT OF PUBLIC DEFENDER
18	04/26/2019		DEFENSE ATTY PDEF/PUBLIC DEFENDER APPOINTMENT, AS
17	04/25/2019		DISC EXHIBIT: STATE'S DEMAND FOR DEFN DISCV/DEMAND FOR ALIBI
16	04/25/2019		AFFIDAVIT FOR INDIGENT STATUS - INDIGENT
13	04/25/2019		REPORT RE: FELONY SOUNDING SET FOR 07/09/2019 AT 09:30
12	04/25/2019		INFORMATION FILED
10	04/01/2019		BOND RECEIVED 2019AA019649
9	03/29/2019		BOND RECEIVED 2019AA019648
8	03/29/2019		BOND RECEIVED 2019AA019647
7	03/27/2019		LNI AMT/ 5000 ISSUED 03/27/2019 POWER/2019AA019647
6	03/27/2019		INTAKE UNIT ASSIGNED: FSU - CASE SCREENING
5	03/27/2019		INTAKE PROS. ASSIGNED: BAILEY, SOPHIEA
4	03/27/2019		LNI AMT/ 1000 ISSUED 03/27/2019 POWER/2019AA019649
3	03/27/2019		ARRAIGNMENT HEARING SCHEDULED FOR 04/25/2019 AT 09:00
2	03/27/2019		LNI AMT/ 1000 ISSUED 03/27/2019 POWER/2019AA019648

JUDGE: MARTINEZ, L ATTORNEY: PUBLIC DEFENDER
APPOINTMENT, A
MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT
CODE: 13

CASE ACTION: * 08 * REPORT CODE: 13
REH PGM: PROBATION DUE: 11/07/2022 ATTEN: N RESET:Y
REH PGM: VICTIM IMPACT PANEL DUE: 11/07/2022 ATTEN:
Y RESET:N
REH PGM: YOUIMPACT DUE: 11/07/2022 ATTEN: Y
RESET:N
REH PGM: DUI-LV 2 SUBST ABUSE DUE: 11/07/2022 ATTEN:
N RESET:N
REH PGM: * * DUE: / 0/ ATTEN: RESET:
STAY DUE DATE 5/08/2023

REHAB UPDATE 08/25/2022 8:52:45 ASIA

FINAL JUDGMENT 05/26/2022 8:00:07 TRFB120

CFN: 20220403620 BOOK: 33193 PAGE: 965

BOND ADD-RELK 05/20/2022 16:04:51 E315596

RELEASE ADD (RELK):RELEASE: SENTENCE COMPLETED
OR EXPIRED
RELEASE DATE:

POSITIVE ID 05/12/2022 16:05:53 TRFB565

CJS TRANS: PIEA PID ENTRY
JAIL NO: 220136188 NEWIDSER: 0907955 OLDIDSER:
0907955
BOOKING DATE: 05/11/2022 TIME: 15:24 TYPE: TRAFFIC
SOCIAL SEC:

CORR RCI HEARING: 05/11/2022 TIME: 8:31 TYPE: ADD ON
DIV: CENTD

LOC: JUSTICE BUILDING JUDGE: MARTINEZ
DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI
SOURCE: * C *

CASE ACTION: TO BE PAID BW AMT: PLEA
NEXT HRG TYPE: REPORT CODE :RE: STATUS
SPECIAL SET:

DISP: CONT: SENTENCE:

CORR RCI 05/11/2022 14:25:58 RAULRA

JURY:N TRAF SCHL: JDG REQ: MONEY OPTN: REHAB
OPTN: STAY:05/08/2023

PEN ASSD: \$1,687.25 W/S/CONV:

JAIL TYPE/TIME: BOND ID: - 0- ACTION:

MOTION/DISP: 0 * * 0 * * 0 * * *

REHAB/DUE DATE/RESET: 01 11/07/2022 N 08 11/07/2022 N
17 11/07/2022 N 76 11/07/2022 N

MESSAGE: \$25 RYDER, 10DCJ, RPT TO PROB ON OR B4 48
HRS DRL-ACT: LICENSE REVOKE

COST OF SUPV REDUCED TO \$55 PER MONTH. 1 DAY
TIME: 005Y INTLCK: 001Y

CORR RCI 05/11/2022 14:25:28 RAULRA

CORR RCI HEARING: 05/11/2022 TIME: 8:31 TYPE: ADD ON
DIV: CENTD

LOC: JUSTICE BUILDING JUDGE: MARTINEZ
DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI
SOURCE: * C *

CASE ACTION: TO BE PAID BW AMT: PLEA
NEXT HRG TYPE: REPORT CODE :RE: STATUS
SPECIAL SET:

DISP: CONT: SENTENCE:

JURY:N TRAF SCHL: JDG REQ: MONEY OPTN: D111 REHAB
OPTN: STAY:05/08/2023

PEN ASSD: \$1,662.25 W/S/CONV:

JAIL TYPE/TIME: BOND ID: - 0- ACTION:

MOTION/DISP: 0 * * 0 * * 0 * * *

REHAB/DUE DATE/RESET: 01 11/07/2022 N 08 11/07/2022 N
17 11/07/2022 N 76 11/07/2022 N

MESSAGE: \$25 RYDER, 10DCJ, RPT TO PROB ON OR B4 48
HRS DRL-ACT: LICENSE REVOKE

			<p>COST OF SUPV REDUCED TO \$55 PER MONTH. 1 DAY TIME: 005Y INTLCK: 001Y</p> <p>HEARING: 05/11/2022 TIME: 8:31 TYPE: ADD ON DIV: CENTD</p> <p>LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI SOURCE: * C *</p> <p>CASE ACTION: TO BE PAID BW AMT: PLEA NEXT HRG TYPE: REPORT CODE : SPECIAL SET: DISP: GUILTY CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ: MONEY OPTN: D111 REHAB OPTN: STAY:05/08/2023 PEN ASSD: \$1,662.25 W/S/CONV : JAIL TYPE/TIME: C 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 ** 0 ** 0 **</p> <p>REHAB/DUE DATE/RESET: 01 11/07/2022 N 08 11/07/2022 N 17 11/07/2022 N 76 11/07/2022 N MESSAGE: \$25 RYDER, 10DCJ, RPT TO PROB ON OR B4 48 HRS DRL-ACT: LICENSE REVOKE COST OF SUPV REDUCED TO \$55 PER MONTH. 1 DAY TIME: 005Y INTLCK: 001Y</p>
RET COURT	05/11/2022 14:22:20	RAULRA	
			<p>CLEAR COMP: CLEAR DISP: X OLD ACT: 01 PAY OLD COMP: OLD DISP: 01 OLD MONIES: \$1,772.25 NEW ACT: 20 TBS NEW COMP: NEW DISP: NEW MONIES: \$50.00</p> <p>CORR RCI HEARING: 05/11/2022 TIME: 8:31 TYPE: ADD ON DIV: CENTD</p> <p>LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI SOURCE: * C *</p> <p>CASE ACTION: TO BE PAID BW AMT: PLEA NEXT HRG TYPE: REPORT CODE :RE: STATUS SPECIAL SET: DISP: CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ: MONEY OPTN: DU11 REHAB OPTN: STAY:05/08/2023 PEN ASSD: \$1,772.25 W/S/CONV: JAIL TYPE/TIME: BOND ID: - 0- ACTION: MOTION/DISP: 0 ** 0 ** 0 **</p> <p>REHAB/DUE DATE/RESET: 17 11/07/2022 N 01 11/07/2022 N 76 11/07/2022 N 08 11/07/2022 N MESSAGE: \$25 RYDER, 10DCJ, RPT TO PROB ON OR B4 48 HRS DRL-ACT: LICENSE REVOKE COST OF SUPV REDUCED TO \$55 PER MONTH. 1 DAY TIME: 005Y INTLCK: 001Y</p>
DISP/COMP	05/11/2022 14:19:46	RAULRA	
			<p>HEARING: 05/11/2022 TIME: 8:31 TYPE: ADD ON DIV: CENTD</p> <p>LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI SOURCE: * C *</p> <p>CASE ACTION: TO BE PAID BW AMT: PLEA NEXT HRG TYPE: REPORT CODE : SPECIAL SET: DISP: GUILTY CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ: MONEY OPTN: DU11 REHAB OPTN: STAY:05/08/2023 PEN ASSD: \$1,772.25 W/S/CONV : JAIL TYPE/TIME: C 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 ** 0 ** 0 **</p> <p>REHAB/DUE DATE/RESET: 17 11/07/2022 N 01 11/07/2022 N 76 11/07/2022 N 08 11/07/2022 N</p>
CORR RCI	05/11/2022 14:18:57	RAULRA	
			<p>HEARING: 05/11/2022 TIME: 8:31 TYPE: ADD ON DIV: CENTD</p> <p>LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI SOURCE: * C *</p> <p>CASE ACTION: TO BE PAID BW AMT: PLEA NEXT HRG TYPE: REPORT CODE : SPECIAL SET: DISP: GUILTY CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ: MONEY OPTN: DU11 REHAB OPTN: STAY:05/08/2023 PEN ASSD: \$1,772.25 W/S/CONV : JAIL TYPE/TIME: C 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 ** 0 ** 0 **</p> <p>REHAB/DUE DATE/RESET: 17 11/07/2022 N 01 11/07/2022 N 76 11/07/2022 N 08 11/07/2022 N</p>
RET COURT	05/11/2022 14:02:44	RAULRA	

			MESSAGE: \$25 RYDER, 10DCJ, RPT TO PROB ON OR B4 48 HRS DRL-ACT: LICENSE REVOKE COST OF SUPV REDUCED TO \$55 PER MONTH. 1 DAY TIME: 005Y INTLCK: 001Y
			HEARING: 05/10/2022 TIME: 8:31 TYPE: MOTION DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI SOURCE: * C *
SPR RCI	05/11/2022 13:36:35	RAULRA	CASE ACTION: BW AMT: PLEA NEXT HRG TYPE: REPORT CODE : SPECIAL SET: DISP: CONT: SENTENCE: JURY: TRAF SCHL: JDG REQ: MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 92 NO RULING 0 * * 0 * * REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK:
UPDATE DELQ ST	05/10/2022 14:29:27	THILL	REASON: CLEAR D6N: 04/08/2022 CASE ACT: TO BE RESET FAIL REASON/DATE: 04/08/2022 PREV: 04/08/2022 D6/BW ST: * * CANCELLED PREV: DLS * *
BW CANCEL	05/10/2022 14:29:27	THILL	CANCEL DATE: 05/10/2022 CANCEL REASON: SET ASIDE BY JUDGE NEW CASE ACTION: TO BE RESET COURT * *
DRIV LIC SUSP	05/09/2022 21:14:27	TRFB516	LICENSE SUSPENSION DATE: 05/09/2022 LICENSE D6 PRINT DATE: 04/08/2022 FAIL TO COMPLY DATE: 04/08/2022 FAIL TO COMPLY CODE: I
MOTIONS/SPIRIT	05/04/2022 10:07:52	MGAUTRE	TO SET ASIDE BENCH W ORIG CD/ID: M 0 DISP: * 00 * RECEIVED: / 0/ REQUESTED: * * HRG...DATE: 05/10/2022 TIME: 8:31 LOC: 97 JUDGE: MARTINEZ ONLINE
			HEARING: 05/03/2022 TIME: 8:31 TYPE: MOTION DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI SOURCE: * C *
SPR RCI	05/03/2022 8:54:31	THILL	CASE ACTION: BW AMT: PLEA NEXT HRG TYPE: REPORT CODE : SPECIAL SET: DISP: CONT: SENTENCE: JURY: TRAF SCHL: JDG REQ: MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 92 NO RULING 0 * * 0 * * REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK:
MOTIONS/SPIRIT	04/29/2022 15:20:12	ABOURNE	TO SET ASIDE BENCH W ORIG CD/ID: A 11757 DISP: * 00 * RECEIVED: REQUESTED: EFILE HRG...DATE: // TIME: : LOC: 0 JUDGE: ONLINE
MOTIONS/SPIRIT	04/29/2022 10:36:34	MGAUTRE	TO SET ASIDE BENCH W ORIG CD/ID: M 0 DISP: * 00 * RECEIVED: / 0/ REQUESTED: * * HRG...DATE: 05/03/2022 TIME: 8:31 LOC: 97 JUDGE:

			MARTINEZ ONLINE ID: S- 4442-2020AA025024 AMT: \$1,000.00 NOTICE:04/11/2022
BOND ESTR/VAC	04/11/2022 18:30:05	WTS80SU	ACTION TYPE: ESTREATED/FORFEITED DATE: 04/08/2022 EXTEND: / 0/ DAYS: 0 CIVIL NO: DATE: / 0/ VACATE FINAL JUDGEMENT DATE: / 0/
BW/D6 NOTICE	04/08/2022 15:21:33	TRFB508	FAIL REASON: FAILED TO APPEA FAIL DATE: 04/08/2022 BENCH WARRANT BOND AMOUNT: \$1,000.00
D6WARN NOTICE	04/08/2022 15:21:33	TRFB508	FAIL REASON: FAILED TO APPEA FAIL DATE: 04/08/2022 RECEIPT NO. COMPLIANCE: N HEARING: 04/08/2022 TIME: 8:30 TYPE: TRIAL DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI SOURCE: * C * CASE ACTION: BW/IW DELINQUEN BW AMT: PLEA NEXT HRG TYPE:TRIAL REPORT CODE : SPECIAL SET: DISP: CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ: MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: S- 4442-2020AA025024 ACTION: ESTREATED/FOR MOTION/DISP: 0 * * 0 * * 0 * * REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK:
SPR RCI	04/08/2022 15:21:28	ALEX240	FIELD NAME: ERASE OFFICER WITNES OLD DATA: 6259 30 AGENCY HEARING DATE: 03/23/2022 TIME: 9:00 TYPE: SOUNDING HRG LOC: JUSTICE BUILDING FLR: 6 RM: 8 JUDGE: MARTINEZ, L ATTORNEY: PUBLIC DEFENDER APPOINTMENT, A MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000 HEARING DATE: 04/08/2022 TIME: 8:30 TYPE: TRIAL VCH LOC: JUSTICE BUILDING FLR: 6 RM: 8 JUDGE: MARTINEZ, L ATTORNEY: PUBLIC DEFENDER APPOINTMENT, A MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000
CHG NON-KEY	04/01/2022 8:24:27	MASEDA	TO TAKE DEPOSITION ORIG CD/ID: A 11757 DISP: * 00 * RECEIVED: 01/19/2022 REQUESTED: EFILE HRG...DATE: // TIME: : LOC: 0 JUDGE: ONLINE
SFC SOUNDING	03/07/2022 16:26:08	EXPERT	SENT: Y HEARING DATE: 01/28/2022 TIME: 9:00 LOC: JUSTICE BUILDING FLOOR/ROOM: 6: 8
SET FOR COURT	03/07/2022 16:26:08	EXPERT	HEARING: 01/12/2022 TIME: 8:45 TYPE: SOUNDING DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI SOURCE: * C * CASE ACTION: CONT SET TRIAL BW AMT: PLEA NEXT HRG TYPE:TRIAL REPORT CODE : SPECIAL SET: DISP: CONT: DEFENSE SENTENCE: JURY:N TRAF SCHL: JDG REQ:N MONEY OPTN: REHAB
MOTIONS/SPIRIT	01/20/2022 8:54:37	EJ09	
CANCEL NOTICE	01/12/2022 16:32:34	TRFB218	
SPR RCI	01/12/2022 11:07:32	CIENCIA	

			OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 * * 0 * * 0 * * REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK:
SFC SOUNDING	12/27/2021 16:26:02	EXPERT	HEARING DATE: 01/12/2022 TIME: 8:45 TYPE: SOUNDING HRG LOC: JUSTICE BUILDING FLR: 6 RM: 8 JUDGE: MARTINEZ, L ATTORNEY: PUBLIC DEFENDER APPOINTMENT, A MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000
SET FOR COURT	12/27/2021 16:26:02	EXPERT	HEARING DATE: 01/28/2022 TIME: 9:00 TYPE: TRIAL VCH LOC: JUSTICE BUILDING FLR: 6 RM: 8 JUDGE: MARTINEZ, L ATTORNEY: PUBLIC DEFENDER APPOINTMENT, A MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000
SPR RCI	10/28/2021 13:49:32	GAUTREA	HEARING: 10/28/2021 TIME:13:15 TYPE: REPORT DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI SOURCE: * C * CASE ACTION: CONT SET TRIAL BW AMT: PLEA NEXT HRG TYPE:TRIAL REPORT CODE : SPECIAL SET: DISP: CONT: COURT SENTENCE: JURY:N TRAF SCHL: JDG REQ:N MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 35 GRANTED 0 * * 0 * * REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK:
SET FOR COURT	09/27/2021 16:28:18	EXPERT	HEARING DATE: 10/28/2021 TIME: 13:15 TYPE: REPORT VCH LOC: JUSTICE BUILDING FLR: 5 RM: 7 JUDGE: MARTINEZ, L ATTORNEY: PUBLIC DEFENDER APPOINTMENT, A MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 035
AD HOC	05/11/2021 7:30:31	TRFB431	PD-FEE ASSESSED OLD MONEY: 25.00 NEW MONEY: 75.00
ATTORNEY	04/20/2021 11:12:26	EB15	1) OLD : 11757 NEW OR CHG TO:11757 2) OLD ATTORNEY: 0 NEW OR CHG TO: 0 DEMAND DISCOVERY: Y
ATTORNEY	04/20/2021 9:46:45	LEONIED	1) OLD : 11757 NEW OR CHG TO:11757 2) OLD ATTORNEY: 0 NEW OR CHG TO: 0 DEMAND DISCOVERY: Y
SPR RCI	04/14/2021 13:36:32	GAUTREA	HEARING: 04/14/2021 TIME:13:01 TYPE: ADD ON DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: PUBLIC DEFENDER APPOINTMENT, RCI SOURCE: * C * CASE ACTION: CONT SET REPORT BW AMT: PLEA NOT GUILTY NEXT HRG TYPE:REPORT REPORT CODE :RE: STATUS SPECIAL SET:

			DISP: CONT: DEFENSE SENTENCE: JURY:N TRAF SCHL: JDG REQ:N MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 * * 0 * * 0 * * REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK: HEARING: 04/14/2021 TIME:13:01 TYPE: MOTION DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: * * * RCI SOURCE: * C * CASE ACTION: BW AMT: PLEA NEXT HRG TYPE: REPORT CODE : SPECIAL SET:
SPR RCI	04/14/2021 13:36:22	GAUTREA	DISP: CONT: SENTENCE: JURY: TRAF SCHL: JDG REQ: MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 92 GRANTED 0 * * 0 * * REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK: ID: S- 4442-2020AA025024 AMT: \$1,000.00 ISSUED:05/18/2020 ACTION TYPE: SET ASIDE / VACATE DATE: MESSAGE: CANCEL DATE: 04/14/2021 CANCEL REASON: SET ASIDE BY JUDGE NEW CASE ACTION: TO BE RESET COURT * *
BOND UPDATE	04/14/2021 13:36:16	GAUTREA	TO SET ASIDE BENCH W ORIG CD/ID: M 0 DISP: * 00 * RECEIVED: / 0/ REQUESTED: * * HRG...DATE: 04/14/2021 TIME: 13:01 LOC: 57 JUDGE: MARTINEZ ONLINE ID: S- 4442-2020AA025024 AMT: \$1,000.00 NOTICE:03/17/2021 ACTION TYPE: ESTREATED/FORFEITED DATE: 03/16/2021 EXTEND: / 0/ DAYS: 0 CIVIL NO: DATE: / 0/ VACATE FINAL JUDGEMENT DATE: / 0/
BWC/SPIRIT	04/14/2021 13:36:11	GAUTREA	FAIL REASON: FAILED TO APPEA FAIL DATE: 03/16/2021 LATE FEE ASSESSED: \$25.00 CASE TYPE: CRIMINAL
MOTIONS/SPIRIT	04/09/2021 9:43:21	MTHOMP	FAIL REASON: FAILED TO APPEA FAIL DATE: 03/16/2021 BENCH WARRANT BOND AMOUNT: \$1,000.00
BOND ESTR/VAC	03/17/2021 18:30:33	WTS80SU	FAIL REASON: FAILED TO APPEA FAIL DATE: 03/16/2021 RECEIPT NO. COMPLIANCE: N
LATE FEE ASSD	03/17/2021 7:30:43	TRFB532	HEARING: 03/16/2021 TIME: 8:30 TYPE: ARRAIGNMENT DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: * * * RCI SOURCE: * C * CASE ACTION: BW/IW DELINQUEN BW AMT: \$1,000.00 PLEA NEXT HRG TYPE:ARRAIGNMENT REPORT CODE : SPECIAL SET:
BW/D6 NOTICE	03/16/2021 14:18:15	TRFB508	DISP: CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ: MONEY OPTN: REHAB
D6WARN NOTICE	03/16/2021 14:18:15	TRFB508	
SPR RCI	03/16/2021 14:18:10	GAUTREA	

			OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: S- 4442-2020AA025024 ACTION: ESTREATED/FOR MOTION/DISP: 0 ** 0 ** 0 ** REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK:
SET FOR COURT	03/01/2021 16:29:42	EXPERT	HEARING DATE: 03/16/2021 TIME: 8:30 TYPE: ARRAIGNMENT VCH LOC: JUSTICE BUILDING FLR: 5 RM: 7 JUDGE: MARTINEZ, L ATTORNEY: *, * MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000 HEARING: 02/23/2021 TIME: 8:30 TYPE: ARRAIGNMENT DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: *, * RCI SOURCE: * C * CASE ACTION: CONT SET ARRAIG BW AMT: PLEA NEXT HRG TYPE:ARRAIGNMENT REPORT CODE : SPECIAL SET: DISP: CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ:N MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 ** 0 ** 0 ** REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK:
SPR RCI	02/23/2021 10:47:41	GAUTREA	HEARING DATE: 02/23/2021 TIME: 8:30 TYPE: ARRAIGNMENT LOC: JUSTICE BUILDING FLR: 5 RM: 7 JUDGE: MARTINEZ, L ATTORNEY: *, * MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000 HEARING: 12/15/2020 TIME: 9:00 TYPE: ARRAIGNMENT DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: *, * RCI SOURCE: * C * CASE ACTION: CONT SET ARRAIG BW AMT: PLEA NEXT HRG TYPE:ARRAIGNMENT REPORT CODE : SPECIAL SET: DISP: CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ:N MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 ** 0 ** 0 ** REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK:
SET FOR COURT	02/08/2021 17:31:30	EXPERT	HEARING DATE: 12/15/2020 TIME: 9:00 TYPE: ARRAIGNMENT LOC: JUSTICE BUILDING FLR: 5 RM: 7 JUDGE: MARTINEZ, L ATTORNEY: *, * MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000 HEARING: 12/15/2020 TIME: 9:00 TYPE: ARRAIGNMENT DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: *, * RCI SOURCE: * C * CASE ACTION: CONT SET ARRAIG BW AMT: PLEA NEXT HRG TYPE:ARRAIGNMENT REPORT CODE : SPECIAL SET: DISP: CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ:N MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 ** 0 ** 0 ** REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK:
SPR RCI	12/15/2020 10:23:00	GAUTREA	HEARING DATE: 12/15/2020 TIME: 9:00 TYPE: ARRAIGNMENT LOC: JUSTICE BUILDING FLR: 5 RM: 7 JUDGE: MARTINEZ, L ATTORNEY: *, * MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000 HEARING: 11/17/2020 TIME: 9:00 TYPE: ARRAIGNMENT DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: *, * RCI SOURCE: * C *
SET FOR COURT	11/30/2020 16:40:39	EXPERT	HEARING: 11/17/2020 TIME: 9:00 TYPE: ARRAIGNMENT DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: *, * RCI SOURCE: * C *
SPR RCI	11/17/2020 9:42:51	GAUTREA	

			CASE ACTION: CONT SET ARRAIG BW AMT: PLEA NEXT HRG TYPE:ARRAIGNMENT REPORT CODE : SPECIAL SET: DISP: CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ:N MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 ** 0 ** 0 ** REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK: HEARING DATE: 11/17/2020 TIME: 9:00 TYPE: ARRAIGNMENT LOC: JUSTICE BUILDING FLR: 5 RM: 7 JUDGE: MARTINEZ, L ATTORNEY: *, * MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000 HEARING: 10/27/2020 TIME: 9:00 TYPE: ARRAIGNMENT DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: *, ** RCI SOURCE: * C * CASE ACTION: CONT SET ARRAIG BW AMT: PLEA NEXT HRG TYPE:ARRAIGNMENT REPORT CODE : SPECIAL SET: DISP: CONT: SENTENCE: JURY:N TRAF SCHL: JDG REQ:N MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 ** 0 ** 0 ** REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK: HEARING DATE: 10/27/2020 TIME: 9:00 TYPE: ARRAIGNMENT LOC: JUSTICE BUILDING FLR: 5 RM: 7 JUDGE: MARTINEZ, L ATTORNEY: *, * MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000 HEARING: 10/06/2020 TIME: 9:00 TYPE: ARRAIGNMENT DIV: CENTD LOC: JUSTICE BUILDING JUDGE: MARTINEZ DEFN ATTY: *, ** RCI SOURCE: * C * CASE ACTION: CONT SET ARRAIG BW AMT: PLEA NEXT HRG TYPE:ARRAIGNMENT REPORT CODE : SPECIAL SET: DISP: CONT: DEFENSE SENTENCE: JURY:N TRAF SCHL: JDG REQ:N MONEY OPTN: REHAB OPTN: STAY: PEN ASSD: W/S/CONV : JAIL TYPE/TIME: 0000BOND ID: - 0- ACTION: MOTION/DISP: 0 ** 0 ** 0 ** REHAB/DUE DATE/RESET: MESSAGE: DRL-ACT: TIME: INTLCK: HEARING DATE: 10/06/2020 TIME: 9:00 TYPE: ARRAIGNMENT LOC: JUSTICE BUILDING FLR: 5 RM: 7 JUDGE: MARTINEZ, L ATTORNEY: *, * MOTIONS: N DISCOVERY: Y DIVISION: CENTD REPORT CODE: 000
SET FOR COURT	11/02/2020 16:40:46	EXPERT	
SPR RCI	10/27/2020 11:03:12	GAUTREA	
SET FOR COURT	10/13/2020 16:40:41	EXPERT	
SPR RCI	10/06/2020 9:44:33	GAUTREA	
SET FOR COURT	09/21/2020 16:40:50	EXPERT	

BOND ADD-RELK	05/20/2020 17:45:05	FUBU	ID: S- 4442-2020AA025024 AMT: \$1,000.00 ISSUED:05/18/2020 AGENCY: HUGGINS BAIL BONDS INC BONDSMAN : LESTER L HUGGINS ADDRESS:519A SOUTH ANDREWS AVE FORT LAUDERDALE FL 33301
BOND ADD	05/20/2020 17:45:05	WSB85SU	ID: S- 4442-2020AA025024 AMT: \$1,000.00 ISSUED:05/19/2020 AGENCY: HUGGINS BAIL BONDS INC BONDSMAN : LESTER L HUGGINS ADDRESS:519A SOUTH ANDREWS AVE FORT LAUDERDALE FL 33301
POSITIVE ID	05/20/2020 17:45:04	TRFB565	CJS TRANS: PIEA PID ENTRY JAIL NO: 200134693 NEWIDSER: 0907955 OLDIDSER: 0000000 BOOKING DATE: 05/18/2020 TIME: 14:44 TYPE: TRAFFIC SOCIAL SEC:
JAIL BOOKING	05/20/2020 12:21:10	TRFB565	CJS TRANS: BKEB BOOKING CHARGE ENTRY JAIL NO: 200134693 POLICE ID: PD200518163882 IDS #: 0000000 BOOKING DATE: 05/18/2020 TIME:14:44 TYPE: TRAFFIC SOCIAL SEC:
ORIG ENTRY	05/20/2020 11:57:17	TANIAB	DIV: CENTD VIOL: 3161931 OFFICER: 7316

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA

DIVISION <input checked="" type="checkbox"/> CRIMINAL	JUDGMENT <input checked="" type="checkbox"/> Probation Violator <input type="checkbox"/> Community Control Violator <input type="checkbox"/> Misdemeanor <input type="checkbox"/> Repealment		
PLAINTIFF(S) THE STATE OF FLORIDA	VS. DEFENDANT(S) JAMES AUGUSTE		
CASE NUMBER: F01-006850			
AKA: James August, James R Auguste, James Augustine			
<p>The Defendant, JAMES AUGUSTE, being personally before this Court represented by S L CAER, PA, his/her attorney of record.</p> <p>The State represented by, FORREST ANDERSON JR, Assistant State's Attorney, and having:</p> <p><input type="checkbox"/> been tried and found guilty <input checked="" type="checkbox"/> entered plea of guilty <input type="checkbox"/> entered plea of nolo contendere</p>			
to the following crime(s):			
COUNT	CRIME	DEGREE	OFFENSE STATUTE NO.
1	DEADLY MISSILE/SHOOT, THROWN	2/F	790.19
2	FIREARM/USE, DISPLAY WHILE COMMITTING A FELONY	2/F	790.07(2)

FILED FOR RECORD
 29 NOV 29 AM 09:18
 CLERK OF COURT
 COUNTY OF MIAMI-DADE
 FLORIDA

and no cause being shown why the Defendant should not be adjudicated guilty, IT IS ORDERED THAT the Defendant is hereby ADJUDICATED GUILTY of the above crime(s).

Ek 25146 Pg 2677 CFN 20081279628 12/01/2008 15:45:14 Pg 1 of 5 Mia-Dade Cty, FL

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA

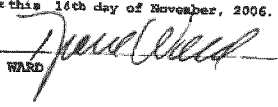
DIVISION <input checked="" type="checkbox"/> CRIMINAL	CHARGES/COSTS/FEEES	CASE NUMBER: F01-006850
PLAINTIFF(S) THE STATE OF FLORIDA	VS. DEFENDANT(S) JAMES AUGUSTE	
	AKA: James August, James R Auguste, James Augustine	

The Defendant is hereby ordered to pay the following sum indicated:

\$50.00	Pursuant to F.S. 938.03(4) (Crime Compensation Trust Fund).
\$3.00	Three dollars as a court cost pursuant to F.S. 938.01 (1) \$3.00 (Criminal Justice Trust & Education Funds).
\$200.00	Pursuant to 938.05(1) (Local Government Criminal Justice Trust Fund).
\$3.00	Three dollars Juvenile Assessment Center pursuant to Dade County Ordinances 98-282, F.S. incorporating F.S. 938.17.
\$40.00	Pursuant to F.S. 27.52(2) (Public Defender Application Fee).
\$3.00	Pursuant to F.S. 938.19 (Teen Courts).
\$50.00	Pursuant to F.S. 775.083(2) (Crime Prevention Programs).
\$2.00	Two dollars as a court cost pursuant to F.S. 938.15 \$2.00 (Criminal Justice Trust & Education Funds).
\$150.00	Pursuant to F.S. 938.18 (Court Facilities Cost)
\$501.00	- TOTAL

OTHER COMMENTS: COURT COST PREVIOUSLY IMPOSED ON SEPTEMBER 21, 2001

DONE AND ORDERED in Open Court in Miami-Dade County, Florida this 14th day of November, 2006.



JUDGE DIANE WARD

Seq- No	Date	Book/Page	Docket	Report
	06/19/2006			REPORT RE: ARR ON AFFDVT SET FOR 07/06/2006 AT 09:30
124	06/19/2006			VIOLATION OF PROBATION AFFIDAVIT FILED
123	06/19/2006			ARRAIGNMENT HEARING SCHEDULED FOR 07/06/2006 AT 09:30
122	05/31/2006			MEMORANDUM:- BOOT CAMP PROGRAM - PROGRAM COMPLETION
161	01/07/2005			CASE CLOSED
159	01/07/2005			CASE CLOSED
154	01/07/2005			CASE CLOSED
150	01/07/2005			CASE CLOSED
148	01/07/2005			CASE CLOSED
141	01/07/2005			CASE CLOSED
138	01/07/2005			CASE CLOSED
132	01/07/2005			CASE CLOSED
120	01/07/2005			ORDER: ORDER FOR DEFT'S PLACEMENT IN BOOT CAMP PROGRAM
119	01/07/2005			SENTENCING GUIDELINES SCORE SHEET
118	01/07/2005			ORDER OF SUPERVISION 6 YEARS PROBATION
116	01/07/2005			CASE CLOSED
115	01/07/2005			STAY GRANTED - DUE 12/07/2010
114	01/07/2005			00002 F/ 790.07(2) FIREARM/USE COMT FEL GB B DISP/WH ADJ-SPLIT SENT - MODIFIED/REMOVED
113	01/07/2005			00001 F/ 790.19 DEADLY MISSILE/THROW GB B DISP/WH ADJ-SPLIT SENT - MODIFIED/REMOVED
112	01/07/2005			CASE CLOSED
111	01/07/2005			CLOSING JUDGE WARD, DIANE
109	01/04/2005			TRIAL PROS. ASSIGNED: ASA, DIVISION
108	01/04/2005			REPORT RE: PLEA SET FOR 01/07/2005 AT 09:30
105	12/23/2004			REPORT RE: PLEA SET FOR 01/04/2005 AT 09:30
110	12/22/2004			MEMORANDUM:- CPL. LYONEL MANUEL / 12/17/04
100	12/16/2004			REPORT RE: EVALUATION SET FOR 12/23/2004 AT 09:30
99	12/16/2004			REPORT RE: BOOT CAMP SET FOR 12/23/2004 AT 09:30
103	12/03/2004			ORDER: FOR PHYSICAL EXAM-PSYCHOLOGICAL/PSYCHIATRICE EVAL BOOT CAMP PROGRAM
97	12/03/2004			ORDER: FPR PHYSICAL EXAM-PSYCHOLOGICAL/PSYCHIATRICE EVAL BOOT CAMP
94	12/03/2004			REPORT RE: EVALUATION SET FOR 12/16/2004 AT 09:30
93	12/03/2004			REPORT RE: BOOT CAMP SET FOR 12/16/2004 AT 09:30
90	11/17/2004			DEFENSE ATTY PDEF/SPENCE, SUSAN M
92	11/16/2004			NOTICE OF APPEARANCE CORY S. ROBINS
88	11/08/2004			DEFENSE ATTY PDEF/PUBLIC DEFENDER APPOINTMENT, AS
87	11/03/2004			TRIAL PROS. ASSIGNED: FANCY, PETAGAYE
86	11/03/2004			DEFENSE ATTY PRIV/SONTAG, MARK S
85	11/03/2004			REPORT RE: PROBATION HEARING SET FOR 12/03/2004 AT 09:45
84	11/02/2004			REPORT RE: DEFENDANT/RESPONDENT SET FOR 11/03/2004 AT 09:30
80	04/05/2002			VIOLATION OF PROBATION AFFIDAVIT FILED
79	12/10/2001	020088/01170		SENTENCE CT 1,2/ 60 DAYS/
72	10/31/2001			00003 Z/ 948.061 PROBATION VIOLATION A B DISP/PROBATION MODIFIED - MODIFIED/REMOVED
71	10/31/2001			WRITE-IN HEARING RECORDED ON 10/31/2001 AT 09:00
69	10/30/2001			ORDER OF SUPERVISION 12 MONTHS COMMUNITY CONTROL FOLLOWED BY 3 YEARS PROBATION
68	10/30/2001			WRITE-IN HEARING RECORDED ON 10/30/2001 AT 09:00
67	10/23/2001			VIOLATION OF PROBATION AFFIDAVIT FILED
77	10/10/2001	019973/03536		SENTENCE CT 1,2/ 6 MOS/
74	10/10/2001	019973/03533		ORDER WITHHOLDING ADJUDICATION
75	09/21/2001	019973/03535		FINGERPRINTS FILED
66	09/21/2001			00002 F/ 790.07(2) FIREARM/USE COMT FEL GB B DISP/CONV C.C./PROBATION - MODIFIED/REMOVED
65	09/21/2001			00001 F/ 790.19 DEADLY MISSILE/THROW GB B DISP/CONV C.C./PROBATION - MODIFIED/REMOVED
64	09/21/2001			WRITE-IN HEARING RECORDED ON 09/21/2001 AT 09:00
63	09/21/2001			MEMORANDUM OF COSTS AMOUNT 501.00
62	09/21/2001			STAY GRANTED - DUE 08/21/2005
61	09/21/2001			WRITE-IN HEARING RECORDED ON 09/21/2001 AT 09:00
60	09/21/2001			CLOSING JUDGE SCHWARTZ, LAWRENCE A
59	09/21/2001			SENTENCING GUIDELINES SCORE SHEET
58	09/21/2001			ORDER OF SUPERVISION REPORTING/1 YEAR CC/3 YEARS PROBATION
56	09/13/2001			FINANCIAL STATEMENT FOR DETERMINATION OF COST RECOVERY
53	08/27/2001			MOTION TO/FOR TO DECL ARE DEF INDIGENT FOR COSTS

Seq. No.	Date	Book/Page	Description
	08/22/2001		MOTION FOR ORDER TO DETERMINE DEFENDANT FOR COURT
	08/22/2001		MOTION FOR ADJUDICATION OF INSOLVENCY FILED 08/31/2001 SET FOR 08/31/2001 AT 09:08 DENIED
50	07/09/2001		TRIAL HEARING SCHEDULED FOR 10/09/2001 AT 09:00 TRIAL HEARING RESET
49	07/09/2001		MOTION FOR CONTINUANCE FILED 07/09/2001 ORAL SET FOR 07/09/2001 AT 12:55 GRANTED DEFS
45	06/20/2001		DEFENSE ATTY PRIV/HOFFMAN, EVAN A
44	06/19/2001		DEMAND FOR TRIAL BY JURY
43	06/19/2001		DEMAND FOR DISCOVERY
42	06/19/2001		WRITTEN PLEA OF NOT GUILTY
41	06/19/2001		NOTICE OF APPEARANCE MARK S.SONTAG,ESQ
39	06/04/2001		TRIAL PROS. ASSIGNED: VANHECKE, JEREMIAH
38	05/30/2001		DEFENSE ATTY PDEF/POZO-REVILLA, ADA B
37	05/30/2001		MOTION FOR SUBSTITUTION OF COUNSEL FILED 05/30/2001 ORAL SET FOR 05/30/2001 AT 10:11 GRANTED
36	05/29/2001		REPORT RE: PLEA SET FOR 05/30/2001 AT 09:00
34	05/23/2001		DEMAND FOR DISCOVERY
33	05/23/2001		WRITTEN PLEA OF NOT GUILTY
32	05/23/2001		NOTICE OF APPEARANCE
31	05/23/2001		ARRAIGNMENT HEARING SCHEDULED FOR 05/29/2001 AT 09:00
29	05/07/2001		DISCHARGE CERTIFICATE ISSUED S5266132
28	05/04/2001		AMT/ 2500 DISCHARGED 05/04/2001 POWER/S5266132
27	05/04/2001		ARRAIGNMENT HEARING SCHEDULED FOR 05/23/2001 AT 09:00
26	05/03/2001		REPORT RE: BOND REVIEW SET FOR 05/04/2001 AT 09:00
25	04/04/2001		AMENDED DISCOVERY
24	04/02/2001		MEMO FROM STATE ATTORNEY / PUBLIC DEFENDER
23	03/23/2001		DEFENSE ATTY PDEF/PUBLIC DEFENDER APPOINTMENT, AS
22	03/22/2001		TRIAL UNIT ASSIGNED: FELONY DIVISION 13
21	03/22/2001		DISCOVERY RESP: STATE'S DEMAND FOR DISCOVERY & DEMAND FOR AL
20	03/22/2001		TRIAL PROS. ASSIGNED: CABRERA, ROGER
19	03/22/2001		TRIAL HEARING SCHEDULED FOR 07/16/2001 AT 09:00
18	03/22/2001		REPORT RE: PLEA SET FOR 07/09/2001 AT 10:30
17	03/22/2001		INFORMATION FILED
16	03/22/2001		INTAKE UNIT ASSIGNED: FELONY DIVISION 13
15	03/21/2001		INTAKE UNIT ASSIGNED: CASE PROCESSING
14	03/15/2001		ACKNOWLEDGEMENT OF APPOINTMENT OF PUBLIC DEFENDER
13	03/13/2001		INTAKE PROS. ASSIGNED: HYDE, JANE
11	03/09/2001		INTAKE UNIT ASSIGNED: FSU - CASE SCREENING
12	03/06/2001		BOND RECEIVED POWER/RECEIPT #S5266132
8	03/02/2001		AMT/ 2500 ISSUED 03/02/2001 POWER/S5266132
7	03/02/2001		PUBLIC DEFENDER APPLICATION FEE/AFFIDAVIT TO BE PAID IN FULL AMOUNT 40.00
5	03/02/2001		ORDER ADJUDGING DEFENDANT INDIGENT AND APPOINTING PUB. DEFN
4	03/02/2001		FINANCIAL AFFIDAVIT TO DETERMINE PUBLIC DEFENDER ELIGIBILITY
3	03/02/2001		FIRST APPEARANCE/BOND HEARING - A.M.
2	03/02/2001		ATTORNEY TYPE APPOINTED AT BOND HEARING PUBLIC DEFENDER
1	03/02/2001		ARRAIGNMENT HEARING SCHEDULED FOR 03/22/2001 AT 09:00

<u>DIVISION</u>	<u>JUDGMENT</u>		
<input checked="" type="checkbox"/> CRIMINAL	<input type="checkbox"/> Probation Violator	<input type="checkbox"/> Retrial	
	<input type="checkbox"/> Community Control Violator	<input type="checkbox"/> Resentence	

PLAINTIFF(S)	VS. DEFENDANT(S)
THE STATE OF FLORIDA	JAMES AUGUSTE

CASE NUMBER: F06-019537

AKA: James August, James R Auguste, James Augustine

The Defendant, JAMES AUGUSTE, being personally before this Court represented by
 S L GAER, PA, his/her attorney of record.

The State represented by, FORREST ANDREWS JR, Assistant State's Attorney, and having:

been tried and found guilty
 entered plea of guilty
 entered plea of nolo contendere

to the following crime(s):

FILED FOR RECORD
 2006 NOV 29 AM 8:18
 CLERK OF CIRCUIT COURT
 MIAMI-DADE COUNTY FLA
 CIRCUIT CLERK #

COUNT	CRIME	DEGREE	OFFENSE STATUTE NO.
1	GRAND THEFT 3RD DEGREE	3/F	812.014 (2) (C) 812.014 (1)
2	RESISTING OFFICER WITH VIOLENCE TO HIS PERSON	3/F	843.01
4	FALSE NAME/ID/AFTER ARREST	1/M	901.36 (1)

and no cause being shown why the Defendant should not be adjudicated guilty, IT IS ORDERED THAT the Defendant is hereby ADJUDICATED GUILTY of the above crime(s).

HT

YPO-11/16/06
 REV 10/02

Clerk's web address: www.miami-dadeclerk.com

DIVISION

CRIMINAL

CHARGES/COSTS/FEEs

CASE NUMBER: F06-019537

PLAINTIFF(S)

THE STATE OF FLORIDA

VS. DEFENDANT(S)

JAMES AUGUSTE

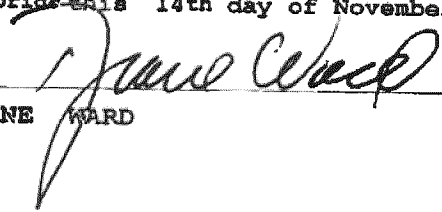
AKA: James August, James R Auguste, James Augustine

The Defendant is hereby ordered to pay the following sum indicated:

\$50.00	Pursuant to F.S. 938.03(4) (Crimes Compensation Trust Fund).
\$3.00	Three dollars as a court cost pursuant to F.S. 938.01 (1) \$3.00 (Criminal Justice Trust & Education Funds).
\$200.00	Pursuant to 938.05(1) (Local Government Criminal Justice Trust Fund).
\$40.00	Pursuant to F.S. 27.52(2) (Public Defender Application Fee).
\$3.00	Pursuant to F.S. 938.19 (Teen Courts).
\$50.00	Pursuant to F.S. 775.083(2) (Crime Prevention Programs).
\$2.00	Two dollars as a court cost pursuant to F.S. 938.15 \$2.00 (Criminal Justice Trust & Education Funds).
\$65.00	Pursuant to F.S. 939.185(1) (a) (Assessment of Additional Court Costs as adopted by Ordinance 04-116)
\$85.00	Pursuant to F.S. 939.185(1) (b) (Surcharge as adopted by Ordinance 05-123)
\$498.00 -	TOTAL

DONE AND ORDERED in Open Court in Miami-Dade County, Florida this 14th day of November, 2006.

JUDGE DIANE WARD



(W=WAIVED/S=SUSPENDED)

REV 10/02 YPO-11/16/06

Page 2 of 3

Clerk's web address: www.miami-dadeclerk.com

<u>DIVISION</u>	<u>SENTENCE</u>
<input checked="" type="checkbox"/> CRIMINAL	

AS TO COUNT: 1, 2

<u>PLAINTIFF(S)</u>	<u>VS. DEFENDANT(S)</u>
THE STATE OF FLORIDA	JAMES AUGUSTE

CASE NUMBER: F06-019537	OBTS NUMBER _____
-------------------------	-------------------

AKA: James August, James R Auguste, James Augustine

The Defendant, being personally before this Court, accompanied by his/her attorney(s): S L GAER, PA and having been adjudicated guilty herein, and the Court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why he/she should not be sentenced as provided by law, and no cause having been shown:

CLOCK IN
 2006 NOV 29 AM 8:18
 FILED FOR RECORD
 CLERK, CIRCUIT COURT, MIAMI-DADE COUNTY, FLORIDA

IT IS THE SENTENCE OF THE COURT that the defendant is hereby:
 Is hereby committed to the custody of the Florida Department of Corrections..
 TO BE IMPRISONED:
 For a term of 3.00 Year(s).

HT
 YPO-11/16/06
 REV 10/02
 Clerk's web address: www.miami-dadeclerk.com

<u>DIVISION</u>	<u>SENTENCE</u>
<input checked="" type="checkbox"/> CRIMINAL	

AS TO COUNT: 4

<u>PLAINTIFF(S)</u>	<u>VS. DEFENDANT(S)</u>
THE STATE OF FLORIDA	JAMES AUGUSTE

CASE NUMBER: F06-019537	OBT'S NUMBER _____
-------------------------	--------------------

AKA: James August, James R Auguste, James Augustine

The Defendant, being personally before this Court, accompanied by his/her attorney(s): S L GAER, PA and having been adjudicated guilty herein, and the Court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why he/she should not be sentenced as provided by law, and no cause having been shown:

FILED FOR RECORD
 IN
 2006 NOV 29 AM 8:18
 CLERK OF COURT
 11th CIRCUIT COURT
 MIAMI-DADE COUNTY, FL

IT IS THE SENTENCE OF THE COURT that the defendant is hereby:
 Is hereby committed to the custody of the Dade County Jail..

TO BE IMPRISONED:
 For a term of 150.00 Day(s).
 TIME SERVED.

IN REF: Defendant
JAMES AUGUSTE

OTHER PROVISIONS

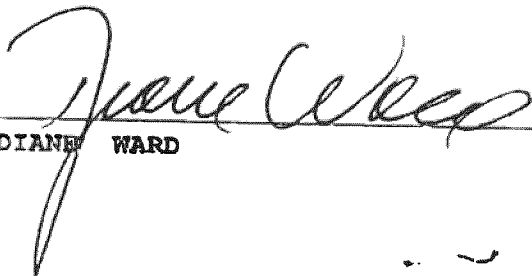
CASE NUMBER: F06-019537

<u>CATEGORY</u>	<u>OTHER PROVISION DESCRIPTION</u>	<u>SPECIFICATION</u>
Jail Credit	It is further ordered that the Defendant shall be allowed a total of the specified time as credit for time incarcerated prior to imposition of this sentence.	FROM: JUNE 15, 2006 PER COURT
Consecutive/concurrent as to Other Counts	It is further ordered that the sentence imposed for counts specified shall run as indicated with the sentence set forth in counts specified of this case.	COUNTS 1 & 2 CONCURRENT
Consecutive/concurrent as to Other Convictions	It is further ordered that the composite term of all sentences imposed for the counts specified in this order shall run as indicated with the following:	CONCURRENT WITH CASE F01-6650

In the event the above sentence is to the Department of Corrections, the Sheriff of Dade County, Florida, is hereby ordered and directed to deliver the defendant to the Department of Corrections at the facility designated by the Department together with a copy of this Judgment and Sentence and any other documents specified by Florida Statutes.

The defendant in Open Court was advised of his right to appeal from this sentence by filing notice of appeal within thirty days from this date with the Clerk of this Court, and the defendant's right to the assistance of counsel in taking said appeal at the expense of the State upon showing indigence.

DONE AND ORDERED in Open Court in Miami-Dade County, Florida this 14th day of November, 2006.



JUDGE DIANE WARD

YPO-11/16/06

REV 10/02

Clerk's web address: www.miami-dadeclerk.com

	RESIST OFF W/VIOL	FELONY	NO ACTION
8	RESIST OFF W/VIOL	FELONY	NO ACTION
9	RESIST OFF W/VIOL	FELONY	NO ACTION
10	RESIST OFF W/VIOL	FELONY	NO ACTION
11	RESIST OFF W/VIOL	FELONY	NO ACTION

Dockets



Total Of Dockets: 47

EXPORT TO CSV

Seq. No.	Date	Book/Page	Docket
60	02/19/2010		COLLECTIONS AGENCY STATUS NEW TO ALLIANCE ONE INC.
59	11/18/2009		NOTICE OF FAILURE TO PAY SENT
58	02/01/2007		MOTION TO CORRECT SENTENCE FORWARDED TO JUDGE
56	01/22/2007		MOTION TO MITIGATE FILED 01/30/2007 ORAL SET FOR 01/30/2007 AT 10:19 DENIED
55	11/29/2006	025146/02647	JUDGMENT AND SENTENCE
54	11/29/2006		COMMITMENT ISSUED
53	11/14/2006		SENTENCING GUIDELINES SCORE SHEET PLEADING FILED IN CASE F01006850
51	11/14/2006		AMENDED DISCOVERY EXHIBIT
50	11/14/2006		STAY GRANTED - DUE 11/15/2009
49	11/14/2006		MEMORANDUM OF COSTS AMOUNT 498.00
48	11/14/2006		CLOSING JUDGE WARD, DIANE
47	11/09/2006		AMENDED DISCOVERY
45	11/02/2006		TRIAL HEARING SCHEDULED FOR 11/14/2006 AT 09:30 TRIAL HEARING RESET
42	08/21/2006		NOTICE OF APPEARANCE SY GAER, ESQ PLEADING FILED IN CASE F01006850
41	08/21/2006		NOTICE OF APPEARANCE S.L. GAER, ESQ PLEADING FILED IN CASE F01006850
39	08/28/2006		REPORT RE: PLEA SET FOR 09/06/2006 AT 09:30
38	08/22/2006		DEFENSE ATTY PCAC/PECORARO, JOSEPH J
37	08/22/2006		REPORT RE: PLEA SET FOR 08/25/2006 AT 09:30
36	08/21/2006		REPORT RE: ATTORNEY SET FOR 08/22/2006 AT 09:30
35	08/18/2006		MEMO RE: APPOINTMENT AS PRIVATE COURT APPOINTED COUNSEL
34	08/17/2006		DEFENSE ATTY PRIV/PECORARO, JOSEPH J
32	08/16/2006		REPORT RE: ACCEPT PCAC APPOINTMENT SET FOR 08/17/2006 AT 09:30
31	08/16/2006		DEFENSE ATTY PDEF/HABER, TONY ALEXANDER
30	08/10/2006		AMENDED DISCOVERY
28	08/02/2006		REPORT RE: ACCEPT PCAC APPOINTMENT SET FOR 08/16/2006 AT 09:30
27	07/26/2006		CERTIFICATION OF CONFLICT OF INTEREST PLEADING FILED IN CASE F01006850
26	07/18/2006		AMENDED DISCOVERY EXHIBIT
25	07/14/2006		DISC EXHIBIT: STATE'S DEMAND FOR DEFN DISCV/DEMAND FOR ALIBI
23	07/17/2006		DEFENSE ATTY PDEF/PUBLIC DEFENDER APPOINTMENT, AS
22	07/14/2006		TRIAL PROS. ASSIGNED: ANDREWS JR, FORREST
21	07/14/2006		TRIAL UNIT ASSIGNED: FELONY DIVISION 13
20	07/14/2006		TRIAL PROS. ASSIGNED: ANDREWS JR, FORREST
19	09/07/2006		REPORT RE: PLEA/SOUNDING SET FOR 11/02/2006 AT 10:00
18	07/14/2006		TRIAL HEARING SCHEDULED FOR 11/13/2006 AT 09:30
17	07/14/2006		INFORMATION FILED
16	07/13/2006		INTAKE UNIT ASSIGNED: FELONY DIVISION 13
14	07/06/2006		ARRAIGNMENT HEARING SCHEDULED FOR 07/14/2006 AT 09:30
13	06/22/2006		ACKNOWLEDGEMENT OF APPOINTMENT OF PUBLIC DEFENDER



12	06/26/2006	NOTICE OF DEFENDANT'S RIGHT TO COUNSEL
11	06/23/2006	INTAKE UNIT ASSIGNED: FSU - CASE SCREENING
10	06/23/2006	INTAKE PROS. ASSIGNED: ADAMS-PL, WAYNE-CC
9	06/22/2006	WRITTEN PLEA OF NOT GUILTY
8	06/21/2006	AFFIDAVIT FOR INDIGENT STATUS - INDIGENT
4	06/16/2006	REPORT RE: BOND REVIEW SET FOR 06/19/2006 AT 09:30
3	06/16/2006	FIRST APPEARANCE/BOND HEARING - P.M.
2	06/16/2006	ATTORNEY TYPE APPOINTED AT BOND HEARING PUBLIC DEFENDER
1	06/19/2006	ARRAIGNMENT HEARING SCHEDULED FOR 07/06/2006 AT 09:30

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HARVEY RUVIN

Miami-Dade County
Clerk of the Courts

73 W. Flagler Street
Miami, Florida 33130

305-275-1155

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DIVISION	JUDGMENT		
<input checked="" type="checkbox"/> CRIMINAL	<input type="checkbox"/> Probation Violator	<input type="checkbox"/> Retrial	
	<input type="checkbox"/> Community Control Violator	<input type="checkbox"/> Resentence	
PLAINTIFF(S)	VS. DEFENDANT(S)		
THE STATE OF FLORIDA	JAMES AUGUSTE		
CASE NUMBER: F07-030200			
AKA: James August, James R Auguste, James Augustine			
The Defendant, JAMES AUGUSTE, being personally before this Court represented by MICHELLE A LONDON, PD, his/her attorney of record.		FILED FOR RECORD 2007 OCT 16 AM 9:55 CLERK OF DISTRICT COURT MIAMI-DADE COUNTY, FLORIDA	
The State represented by, BRIAN YOSEF, Assistant State's Attorney, and having:			
<input type="checkbox"/> been tried and found guilty	<input type="checkbox"/> DNA taken purs. to FS 943.325		
<input type="checkbox"/> entered plea of guilty			
<input checked="" type="checkbox"/> entered plea of nolo contendere	to the following crime(s):		

COUNT	CRIME	DEGREE	OFFENSE STATUTE NO.
1	BATTERY/POL OFFICER/FIREFIGHTER/INT OFC/ATTEMPT	3/F	784.07 (2) (B) 777.04 (1) 784.03

and no cause being shown why the Defendant should not be adjudicated guilty, IT IS ORDERED THAT the Defendant is hereby ADJUDICATED GUILTY of the above crime(s).

VH -10/18/07
REV 10/02

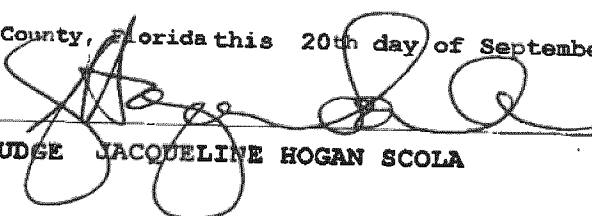
DIVISION <input checked="" type="checkbox"/> CRIMINAL	CHARGES/COSTS/FEEES CASE NUMBER: F07-030200
PLAINTIFF(S) THE STATE OF FLORIDA	VS. DEFENDANT(S) JAMES AUGUSTE AKA: James August, James R Auguste, James Augustine

The Defendant is hereby ordered to pay the following sum indicated:

\$50.00	Pursuant to F.S. 938.03(4) (Crimes Compensation Trust Fund).
\$3.00	Three dollars as a court cost pursuant to F.S. 938.01 (1) \$3.00 (Criminal Justice Trust & Education Funds).
\$200.00	Pursuant to 938.05(1) (Local Government Criminal Justice Trust Fund).
\$40.00	Pursuant to F.S. 27.52(2) (Public Defender Application Fee).
\$3.00	Pursuant to F.S. 938.19 (Teen Courts).
\$50.00	Pursuant to F.S. 775.083(2) (Crime Prevention Programs).
\$2.00	Two dollars as a court cost pursuant to F.S. 938.15 \$2.00 (Criminal Justice Trust & Education Funds).
\$201.00	Pursuant to F.S. 938.08 (DV Surcharge)
\$151.00	Pursuant to F.S. 938.085
\$65.00	Pursuant to F.S. 939.185(1)(a) (Assessment of Additional Court Costs as adopted by Ordinance 04-116)
\$85.00	Pursuant to F.S. 939.185(1)(b) (Surcharge as adopted by Ordinance 05-123)
\$850.00 -	TOTAL

OTHER COMMENTS: STAY DUE DATE: 9/20/08

DONE AND ORDERED in Open Court in Miami-Dade County, Florida this 20th day of September, 2007.


 JUDGE JACQUELINE HOGAN SCOLA

(W=WAIVED/S=SUSPENDED)

REV 10/02 LH -10/18/07

Clerk's web address: www.miami-dadeclerk.com

<u>DIVISION</u>	<u>SENTENCE</u>
<input checked="" type="checkbox"/> CRIMINAL	

AS TO COUNT: 1

<u>PLAINTIFF(S)</u>	<u>VS. DEFENDANT(S)</u>
THE STATE OF FLORIDA	JAMES AUGUSTE

CASE NUMBER: F07-030200	OBTs NUMBER _____
-------------------------	-------------------

AKA: James August, James R Auguste, James Augustine

The Defendant, being personally before this Court, accompanied by his/her attorney(s): MICHELLE A LONDON, PD and having been adjudicated guilty herein, and the Court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why he/she should not be sentenced as provided by law, and no cause having been shown:

CLOCK IN
 FILED FOR RECORD
 07 OCT 2007 10:50 AM
 (Circular stamp)

IT IS THE SENTENCE OF THE COURT that the defendant is hereby:
 Is hereby committed to the custody of the Florida Department of Corrections.
 TO BE IMPRISONED:
 For a term of 366 Day(s).

IN REF: Defendant
JAMES AUGUSTE

OTHER PROVISIONS

CASE NUMBER: F07-030200

<u>CATEGORY</u>	<u>OTHER PROVISION DESCRIPTION</u>	<u>SPECIFICATION</u>
Jail Credit	It is further ordered that the Defendant shall be allowed a total of the specified time as credit for time incarcerated prior to imposition of this sentence.	22 DAYS
Consecutive/concurrent as to Other Convictions	It is further ordered that the composite term of all sentences imposed for the counts specified in this order shall run as indicated with the following:	CONCURRENT WITH F01-6580, F01-14019B, F06-19537

In the event the above sentence is to the Department of Corrections, the Sheriff of Dade County, Florida, is hereby ordered and directed to deliver the defendant to the Department of Corrections at the facility designated by the Department together with a copy of this Judgment and Sentence and any other documents specified by Florida Statutes.

The defendant in Open Court was advised of his right to appeal from this sentence by filing notice of appeal within thirty days from this date with the Clerk of this Court, and the defendant's right to the assistance of counsel in taking said appeal at the expense of the State upon showing indigence.

DONE AND ORDERED in Open Court in Miami-Dade County, Florida this 20th day of September, 2007.



JUDGE JACQUELINE HOGAN SCOLA

LH -10/24/07

REV 10/02

Clerk's web address: www.miami-dadeclerk.com

Page 2 of 2

DIVISION

CRIMINAL

JUDGMENT

- Probation Violator Retrial
 Community Control Violator Resentence

PLAINTIFF(S)

THE STATE OF FLORIDA

VS. DEFENDANT(S)

JAMES AUGUSTE

CASE NUMBER: F07-030200

AKA: James August, James R Auguste, James Augustine

The Defendant, JAMES AUGUSTE, being personally before this Court represented by MICHELLE A LONDON, PD, his/her attorney of record.

The State represented by, BRIAN YOSEF, Assistant State's Attorney, and having:

- been tried and found guilty DNA taken purs. to FS 943.325
 entered plea of guilty
 entered plea of nolo contendere to the following crime(s):

FILED FOR RECORD
 2007 OCT 12
 6:55 AM
 CLERK OF COURT
 1100 BUNKER HILL BL
 MIAMI, FL 33133

COUNT	CRIME	DEGREE	OFFENSE STATUTE NO.
1	BATTERY/POL OFFICER/FIREFIGHTER/INT OFC/ATTEMPT	3/F	784.07 (2) (B) 777.04 (1) 784.03

and no cause being shown why the Defendant should not be adjudicated guilty, IT IS ORDERED THAT the Defendant is hereby ADJUDICATED GUILTY of the above crime(s).

DIVISION

CRIMINAL

CHARGES/COSTS/FEEES

CASE NUMBER: F07-030200

PLAINTIFF(S)

THE STATE OF FLORIDA

VS. DEFENDANT(S)

JAMES AUGUSTE

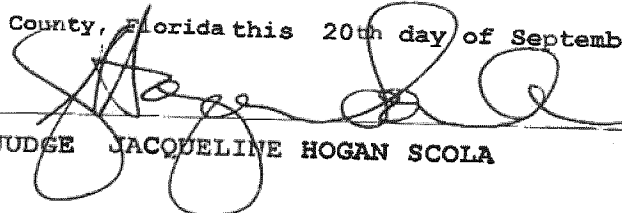
AKA: James August, James R Auguste, James Augustine

The Defendant is hereby ordered to pay the following sum indicated:

\$50.00	Pursuant to F.S. 938.03(4) (Crimes Compensation Trust Fund).
\$3.00	Three dollars as a court cost pursuant to F.S. 938.01 (1) \$3.00 (Criminal Justice Trust & Education Funds).
\$200.00	Pursuant to 938.05(1) (Local Government Criminal Justice Trust Fund).
\$40.00	Pursuant to F.S. 27.52(2) (Public Defender Application Fee).
\$3.00	Pursuant to F.S. 938.19 (Teen Courts).
\$50.00	Pursuant to F.S. 775.083(2) (Crime Prevention Programs).
\$2.00	Two dollars as a court cost pursuant to F.S. 938.15 \$2.00 (Criminal Justice Trust & Education Funds).
\$201.00	Pursuant to F.S. 938.08 (DV Surcharge)
\$151.00	Pursuant to F.S. 938.085
\$65.00	Pursuant to F.S. 939.185(1)(a) (Assessment of Additional Court Costs as adopted by Ordinance 04-116)
\$85.00	Pursuant to F.S. 939.185(1)(b) (Surcharge as adopted by Ordinance 05-123)
\$850.00 -	TOTAL

OTHER COMMENTS: STAY DUE DATE: 9/20/08

DONE AND ORDERED in Open Court in Miami-Dade County, Florida this 20th day of September, 2007.



 JUDGE JACQUELINE HOGAN SCOLA

(W=WAIVED/S=SUSPENDED)

REV 10/02 LH -10/18/07

Clerk's web address: www.miami-dadeclerk.com

<u>DIVISION</u>	<u>SENTENCE</u>
<input checked="" type="checkbox"/> CRIMINAL	

AS TO COUNT: 1

<u>PLAINTIFF(S)</u>	<u>VS. DEFENDANT(S)</u>
THE STATE OF FLORIDA	JAMES AUGUSTE

CASE NUMBER: F07-030200	OBTs NUMBER _____
-------------------------	-------------------

AKA: James August, James R Auguste, James Augustine

The Defendant, being personally before this Court, accompanied by his/her attorney(s): MICHELLE A LONDON, PD and having been adjudicated guilty herein, and the Court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why he/she should not be sentenced as provided by law, and no cause having been shown:

CLOCK IN
 FILED FOR RECORD
 07 OCT 2007
 10 02 50
 (1)

IT IS THE SENTENCE OF THE COURT that the defendant is hereby:
 Is hereby committed to the custody of the Florida Department of Corrections.
 TO BE IMPRISONED:
 For a term of 366 Day(s).

IN REF: Defendant
JAMES AUGUSTE

OTHER PROVISIONS

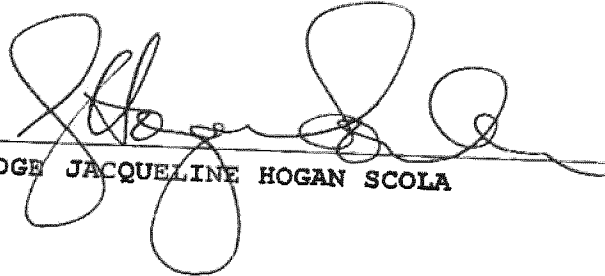
CASE NUMBER: F07-030200

<u>CATEGORY</u>	<u>OTHER PROVISION DESCRIPTION</u>	<u>SPECIFICATION</u>
Jail Credit	It is further ordered that the Defendant shall be allowed a total of the specified time as credit for time incarcerated prior to imposition of this sentence.	22 DAYS
Consecutive/concurrent as to Other Convictions	It is further ordered that the composite term of all sentences imposed for the counts specified in this order shall run as indicated with the following:	CONCURRENT WITH F01-6580, F01-14019B, F06-19537

In the event the above sentence is to the Department of Corrections, the Sheriff of Dade County, Florida, is hereby ordered and directed to deliver the defendant to the Department of Corrections at the facility designated by the Department together with a copy of this Judgment and Sentence and any other documents specified by Florida Statutes.

The defendant in Open Court was advised of his right to appeal from this sentence by filing notice of appeal within thirty days from this date with the Clerk of this Court, and the defendant's right to the assistance of counsel in taking said appeal at the expense of the State upon showing indigence.

DONE AND ORDERED in Open Court in Miami-Dade County, Florida this 20th day of September, 2007.



JUDGE JACQUELINE HOGAN SCOLA

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Marc Taupier, Chief Attorney
Re: Jarvis Jackson; Case No. 2022-047294 – License Denial
Date: October 25, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Jarvis Jackson’s (“Applicant”) application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Applicant submitted a complete application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License on September 26, 2022. Upon review of the application, it appears Applicant has been convicted of a felony crime. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On September 26, 2022, Applicant submitted their application to the Division of Pari-Mutuel Wagering for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Upon review of the completed application, it appears that Applicant was convicted of a felony crime in the state of Florida. Specifically, the Applicant was convicted of Grand Theft in 2010.

Applicant did not apply for a waiver for his felony conviction, however, under section 551.107(6)(a), Florida Statutes, the legislature did not authorize the Executive Director to waive criminal convictions for slot machine licensing.

Relevant Law

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

“. . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling,

importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Section 849.086(6)(f), Florida Statutes, provides that the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

Section 849.086(6)(g), Florida Statutes, provides that:

“[t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.”

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's disqualifying criminal conviction cannot be waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

Licensing Administrator Review – Slot Machine Occupational License

RE: JACKSON, JARVIS, 13346154

Case No: 2022-047294

(APPLICANT'S NAME- LICENSE #)

INITIAL APPLICATION RECEIVED:	<u>9/23/2022</u>
COMPLETE APPLICATION RECEIVED:	<u>9/26/2022</u>
90-DAY DEADLINE:	<u>12/25/2022</u>

Cindy Ritter
 Application Processor

Calder Casino, #285
 Facility (d/b/a name)

Players Club Rep/1055
 Occupation/Job Title/Lic Type

Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review

Did the application accurately reflect the Criminal History Record? Yes No

Conviction

- Felony : 1 Ct
- Misdemeanor – Gambling Related/Bookmaking
- Two or more misdemeanor convictions that involve theft, fraud, or burglary
- Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Disposition
02/04/2010	Lee County, Florida	Larceny Grand Theft 300 less than 5K Dols	F	Adjudication W/H
		Case # 362010CF014629000ACH		

Disposition Unknown

- Felony Arrest(s) :
- Misdemeanor Arrest – Gambling Related/Bookmaking
- Two or more misdemeanor arrests/counts that involve theft, fraud, or burglary

Comments:

Enforcement/Jurisdiction Offenses

- Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.

Comments:

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business

Is the individual applicant related to a business? Yes No If yes, complete sections below.

Business License Number:

Business Name:

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes No

Date Deficiency Letter Issued:

Initials:

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes No Approval

Forward to Investigations

Legal

Director

Initials:

9/30/22

Comments:

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

Complaint Search Change Recording License Type Delete Complaint Mass Activity Update Mass Discipline
 Update Mass Status Update Public Case Info

Domain: 10 - Division of Pari-Mutuel Wagering

Logged in as: critter

VR Home > Complaint Search > **Maintain Complaint**

System Messages

- Complaint created. New Complaint # 2022047294.

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	10 Initial Review	Status Date	09/29/2022
Complaint #	2022047294	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent JACKSON, JARVIS	Responsible	ddonaldson - DONALDSON, DAVID	Private Case	

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input type="checkbox"/> Activities
Form	INTR - Internal	Priority		<input type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	CHIS - Criminal History	Complexity	R - Regular	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	09/23/2022	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	NR - Northern Region	Received	09/29/2022	<input type="checkbox"/> Inspection	
Reference				<input type="checkbox"/> Costs	
Entered	09/29/2022	Entered By	critter	<input type="checkbox"/> Time Tracking	<input type="checkbox"/> Auto Assign
Summary	Application and rap sheet reviewed. Applicant's criminal history resulted in a felony conviction (285-Calder Casino).			<input type="checkbox"/> Attachments	<input type="checkbox"/> History
Updated	09/29/2022 14:40:29	By	critter	<input type="checkbox"/> Work Notes	<input type="checkbox"/> Print Report

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
---------------------------------------	-------------------------------------	-----------------------------------	---------------------------------------	-------------------------------------

Get Adobe Reader.

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you previously worked for a gaming-related employer?
 If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

- Yes No Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
- Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence

- Yes No Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?
- Yes No Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

IN THE CIRCUIT COURT OF THE TWENTIETH JUDICIAL CIRCUIT IN AND FOR LEE COUNTY, FLORIDA CRIMINAL DIVISION

State of Florida vs Jarvis Jackson

Case Number: 10-CF-014629

Time: 2:03pm + 2:54pm VOP-Revocation Minutes Court Room: *8A*
Judge: John W Dommerich State Attorney: Thornburg 45084
Court Reporter/Smart: Defense Attorney: Public Defender
Deputy Sheriff: *Burns* Deputy Clerk: *Giovannozzi*
Date of Action: 01/10/2011 ROR
DOB: Gender: Male Race: Black Social:
Arrest Date: 02/04/2010 Arrest Agency: Lee County Sheriff Location: Lee County

Table with 5 columns: Count, Description, Statute - Degree, Filed Status, Reopened Reason. Row 1: 1, LARC - GRAND THEFT 3, 812.014(2c1) - FT, FI, VOP

- APPEARANCE
Pres(w/atty)by/atty w/o atty
Failed to appear
BW Ordered-Hold No Bond
BW Ordered-Bond Set at \$
Bond Estreature Ordered
ROR/SR Revoked
Set Aside BW
Set Aside Estr - Bond Remains Active
Set Aside Estr - Bond Discharged
Set Aside Estr - Other
Case continued to service of BW

- MOTIONS
To Withdraw Set Bond Reduce Bond
Revoke Bond
Bond Set @ \$
Granted Denied Reserves Ruling
Motion Withdrawn Cancelled
Determine Indigent Status
Indigent-Public Defender Appt.
Not Indigent

- ASSESSMENTS
Cost of Prosecution \$ 100
Pub Def Application Fee \$ 50
Def Atty Costs at Conviction \$ 100
Monetary obligations, except restitution may be satisfied by doing community service work at rate of \$10.00 per hour
Monetary obligations due and owing
Carried forward
Reduced to judgment
Clerk of Court collections

- REVOCATION HEARINGS
Arraigned-PL NG to VOP (State County)
Arraigned-PL NG to VOCC
Hrg Set For @ AM / PM
Courtroom 4A 7A 7B 8A 8B
PL G to VOP/VOCC
Adj Guilty Adj W/H
Court finds defendant guilty of Violation(s)-ruling read into the Court Record (no need to record each violation)
Prob/Comm Control Modified Reinstated
Same terms and conditions as previously imposed
Prob/Comm Control Revoked and Terminated
All Standard, Special Terms & Conditions Terminated
Monetary Terms Terminated
Restitution Reduced to Judgment
Monetary obligations due and owing
Reduced to judgment Carried forward
Clerk of Court collections
Dismiss Warrant
Continue on Probation
Release from Custody on this case only
Remanded into Custody
Public Defender Appointed in Open Court
Probation Officer Present
Rev Hrg contd to @ AM / PM
Courtroom 4A 7A 7B 8A 8B

DEFENDANT/ATTORNEY FL BAR #

If you are a person with a disability who needs any accommodation in order to participate in this proceeding, you are entitled, at no cost to you, to the provision of certain assistance. Please contact Ken Kellum, Court Operations Manager, whose office is located at Lee County Justice Center, 1700 Monroe Street, Fort, Myers, FL 33901, and whose telephone number is (239) 533-1700, within seven working days of your receipt of this Minute Summary; if you are hearing or voice impaired, call 711.

Received by: LCSO Date Judge Rev. 08/26/2010

IN THE CIRCUIT COURT OF THE TWENTIETH JUDICIAL CIRCUIT IN AND FOR
LEE COUNTY, FLORIDA
CRIMINAL DIVISION

Continuation of proceedings 01/10/2011
For **Jarvis Jackson**

On Case: **10-CF-014629**

SENTENCE

Prison _____ Mo/Years(s)
Each Count Concurrent _____ And Concurrent with Case(s) _____

Sentence Suspended _____
Minimum Mandatory _____ Year(s)
 Sentenced as Youthful Offender _____
 Jail 90 Days/Mo/Year(s)
Each Count Concurrent _____ And Concurrent w/ Case(s) _____

Sentence Suspended
 As A Condition of Probation _____ Followed By _____
 CTS 24 Days Prior to Sentencing
24 Days from VOP/VOCC Arrest on Split Sentence
DOC to Compute Prison CTS _____
Community Control _____ Mo/Year(s)
Each Count Concurrent _____ And Concurrent with Case(s) _____

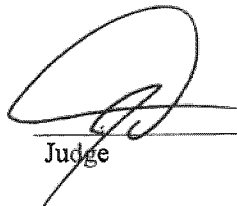
Probation 12 Mo/Year
(s) S-State, R-County, N- County Non Report, C- Court Non Report Admin
Drug Offender Probation _____ Curfew (From _____ AM / PM To _____ AM / PM)
Sex Offender Probation _____
Each Count Concurrent _____ And Concurrent with Case(s) _____

SENTENCING PROVISIONS

Community Service Hours _____ Complete by _____
May petition the Court for an early termination of Supervision once all conditions are met
May petition the Court for half early out of Supervision once all conditions are met
No Early Termination of Supervision
Habitual Offender _____ Violent Habitual Offender _____ Prison Release Re-Offender _____
Drug Testing without probable cause at the defendant's expense during the period of supervision
DNA Testing-Taken _____ at LCJ _____ in Court
No Contact with Victim(s) _____ No Violent Contact w/ Victim(s) _____
DL SUSP/REV _____ Days () _____ Mos () _____ Yrs ()
Be evaluated/screen for Substance Abuse by SWFAS (or equivalent program) enter & complete
Outpatient counseling and aftercare; follow recommendations. Complete on first attempt.
Remain in custody until bed space available
Betty Scruggs Program/Life Skills Program
Batterer's Intervention Program _____ Attend Anger Management

Report to probation within 3 days of release

Received by: _____
LCSO _____ Date _____


Judge _____

Filed Lee County Clerk of Courts Criminal Division

STATE OF FLORIDA

IN THE TWENTIETH JUDICIAL
CIRCUIT COURT, IN AND FOR
LEE COUNTY

FILED LEE CO. FLORIDA
CLERK OF COURTS

-VS-

2011 JAN 31 AM 10:35

CASE NUMBER 10-CF-014629

JARVIS JACKSON

Defendant

BY _____ D.C.

DC NUMBER Y43777

Local Jurisdiction Identification Number: _____

ORDER OF PROBATION

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

- entered a plea of guilty to
- entered a plea of nolo contendere to
- been found guilty by jury verdict of
- been found guilty by the court trying the case without a jury of

Count 1: GRAND THEFT

SECTION 1: JUDGMENT OF GUILT

- The court hereby adjudges you to be guilty of the above offense(s).

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on **Probation** for a period of twelve (12) months under the supervision of the Department of Corrections, subject to Florida law.

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

- (1) You will report to the probation office as directed. Not later than the fifth day of each month, unless otherwise directed, you will make a full and truthful report to your officer on the form provided for that purpose.
- (2) You will pay the State of Florida the amount of \$50.00 per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.
- (3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.
- (4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.
- (5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.
- (6) You will not associate with any person engaged in any criminal activity.
- (7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician. Nor will you visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.
- (8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.

Filed Lee County Clerk of Courts Criminal Division

Jarvis Jackson
Case No. 10-CF-014629

- (9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.
- (10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.
- (11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.
- (12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.
- (13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.
- (14) You will report in person within 72 hours of your release from incarceration to the probation office in Lee County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at 2234 Cleveland Avenue, Ft. Myers, FL 33901.

SPECIAL CONDITIONS

- 1. You will make restitution to the following victim(s), as directed by the court, until the obligation is paid in full:
NAME: GOODWILL INDUSTRIES C/O EMILY HAUSER 4940 BARFENI DRIVE, N. FT. MYERS, FL
TOTAL AMOUNT: \$900.64
Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several: MINIMUM PAYMENT OF \$100.00 PER MONTH *
- 2. Other: ATTEND ANTI-THEFT SCHOOL *

*** ABOVE CONDITIONS ORIGINALLY IMPOSED APRIL 21, 2010**

Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in addition to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:

- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
- Are designated as a sexual predator pursuant to s. 775.21; or
- Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s. 943.0435(1)(a)1.a.(I), or a similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense; the following conditions are imposed in addition to all other conditions:

(a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The court may also designate additional locations to protect a victim. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.

(b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

Filed Lee County Clerk of Courts Criminal Division

Jarvis Jackson
Case No. 10-CF-014629

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay the following charges/costs/fees if checked:

CHECK ALL THAT ARE ORDERED:

FINES

\$250.00 * Total of fines assessed in sentence, pursuant to s. 775.083 (1)(a) through (g) or Chapter 316, F.S.

MANDATORY COSTS IN ALL CASES

\$393.00 * Additional court cost for felony offense, pursuant to s. 938.05(1)(a), F.S.

\$100.00 * Prosecution Costs, pursuant to s. 938.27, F.S. (Minimum of \$100 Felony/\$50.00 Misdemeanor)

MANDATORY COURT COSTS FOR COURT-APPOINTED COUNSEL CASES

\$ 50.00 * Public Defender/Appointed Counsel Application Fee, if not previously collected, pursuant to ss. 27.52 and s. 938.29, F.S.

\$100.00 * Public Defender/Appointed Counsel Fees and Costs, pursuant to s. 938.29, F.S. as determined locally (Minimum of \$100 Felony/\$50.00 Misdemeanor).

*ORIGINALLY IMPOSED ON APRIL 21, 2010

MANDATORY COSTS IN ALL CASES

\$100.00 Prosecution Costs, pursuant to s. 938.27, F.S. (Minimum of \$100 Felony/\$50.00 Misdemeanor)

MANDATORY COURT COSTS FOR COURT-APPOINTED COUNSEL CASES

\$ 50.00 Public Defender/Appointed Counsel Application Fee, if not previously collected, pursuant to ss. 27.52 and s. 938.29, F.S.

\$100.00 Public Defender/Appointed Counsel Fees and Costs, pursuant to s. 938.29, F.S. as determined locally (Minimum of \$100 Felony/\$50.00 Misdemeanor).

TOTAL AMOUNT OF COURT COSTS ORDERED = \$1143.00

OTHER OBLIGATIONS IMPOSED

\$ 2.00 Per month for each month of supervision for Training Trust Fund Surcharge, pursuant to s. 948.09, F.S.

PAYMENT IS TO BE MADE THROUGH AND PAYABLE TO: Department of Corrections or Clerk of Court

(If collected by the Department of Corrections, a surcharge of 4% will be added to all payments ordered by the court, pursuant to s. 945.31, F.S.)

MONETARY OBLIGATIONS, EXCEPT RESTITUTION, MAY BE SATISFIED DOING COMMUNITY SERVICE WORK AT A RATE OF \$10.00 PER HOUR.* (Originally imposed on April 21, 2010).


Filed Lee County Clerk of Courts Criminal Division

Jarvis Jackson
Case No. 10-CF-014629

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED, on January 10, 2011

NUNC PRO TUNC _____



John W. Dommerich, Circuit Judge

I acknowledge receipt of a copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date: _____

_____ Defendant

Instructed by: _____
Supervising Officer

NB: ts

OCCUPATIONAL LICENSE FEE VOUCHER

Date 9/23/2022

Applicant Name: Jarvis Jackson
(Please print)

Position/Title: Players Club Rep
(Please print)

This voucher must be submitted with the applicant's completed Slot Machine Individual Occupational License Application form to the DBPR Licensing and Compliance Office.

Upon receipt of an authorized voucher, Calder Casino & Race Course agrees to remit to the Division:

\$50 (1-year license)

\$100 (3-year license)

Upgrade Fee \$ _____



Human Resources Authorized Signature

For Accounting use only.

White-DBPR • Yellow-HR

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Marc Taupier, Chief Attorney
Re: Adrian Lamar Kenon, Jr.; Case No. 2022-048911 – License Denial
Date: October 25, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Adrian Lamar Kenon, Jr.'s ("Applicant") application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Applicant submitted a complete application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License on October 6, 2022. Upon review of the application, it appears Applicant has been convicted of a felony crime. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On October 6, 2022, Applicant submitted their application to the Division of Pari-Mutuel Wagering for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Upon review of the completed application, it appears that Applicant was convicted of a felony crime in the state of Florida. Specifically, the Applicant was convicted of Possession of Cocaine in 2009.

Applicant did not apply for a waiver for his felony conviction, however, under section 551.107(6)(a), Florida Statutes, the legislature did not authorize the Executive Director to waive criminal convictions for slot machine licensing.

Relevant Law

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

“. . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling,

importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Section 849.086(6)(f), Florida Statutes, provides that the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

Section 849.086(6)(g), Florida Statutes, provides that:

“[t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.”

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's disqualifying criminal conviction cannot be waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

[Complaint Search](#)
 [Change Recording License Type](#)
 [Delete Complaint](#)
 [Mass Activity Update](#)
 [Mass Discipline Update](#)
[Mass Status Update](#)
 [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **critter**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	10 Initial Review	Status Date	10/11/2022
Complaint #	2022048911	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent KENON, ADRIAN LAMAR JR	Responsible	ddonaldson - DONALDSON, DAVID	Private Case	

Complaint	Respondent	Complainant	Add'l Info
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Source	INTN - Internal	Security Level	1	Parties	Activities
Form	INTR - Internal	Priority		Allegations	Discipline
Class'n	CHIS - Criminal History	Complexity	R - Regular	Violations	Compliance
Security	STND - Standard	Incident	10/06/2022	Related	Disposition
Region	NR - Northern Region	Received	10/11/2022	Inspection	
Reference				Costs	
Entered	10/11/2022	Entered By	critter	Time Tracking	Auto Assign
Summary	Application and rap sheet reviewed. Applicant's criminal history resulted in felony conviction. (Dania Entertainment Center LLC/274)			Attachments	History
Updated	10/11/2022 12:27:20	By	critter	Work Notes	Print Report

Change	Save	OK	Cancel	Back
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Get Adobe Reader.

Licensing Administrator Review – Slot Machine Occupational License

RE: Kenon, Adrian Lamar Jr.

Case No: 2022-048911

(APPLICANT'S NAME- LICENSE #)

INITIAL APPLICATION RECEIVED:	<u>10/6/2022</u>
COMPLETE APPLICATION RECEIVED:	<u>10/6/2022</u>
90-DAY DEADLINE:	<u>1/4/2023</u>

Cindy Ritter
Application Processor

Dania Entertainment/#274
Facility (d/b/a name)

Food & Bev. Cashier/1055
Occupation/Job Title/Lic Type

Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review

Did the application accurately reflect the Criminal History Record? Yes No
Conviction

- Felony : 1
 Misdemeanor – Gambling Related/Bookmaking
 Two or more misdemeanor convictions that involve theft, fraud, or burglary
 Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Disposition
6/11/2009	Broward County, Florida	Possession of Cocaine Case #2009CF010943A88810	F-3rd	Adjudication W/H; 12 months prob. Probation revoked

Disposition Unknown

- Felony Arrest(s) : 3
 Misdemeanor Arrest – Gambling Related/Bookmaking
 Two or more misdemeanor arrests/counts that involve theft, fraud, or burglary

Comments:

Arrest Date	Location	Charge	Level	Disposition
6/04/2010	Broward County, Florida	Violation of Probation Case #2009CF010943A88810	F	Unknown
03/31/2011	Broward County, Florida	Violation of Probation Case #2009CF010943A88810	F	Unknown
05/19/2011	Broward County, Florida	Violation of Probation Case #2009CF010943A88810	F	Probation revoked 06/06/2011

Enforcement/Jurisdiction Offenses

- Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.


Comments:

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business

Is the individual applicant related to a business? Yes No If yes, complete sections below.

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Date Deficiency Letter Issued:	Initials:
Comments:	

Licensing Administrator Review	
Disposition Confirmation	
Disqualifying Convictions/Arrests Confirmed? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<input type="checkbox"/> Approval
Forward to <input type="checkbox"/> Investigations <input checked="" type="checkbox"/> Legal <input type="checkbox"/> Director	Initials: 
Comments:	

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you previously worked for a gaming-related employer?
If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

State of Florida Vs. Kenon, Adrian


Broward County Case Number: 09010943CF10A
State Reporting Number: 062009CF010943A88810
Court Type: Felony
Case Type: Felony
Filing Date: 06/12/2009
Case Status: Disposed
Court Location: Central Courthouse
Judge ID / Name: Lynch - FA, Michael
Magistrate Id / Name: N/A
BCCN: 0757513


- Party(ies)

Total: 4

Party Type

Party Name

 Address

 Attorneys / Address

★ Denotes Lead Attorney

Offense Date	Charge	Degree	Detail
06/11/2009	1	(F3)	Possession of Cocaine Date Filed: 06/11/2009 Current Statute: (F3)893.03(2)(a)4 Filing Type: SAO Information Filing Agency: Broward Sheriff Office/Dania Original Statute: (F3)893.03(2)(a)4-Possession of Cocaine
06/11/2009	2	(M1)	Possess Cannabis/20 Grams Or Less Date Filed: 06/11/2009 Current Statute: (M1)893.13(6)(B) Filing Type: SAO Information Filing Agency: Broward Sheriff Office/Dania Original Statute: (M1)893.13(6)(B)-Possess Cannabis/20 Grams Or Less
06/11/2009	3	(M1)	Resist/Obstruct W/O Violence Date Filed: 06/11/2009 Current Statute: (M1)843.02 Filing Type: SAO Information Filing Agency: Broward Sheriff Office/Dania Original Statute: (M1)843.02-Resist/Obstruct W/O Violence
06/11/2009	4	(M1)	Trespass/Property/Other Structure Date Filed: 06/11/2009 Current Statute: (M1)810.091 Filing Type: SAO Information- Change of Charge Filing Agency: Broward Sheriff Office/Dania Original Statute: (M1)810.091-Trespass/Property/Other Structure

+ Warrant(s)

Total: 4

+ Bond(s)

Total: 1

+ Arrest(s)

Total: 13

- Disposition(s)

Total: 4

Offense Date	Charge	Disposition(s)
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Offense Date	Charge	Disposition(s)
* 06/11/2009	1	<p>Plea(s) 04/12/2010 Amended Plea (Reason: Change of Plea,) Change of Plea-Nolo Contendere 09/21/2009 Plea <i>Arrestment Not Guilty-Jury</i></p> <p>Disposition(s) 06/06/2011 Amended Disposition (Reason: Probation Revoked,) Adjudicated 04/12/2010 Disposition <i>Adjudication Withheld</i></p> <p>Sentence(s) 06/06/2011 Amended Sentence (Judicial Officer(s): Porter, Lisa) Comment (Total Amount Imposed \$697.00) Provisions: Provisions (Alcohol Anon Meeting, Narcotc ANON Meeting, Original Terms/Conds, Probation Reinstated, Probation Revoked) Comment(Waive DOC surcharge) State Probation (6 Month(s), Start Date: 04/05/2011, End Date: 06/06/2011, Comment: 060611 probation revoked) Confinement (Effective 06/06/2011, Min. Not Applicable, Max. 30 Day(s), Broward County Jail, Credit Time Served: 21 Day(s), Comment: pay balance)</p> <p>04/05/2011 Amended Resentencing (Judicial Officer(s): Towbin-Singer, Michele) Comment (Total Amount Imposed \$697.00) Provisions: Provisions (Alcohol Anon Meeting, Narcotc ANON Meeting, Original Terms/Conds, Probation Reinstated) Comment(Waive DOC surcharge) State Probation (6 Month(s), Start Date: 04/05/2011, End Date: 10/05/2011)</p> <p>04/12/2010 Amended Resentencing (Judicial Officer(s): Towbin-Singer, Michele) Comment (Total Amount Imposed \$547.00) State Probation (0 Year(s) 6 Month(s) 0 Day(s), Start Date: 04/12/2010, End Date: 10/12/2010, Comment: 040511 probation reinstated and extended 6 months from today) Provisions: Provisions (Drug Offender Probation, Probation Modified, Probation Reinstated)</p> <p>04/12/2010 Sentence (Judicial Officer(s): Porth - FR, Ari Abraham) Comment (Docketrac Sentence Record Note: 062910-Reinst & Ext 6mos From Orig Date) Comment (Total Amount Imposed \$547.00) Charge Reopen Status (06/29/2010, Closed, 1) State Probation (0 Year(s) 12 Month(s) 0 Day(s), Start Date: 04/12/2010, End Date: 04/12/2011)</p>

Offense Date	Charge	Disposition(s)
06/11/2009	2	<p>Plea(s) 04/12/2010 Amended Plea (Reason: Change of Plea,) Change of Plea-Nolo Contendere 09/21/2009 Plea <i>Arraignment Not Guilty-Jury</i></p> <p>Disposition(s) 04/12/2010 Disposition Adjudication Withheld</p> <p>Sentence(s) 04/12/2010 Amended Resentencing (Judicial Officer(s): Towbin-Singer, Michele) Comment (Total Amount Imposed \$178.00) State Probation (0 Year(s) 6 Month(s) 0 Day(s), Start Date: 04/12/2010, End Date: 04/05/2011, Comment: 040511 probation terminated) Sentence Status (Concurrent, Case#: 09-010943-CF10A, Counts: 001-001, Comment: Def#:09010943CF10A Count:001) Provisions: Provisions (Drug Offender Probation, Probation Modified, Probation Reinstated)</p> <p>04/12/2010 Sentence (Judicial Officer(s): Porth - FR, Ari Abraham) <i>Comment (Docketrac Sentence Record Note: 062910-Reins & Ext 6 Mos From Orig Date)</i> <i>Comment (Total Amount Imposed \$178.00)</i> State Probation (0 Year(s) 12 Month(s) 0 Day(s), Start Date: 04/12/2010, End Date: 04/12/2011) Sentence Status (Concurrent, Case#: 09-010943-CF10A, Counts: 001-001, Comment: Def#:09010943CF10A Count:001)</p>
06/11/2009	3	<p>Plea(s) 11/02/2009 Amended Plea (Reason: Change of Plea,) Change of Plea-Nolo Contendere 09/21/2009 Plea <i>Arraignment Not Guilty-Jury</i></p> <p>Disposition(s) 11/02/2009 Disposition Adjudication Withheld</p> <p>Sentence(s) 11/02/2009 Sentence (Judicial Officer(s): Porth - FR, Ari Abraham) Comment (Total Amount Imposed \$273.00)</p>

Offense

Date Charge Disposition(s)

06/11/2009 4 **Plea(s)**
11/02/2009 Amended Plea (Reason: Change of Plea,)
Change of Plea-Nolo Contendere
09/21/2009 Plea
Arraignment Not Guilty-Jury

Disposition(s)
11/02/2009 Disposition
Adjudication Withheld

Sentence(s)
11/02/2009 Sentence (Judicial Officer(s): Porth - FR, Ari Abraham)
Comment (Total Amount Imposed \$223.00)

+ Collection(s)

Total: 4

+ Event(s) & Document(s)

Total: 70

+ Hearing(s)

Total: 16

+ Related Case(s)

Total: 0

FARI

I 82409/0800/ARRGN/072909/F

CIRCUIT COURT DISPOSITION ORDER IN AND FOR BROWARD COUNTY, FLORIDA

Case Number 09010943CF10A Arrest Number DN09000647 BCCN # 0757513

State of Florida VS KENAN, ADRIAN AKA

Judge WILLIAM W HAURY JR Cash bond / Return to depositor / Surety bond / IC

Cash bond number(s)

- Charges 001 POSSESSION OF COCAINE
- 002 POSS CANNABIS/20 GRAMS OR LESS
- 003 RESIST/OBSTRUCT/W/O VIOL
- 004 TRESP/PROP/OTH STRUCT

Transfer to Div FA

() REMANDED () REMAIN IC () UNTIL PICKED UP BY BED AVAILABLE AT OR

- () Arraignment (x) Change of Plea () Guilty (x) No Contest () PSI/PDR () Sentencing / Re-Sentencing
 - () Trial by Jury () Trial by Court () First VOP/VOCC () Final VOP/VOCC () Admits Allegations
 - () Convicted by Jury/Court () Acquitted by Jury/Court () Dismissed () Speedy
 - () Discharged () Nolle Prosequi () Found Incompetent/Committed to Child/Family Services
 - () Adj. Guilty (x) Adj. Withheld () Adj. Delinquent
 - () Committed to DJJ/Level () Sentence Withheld () Previous Sentence Vacated
 - () PSI Ordered
- Adj. and Sentence deferred to

Type of probation / Community Control:
 () Youthful Offender () Drug Offender () Sexual Offender () Habitual Offender () Mental Health () County
 PROBATION/COMM. CONTROL: () Revoked () Reinstated () Modified () Terminated
 Extended () All previous special conditions apply
 WARRANT: () Dismissed () Withdrawn () Served in open court

SENTENCE: (PROBATION / COMM. CONTROL)
 COUNT(S): 1+2
 () Years (x) Months () Days (x) Probation () Community Control () Followed by
 () Years () Months () Days () Probation () Community Control
 (x) each count concurrent/consecutive () Concurrent () Consecutive to case number
 COUNT(S):
 () Years () Months () Days () Probation () Community Control () Followed by
 () Years () Months () Days () Probation () Community Control
 () each count concurrent/consecutive () Concurrent () Consecutive to case number

SENTENCE: (INCARCERATION)
 COUNT(S): () One year plus one day () Years () Months () Days
 () BCJ () FSP, w/credit for days T/S
 () Followed by () Years () Months () Days () Probation () Community Control
 () Each count concurrent/consecutive () Concurrent/consecutive () To case number
 () Any other sentence () Work release () Prison sentence suspended
 COUNT(S): () One year plus one day () Years () Months () Days
 () BCJ () FSP, w/credit for days T/S
 () Followed by () Years () Months () Days () Probation () Community Control
 () Each count concurrent/consecutive () Concurrent/consecutive () To case number
 () Any other sentence () Work release (x) Prison sentence suspended

JUDGE *William W Haury Jr* DEPUTY CLERK *[Signature]* DATE *4/1/11*

FARI.

State of Florida VS KENAN ALAN

Case Number 09010943CF10A

2 of 3

SPECIAL CONDITIONS OF PRISON SENTENCE:

- Habitual Violent Offender mandatory minimum _____ years Ct(s) _____
- Violent Career Criminal mandatory minimum _____ years Ct(s) _____
- Prison Release Reoffender mandatory minimum _____ years Ct(s) _____
- Firearm mandatory minimum _____ years Ct(s) _____
- Other mandatory minimum _____
- Habitual Offender Ct(s) _____ Youthful Offender Sexual Predator/Offender Boot Camp
- Drug Treatment Tier _____ Program
- To be given credit for all time previously served in prison, to be calculated by Department of Corrections

SPECIAL CONDITIONS OF PROBATION:

- _____ days BCJ w/credit for _____ days T/S work release Boot Camp
- ATTAC Work release after successful completion of ATTAC Electronic Monitor Drug Treatment
- BSO/SAP Upon successful completion of drug program jail sentence shall be terminated

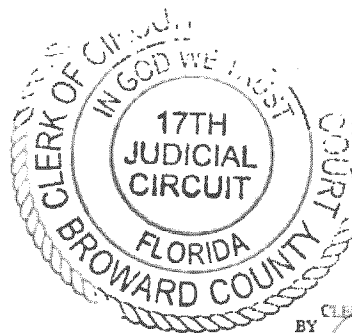
- _____ hours of Community Service
- \$ _____ COS waived/ imposed
- Anger Management Program
- BARC followed by _____
- Blood draw per F.S. 943.325 - 2 samples for conviction of sexual assaults; lewd or indecent acts; homicides (782.04) aggravated battery; home invasion robbery or carjacking
- Curfew _____
- Drug / Alcohol evaluation and treatment recommended
- Forfeit weapon / firearm
- F.A.C.T.
- House of Hope
- IRT followed by _____
- May transfer probation to _____
- May travel _____ for work purposes
- No contact with minor children without adult supervision
- No contact directly or indirectly with victim(s) or victim's family or others listed
- No driving without valid driver's license
- No drugs or alcohol
- Enter and successfully complete _____
- Drug Court Monitoring/Hearing set _____
- Other _____
- Obtain GED or High School diploma
- Peg Program
- Psychological/Psychiatric evaluation and treatment necessary
- Random drug/alcohol testing
- Random urinalysis/waive cost
- Recommend 2-year Driver's License Suspension
- Restitution ordered \$ _____ amount reserved
- Restitution converted to a civil lien
- Spectrum
- Substance abuse evaluation
- Turning Point Bridge Program/Aftercare
- Work Permit
- Make donation of \$ _____ to _____

- \$225 Trust Fund
- \$50 VC
- \$5 Assessment each count
- \$50 SNI count(s) _____
- \$50 PD application fee waived / imposed \$ _____
- \$ _____ fine plus \$ _____ surcharge count(s) _____
- \$ _____ Court Costs count(s) _____
- Pay balance of previously imposed costs Waive all court costs
- Balance of court costs and fees converted to a civil lien \$100 Cost of Prosecution
- PD fee converted to a civil lien
- Other _____
- \$100 OTF count(s) _____
- \$20 ESTF count(s) _____
- \$2 P.C. each count
- \$65 AC each count
- \$10 Article V Assessment
- \$201 DVC count(s) _____
- \$151 RCP count(s) _____
- \$101 CAM count(s) _____
- \$20 SNI count(s) _____
- \$15 CFF count(s) _____
- PD fee
- Extradition costs

JUDGE [Signature]

DEPUTY CLERK [Signature]

DATE 4/15/11



BROWARD COUNTY, FLORIDA
I certify this document to be a true
and correct copy of the original.
WITNESS MY HAND AND SEAL.

SEP 27 2022

BY BRENDA D. FORMAN
CLERK OF COUNTY & CIRCUIT COURT D.C.

Liz Bate

CIRCUIT COURT DISPOSITION ORDER IN AND FOR BROWARD COUNTY, FLORIDA

Case Number 09-109430 FIOA Arrest Number DNO9-647 BCCN # 07-57513

State of Florida VS Adrian Kenan AKA

Judge William W. Haury, Jr. Cash bond / Return to depositor / Surety bond / IC

Cash bond number(s)

Charges 3) Resist/Obstruct w/o Viol 4) Trespass/Prop/oth Structure

CFN # 108976805 OR BK 46668 Pages 1288 - 1790 RECORDED 11/16/09 11:25:10 BROWARD COUNTY COMMISSIONER DEPUTY CLERK 2090 #2-3 Pages

() REMANDED () REMAIN IC () UNTIL PICKED UP BY BED AVAILABLE AT OR

() Arraignment () Change of Plea () Guilty () No Contest () PSI/PDR () Sentencing / Re-Sentencing () Trial by Jury () Trial by Court () First VOP/VOCC () Final VOP/VOCC () Admits Allegations () Convicted by Jury/Court () Acquitted by Jury/Court () Dismissed () Speedy () Discharged () Nolle Prosequi () Found Incompetent/Committed to Child/Family Services () Adj. Guilty () Adj. Withheld 3-21 () Adj. Delinquent () Committed to DJJ/Level () Sentence Withheld 3-21 () Previous Sentence Vacated () PSI Ordered Adj. and Sentence deferred to

Type of probation / Community Control: () Youthful Offender () Drug Offender () Sexual Offender () Habitual Offender () Mental Health () County PROBATION/COMM. CONTROL: () Revoked () Reinstated () Modified () Terminated Extended () All previous special conditions apply WARRANT: () Dismissed () Withdrawn () Served in open court

SENTENCE: (PROBATION / COMM. CONTROL) COUNT(S): () Years () Months () Days () Probation () Community Control () Followed by () Years () Months () Days () Probation () Community Control () each count concurrent/consecutive () Concurrent () Consecutive to case number COUNT(S): () Years () Months () Days () Probation () Community Control () Followed by () Years () Months () Days () Probation () Community Control () each count concurrent/consecutive () Concurrent () Consecutive to case number

SENTENCE: (INCARCERATION) COUNT(S): () One year plus one day () Years () Months () Days () BCJ () FSP, w/credit for days T/S () Followed by () Years () Months () Days () Probation () Community Control () Each count concurrent/consecutive () Concurrent/consecutive () To case number () Any other sentence () Work release () Prison sentence suspended COUNT(S): () One year plus one day () Years () Months () Days () BCJ () FSP, w/credit for days T/S () Followed by () Years () Months () Days () Probation () Community Control () Each count concurrent/consecutive () Concurrent/consecutive () To case number () Any other sentence () Work release () Prison sentence suspended

JUDGE William W. Haury, Jr. DEPUTY CLERK DATE 11/2/09

State of Florida VS

Adrian Koman

Case Number

09-109430 of 104

SPECIAL CONDITIONS OF PRISON SENTENCE:

- Habitual Violent Offender mandatory minimum _____ years Ct(s) _____
- Violent Career Criminal mandatory minimum _____ years Ct(s) _____
- Prison Releasee Reoffender mandatory minimum _____ years Ct(s) _____
- Firearm mandatory minimum _____ years Ct(s) _____
- Other mandatory minimum _____
- Habitual Offender Ct(s) _____ () Youthful Offender () Sexual Predator/Offender () Boot Camp
- Drug Treatment () Tier _____ Program
- To be given credit for all time previously served in prison, to be calculated by Department of Corrections

SPECIAL CONDITIONS OF PROBATION:

- _____ days BCJ w/credit for _____ days T/S () work release () Boot Camp
- ATTAC () Work release after successful completion of ATTAC () Electronic Monitor () Drug Treatment
- BSO/SAP () Upon successful completion of drug program jail sentence shall be terminated

- _____ hours of Community Service
- \$ _____ COS waived/ imposed
- Anger Mangement Program
- BARC () followed by _____
- Blood draw per F.S. 943.325 - 2 samples for conviction of sexual assaults; lewd or indecent acts; homicides (782.04) aggravated battery; home invasion robbery or carjacking
- Curfew _____
- Drug / Alcohol evaluation and treatment recommended
- Forfeit weapon / firearm
- F.A.C.T.
- House of Hope
- IRT () followed by _____
- May transfer probation to _____
- May travel _____ for work purposes
- No contact with minor children without adult supervision
- No contact directly or indirectly with victim(s) or victim's family or others listed
- No driving without valid driver's license
- No drugs or alcohol
- Enter and successfully complete _____
- Drug Court Monitoring/Hearing set _____
- Other _____
- Obtain GED or High School diploma
- Peg Program
- Psychological / Psychiatric evaluation and treatment necessary
- Random drug/alcohol testing
- Random urinalysis/waive cost
- Recommend 2-year Driver's License Suspension
- Restitution ordered \$ _____ / amount reserved
- Restitution converted to a civil lien
- Spectrum
- Substance abuse evaluation
- Turning Point Bridge Program/Aftercare
- Work Permit
- Make donation of \$ _____ to _____

COSTS

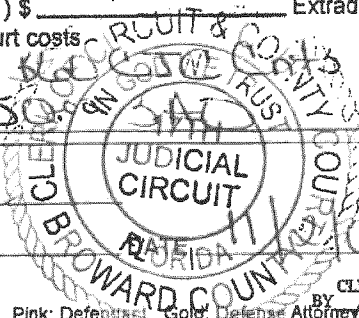
- ²²⁵ \$200 Trust Fund
- \$50 VC each count 3-4
- \$5 Assessment each count
- \$50 SN1
- \$15 CFF each count
- \$20 PD application fee waived / imposed () \$ _____ PD fee
- \$ _____ fine plus \$ _____ surcharge count(s) _____
- \$ 220 Court Costs count(s) 3-4 () \$ _____ Extradition costs
- Pay balance of previously imposed costs () Waive all court costs
- Balance of court costs and fees converted to a civil lien
- PD fee converted to a civil lien
- Other _____
- \$100 OTF
- \$20 CSTF
- \$2 T.C. each count 3-4
- \$65 AC each count 3-4
- \$201 DVC
- \$151 RCP
- \$101 CAM
- \$20 SN1 3-4

JUDGE

[Signature]

DEPUTY CLERK

[Signature]

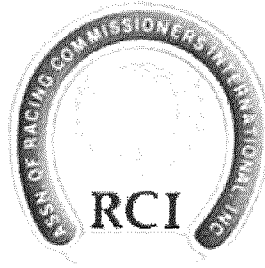


BROWARD COUNTY, FLORIDA
I certify this document to be a true and correct copy of the original.
WITNESS MY HAND AND SEAL

SEP 27 2022

BRENDA FORMAN
CLERK OF COUNTY & CIRCUIT COURT

[Signature]



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: Adrian
Middle Name:
Last Name: Kenon
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Marc Taupier, Chief Attorney
Re: Terrence Antonio Harvey; Case No. 2022-049319 – License Denial
Date: October 25, 2022

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Terrence Antonio Harvey's ("Applicant") application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Applicant submitted a complete application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License on October 12, 2022. Upon review of the application, it appears Applicant has been convicted of several felony crimes. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On October 12, 2022, Applicant submitted their application to the Division of Pari-Mutuel Wagering for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Upon review of the completed application, it appears that Applicant was convicted of several felony crimes in the Commonwealth of Virginia. Specifically, the Applicant was convicted of: Attempted Malicious Wounding:

- Attempted Malicious Wounding in 2016;
- Felony Hit and Run in 2003;
- Receiving Stolen Property in 2003;
- Malicious Wounding in 2003.

Applicant did not apply for a waiver for his felony convictions, however, under section 551.107(6)(a), Florida Statutes, the legislature did not authorize the Executive Director to waive criminal convictions for slot machine licensing.

Relevant Law

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

“. . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Section 849.086(6)(f), Florida Statutes, provides that the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

Section 849.086(6)(g), Florida Statutes, provides that:

“[t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.”

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's disqualifying criminal convictions cannot be waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

RENEWAL OCCUPATIONAL LICENSE FEE VOUCHER

Date: 10/11/22

14371

Applicant Name: Terrance Harvey

(Please Print)

Position/Title: Security Officer

(Please Print)

This voucher must be submitted with the applicant's completed Slot Machine Individual Occupational License Application form to the DBPR Licensing and Compliance Office.

Upon receipt of an authorized voucher, The Big Easy Casino agrees to remit to the Division:

Paula Arleta
Human Resources Authorized Signature

\$ 50 (1-year license)

\$ 100 (3-year license)

894

For Accounting only.



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants must submit:

Completed Form DBPR PMW-3410 – Print clearly and complete all sections that are not optional in black or blue ink.

Provide Identification – Required by Rule 61D-14.010, Florida Administrative Code.

Provide a copy of one of the items below:

- US Passport
- Certificate of US Citizenship or Naturalization
- Permanent Resident Card

OR, Provide a copy of two of the items below:

- Birth Certificate (Certified Copy)
- Driver's License
- Military ID Card
- Student ID Card
- Country ID Card
- Foreign Passport
- Government Issued Credential
- Immigration ID Card

Additional Pages – If necessary to respond to any application questions.

Supporting Legal Documentation – If necessary to respond to background information questions in application.

Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.

- Slot Machine General Occupational License - \$100.00* *does not include fingerprint fee
- Slot Machine Professional Occupational License - \$100.00*
- Slot Machine/Cardroom/PMW Combination Occupational License - \$100.00*

Fingerprints – Choose One Option:

Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL923230Z.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.

Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see <http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx> for the current fee amount.

Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:

**Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395**

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you previously worked for a gaming-related employer?
 If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Yes
 No
 Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No
 Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
Feb 2003	VA Beach	VA	Malicious Wounding	Felony	20 years

Yes
 No
 Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

Yes
 No
 Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

I was a juvenile @ the time of conviction
 However I was released when I was an
 Adult.

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – Slot Machine Occupational License

RE: HARVEY, TERRENCE ANTONIO-13365780 Case No: 2022-049319
(APPLICANT'S NAME– LICENSE #)

INITIAL APPLICATION RECEIVED:	10/11/2022
COMPLETE APPLICATION RECEIVED:	10/12/2022
90-DAY DEADLINE:	1/10/2023

Cindy Ritter	The Big Easy, #144	Security Officer/1055
Application Processor	Facility (d/b/a name)	Occupation/Job Title/Lic Type

Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review

Did the application accurately reflect the Criminal History Record? Yes No

Conviction

Felony :

Misdemeanor – Gambling Related/Bookmaking

Two or more misdemeanor convictions that involve theft, fraud, or burglary

Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Disposition
07/29/2015	Commonwealth of Virginia	Malicious Wounding (attempt) Case #CR15F00267-00	F	5 year suspended sentence; 5 years unsupervised probation

Disposition Unknown

Felony Arrest(s) :

Misdemeanor Arrest – Gambling Related/Bookmaking

Two or more misdemeanor arrests/counts that involve theft, fraud, or burglary

Comments:

Arrest Date	Location	Charge	Level	Disposition
6/24/2022	Broward County, Florida	Grand Theft	F-3 rd	Open Case

Enforcement/Jurisdiction Offenses

Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.

Comments:

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business

Is the individual applicant related to a business? Yes No If yes, complete sections below.

Business License Number: _____ Business Name: _____

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes No

Date Deficiency Letter Issued: _____ Initials: _____

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes No Approval

Forward to Investigations Legal Director Initials:  10/13/22

Comments: 7/20/2015 Virginia Felony Guilty verdict = from public Portal.

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

Complaint Search Change Recording License Type Delete Complaint Mass Activity Update Mass Discipline
 Update Mass Status Update Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: critter

VR Home > Complaint Search > Maintain Complaint

System Messages

- Complaint created. New Complaint # 2022049319.

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	10 Initial Review	Status Date	10/13/2022
Complaint #	2022049319	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	HARVEY, TERRENCE ANTONIO	Responsible	ddonaldson - DONALDSON, DAVID	Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input type="checkbox"/>	Activities
Form	INTR - Internal	Priority		<input type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	CHIS - Criminal History	Complexity	R - Regular		Violations		Compliance
Security	STND - Standard	Incident	10/11/2022		Related	<input checked="" type="checkbox"/>	Disposition
Region	NR - Northern Region	Received	10/13/2022	<input type="checkbox"/>	Inspection		
Reference					Costs		
Entered	10/13/2022	Entered By	critter		Time Tracking		Auto Assign
Summary	Application and rap sheet reviewed. Applicant's criminal history resulted in felony convictions. The Big Easy Casino/#144.				Attachments		History
Updated	10/13/2022 09:14:28	By	critter		Work Notes		Print Report

Change	Save	OK	Cancel	Back
--------	------	----	--------	------

Get Adobe Reader.

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you previously worked for a gaming-related employer?
 If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No
 Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
Feb 2003	VA Beach	VA	Malicious Maiming	Felony	20 years

Yes
 No
 Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

Yes
 No
 Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

I was a juvenile @ the time of conviction
 However I was released when I was an
 Adult.



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Wise Circuit Court (details)

Case #: **CR15F00267-00**

Defendant: **HARVEY, TERRENCE ANTONIO**

Defendant Information
Address: POUND, VA 24279
Gender: MALE
Race: OTHER (INCLUDES NOT APPLICABLE, UNKNOWN)
DOB: [REDACTED]
Attorney: FLEMING, J BRENT

Case/Charge Information
Defendant Status: CUSTODY
Filed Date: 07/20/2015
Locality: COMMONWEALTH OF VA
Code Section: 18.2-51
Charge: ATTEMPTE MALICIOUS WOUNDING (ATTEMPT)
Case Type: FELONY
Class: CLASS 5
Commenced By: DIRECT INDICTMENT
Offense Date: 03/18/2015
Arrest Date: 07/29/2015
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information
Appeal Date:

Hearing Information					
Date	Time	Result	Type	Courtroom	Plea Duration Jury
05/20/2016	09:00 AM	SENTENCED	PLEA		
03/21/2016	09:00 AM	CONTINUED	TRIAL		
01/11/2016	09:00 AM	CONTINUED	TRIAL		
11/10/2015	09:00 AM	CONTINUED	TRIAL		
07/29/2015	11:00 AM	SET FOR TRIAL	ADVISE ABOUT ATTORNEY ARRANGEMENTS		
07/20/2015	09:00 AM	TRUE BILL - DEF. ARRESTED ON CAPIAS	GRAND JURY		

Disposition Information
Disposition: GUILTY
Disposition Date: 05/20/2016
Concluded By: GUILTY PLEA
Jail/Penitentiary: PENITENTIARY
Concurrent/Consecutive:
Life/Death:
Sentence Time: 5 Year(s)
Sentence Suspended: 5 Year(s)
Program Type:

Program type:

Probation Type: **UNSUPERVISED**

Probation Time: **5 Year(s)**

Probation Starts: **PROBATION TO BEGIN UPON SENTENCING**

Operator License Suspension Time:

Restriction Effective Date:

Operator License Restrictions:

Military:

Traffic Fatality:

Court/DMV Surrender:

Driver Improvement Clinic:

VASAP:

Restitution Paid:

Restitution Amount:

Fine: **\$0.00 ***

Costs: **\$906.39 ***

Fine/Costs Paid:

Fine/Costs Paid Date:

* This system cannot process online payments at this time. Please refer to ' [How to Pay Traffic Tickets and Other Offenses](#) ' for more information.

Service/Process 

Pleadings/Orders 

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Virginia Beach Circuit Court (details)

[Subscribe](#)

Case #: **CR02003312-03**

Defendant: **HARVEY, TERRENCE ANTONIO**

Defendant Information
Address: HAMPTON, VA 23661
Gender: MALE
Race: BLACK
DOB: [REDACTED]
Attorney:

Case/Charge Information
Defendant Status: CUSTODY
Filed Date: 08/21/2002
Locality: COMMONWEALTH OF VA
Code Section: 46.2-894
Charge: HIT & RUN-FELONY
Case Type: FELONY
Class: UNCLASSIFIED
Commenced By: INDICTMENT
Offense Date: 07/12/2002
Arrest Date: 07/12/2002
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information
Appeal Date:

Hearing Information							
Date	Time	Result	Type	Courtroom	Plea	Duration	Jury
02/19/2003	09:30 AM	SENTENCED	SENTENCING	LLM			
12/10/2002	09:30 AM	TRIED	PLEA	NCP			
11/18/2002	09:30 AM	DENIED	SUPPRESS	NH			
10/16/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LLM			
09/18/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LH			
09/05/2002	09:30 AM	SET FOR TRIAL	TO BE SET	CN			
09/03/2002	09:30 AM	TRUE BILL	GRAND JURY				

Disposition Information
Disposition: GUILTY
Disposition Date: 02/19/2003
Concluded By: GUILTY PLEA
Jail/Penitentiary:
Concurrent/Consecutive:
Life/Death:
Sentence Time:
Sentence Suspended:
Program Type:
Probation Type:
Probation Time:
Probation Starts:
Operator License Suspension Time:

Restriction Effective Date:

Operator License Restrictions:

Military:

Traffic Fatality:

Court/DMV Surrender:

Driver Improvement Clinic:

VASAP:

Restitution Paid:

Restitution Amount:

Fine: *

Costs: *

Fine/Costs Paid:

Fine/Costs Paid Date:

* This system cannot process online payments at this time. Please refer to ' [How to Pay Traffic Tickets and Other Offenses](#) ' for more information.

Service/Process



Pleadings/Orders



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Virginia Beach Circuit Court (details)

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Case #: **CR02003312-02**

Defendant: **HARVEY, TERENCE ANTONIO**

Defendant Information
Address: HAMPTON, VA 23661
Gender: MALE
Race: BLACK
DOB: [REDACTED]
Attorney:

Case/Charge Information
Defendant Status: CUSTODY
Filed Date: 08/21/2002
Locality: COMMONWEALTH OF VA
Code Section: 18.2-108
Charge: REC STOLEN PROPERTY
Case Type: FELONY
Class: UNCLASSIFIED
Commenced By: INDICTMENT
Offense Date: 07/12/2002
Arrest Date: 07/12/2002
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information
Appeal Date:

Hearing Information							
Date	Time	Result	Type	Courtroom	Plea	Duration	Jury
02/19/2003	09:30 AM	SENTENCED	SENTENCING	LLM			
12/10/2002	09:30 AM	TRIED	PLEA	NCP			
11/18/2002	09:30 AM	DENIED	SUPPRESS	NH			
10/16/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LLM			
09/18/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LH			
09/05/2002	09:30 AM	SET FOR TRIAL	TO BE SET	CN			
09/03/2002	09:30 AM	TRUE BILL	GRAND JURY				

Disposition Information
Disposition: GUILTY
Disposition Date: 02/19/2003
Concluded By: GUILTY PLEA
Jail/Penitentiary:
Concurrent/Consecutive:
Life/Death:
Sentence Time:
Sentence Suspended:
Program Type:
Probation Type:
Probation Time:
Probation Starts:
Operator License Suspension Time:

Restriction Effective Date:

Operator License Restrictions:

Military:

Traffic Fatality:

Court/DMV Surrender:

Driver Improvement Clinic:

VASAP:

Restitution Paid:

Restitution Amount:

Fine: *

Costs: *

Fine/Costs Paid:

Fine/Costs Paid Date:

* This system cannot process online payments at this time. Please refer to '[How to Pay Traffic Tickets and Other Offenses](#)' for more information.

Service/Process



Pleadings/Orders



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Virginia Beach Circuit Court (details)

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Case #: **CR02003312-01**

Defendant: **HARVEY, TERRENCE ANTONIO**

Defendant Information
Address: HAMPTON, VA 23661
Gender: MALE
Race: BLACK
DOB: [REDACTED]
Attorney:

Case/Charge Information
Defendant Status: CUSTODY
Filed Date: 08/21/2002
Locality: COMMONWEALTH OF VA
Code Section: 18.2-51.1
Charge: MALICIOUS WOUNDING
Case Type: FELONY
Class: UNCLASSIFIED
Commenced By: INDICTMENT
Offense Date: 07/12/2002
Arrest Date: 07/12/2002
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information
Appeal Date:

Hearing Information							
Date	Time	Result	Type	Courtroom	Plea	Duration	Jury
02/19/2003	09:30 AM	SENTENCED	SENTENCING	LLM			
12/10/2002	09:30 AM	TRIED	PLEA	NCP			
11/18/2002	09:30 AM	DENIED	SUPPRESS	NH			
10/16/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LLM			
09/18/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LH			
09/05/2002	09:30 AM	SET FOR TRIAL	TO BE SET	CN			
09/03/2002	09:30 AM	TRUE BILL	GRAND JURY				

Disposition Information
Disposition: GUILTY
Disposition Date: 02/19/2003
Concluded By: GUILTY PLEA
Jail/Penitentiary: PENITENTIARY
Concurrent/Consecutive:
Life/Death:
Sentence Time: 45 Year(s)
Sentence Suspended: 25 Year(s)
Program Type:
Probation Type: SUPERVISED
Probation Time: 99 Year(s)
Probation Starts:
Operator License Suspension Time:

RESTRICTION EFFECTIVE DATE:

Operator License Restrictions:

Military:

Traffic Fatality:

Court/DMV Surrender:

Driver Improvement Clinic:

VASAP:

Restitution Paid:

Restitution Amount:

Fine: *

Costs: **\$1,616.00** *

Fine/Costs Paid:

Fine/Costs Paid Date:

* This system cannot process online payments at this time. Please refer to '[How to Pay Traffic Tickets and Other Offenses](#).' for more information.

Service/Process



Pleadings/Orders



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Virginia Beach Circuit Court (details)

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Case #: **CR02003312-00**

Defendant: **HARVEY, TERRENCE ANTONIO**

Defendant Information
Address: HAMPTON, VA 23661
Gender: MALE
Race: BLACK
DOB: XXXXXXXXXX
Attorney:

Case/Charge Information
Defendant Status: CUSTODY
Filed Date: 08/21/2002
Locality: COMMONWEALTH OF VA
Code Section: 18.2-31(6)
Charge: ATT CAPITAL MURDER
Case Type: FELONY
Class: UNCLASSIFIED
Commenced By: INDICTMENT
Offense Date: 07/12/2002
Arrest Date: 07/12/2002
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information
Appeal Date:

Hearing Information							
Date	Time	Result	Type	Courtroom	Plea	Duration	Jury
02/19/2003	09:30 AM	NOLLE PROSEQUI	TRIAL	LLM			
11/18/2002	09:30 AM	DENIED	SUPPRESS	NH			
10/16/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LLM			
09/18/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LH			
09/05/2002	09:30 AM	SET FOR TRIAL	TO BE SET	CN			
09/03/2002	09:30 AM	TRUE BILL	GRAND JURY				

Disposition Information
Disposition: NOLLE PROSEQUI
Disposition Date: 02/19/2003
Concluded By: NOLLE PROSEQUI
Jail/Penitentiary:
Concurrent/Consecutive:
Life/Death:
Sentence Time:
Sentence Suspended:
Program Type:
Probation Type:
Probation Time:
Probation Starts:
Operator License Suspension Time:
Restriction Effective Date:
Operator License Restrictions:

Operator License Restrictions:

Military:

Traffic Fatality:

Court/DMV Surrender:

Driver Improvement Clinic:

VASAP:

Restitution Paid:

Restitution Amount:

Fine: *

Costs: *

Fine/Costs Paid:

Fine/Costs Paid Date:

* This system cannot process online payments at this time. Please refer to ' [How to Pay Traffic Tickets and Other Offenses](#) ' for more information.

Service/Process



Pleadings/Orders



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COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

ARREST FORM

BROWARD COUNTY
ARREST #

OBTS #

Filing Agency HOLLYWOOD PD	Offense Report # 33-2206-096722	Local ID #	FOLE #	FBI #	SEE NUMBER
Defendant's Last Name HARVEY	First TERRENCE	Middle ANTONIO	SUF	Alias/Street Name	Citizenship
Name of victim(s) (if corporation, exact legal name and state of incorporation)					
Count #	Offenses Charged	WC# / Citation # (if applicable)	FS or Capias/Warrant #		
	* * * SEE PAGE 1 * * *				

Probable Cause Affidavit

Before me this date personally appeared DEVITO, KELLY (3682) who being first duly sworn deposes and says that on 24 day of June, (year) 2022 at 3401 OAKWOOD BLVD, HOLLYWOOD, FL 33020 (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows

AT APPROXIMATELY 1630 HOURS I WAS DISPATCHED TO HOME DEPOT LOCATED AT 3401 OAKWOOD BLVD WHICH IS WITHIN THE JURISDICTIONAL LIMITS OF THE CITY OF HOLLYWOOD, BROWARD COUNTY, FLORIDA IN REFERENCE TO A GRAND THEFT.

UPON ARRIVAL CONTACT WAS MADE WITH LOSS PREVENTION OFFICER, CALITOS MATOS.

MATOS STATED THAT HE WATCHED THE ARRESTEE, TERRENCE HARVEY, SELECT MULTIPLE POWER TOOLS FROM THE HARDWARE SECTION AND PLACE THEM IN THE CART. AFTER, THE ARRESTEE WENT TO THE GARDEN SECTION AND SELECTED A TRIMMER AND PLACED IT IN THE CART. THE ARRESTEE TOOK THE SHOPPING CART OF ITEMS TO THE SELF-CHECKOUT REGISTER. THE ARRESTEE FAILED TO SCAN ANY OF THE ITEMS IN HIS CART AND MADE IT LOOK AS IF HE WAS TRYING TO PAY BY PLACING HIS CREDIT OR DEBIT CARD IN THE KEYPAD. THE ARRESTEE PASSED ALL POINTS OF SALE WITHOUT SCANNING OR PAYING FOR THE ITEMS IN THE SHOPPING CART, AND EXITED WITH ALL MERCHANDISE THROUGH THE MAIN EXIT. THE ARRESTEE PASSED ALL POINTS OF SALE WITHOUT PAYING WITH FIVE ITEMS TOTALING \$885.00 AND BY DOING SO HE TEMPORARILY DEPRIVED HOME DEPOT OF THEIR MERCHANDISE. ONCE THE ARRESTEE WAS OUTSIDE OF THE STORE, OFFICER SANTAMARIA #3625 WHO WAS ALERTED OF INCIDENT TOOK THE ARRESTEE INTO CUSTODY. THE UNPAID FOR ITEMS IN THE SHOPPING CART WERE RECOVERED BY MATOS.

THE RECOVERED ITEMS ARE AS FOLLOWS: A RYOBI GEN II TRIMMER: \$140.00, MKE JIG SAW TOOL: \$99.00, COMPACT ROUTE: \$129.00, DEG FRAMING NAILER: \$279.00, RIDGID NAILER: \$229.00.

SECURITY FOOTAGE OF THE INCIDENT EXIST AND AN EMAIL WAS SENT TO PDVIDEO REQUEST.

TELETYPE ADVISED THAT THE ARRESTEE DOES NOT HAVE ANY PRIOR CONVICTIONS FOR RETAIL THEFT.

* * * Continued * * *

I swear the above statement is correct and true to the best of my knowledge and belief

[Signature]
Officer/Affiant's Signature

DEVITO, KELLY (3682)
Officer's Name/CCN

Administration
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 24 day of June, 2022 (year), by DEVITO, KELLY (name and title), who is personally known to me or has produced _____ as identification

[Signature]
Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

SERGEANT 3148 ofc/3499
Title/Rank and CCN

SCHEEL PAUL
Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

COURT COPY

- Orig - Court
- 2nd - State Attorney
- 3rd - Filing Agency
- 4th - Arresting Agency

COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

ARREST FORM

BROWARD COUNTY
ARREST #

OBTs #

Filing Agency HOLLYWOOD PD	Offense Report 33-2206-096722	Local ID #	FDLE#	FBI#	
Defendant's Last Name HARVEY	First TERRENCE	Middle ANTONIO	SUF	Alias/Street Name	Citizenship
Name of victim(s) (if corporation, exact legal name and state of incorporation)					
Count #	Offenses Charged	WC# / Citation # (if applicable)	FS or Capias/Warrant #		
	*** SEE PAGE 1 ***				

Probable Cause Affidavit

Before me this date personally appeared DEVITO, KELLY (3682) who being first duly sworn deposes and says that on 24 day of June, (year) 2022 at 3401 OAKWOOD BLVD, HOLLYWOOD, FL 33020 (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows.

THE ARRESTEE WAS TRANSPORTED TO BSO MAIN JAIL.

I swear the above statement is correct and true to the best of my knowledge and belief

[Signature]
Officer/Affiant's Signature

DEVITO, KELLY (3682)
Officer's Name/CCN

Administration
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 24 day of June, 2022 (year),
by DEVITO, KELLY (name and title), who is personally known to me or has produced

[Signature]
Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

SERGEANT 73148 etc / 2499
Title/Rank and CCN

SCHEEL PAUL McGuire, Thomas
Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit
Broward County
State of Florida
BSO DB-#2a (Revised 05/00)

FIRST APPEARANCE/ARREST FORM

COURT COPY

- Orig - Court
- 2nd - State Attorney
- 3rd - Filing Agency
- 4th - Arresting Agency



<http://www.dc.state.fl.us/index.html>

Florida Department of Corrections
<http://www.dc.state.fl.us/index.html>



Ron DeSantis, Governor
Ricky D. Dixon, Secretary

"Inspiring Success by Transforming One Life at a Time"

[Offender Search \(/OffenderSearch/InmateInfoMenu.aspx\)](#) [Visit an Inmate \(/ci/visit.html\)](#)

[Correctional Institutions \(/ci/index.html\)](#) [Probation Services \(/cc/index.html\)](#) [Programs \(/development/index.html\)](#)

[FDC Jobs \(http://www.fdcjobs.com\)](http://www.fdcjobs.com) [Newsroom \(/comm/index.html\)](#) [Statistics \(/pub/index.html\)](#)

[Partners \(mailto:development@fdc.myflorida.com\)](mailto:development@fdc.myflorida.com)

Corrections Offender Network

Supervised Population Information Detail

(This information was current as of 10/9/2022)



DC Number:	C61374
Name:	HARVEY, TERRANCE ANTON
Race:	BLACK
Sex:	MALE
Birth Date:	[REDACTED]
Supervision Begin Date:	01/24/2022
Current Location:	FT. LAUDERDALE (http://pro.wpws001.fdc.myflorida.com/cc)
Current Status:	ACTIVE
Supervision Type:	PROBATION FELONY
Scheduled Termination Date:	02/19/2048



<https://www.vinelink.com/vinelink/servlet/SubjectSearch?siteID=10000&agency=900&offenderID=C61374>

Current Verified PERMANENT Address:

2318 CHARLESTON ST
HOLLYWOOD, FL 33020

Aliases:

TERRANCE ANTONIO HARVEY, TERRENCE ANTONIO HARVEY

Note: The offense descriptions are truncated and do not necessarily reflect the crime for which the offender is on supervision. Please refer to the court documents or the Florida Statutes for further information or definition.

Current Community Supervision History:

Offense Date	Offense	Sentence Date	County	Case No.	Community Supervision Length
07/12/2002	AGG.BATT/LEO/FIRFGT/EMS/ETC.	01/18/2022	INTERSTATE	0000000	26Y 1M 2D

[First](#)
[Previous](#)
[Next](#)
[Last](#)
[Return to List](#)

[New Search](#)

Record: 1 of 1

The Florida Department of Corrections updates this information regularly, to ensure that it is complete and accurate, however this information can change quickly. Therefore, the information on this site may not reflect the true current location, status, scheduled termination date, or other information regarding an offender.

This database contains public record information on felony offenders sentenced to the Department of Corrections. This information includes offenders sentenced or released to state supervision or offenders received for supervision from another state as the result of an Interstate Compact transfer. Information contained herein includes current supervision offenses. Offense types include related crimes such as attempts, conspiracies and solicitations to commit crimes. Information on offenders sentenced to county jail, county probation, or any other form of supervision is not contained. The information is derived from court records provided to the Department of Corrections and is made available as a public service to interested citizens. The Department of Corrections makes no guarantee as to the accuracy or completeness of the information contained herein. Any person who believes information provided is not accurate may contact the Department of Corrections.

For questions and comments, you may contact the Department of Corrections, Bureau of Probation and Parole Field Services, at FT. LAUDERDALE Circuit Office (<http://prod.fdc-wpws001.fdc.myflorida.com/cc/17.html>). This information is made available to the public and law enforcement in the interest of public safety.

Search Criteria: (/OffenderSearch/search.aspx?TypeSearch=AO) Last Name: harvey First Name: terrence Search Aliases: YES Offense Category: Supervision Type: ALL Supervision Status: ALL County of Supervision: ALL Current Location: ALL

Current Status Definitions: **Active** - offender is being actively supervised by the probation officer in the community. **Active Suspense** - offender is temporarily unavailable for direct supervision during the supervision term, due to being in custody in jail or another facility, but is still being monitored by a probation officer for release, arrest, etc. **Absconder** - offender absconds from supervision (his/her whereabouts are unknown and the offender is not available for supervision) and warrant is issued for violation.

[Return to Corrections Offender Information Network \(.../OffenderSearch/InmateInfoMenu.aspx\)](#)

About Us

<http://www.dc.state.fl.us/about.html>

As Florida's largest state agency, and the third largest prison system in the country, FDC employs 24,000 members, incarcerates approximately 80,000 inmates and supervises nearly 146,000 offenders in the community.

Quick Links

- [Contact an Inmate](http://www.dc.state.fl.us/ci/ContactInmate.html)
- [Victim Services](http://www.dc.state.fl.us/vict/index.html)
- [Public Records](http://www.dc.state.fl.us/comm/PRR.html)
- [Volunteer](http://www.dc.state.fl.us/volunteer/index.html)
- [File a Complaint](http://www.dc.state.fl.us/apps/IGcomplaint2018.pdf)
- [Organization](http://www.dc.state.fl.us/org/orgchart.html)
- [Regulatory Plan](http://www.dc.state.fl.us/pub/regulatory/2018.pdf)
- [Inmate and Offender Programming](http://www.dc.state.fl.us/vict/index.html)
- [Development](http://www.dc.state.fl.us/development/index.html)
- [Corrections Foundation](https://www.correctionsfoundation.org/)
- [People Information](https://www.fcpr.state.fl.us/index.shtml)
- [Inspector General](http://www.dc.state.fl.us/ig/index.html)
- [Prison Rape Elimination Act](http://www.dc.state.fl.us/PREA/index.html)

Contact Us

<http://www.dc.state.fl.us/org>

501 South Calhoun Street
Tallahassee, FL 32399-2500
Main: (850) 488-5021
Phone Directory
Citizen Services

State of Florida Vs. Harvey, Terrence Antonio

Broward County Case Number: 22006081CF10A
State Reporting Number: 062022CF006081A88810
Court Type: Felony
Case Type: 3rd Degree Felony
Filing Date: 06/25/2022
Case Status: Pending
Court Location: Central Courthouse
Judge ID / Name: Ledee - Fl, Frank
Magistrate Id / Name: N/A
BCCN: 0952555

- Party(ies)

Total: 4

Party Type	Party Name	Address	Attorneys / Address ★ Denotes Lead Attorney
Defendant	Harvey, Terrence Antonio	2318 Charleston St Hollywood, FL 33020	★ Laske, Rodolphe Julien Public Defender Bar ID: 1032833 Law Office of the Public Defender 201 SE 6th St., Ste 3872 Fort Lauderdale, FL 33301 Status: Active
	Gender: Male Race: Black Complexion: Dark Brown Height: 5'5" Weight: 225 Eye: Brown Hair: Black DOB: [REDACTED] Birth State: FL		
State	State of Florida		
Bond Company	2 Guns Fancy Bail Bonds		
Bond Insurance Company	Palmetto Surety Corporation		

- Charge(s)

Total: 1

Offense Date	Charge	Degree	Detail
06/24/2022	1	(F3)	Grand Theft Auto Date Filed: 06/24/2022 Current Statute: (F3)812.014(2)(c)6 Filing Type: SAO Information Filing Agency: Hollywood PD Original Statute: (F3)812.014(2)(c)6-Grand Theft Auto

- Warrant(s)

Total: 0

There is no Warrant information available for this case.

- Bond(s)

Total: 0

There is no Bond information available for this case.

- Arrest(s)

Total: 1

Arrest Date	Charge	Arrest Number	Detail
06/24/2022	1	HW22000863	Arrest Name At Arrest: Harvey, Terrence Antonio Offense: Grand Theft Auto Degree: (F3) Bond Amount: \$ Statute: 812.014(2)(c)6 OBTS Number: 0608288943

- Disposition(s)

Total: 1

Offense Date	Charge	Disposition(s)
06/24/2022	1	Plea(s) 09/06/2022 Plea Arraignment Not Guilty-Jury

- Collection(s)

Total: 1

Collection Date	Charge	Citation Number	Total Imposed Amount	Total Amount Due	Total Amount Paid	Current Amount Due	Last Payment Date	Last Payment Amount	Next Payment Date	Next Payment Amount
09/10/2022	1		\$0.00	\$50.00	\$0.00	\$50.00	-	\$0.00	-	\$0.00

- Event(s) & Document(s)

Total: 18

Date	Description	Additional Text	View	Pages
09/10/2022	Notice of Public Defender Fees	\$50.00 Amount: \$50.00		
09/08/2022	File Def Affidavit Indigency/Declared Not Indigent			1
09/08/2022	Review of Motor Vehicles - Property Records			1
09/06/2022	Arraignment Held	CR:D.Solomon/Laws (D) NG		
09/06/2022	File Court's Notice Of Hearing			1
08/23/2022	Court Date Notice			2
08/08/2022	File States Information			2
08/08/2022	File State's Instruction Sheet			1
07/05/2022	Bond Posted	pwr #1008730		3
07/01/2022	File Defense Demand For Discovery			4
07/01/2022	Demand for Disclosure of Impeaching Information			3
07/01/2022	File Notice/Assistant PD Assignment			1
06/29/2022	File Order Denying Def Motion For ROR	Bond set at \$1,000.00		1
06/29/2022	Hrg Held On Defense Motion For ROR	CR.Zoom (D)		
06/27/2022	Hrg Held / Magistrate Findings/Order - Probable Cause Found			1
06/27/2022	Arrest Paperwork			4
06/27/2022	File Notice Of Confidential Info Within Court Filing			1
06/25/2022	Electronic Case			

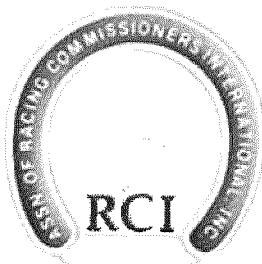
- Hearing(s) Total: 4

Date	Description	Additional Text

Date	Description	Additional Text
11/28/2022	Jury Trial	Hearing Time: 8:30 AM Judicial Officer(s):Ledee - FI, Frank Location: Room 4750 D
11/03/2022	Calendar Call	Hearing Time: 8:30 AM Judicial Officer(s):Ledee - FI, Frank Location: Room 4750 D
09/06/2022	Arraignment	Hearing Time: 8:30 AM Judicial Officer(s):Ledee - FI, Frank Location: Room 4750
06/29/2022	Hearing/Defense Motion for ROR	Hearing Time: 1:00 PM Judicial Officer(s):Judge- FMN, First Appearance Location: Room 4155

- Related Case(s) Total: 0

There is no related case information available for this case.



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: Terrence
Middle Name:
Last Name: Harvey
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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[Return to Search Results](#)

Wise Circuit Court (details)

[Subscribe](#)

Case #: **CR15F00267-00**

Defendant: **HARVEY, TERRENCE ANTONIO**

Defendant Information
Address: POUND, VA 24279
Gender: MALE
Race: OTHER (INCLUDES NOT APPLICABLE, UNKNOWN)
DOB: [REDACTED]
Attorney: FLEMING, J BRENT

Case/Charge Information
Defendant Status: CUSTODY
Filed Date: 07/20/2015
Locality: COMMONWEALTH OF VA
Code Section: 18.2-51
Charge: ATTEMPTE MALICIOUS WOUNDING (ATTEMPT)
Case Type: FELONY
Class: CLASS 5
Commenced By: DIRECT INDICTMENT
Offense Date: 03/18/2015
Arrest Date: 07/29/2015
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information
Appeal Date:

Hearing Information						
Date	Time	Result	Type	Courtroom	Plea	Duration
05/20/2016	09:00 AM	SENTENCED	PLEA			
03/21/2016	09:00 AM	CONTINUED	TRIAL			
01/11/2016	09:00 AM	CONTINUED	TRIAL			
11/10/2015	09:00 AM	CONTINUED	TRIAL			
07/29/2015	11:00 AM	SET FOR TRIAL	ADVISE ABOUT ATTORNEY ARRANGEMENTS			
07/20/2015	09:00 AM	TRUE BILL - DEF. ARRESTED ON CAPIAS	GRAND JURY			

Disposition Information
Disposition: GUILTY
Disposition Date: 05/20/2016
Concluded By: GUILTY PLEA
Jail/Penitentiary: PENITENTIARY
Concurrent/Consecutive:
Life/Death:
Sentence Time: 5 Year(s)
Sentence Suspended: 5 Year(s)
Program Type:

Program type:

Probation Type: **UNSUPERVISED**

Probation Time: **5 Year(s)**

Probation Starts: **PROBATION TO BEGIN UPON SENTENCING**

Operator License Suspension Time:

Restriction Effective Date:

Operator License Restrictions:

Military:

Traffic Fatality:

Court/DMV Surrender:

Driver Improvement Clinic:

VASAP:

Restitution Paid:

Restitution Amount:

Fine: **\$0.00 ***

Costs: **\$906.39 ***

Fine/Costs Paid:

Fine/Costs Paid Date:

* This system cannot process online payments at this time. Please refer to ' [How to Pay Traffic Tickets and Other Offenses](#) ' for more information.

Service/Process



Pleadings/Orders



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Virginia Beach Circuit Court ([details](#))

[Subscribe](#)

Case #: **CR02003312-03**

Defendant: **HARVEY, TERRENCE ANTONIO**

Defendant Information
Address: HAMPTON, VA 23661
Gender: MALE
Race: BLACK
DOB: [REDACTED]
Attorney:

Case/Charge Information
Defendant Status: CUSTODY
Filed Date: 08/21/2002
Locality: COMMONWEALTH OF VA
Code Section: 46.2-894
Charge: HIT & RUN-FELONY
Case Type: FELONY
Class: UNCLASSIFIED
Commenced By: INDICTMENT
Offense Date: 07/12/2002
Arrest Date: 07/12/2002
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information
Appeal Date:

Hearing Information							
Date	Time	Result	Type	Courtroom	Plea	Duration	Jury
02/19/2003	09:30 AM	SENTENCED	SENTENCING	LLM			
12/10/2002	09:30 AM	TRIED	PLEA	NCP			
11/18/2002	09:30 AM	DENIED	SUPPRESS	NH			
10/16/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LLM			
09/18/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LH			
09/05/2002	09:30 AM	SET FOR TRIAL	TO BE SET	CN			
09/03/2002	09:30 AM	TRUE BILL	GRAND JURY				

Disposition Information
Disposition: GUILTY
Disposition Date: 02/19/2003
Concluded By: GUILTY PLEA
Jail/Penitentiary:
Concurrent/Consecutive:
Life/Death:
Sentence Time:
Sentence Suspended:
Program Type:
Probation Type:
Probation Time:
Probation Starts:
Operator License Suspension Time:

Restriction Effective Date:

Operator License Restrictions:

Military:

Traffic Fatality:

Court/DMV Surrender:

Driver Improvement Clinic:

VASAP:

Restitution Paid:

Restitution Amount:

Fine: *

Costs: *

Fine/Costs Paid:

Fine/Costs Paid Date:

* This system cannot process online payments at this time. Please refer to '[How to Pay Traffic Tickets and Other Offenses](#)' for more information.

Service/Process



Pleadings/Orders



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Virginia Beach Circuit Court ([details](#))

[Subscribe](#)

Case #: **CR02003312-02**

Defendant: **HARVEY, TERRENCE ANTONIO**

Defendant Information
Address: HAMPTON, VA 23661
Gender: MALE
Race: BLACK
DOB: [REDACTED]
Attorney:

Case/Charge Information
Defendant Status: CUSTODY
Filed Date: 08/21/2002
Locality: COMMONWEALTH OF VA
Code Section: 18.2-108
Charge: REC STOLEN PROPERTY
Case Type: FELONY
Class: UNCLASSIFIED
Commenced By: INDICTMENT
Offense Date: 07/12/2002
Arrest Date: 07/12/2002
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information
Appeal Date:

Hearing Information							
Date	Time	Result	Type	Courtroom	Plea	Duration	Jury
02/19/2003	09:30 AM	SENTENCED	SENTENCING	LLM			
12/10/2002	09:30 AM	TRIED	PLEA	NCP			
11/18/2002	09:30 AM	DENIED	SUPPRESS	NH			
10/16/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LLM			
09/18/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LH			
09/05/2002	09:30 AM	SET FOR TRIAL	TO BE SET	CN			
09/03/2002	09:30 AM	TRUE BILL	GRAND JURY				

Disposition Information
Disposition: GUILTY
Disposition Date: 02/19/2003
Concluded By: GUILTY PLEA
Jail/Penitentiary:
Concurrent/Consecutive:
Life/Death:
Sentence Time:
Sentence Suspended:
Program Type:
Probation Type:
Probation Time:
Probation Starts:
Operator License Suspension Time:

RESTRICTION EFFECTIVE DATE:

Operator License Restrictions:

Military:

Traffic Fatality:

Court/DMV Surrender:

Driver Improvement Clinic:

VASAP:

Restitution Paid:

Restitution Amount:

Fine: *

Costs: *

Fine/Costs Paid:

Fine/Costs Paid Date:

* This system cannot process online payments at this time. Please refer to '[How to Pay Traffic Tickets and Other Offenses](#)' for more information.

Service/Process



Pleadings/Orders



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Virginia Beach Circuit Court ([details](#))

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Case #: **CR02003312-01**

Defendant: **HARVEY, TERRENCE ANTONIO**

Defendant Information
Address: HAMPTON, VA 23661
Gender: MALE
Race: BLACK
DOB: [REDACTED]
Attorney:

Case/Charge Information
Defendant Status: CUSTODY
Filed Date: 08/21/2002
Locality: COMMONWEALTH OF VA
Code Section: 18.2-51.1
Charge: MALICIOUS WOUNDING
Case Type: FELONY
Class: UNCLASSIFIED
Commenced By: INDICTMENT
Offense Date: 07/12/2002
Arrest Date: 07/12/2002
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information
Appeal Date:

Hearing Information							
Date	Time	Result	Type	Courtroom	Plea	Duration	Jury
02/19/2003	09:30 AM	SENTENCED	SENTENCING	LLM			
12/10/2002	09:30 AM	TRIED	PLEA	NCP			
11/18/2002	09:30 AM	DENIED	SUPPRESS	NH			
10/16/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LLM			
09/18/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LH			
09/05/2002	09:30 AM	SET FOR TRIAL	TO BE SET	CN			
09/03/2002	09:30 AM	TRUE BILL	GRAND JURY				

Disposition Information
Disposition: GUILTY
Disposition Date: 02/19/2003
Concluded By: GUILTY PLEA
Jail/Penitentiary: PENITENTIARY
Concurrent/Consecutive:
Life/Death:
Sentence Time: 45 Year(s)
Sentence Suspended: 25 Year(s)
Program Type:
Probation Type: SUPERVISED
Probation Time: 99 Year(s)
Probation Starts:
Operator License Suspension Time:

RESTRICTION Effective Date:

Operator License Restrictions:

Military:

Traffic Fatality:

Court/DMV Surrender:

Driver Improvement Clinic:

VASAP:

Restitution Paid:

Restitution Amount:

Fine: *

Costs: **\$1,616.00** *

Fine/Costs Paid:

Fine/Costs Paid Date:

* This system cannot process online payments at this time. Please refer to '[How to Pay Traffic Tickets and Other Offenses](#)' for more information.

Service/Process



Pleadings/Orders



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Virginia Beach Circuit Court (details)

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Case #: **CR02003312-00**

Defendant: **HARVEY, TERRENCE ANTONIO**

Defendant Information
Address: HAMPTON, VA 23661
Gender: MALE
Race: BLACK
DOB: [REDACTED]
Attorney:

Case/Charge Information
Defendant Status: CUSTODY
Filed Date: 08/21/2002
Locality: COMMONWEALTH OF VA
Code Section: 18.2-31(6)
Charge: ATT CAPITAL MURDER
Case Type: FELONY
Class: UNCLASSIFIED
Commenced By: INDICTMENT
Offense Date: 07/12/2002
Arrest Date: 07/12/2002
Amended Code Section:
Amended Charge:
Amended Case Type:
Amended Class:

Appeal Information
Appeal Date:

Hearing Information							
Date	Time	Result	Type	Courtroom	Plea	Duration	Jury
02/19/2003	09:30 AM	NOLLE PROSEQUI	TRIAL	LLM			
11/18/2002	09:30 AM	DENIED	SUPPRESS	NH			
10/16/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LLM			
09/18/2002	09:30 AM	CONTINUED	MOTION - OTHER PRE-TRIAL	LH			
09/05/2002	09:30 AM	SET FOR TRIAL	TO BE SET	CN			
09/03/2002	09:30 AM	TRUE BILL	GRAND JURY				

Disposition Information
Disposition: NOLLE PROSEQUI
Disposition Date: 02/19/2003
Concluded By: NOLLE PROSEQUI
Jail/Penitentiary:
Concurrent/Consecutive:
Life/Death:
Sentence Time:
Sentence Suspended:
Program Type:
Probation Type:
Probation Time:
Probation Starts:
Operator License Suspension Time:
Restriction Effective Date:
Operator License Restrictions:

Operator License Restrictions:

Military:

Traffic Fatality:

Court/DMV Surrender:

Driver Improvement Clinic:

VASAP:

Restitution Paid:

Restitution Amount:

Fine: *

Costs: *

Fine/Costs Paid:

Fine/Costs Paid Date:

* This system cannot process online payments at this time. Please refer to '[How to Pay Traffic Tickets and Other Offenses](#)' for more information.

Service/Process



Pleadings/Orders



[Return to Search Results](#)



Broward County Sheriff's Office

22-6081 FI

Booking Report



CIS #	132200863	BCCN #	952555	Booking Sheet Control Date and Time							
OBTs	608288943	Print Clearance	06/24/22 18:50:15	Prints	Yes	06/24/22 21:24:45					
Arrest #	HW 2200863	Offense Report #	33-2206-096722	Agency	HOLLYWOOD						
Last Name First Middle	HARVEY, TERRENCE ANTONIO.			SSN #	[REDACTED]						
Race	Sex	Height	Weight	Eyes	Hair	Comp.	Age Admitted	DOB	Place of Birth	State	FDLE
B	M	505	225	BRO	BLK	DBR	36	[REDACTED]		FLORIDA	[REDACTED]
Permanent Address	2318 CHARLESTON ST Apt# 4 HOLLYWOOD FL 33020				Months of Residence	0					
Arrest Date	06/24/22 16:04:00	Place of Arrest	3401 OAKWOOD BLVD HOLLYWOOD FL 33020		Arresting Officer	3682 DEVITO					
Inmate Logged Date	06/24/22 18:24:00	Inmate Log Type	FULL INTAKE		Place Admitted	MAIN					
Intake Comments	SP/CO/29/54-16951 W/C-10251										
Alias Last name, First, Middle, DOB											
Warrants Officer Id:	bs10251										
Scars, Marks, Tattoos											
Release Date/Time	Release Reason				Release Authorized By						
Charge No.	Charge Initiation Date	Statute	Warrant/Capias	Level	M.C	B. Type	Bond Amount				
1	06/24/22 20:56	812.014-2c1		3F	Y	HOLD FOR MAG	\$0.00				
Charges	GRAND THEFT>\$750-<\$5000		Comments								
Booking Off. ID	bs15828	County	Judge								
Charge No.	Charge Initiation Date	Statute	Warrant/Capias	Level	M.C	B. Type	Bond Amount				
2	06/24/22 21:00	948.06	C61374	XF	Y	NO BOND	\$0.00				
Charges	PROBATION VIOLATION OR COMMUNITY CONTROL/FELONY		Comments	AGG.BATT/LEO/FIRFGT/EMS/ECT.							
Booking Off. ID	bs15828	County	INTERSTATE		Judge						
Holder Status Code:	ACTIVE				Holder Status Code: OTHER STATE						

* End of Report *

COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

ARREST FORM

BROWARD COUNTY
ARREST #

OBTS #

Filing Agency HOLLYWOOD PD	Offense Report # 33-2206-096722	Local ID #	FOLE #	FBI #	SS #
Defendant's Last Name HARVEY	First TERRENCE	Middle ANTONIO	SUF	Alias/Street Name	Citizenship
Name of victim(s) (if corporation, exact legal name and state of incorporation)					
Count #	Offenses Charged	WC# / Citation # (if applicable)	FS or Capias/Warrant #		
	*** SEE PAGE 1 ***				

Probable Cause Affidavit

Before me this date personally appeared DEVITO, KELLY (3682) who being first duly sworn deposes and says that on 24 day of June, (year) 2022 at 3401 OAKWOOD BLVD, HOLLYWOOD, FL 33020 (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows

AT APPROXIMATELY 1630 HOURS I WAS DISPATCHED TO HOME DEPOT LOCATED AT 3401 OAKWOOD BLVD WHICH IS WITHIN THE JURISDICTIONAL LIMITS OF THE CITY OF HOLLYWOOD, BROWARD COUNTY, FLORIDA IN REFERENCE TO A GRAND THEFT.

UPON ARRIVAL CONTACT WAS MADE WITH LOSS PREVENTION OFFICER, CALITOS MATOS.

MATOS STATED THAT HE WATCHED THE ARRESTEE, TERRENCE HARVEY, SELECT MULTIPLE POWER TOOLS FROM THE HARDWARE SECTION AND PLACE THEM IN THE CART. AFTER, THE ARRESTEE WENT TO THE GARDEN SECTION AND SELECTED A TRIMMER AND PLACED IT IN THE CART. THE ARRESTEE TOOK THE SHOPPING CART OF ITEMS TO THE SELF-CHECKOUT REGISTER. THE ARRESTEE FAILED TO SCAN ANY OF THE ITEMS IN HIS CART AND MADE IT LOOK AS IF HE WAS TRYING TO PAY BY PLACING HIS CREDIT OR DEBIT CARD IN THE KEYPAD. THE ARRESTEE PASSED ALL POINTS OF SALE WITHOUT SCANNING OR PAYING FOR THE ITEMS IN THE SHOPPING CART, AND EXITED WITH ALL MERCHANDISE THROUGH THE MAIN EXIT. THE ARRESTEE PASSED ALL POINTS OF SALE WITHOUT PAYING WITH FIVE ITEMS TOTALING \$885.00 AND BY DOING SO HE TEMPORARILY DEPRIVED HOME DEPOT OF THEIR MERCHANDISE. ONCE THE ARRESTEE WAS OUTSIDE OF THE STORE, OFFICER SANTAMARIA #3625 WHO WAS ALERTED OF INCIDENT TOOK THE ARRESTEE INTO CUSTODY. THE UNPAID FOR ITEMS IN THE SHOPPING CART WERE RECOVERED BY MATOS.

THE RECOVERED ITEMS ARE AS FOLLOWS: A RYOBI GEN II TRIMMER: \$140.00, MKE JIG SAW TOOL: \$99.00, COMPACT ROUTE: \$129.00, DEG FRAMING NAILER: \$279.00, RIDGID NAILER: \$229.00.

SECURITY FOOTAGE OF THE INCIDENT EXIST AND AN EMAIL WAS SENT TO PDVIDEO REQUEST.

TELETYPE ADVISED THAT THE ARRESTEE DOES NOT HAVE ANY PRIOR CONVICTIONS FOR RETAIL THEFT.

*** Continued ***

I swear the above statement is correct and true to the best of my knowledge and belief

[Signature]
Officer/Affiant's Signature

DEVITO, KELLY (3682)
Officer's Name/CCN

Administration
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 24 day of June, 2022 (year),
by DEVITO, KELLY (name and title), who is personally known to me or has produced

as identification

[Signature]
Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

SERGEANT / 3148 ofc / 3499
Title/Rank and CCN

[Signature]
Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

COURT COPY

- 0ng - Court
- 2nd - State Attorney
- 3rd - Filing Agency
- 4th - Arresting Agency

COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

ARREST FORM

BROWARD COUNTY
ARREST #

OBTS #

Filing Agency HOLLYWOOD PD	Offense Report 33-2206-096722	Legal ID #	FDLE#	FBI#	
Defendant's Last Name HARVEY	First TERRENCE	Middle ANTONIO	SUF	Alias/Street Name	Citizenship
Name of victim(s) (if corporation, exact legal name and state of incorporation)					
Count #	Offenses Charged	WC# / Citation # (if applicable)	FS or Capias/Warrant #		
	*** SEE PAGE 1 ***				

Probable Cause Affidavit

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THE ARRESTEE WAS TRANSPORTED TO BSO MAIN JAIL.

I swear the above statement is correct and true to the best of my knowledge and belief

[Signature] DEVITO, KELLY (3682) Administration
Officer/Affiant's Signature Officer's Name/CCN Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 24 day of June, 2022 (year),
by DEVITO, KELLY (name and title), who is personally known to me or has produced
as identification

[Signature]
Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

SERGEANT T 3148 SPC 13499
Title/Rank and CCN

SHEEL PAUL McGuire, Thomas J
Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit
Broward County
State of Florida
BSO DB-#2a (Revised 05/00)

FIRST APPEARANCE/ARREST FORM

COURT COPY

- Org - Court
- 2nd - State Attorney
- 3rd - Filing Agency
- 4th - Arresting Agency



<http://www.dc.state.fl.us/index.html>

Florida Department of Corrections
<http://www.dc.state.fl.us/index.html>



Ron DeSantis, Governor
Ricky D. Dixon, Secretary

"Inspiring Success by Transforming One Life at a Time"

- [Offender Search \(/OffenderSearch/InmateInfoMenu.aspx\)](#)
- [Visit an Inmate \(/ci/visit.html\)](#)
- [Correctional Institutions \(/ci/index.html\)](#)
- [Probation Services \(/cc/index.html\)](#)
- [Programs \(/development/index.html\)](#)
- [FDC Jobs \(http://www.fdcjobs.com\)](http://www.fdcjobs.com)
- [Newsroom \(/comm/index.html\)](#)
- [Statistics \(/pub/index.html\)](#)
- [Partners \(mailto:development@fdc.myflorida.com\)](mailto:development@fdc.myflorida.com)

Corrections Offender Network

Supervised Population Information Detail

(This information was current as of 10/9/2022)



DC Number:	C61374
Name:	HARVEY, TERRANCE ANTON
Race:	BLACK
Sex:	MALE
Birth Date:	[REDACTED]
Supervision Begin Date:	01/24/2022
Current Location:	FT. LAUDERDALE (http://prowpws001.fdc.myflorida.com/cc)
Current Status:	ACTIVE
Supervision Type:	PROBATION FELONY
Scheduled Termination Date:	02/19/2048



<https://www.vinelink.com/vinelink/servlet/SubjectSearch?siteID=10000&agency=900&offenderID=C61374>

Current Verified PERMANENT Address:

2318 CHARLESTON ST
HOLLYWOOD, FL 33020

Aliases:

TERRANCE ANTONIO HARVEY, TERRENCE ANTONIO HARVEY

Note: The offense descriptions are truncated and do not necessarily reflect the crime for which the offender is on supervision. Please refer to the court documents or the Florida Statutes for further information or definition.

Current Community Supervision History:

Offense Date	Offense	Sentence Date	County	Case No.	Community Supervision Length
07/12/2002	AGG.BATT/LEO/FIRFGT/EMS/ETC.	01/18/2022	INTERSTATE	0000000	26Y 1M 2D

[First](#) [Previous](#) [Next](#) [Last](#) [Return to List](#)

[New Search](#)

Record: 1 of 1

The Florida Department of Corrections updates this information regularly, to ensure that it is complete and accurate, however this information can change quickly. Therefore, the information on this site may not reflect the true current location, status, scheduled termination date, or other information regarding an offender.

This database contains public record information on felony offenders sentenced to the Department of Corrections. This information includes offenders sentenced or released to state supervision or offenders received for supervision from another state as the result of an Interstate Compact transfer. Information contained herein includes current supervision offenses. Offense types include related crimes such as attempts, conspiracies and solicitations to commit crimes. Information on offenders sentenced to county jail, county probation, or any other form of supervision is not contained. The information is derived from court records provided to the Department of Corrections and is made available as a public service to interested citizens. The Department of Corrections makes no guarantee as to the accuracy or completeness of the information contained herein. Any person who believes information provided is not accurate may contact the Department of Corrections.

For questions and comments, you may contact the Department of Corrections, Bureau of Probation and Parole Field Services, at **FT. LAUDERDALE Circuit Office** (<http://prod.fdc-wpws001.fdc.myflorida.com/cc/17.html>). This information is made available to the public and law enforcement in the interest of public safety.

Search Criteria: (/OffenderSearch/search.aspx?TypeSearch=AO) Last Name: harvey First Name: terrence Search Aliases: YES Offense Category: Supervision Type: ALL Supervision Status: ALL County of Supervision: ALL Current Location: ALL

Current Status Definitions: **Active** - offender is being actively supervised by the probation officer in the community. **Active Suspense** - offender is temporarily unavailable for direct supervision during the supervision term, due to being in custody in jail or another facility, but is still being monitored by a probation officer for release, arrest, etc. **Absconder** - offender absconds from supervision (his/her whereabouts are unknown and the offender is not available for supervision) and warrant is issued for violation.

[Return to Corrections Offender Information Network \(.../OffenderSearch/InmateInfoMenu.aspx\)](#)

About Us

<http://www.dc.state.fl.us/about.html>

As Florida's largest state agency, and the third largest prison system in the country, FDC employs 24,000 members, incarcerates approximately 80,000 inmates and supervises nearly 146,000 offenders in the community.

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[Public Records](#) (<http://www.dc.state.fl.us/comm/PRR.html>)

[Volunteer](#) (<http://www.dc.state.fl.us/volunteer/index.html>)

[File a Complaint](#) (<http://www.dc.state.fl.us/apps/IGcomplaint.aspx>)

[Organization](#) (<http://www.dc.state.fl.us/org/orgchart.html>)

[Regulatory Plan](#) (<http://www.dc.state.fl.us/pub/regulatory/2018.pdf>)

[Victim Services](#) (<http://www.dc.state.fl.us/vict/index.html>)

[Inmate and Offender Programming](#) (<http://www.dc.state.fl.us/development/index.html>)

[Charitable Foundations](#) (<https://www.correctionsfoundation.org/>)

[Appeal Information](#) (<https://www.fc.or.state.fl.us/index.shtml>)

[Inspector General](#) (<http://www.dc.state.fl.us/ig/index.html>)

[Prison Rape Elimination Act](#) (<http://www.dc.state.fl.us/PREA/index.html>)

Contact Us

<http://www.dc.state.fl.us/org>

501 South Calhoun Street
Tallahassee, FL 32399-2500
Main: (850) 488-5021

[Phone Directory](#) (<http://www.dc.state.fl.us/org/contact.html>)

[Citizen Services](#) (<http://www.dc.state.fl.us/citizen/index.html>)

State of Florida Vs. Harvey, Terrence Antonio

Broward County Case Number: 22006081CF10A
State Reporting Number: 062022CF006081A88810
Court Type: Felony
Case Type: 3rd Degree Felony
Filing Date: 06/25/2022
Case Status: Pending
Court Location: Central Courthouse
Judge ID / Name: Ledee - FI, Frank
Magistrate Id / Name: N/A
BCCN: 0952555

- Party(ies)

Total: 4

Party Type	Party Name	Address	Attorneys / Address ★ Denotes Lead Attorney
Defendant	Harvey, Terrence Antonio Gender: Male Race: Black Complexion: Dark Brown Height: 5'5" Weight: 225 Eye: Brown Hair: Black DOB: ██████████ Birth State: FL	2318 Charleston St Hollywood, FL 33020	★ Laske, Rodolphe Julien Public Defender Bar ID: 1032833 Law Office of the Public Defender 201 SE 6th St., Ste 3872 Fort Lauderdale, FL 33301 Status: Active
State	State of Florida		
Bond Company	2 Guns Fancy Bail Bonds		
Bond Insurance Company	Palmetto Surety Corporation		

- Charge(s)

Total: 1

Offense Date	Charge	Degree	Detail
06/24/2022	1	(F3)	Grand Theft Auto Date Filed: 06/24/2022 Current Statute: (F3)812.014(2)(c)6 Filing Type: SAO Information Filing Agency: Hollywood PD Original Statute: (F3)812.014(2)(c)6-Grand Theft Auto

- Warrant(s)

Total: 0

There is no Warrant information available for this case.

- Bond(s)

Total: 0

There is no Bond information available for this case.

- Arrest(s)

Total: 1

Arrest Date	Charge	Arrest Number	Detail
06/24/2022	1	HW22000863	Arrest Name At Arrest: Harvey, Terrence Antonio Offense: Grand Theft Auto Degree: (F3) Bond Amount: \$ Statute: 812.014(2)(c)6 OBTS Number: 0608288943

- Disposition(s)

Total: 1

Offense Date	Charge	Disposition(s)
06/24/2022	1	Plea(s) 09/06/2022 Plea Arraignment Not Guilty-Jury















- Collection(s)

Total: 1

Collection Date	Charge	Citation Number	Total Imposed Amount	Total Amount Due	Total Amount Paid	Current Amount Due	Last Payment Date	Last Payment Amount	Next Payment Date	Next Payment Amount
09/10/2022	1		\$0.00	\$50.00	\$0.00	\$50.00	-	\$0.00	-	\$0.00

- Event(s) & Document(s)

Total: 18

Date	Description	Additional Text	View	Pages
09/10/2022	Notice of Public Defender Fees	\$50.00 Amount: \$50.00		
09/08/2022	File Def Affidavit Indigency/Declared Not Indigent			1
09/08/2022	Review of Motor Vehicles - Property Records			1
09/06/2022	Arraignment Held	CR:D.Solomon/Laws (D) NG		
09/06/2022	File Court's Notice Of Hearing			1
08/23/2022	Court Date Notice			2
08/08/2022	File States Information			2
08/08/2022	File State's Instruction Sheet			1
07/05/2022	Bond Posted	pwr #1008730		3
07/01/2022	File Defense Demand For Discovery			4
07/01/2022	Demand for Disclosure of Impeaching Information			3
07/01/2022	File Notice/Assistant PD Assignment			1
06/29/2022	File Order Denying Def Motion For ROR	Bond set at \$1,000.00		1
06/29/2022	Hrg Held On Defense Motion For ROR	CR.Zoom (D)		
06/27/2022	Hrg Held / Magistrate Findings/Order - Probable Cause Found			1
06/27/2022	Arrest Paperwork			4
06/27/2022	File Notice Of Confidential Info Within Court Filing			1
06/25/2022	Electronic Case			

- Hearing(s)

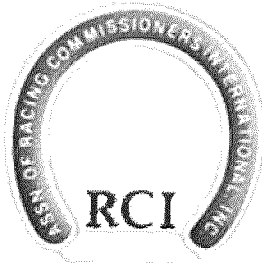
Total: 4

Date	Description	Additional Text
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Date	Description	Additional Text
11/28/2022	Jury Trial	Hearing Time: 8:30 AM Judicial Officer(s):Ledee - FI, Frank Location: Room 4750 D
11/03/2022	Calendar Call	Hearing Time: 8:30 AM Judicial Officer(s):Ledee - FI, Frank Location: Room 4750 D
09/06/2022	Arraignment	Hearing Time: 8:30 AM Judicial Officer(s):Ledee - FI, Frank Location: Room 4750
06/29/2022	Hearing/Defense Motion for ROR	Hearing Time: 1:00 PM Judicial Officer(s):Judge- FMN, First Appearance Location: Room 4155

- Related Case(s) Total: 0

There is no related case information available for this case.



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: Terrence
Middle Name:
Last Name: Harvey
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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